Plenary Lecture
Room Town & Country A - Session PL-MoM

**Plenary Lecture** 

Moderator: Peter Kelly, Manchester Metropolitan University, UK

8:00am PL-MoM-1 Welcome and Opening Remarks,

8:20am PL-MoM-2 ICMCTF Plenary Lecture: Past, Present and Future of All Solid State Batteries – Challenges and Opportunities, Kelsey Hatzell [kelsey.hatzell@princeton.edu], Princeton University, USA INVITED

Compared with their liquid-electrolyte analogues, Solid state electrolytes SSEs have drawn increased attention as they promote battery safety, exhibit a wide operational temperature window, and improve energy density by enabling Li metal as anode materials for next-generation lithium-ion batteries. Despite suitable mechanical properties to prevent Li dendrite penetration, relatively wide electrochemical stability windows, comparable ionic conductivities, and intrinsic safety, most SSEs are found to be thermodynamically unstable against Li metal, where SSE decomposition produces a complex interphase, analogous to the SEI formed in liquid electrolyte systems. An ideal passivation layer should consist of ionically conductive but electronically insulating components to prevent the SSE from being further reduced. The past four decades have witnessed intensive research efforts on the chemistry, structure, and morphology of the solid electrolyte interphase (SEI) in Li-metal and Li-ion batteries (LIBs) using liquid or polymer electrolytes, since the SEI is considered to predominantly influence the performance, safety and cycle life of batteries. All-solid-state batteries (ASSBs) have been promoted as a highly promising energy storage technology due to the prospects of improved safety and a wider operating temperature range compared to their conventional liquid electrolyte-based counterparts. While solid electrolytes with ionic conductivities comparable to liquid electrolytes have been discovered, fabricating solid-state full cells with high areal capacities that can cycle at reasonable current densities remains a principal challenge. Silicon anode offers a possibility to overcome the challenges that lithium metal anode faces. In this talk, we will highlight solutions to these existing challenges and several directions for future work are proposed.

Advanced Characterization, Modelling and Data Science for Coatings and Thin Films

Room Town & Country C - Session CM4-1-MoM

Simulations, Machine Learning and Data Science for Materials Design and Discovery I

Moderator: Davide G. Sangiovanni, Linköping University, Sweden

10:00am CM4-1-MoM-1 Crystal Symmetry Determination in Electron Diffraction Using Machine Learning, Kevin Kaufmann [kevin.kaufmann@oerlikon.com], Oerlikon Metco, USA INVITED

The recent adoption by the general public of artificial intelligence (AI) tools such as ChatGPT has reinvigorated research into AI applied to material science. Deep learning, a subset of AI, allows computer systems to autonomously learn patterns in data and construct efficient decision rules for tasks including classification, regression, or segmentation. In material analysis, these tools have primarily been applied to techniques requiring analysis of data collected in the form of images. Electron backscatter diffraction (EBSD) is one such technique benefitting from these recent efforts to improve material analysis by leveraging deep neural networks. Within the last decade, advancements in EBSD equipment have enabled the capture of high-definition diffraction patterns at rates exceeding 3,000 Hz. This creates significant opportunities for increasing the amount of information that can be ascertained from a sample, as well as opens the door for training data intensive deep neural networks.

Deep neural network-based classification of the diffraction patterns is motivated by Hough-based EBSD's susceptibility to structural misclassification; a failure mode that modern EBSD can encounter even when the researcher has complete knowledge of the sample prior to beginning analysis. While several methods to improve phase-differentiation have been proposed, each still requires pre-selection of phases and additional data (e.g. chemistry or simulated diffraction patterns) to be available. In contrast, deep neural network-based methods have demonstrated effective phase differentiation and identification of phases to the space group level without the need for further information. The deep learning approach to EBSD diffraction pattern analysis is capable of these more advanced analyses because it uses all information in the image when assessing a diffraction pattern, whereas traditional Hough-based EBSD pattern analysis discards a significant amount of information.

To promote adoption of AI tools, it must be determined if and when it is prone to error. To test the ideal operating conditions, the deep neural network model is trained using diffraction patterns captured with a fixed geometry and SEM settings, and a parametric study is performed to develop an understanding of model performance as several of the most common EBSD operating conditions are varied. Each time one parameter is varied, the diffraction patterns are re-collected, and the CNN used to reassess the space group identification. Ultimately, the model is found to retain a high classification accuracy even with significant changes to the diffraction conditions.

11:00am CM4-1-MoM-4 Predicting Segregation Behaviour in Polycrystalline Materials: A Case Study of P in Fe, Amin Reiners-Sakic, Christoph Dösinger, Alexander Reichmann, Ronald Schnitzer, Lorenz Romaner, David Holec [david.holec@unileoben.ac.at], Montanuniversität Leoben, Austria

The segregation of solutes to grain boundaries has a significant impact on material behaviour, particularly with regard to its mechanical properties and microstructural evolution. Computational tools have previously been employed to investigate this phenomenon, although the majority of studies are limited to coincidence site lattice (CSL) symmetrical boundaries. A methodology incorporating geometries associated with general grain boundaries, as observed in polycrystals, has recently been employed to investigate the substitutional segregation of phosphorus in iron. In this study, we further develop this approach to include interstitial sites. The model of polycrystalline bcc Fe comprises approximately 7x10<sup>5</sup> atoms distributed across 12 grains of ~8 nm<sup>3</sup> total volume. Of these, approximately 1x10<sup>5</sup> are substitutional segregation sites. In addition, approximately 1.2 million interstitial sites have been identified. The full segregation spectra for all of the aforementioned sites have been investigated using interatomic potentials in conjunction with state-of-theart machine-learning techniques. The results demonstrate that phosphorus segregates to both site types, with a lower mean segregation energy for substitutional sites in comparison to interstitial sites. However, due to the higher number of interstitial sites, the total number of sites with comparable segregation energies to substitutional sites is significantly greater. By incorporating both segregation distributions, we can accurately predict P enrichment at different concentrations and temperatures, in agreement with experimental data. To validate this approach, we applied it also to Ni and H, showing that Ni segregates, albeit moderately, only to substitutional sites, while H segregates exclusively to interstitial sites, in line with existing literature.

11:20am CM4-1-MoM-5 Machine Learning Prediction of Work Functions for No, No<sub>2</sub>, Co, Co<sub>2</sub>, and H<sub>2</sub>S Gas Molecules Adsorbed on Znga<sub>2</sub>O<sub>4</sub>(111) Surfaces, Po-Liang Liu [pliu@dragon.nchu.edu.tw], Hsiang-Yu Hsieh, Chao-Cheng Shen, National Chung Hsing University, Taiwan

Zinc gallium oxide is a metal oxide gas sensing layer with exceptional thermal and chemical stability, capable of detecting gases such as NO, NO<sub>2</sub>, CO, CO<sub>2</sub>, and H<sub>2</sub>S. The work function of Zinc gallium oxide can be assessed through first-principles calculations based on Density Functional Theory, which allows for the prediction of the sensor's sensitivity. Although Density Functional Theory provides accurate computational results, its high computational cost and time requirements limit its applicability for largescale surface screening. This study used a database based on a density functional theory-based zinc gallium oxide sensor model. We developed an automated workflow using Python programming to extract crystal structure features as input for the machine learning model. The processed and filtered input features were employed to predict the work function of the sensor model, achieving a mean absolute percentage error below 6% in the prediction results. This study presents a trained machine-learning model interface that allows users to input crystal structure files for the rapid and accurate evaluation of the work function of Zinc gallium oxide sensors.

# Functional Thin Films and Surfaces Room Palm 5-6 - Session MB2-1-MoM

#### Thin Films for Electronic Devices I

Moderators: Jiri Houska, University of West Bohemia, Czechia, Spyros Kassavetis, Aristotle University of Thessaloniki, Greece

10:00am MB2-1-MoM-1 Microstructure – Properties Relationship in New Zn-Iv-N2 Thin Films for Photovoltaics Applications, Jean-Francois Pierson [jean-francois.pierson@univ-lorraine.fr], IJL / CNRS / Univ. Lorraine, France INVITED

Zn-IV-N $_2$  (IV = Sn or Ge) semiconductors are promising optoelectronic materials and good candidates for thin film photovoltaic absorbers. Due to their tunable band gap (1.4-3.2 eV) and the choice of earth-abundant and non-toxic elements, they may replace  $In_xGa_1$ -xN alloys materials commonly used for optoelectronics devices. Recently, few works investigate the disorder caused by unintentional oxygen incorporation, and the grains boundaries oxygen contamination in  $ZnSnN_2$  thin films. To reduce oxygen contamination and improve physico-chemical properties, a new approach is investigated by the use of bias during film growth.

This work shows the results of ZnSnN2 thin films grown by reactive cosputtering using zinc and tin metallic targets in a nitrogen reactive atmosphere. The stoichiometry control of the film composition was managed by optimizing the target currents and the nitrogen partial pressure. The composition was measured by electron probe microanalysis (EPMA) to study the evolution of oxygen content under bias conditions. The application of different bias powers (from 0 to 50 W) modified the morphology and the composition of the films by densifying and decreasing significantly the oxygen contamination from 6.7 to 2.0 at. %. The optical band gap has been deduced from UV-visible spectroscopy and electrical properties was investigated by I-V experiments and Hall effect measurements. Ab initio calculations estimate an optical band gap in the order of 1.37 eV (calculated with a hybrid functional mBJ), the practical use of this system has been limited because of the difficulty to reach expected value. Here, we demonstrate that the optical band gap energy can be decreased (from 1.7 to 1.34 eV) to the range of the predicted one by using bias magnetron sputtering at room temperature. UV-visible spectroscopy highlights the reduction of the absorption by free electrons in the IR range responsible for the Burstein-Moss effect. Using first principle calculations, we explore the electronic structure and optical properties to compare with experimental results and we observe a good agreement. The study of bias effect power from 0 to 50 W underlines that an optimal parameter of 20 W bias is a compromise to gain the best structural, electrical and optical properties. Our results provide an interesting method to obtain a potential

candidate for photovoltaic application, in an environmental friendly way, for a low-cost industrialization.

Keywords: photovoltaic,  $ZnSnN_2$ , bias effect, thin films, magnetron cosputtering.

10:40am MB2-1-MoM-3 Enhanced Etching Resistance of Y2O3 Films Through Microstructure Control via Thermal Annealing, Shiao Wang, Qiuming Fu, Hongyang Zhao, Wuhan Institute of Technology, China; Tomasz Liskiewicz [t.liskiewicz@mmu.ac.uk], Manchester Metropolitan University, UK; Ben Beake, Micro Materials Ltd, UK; Yanwen Zhou, Wuhan Pudi Vacuum Technology Co., China

Yttrium oxide (Y2O3) is a promising material for etch-resistant coatings in semiconductor manufacturing due to its high hardness, high melting point, and excellent chemical stability. This study investigates the effect of thermal annealing on the microstructure and etching resistance of Y2O3 thin films, aiming to improve their performance without introducing additional phases. Current methods for improving Y2O3 etching resistance involve costly phase introductions, and the effect of annealing temperature on the etching resistance of Y2O3 thin films has been understudied.

Y2O3 films were deposited on P-type Si substrates using RF magnetron sputtering. The films were then annealed in a vacuum at 300°C, 600°C, and 900°C. The crystal structure was characterised using XRD, and surface morphology was observed with FESEM. Etching tests were conducted using inductively coupled plasma with two different environments, chemical etching (CF4 and O2) and mixed etching (CF4, Ar, and O2). The etching rates and surface roughness were determined using a step profiler and AFM respectively. Chemical bond analysis was performed using XPS.

XRD analysis revealed that the Y2O3 films exhibited a polycrystalline cubic structure. The sharpness of the diffraction peaks increased and then decreased with increasing annealing temperature, indicating grain size changes. FESEM images showed that the film annealed at 900°C had a dense, laminated structure with no pores or defects, while films annealed at 600°C and 300°C displayed rod-shaped grain structures with noticeable gaps. The etching rates of Y2O3 films were significantly lower than those of Al2O3, Si, and other materials. The 900°C annealed film exhibited the lowest etch rates. AFM analysis showed the roughness of Y2O3 thin films decreased after both chemical and mix etching. XPS analysis confirmed the formation of Y-F compounds during etching, with deeper F penetration in mix etching due to Ar+ sputtering.

The enhanced etching resistance of the 900°C annealed Y2O3 film is attributed to its high density and low surface roughness. In chemical etching, F radicals react with Y2O3, forming a Y-F protective layer, which reduces further etching. In mix etching, the additional Ar plasma facilitates the detachment of the formed Y-F compounds, increasing the etching rate compared to chemical etching.

This study demonstrates that thermal annealing is a cost-effective method to improve the etching resistance of Y2O3 films. The 900°C annealing resulted in a dense film with superior etching resistance, making it a promising material for protective coatings in semiconductor manufacturing.

11:00am MB2-1-MoM-4 Effects of Room Temperature Sputtered Nano-Interfaced WxMoyO3 Nanograins on Highly Responsive NO Sensing, Somdatta Singh, Indian Institute of Technology Roorkee, India; Ravikant Adalati, University of Mons, Belgium, India; Prachi Gurawal, Raman Devi, Indian Institute of Technology Roorkee, India; Gaurav Malik, Jeonbuk National University, Republic of Korea, India; Davinder Kaur, Ramesh Chandra [ramesh.chandra@ic.iitr.ac.in], Indian Institute of Technology Roorkee, India

This work demonstrates a heterostructure of monoclinic molybdenum trioxide (n-MoO<sub>3</sub>) and tungsten trioxide (n-WO<sub>3</sub>) with nano-interfaced (ni@WxMoyO3) based NO gas sensing material. The nanocrystalline n $i@W_xMo_yO_3$  thin film was coated using a single-step magnetron sputtering technique on an n-type (100) silicon substrate. Within the temperature range of approximately ambient temperature (50°C) to 350°C, this sensing material,  $W_xMo_yO_3$  (where x = 0.71 and y = 0.29), detects NO gas and investigates the impact of crystal structure and nanointerfaces on sensing performance. A heterostructure composed of several materials can enhance the interaction between the gas molecules and the sensor surface by producing interfaces that promote charge transfer. With a response/recovery time of around 300 seconds/125 seconds at 300°C, the n-i@WxMoyO3 has a low limit of detection (DL) of about 39 ppb and an excellent sensor response (SR =  $R_g/R_a$ ) of about 44.15 for 50 ppm NO gas. Even at 50°C, the enhanced sensitivity of the sensing material with the nanointerface shows a strong affinity for NO molecules. It provides around

1.03 SR with response/recovery times of 53 and 71 seconds, respectively. The robustness of the n-i@WxMoyO3 thin film sensor was established by its excellent selectivity (SR = ~44.15) and long-term stability (60 days) towards 50 ppm NO at 300°C. The remarkable sensing properties of MoO3 functionalized WO3 nanograins indicate an exciting potential for NO gas sensors that operate close to ambient temperature (50°C).

11:20am MB2-1-MoM-5 Study on the Effect of Different Oxygen Flow Rates on Vanadium-Doped Zinc Oxide Thin Film Piezoelectric Pressure Sensors, Cheng Han Hsu [e204242271@gmail.com], National Cheng Kung University (NCKU), Taiwan

The piezoelectric effect is a phenomenon where certain materials generate an electric charge when subjected to mechanical stress. This property is widely utilized in sensors, and energy-harvesting devices because it converts mechanical energy into electrical energy. ZnO is a promising material for energy-harvesting devices due to its piezoelectric and semiconductor properties, along with good biocompatibility and low environmental impact. However, its relatively low piezoelectric coefficient (12.4 pC/N) limits its potential in these applications.To enhance the piezoelectric coefficient, vanadium was doped into ZnO thin films. Vanadium ions have a higher valence than zinc ions, which improves electric polarization and increases the piezoelectric coefficient. Additionally, V5+ions, having a higher positive charge than V³+ions,create stronger polarity,further boosting the piezoelectric properties.By adjusting the oxygen flow rate during the sputtering process, the V5+content in the films is increased, enhancing the piezoelectric coefficient.In this study,we utilized an RF sputtering system with varying oxygen flow rates to prepare vanadium-doped zinc oxide thin films, which were then used to fabricate piezoelectric pressure sensor devices. The results show that as the oxygen flow rate increases, the grain shape of the thin films changes, and the grain size decreases. SEM reveal significant changes in the grain structure.XRD shows that the intensity of the 002 peak weakens as the oxygen flow rate increases, indicating structural changes in the thin films.XPS reveals that the content of pentavalent vanadium increases with higher oxygen flow rates, but decreases after reaching a critical value, which correlates with the trend observed in piezoelectric coefficient measurements. Further analysis of the O1s XPS shows that the lattice oxygen content in the films is higher than the surface adsorbed oxygen, with the lowest number of oxygen vacancies at a certain oxygen flow rate, which then increases as the oxygen flow rate rises.UV-visible spectra indicate that, due to the Burstein-Moss effect, the energy band structure of the thin films initially decreases and then increases with increasing oxygen flow rates. Finally, piezoelectric pressure sensors were fabricated from these thin films, and the stress sensitivity at different oxygen flow rates was measured. This study provides a comprehensive investigation of the structural, optical, piezoelectric properties of V-doped zinc oxide thin films at varying oxygen flow rates and explores their application as piezoelectric pressure sensors. The findings offer insights for optimizing thin film performancein piezoelectric sensing devices.

# Surface Engineering of Biomaterials, Medical Devices and Regenerative Materials

Room Palm 1-2 - Session MD1-1-MoM

# Development and Characterization of Bioactive Surfaces/Coatings I

Moderators: Mathew T. Mathew, University of Illinois College of Medicine at Rockford and Rush University Medical Center, USA, Sandra E. Rodil, Universidad Nacional Autónoma de México

10:00am MD1-1-MoM-1 Hybrid Ceramic Coating with Enhanced Corrosion Resistance for Magnesium-Based Biodegradable Implants, Abdelrahman Amin [xml111@mocs.utc.edu], Diya Patel, University of Tennessee at Chattanooga, USA; Bryce Williams, Thomas McGehee, Alyssandra Navarro, Mostafa Elsaadany, University of Arkansas, USA; Hamdy Ibrahim, University of Tennessee at Chattanooga, USA; Merna Abdrabo, The University of Tennessee at Chatanooga, USA

Biodegradable implants, recognized for their unique mechanical properties and compatibility with human bone, have become essential in various biomedical applications. Magnesium, a key material in such implants, is notable for its favorable biodegradability within the human body. However, one limitation of magnesium is its tendency to degrade too quickly, leading to a loss of mechanical integrity before bone healing is complete. This rapid degradation can undermine the implant's effectiveness, driving efforts to

manage magnesium's high corrosion rate through various approaches. Among these, the development of protective coatings on magnesium alloys has shown significant promise. Such coatings provide a temporary protective layer, thereby slowing down the corrosion process and extending the implant's functionality. Hybrid coatings, particularly those combining Plasma Electrolytic Oxidation (PEO) with sol-gel techniques, have improved the ability to control and adjust corrosion rates while incorporating bioactive agents like hydroxyapatite (HA) nanoparticles. These nanoparticles contribute to enhanced bioactivity and osteoconductivity, further supporting bone healing. In this study, the primary objective is to explore how altering the key parameters of Sol-gel coating affects the corrosion resistance of a magnesium alloy substrate that has been precoated with a PEO layer. Specifically, the combined impact of varying HA concentration within the Sol-gel solution, dip time, and the number of layers deposited are examined. The findings of this work establish the relationship between the sol-gel coating process parameters and the corrosion properties of the developed hybrid coating leading to a better understanding of their effect on developing magnesium-based implants with superior properties.

10:20am MD1-1-MoM-2 Functional Coatings by Low Vacuum Plasma for the Innovation in Regenerative and Reparative Medicine, Pascale Chevallier, Carlo Paternoster, Francesco Copes, Laval University, Canada; Andranik Sarkissian, Plasmionique Inc., Canada; Diego Mantovani [diego.mantovani@gmn.ulaval.ca], Laval University, Canada Over the last 50 years, biomaterials, prostheses and implants saved and prolonged the life of millions of humans around the globe. Today, nanobiotechnology, nanomaterials and surface modifications provide a new insight to the current problem of biomaterial complications, and even allows us to envisage strategies for the organ shortage. In this talk, creative strategies for modifying and engineering the surface and the interface of biomaterials, including metals, polymers from natural and synthetic sources, will be discussed. The unique potential of low-pressure lowtemperature plasma surface modification will be detailed with the overall aim to envisage today how far innovation can bring tomorrow solutions for reparative and regenerative medicine. Applications for health will be emphasized, including biologically active-based, biomimetic, low-fouling, bactericidal, and antiviral coatings.

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11:00am MD1-1-MoM-4 Hydrogen-Treated Orthopedic Implants : A Novel Approach to Enhance Biocompatibility and Mitigate Inflammation, Ren-Jei Chung [rjchung@mail.ntut.edu.tw], National Taipei University of Technology, Taiwan INVITED

The surface modification of cobalt-chromium-molybdenum (CoCrMo) alloy to create hydrogenated CoCrMo (H-CoCrMo) surfaces has shown promise as an anti-inflammatory orthopedic implant. Utilizing the electrochemical cathodic hydrogen-charging method, the CoCrMo alloy surface was hydrogenated, resulting in improved biocompatibility, reduced free radicals, and an anti-inflammatory response. *In vitro* studies demonstrated enhanced hydrophilicity and the deposition of hydroxyapatite. The cell

study result revealed a suppression of osteosarcoma cell activity. Finally, the *in vivo* test suggested a promotion of new bone formation and a reduced inflammatory response. The diffusion of hydrogen to a depth of approximately  $106 \pm 27$  nm on the surface facilitated these effects. The findings suggest that electrochemical hydrogen charging can effectively modify CoCrMo surfaces, offering a potential solution for improving orthopedic implant outcomes through anti-inflammatory mechanisms.

#### Plasma and Vapor Deposition Processes Room Town & Country A - Session PP1-1-MoM

#### **PVD Coatings and Technologies I**

Moderators: Qi Yang, National Research Council of Canada, Christian Kalscheuer, IOT, RWTH Aachen, Germany

10:00am PP1-1-MoM-1 Complementary Cutting-Edge Plasma Monitoring Techniques for Process Development, Production Control and Machine Learning (ML), Thomas Schütte [schuette@plasus.de], Jan-Peter Urbach, Peter Neiß, Marius Radloff, Hokuto Kikuchi, PLASUS GmbH, Germany INVITED

As specifications in the thin film industry become more and more demanding, high production yields and cost effective production becomes a major factor in this competitive market. Increasing demands for better specifications and lower scrap rates drive the demand for efficient process control systems.

In addition, data analysis using artificial intelligence (AI) and machine learning (ML) technologies has made tremendous progress in recent years, sparking interest in using these methods for the diagnostics and control of plasma applications. To utilize this capability, a large number of data sets from complementary process diagnostics methods are required.

This presentation will highlight the opportunities and advantages of utilizing the latest developments in real-time in-situ data acquisition of different diagnostic techniques in a single system: Spectroscopic plasma process monitoring acquires data from the actual process plasma whereas in-situ broadband photometric measurements gather properties of the growing coating such as film thickness or color values. In addition, time-resolved electrical measurements of generator power, voltage and current provide valuable electrical process information especially in pulsed plasma applications.

Selected plasma applications are used to illustrate how process variations influence the results of the different measurement techniques. Consequently, by combining different methods and analyzing the complementary data in real-time, interdependencies between process and product properties become visible and can be used to achieve more accurate and reliable process control. At the same time the collected data can be fed into the analysis using Al and ML techniques to improve product quality and long-term production stability.

Real-time process control examples combining different diagnostic methods will be presented and first approaches to the application of ML methods will be illustrated using various coating applications from industry and R&D, such as metallic and reactive sputtering, HIPIMS and PECVD processes for tribological, optical and glass coating processes.

10:40am PP1-1-MoM-3 Plasma Diagnostics and Thin Film Synthesis Using an Industrial-Sized DC Vacuum Arc Source with Magnetic Steering and a TaB2 Cathode, Igor Zhirkov [igor.zhirkov@liu.se], Andrejs Petruhins, Ali Saffar Shamshirgar, Materials Design Division, Linköping University, Sweden; Philipp Immich, IHI Hauzer Techno Coating B.V., Netherlands; Szilard Kolozsvári, Peter Polcik, PLANSEE Composite Materials GmbH., Germany; Johanna Rosen, Materials Design Division, Linköping University, Sweden

Due to physical and chemical characteristics of transition metal borides, thin films thereof are gaining increasing attention as protective hard coatings. Most publications in this area focus on  $TiB_2$ , synthesized through various physical vapor deposition (PVD) techniques. Tantalum diboride,  $TaB_2$ , is characterized by a hardness comparable to  $TiB_2$ , but with an elastic modulus  $\sim 2$  times lower. It results in a combination of high strength and high resistance to elastic and plastic deformation, for potential use in protective coating industry. However, there are no reports on deposition of  $TaB_2$  coatings with DC vacuum arc, a process commonly used in industry, in particular with magnetic steering. In this work, we present analysis of the process (cathode weight loss, film deposition rate), plasma composition,

and the (micro-) structure and composition of the cathode as well as of films, using a Hidden EQP mass-energy analyzer, SEM, XRD, and XPS. The study is performed using an industrial scale arc plasma source, Hauzer CARC+, which utilizes plane cathodes of 100 mm in diameter. The TaB2 cathodes were provided by PLANSEE Composite Materials GmbH. The magnetic arc steering system, based on variation of magnitude of electrical current flowing through the solenoid placed behind the cathode, allows tuning of the strength of the magnetic field at the center point of the cathode surface in the range ± 8 mT (different polarity). The steering system is found to improve the stability of the arcing process and result in more smooth erosion of the cathode surface. Plasma analysis performed at base pressure (10<sup>-5</sup> Torr) shows peak ion energies consistent with the velocity rule, around 140 and 10 eV for Ta and B, respectively. The ion energies, the ion charge states, and the plasma ion compositions were found to be strongly affected by the operating pressure, with a plasma ion composition showing a lower B content (~ 60 % Ta and ~ 40 % B at base pressure) compared to the cathode stoichiometry. Even lower B ion signals were recorded at higher pressures. The plasma properties were correlated to the deposited thin films, their composition and structure. The lack of B within the deposited films was found to be less pronounced than for the plasma ions. Altogether, the results show that DC vacuum arc can be used for TaB2 depositions with stability provided by the magnetic steering.

11:00am PP1-1-MoM-4 Novel Approach in Cathodic Arc Evaporation Enabling Precise Control Over Energy of Deposited Ions in Industrial Conditions, Martin Ucik [m.ucik@platit.com], Masaryk University, Czechia Introduction

Commonly, in cathodic arc evaporation (CAE), where multiple elements are contained in a coating, materials of several targets are simultaneously evaporated. This evaporated material, being near-complete ionization due to the exceedingly high power densities in cathode spots with significant number or even predominance of multiply charged ions (2+, 3+, etc.), is condensed onto the substrate under applied bias which is constant. Therefore, often the currents and the substrate bias need to be optimized in order to avoid undesirable effects such as delivering excessive energy to the growing coating.

With a new approach of pulsed arc and synchronized bias relative to the arc pulses, we are now able to select which evaporated material is accelerated towards the substrate at what applied voltage, therefore controlling the delivered energy or, in other words, having a mechanism to regulate the energy of impacting ions.

#### Methods

Here, we choose one of the most widely studied coatings – AlCrN – to investigate the effect of synchronized bias. On two single element targets (Al, Cr), both arcs are simultaneously periodically pulsed and a substrate bias (from 30V up to 240V) is either constant or also pulsed in respect to the arc pulses (e.g. by Al pulse, we mean that arc current of Al cathode is at its maximum level). This offers us three basic modes we have focused on: (i) with a constant substrate bias, (ii) with bias applied during the Al pulse and leaving the substrate at a floating potential during Cr pulse and (iii) viceversa applying the bias only during Cr pulse.

#### Results

By simply choosing the mode other than (i), we can change the coating microstructure, thus the properties – e.g., grain size, lattice orientation, residual stress, etc. We also investigated the effect of synchronized bias in a cutting test, where we observed an influence of a mode choice on cutting edge wear.

#### Conclusion

In summary, this study shows new possibilities of cathodic arc evaporation enabling to fine-tune coating microstructure to obtain desired properties and further more cutting performance.

to be submitted to Technical Symposium PP Plasma and Vapor Deposition Processes, and section PP1 PVD Coatings and Technologies (oral contribution)

11:20am PP1-1-MoM-5 Dc Magnetron Sputtering Yield Amplification of C, Si, and Ge Doped with W, Cu, Ta, or Mo, Julio Cruz [juliocruz@ens.cnyn.unam.mx], Instituto de Investigaciones en Materiales, Universidad Nacional Autónoma de México; Rebecca Giffard, Universidad de Guadalajara, Mexico; Stephen Muhl, Marco Martínez, Instituto de Investigaciones en Materiales, Universidad Nacional Autónoma de México; Roberto Sanginés, Roberto Machorro, Centro de Nanociencias y Nanotecnología. Universidad Nacional Autónoma de México; Efraín Chávez, Instituto de Física. Universidad Nacional Autónoma de México

S. Berg in 1991 discovered the phenomenon called Sputtering Yield Amplification, SYA. The phenomenon is related to doping a sputtering target with atoms of different atomic mass than the target material. Such doping changes the collision cascade on the surface of the target. consequently increasing the number of ejected atoms from the target. In this work, we present a way of generating SYA of C and Si with two different types of co-sputtering experiments, in both by adding the doping element as small pieces on the surface racetrack. First, we increased the amount of W on C, Ge, and Si targets. Second, we increased the working gas pressure, i.e., the number of collisions in the gas phase, using a Si target and doping it with Cu, Mo, and, Ta. Then we studied the number of dopant atoms returning to the target racetrack surface and its spatial distribution. To determine their effect on the cascade of collisions and, consequently, the change in the target sputtering yield. With the Perfilometry and Rutherford Backscattering Spectrometry techniques, we measure the thickness of the deposited films and the total number of atoms deposited on the substrate. With Optical Emission Spectroscopy we analyze the intensity of the emission lines of neutral and ionized species in the sputtering plasma. Furthermore, with SIMTRA code we theoretically estimated the spatial distribution of atoms that were redeposited on the target. The results showed Si and C SYA doped with W. Somewhat similar results have been reported earlier. Furthermore, significant Si SYA doped with Cu, Mo, and Ta. These results may be interesting for materials that have both lower sputtering yield and important applications in the thin films industry.

11:40am PP1-1-MoM-6 Control of Microstructure and Phase of Sputter-Deposited Tantalum Thin Films for Inkjet Device Applications, *Brittney Burant [brittney.burant@hp.com]*, HP Inc, USA

Tantalum (Ta) has long been used in thermal inkjet devices and plays a crucial role in the ink ejection cycle. It forms the bubble nucleation surface that transfers heat from the resistor to the ink, and also acts as a cavitation barrier that mechanically and chemically protects the resistor from damage during bubble collapse. Ta has a bulk stable  $\alpha$ -phase with BCC structure, however, the metastable  $\beta$ -phase has historically been used in inkjet devices. Microstructure and phase control of the thin film is important for promoting cohesive bubble nucleation during ink firing cycles and ensuring the device functions reliably through its lifetime.

When pursuing new product architectures with reduced die separation ratios, it was found that sputter-deposited Ta films began to exhibit mixed phases, compromising the integrity of the cavitation barrier. Much research has been done to characterize the phase selection of Ta, however not much is understood about the underlying mechanisms of  $\beta$ -phase initiation and many studies report contradictory process parameters for phase selection. Through our work, we were able to demonstrate that substrate pretreatment was promoting mixed phase formation during deposition, independent of other deposition parameters. We further aim to show that both substrate roughening and native oxide of the passivation surface plays a key role in initiating  $\beta$ -phase film growth on the substrate, by modifying the pre-sputter etch conditions and characterizing the surface and subsequent Ta phase.

# Topical Symposium on Sustainable Surface Engineering Room Town & Country B - Session TS1-1-MoM

#### Coatings for Batteries and Hydrogen Applications I

Moderators: Martin Welters, KCS Europe GmbH, Germany, Chen-Hao Wang, National Taiwan University of Science and Technology, Taiwan

10:00am TS1-1-MoM-1 Coating Innovations for Green Energy: Enabling Hydrogen Technologies, Mehmet Öte [oetemhm@schaeffler.com], Schaeffler Technologies AG & Co. KG, Germany INVITED

In light of urgent climate challenges, the transition from fossil fuels to green energy sources necessitates significant advancements in hydrogen technologies. This keynote presentation will emphasize the crucial role of innovative coatings across all components of electrolyzers and fuel cells,

including bipolar plate coatings, transport layer coatings, and catalyst-coated membranes. We will provide a comprehensive review of the evolving requirements for these coatings, assessing their impact on both performance and sustainability.

Key focus areas will include advancements in anti-corrosion and electrically conductive coatings designed to enhance the efficiency and lifespan of components within hydrogen systems. These innovations not only achieve exceptional electrical conductivity and corrosion resistance but also play a pivotal role in significantly reducing the CO2 footprint of critical components. Ultimately, this talk aims to contribute to the ongoing discourse in the energy sector, demonstrating how advanced materials and coatings can facilitate the widespread adoption of hydrogen as a clean energy carrier.

10:40am TS1-1-MoM-3 Intermediate-Temperature Proton-Conducting Solid Oxide Fuel Cells and Electrolyzers for Clean Energy, Sheng-Wei Lee [swlee@ncu.edu.tw], Chung-Jen Tseng, Szu-Yuan Chen, National Central University, Taiwan INVITED

The solid oxide cells (SOCs), which can operate in fuel cell or electrolyzer mode, is a promising technology to store electrical energy as chemical energy and to reconvert it into electricity upon demand. In recent years, extensive efforts have been devoted to developing proton-conducting SOCs (P-SOCs) that operate in the low-to-intermediate temperature range (400-800 °C). Compared to the conventional oxygen ion-conducting SOCs (O-SOCs) that require high operating temperature (800-1000 °C), this scheme enables more reliable sealing, use of cheaper materials for interconnect, and a better control of electrode/electrolyte interactions, thus prolonging the operational lifetime of SOCs.

In this presentation, a variety of nanostructured electrode for P-SOCs is demonstrated via nano-engineering and have demonstrated their excellent cell performance. For example, we present a nanofiber-derived functional anode and cathode for proton-conducting SOFCs. The significantly lower polarization resistance elements indicate that the nano-fibrous electrode has superior catalytic activity for HOR and ORR. We also use PS nanospheres as pore former to fabricate an LSCF cathode with graded porosity, thus greatly improving the cell performance. In addition, we employ spin-coating technique and pulsed laser deposition (PLD) with doping strategy to fabricate thin-film electrolyte for P-SOCs. A bulk heterojunction GCCCO-BCZY layer with a domain width of ~5 nm by PLD via spontaneous phase separation is demonstrated as an electrolyte/cathode interlayer, which effectively increases the interfacial area between the two distinct phases and facilitates proton transport across the interface. Finally, we also discuss the SOC performance when fed with a variety of fuels and in the electrolysis mode.

11:20am TS1-1-MoM-5 Development of Anode Electrodes for Water Electrolysis by Electroplating, Pei-Chi Lin, Chieh-Fu Huang, Yong-Song Chen [imeysc@ccu.edu.tw], National Chung Cheng University, Taiwan INVITED Anion exchange membrane water electrolysis (AEMWE) has drawn much attention recently as a sustainable and cost-effective method for hydrogen production. Unlike proton exchange membrane water electrolysis, AEMWE employs non-precious metal as catalysts, which can significantly reduce material costs. However, it remains challenging to develop efficient and durable anode electrodes that can withstand alkaline environments while maintaining high performance in the oxygen evolution reaction (OER). In this study, stainless steel paper (SSP) is employed as the porous transport layer (PTL) of the anode in AEMWE. The effects of various surface modifications on SSP are investigated to assess their impact on electrochemical performance, including heat treatment, acid treatment, and electroplating.

Surface morphology, Brunauer–Emmett–Teller (BET) surface area, and current-voltage (I-V) characteristics are analyzed across treatments to evaluate their impact on catalytic activity. Results indicate that electroplating nickel (Ni) onto acid-treated SSP significantly enhances anode performance, achieving over a 10% increase in efficiency compared to untreated SSP. This enhancement is attributed to the increased specific surface area provided by acid treatment, combined with the catalytic benefits of Ni from electroplating. BET analysis supports that acid treatment creates a rough surface on the SSP fibers, thereby increasing active surface area. Additionally, I-V curves demonstrate that Nielectroplated, acid-treated SSP exhibits lower overpotentials and higher current densities. This approach utilizes low-cost, commercially available stainless steel, supporting the potential for mass production and enhancing the economic feasibility of AEMWE in hydrogen production applications.

12:00pm TS1-1-MoM-7 Development of Three-Dimensional Lithium Metal Composite Electrode with Lithiophilic ALD Coating, Yu-Lun Cheng, Chih-Liang Wang [wangcl@mx.nthu.edu.tw], National Tsing Hua University, Taiwan

Lithium (Li) metal is widely regarded as an ideal anode material for lithiumion batteries thanks to its high theoretical capacity (3860 mAh/g) and low electrochemical potential (-3.04 V vs. standard hydrogen electrode). However, practical use is limited by challenges such as lithium dendrite growth, volume expansion, and dead lithium, which degrade performance. This study addresses these issues by applying atomic layer deposition (ALD) of zinc oxide (ZnO) onto electrospun carbon nanofibers (CNFs) to create a high-performance, three-dimensional (3D) lithium metal composite anode. Polyacrylonitrile (PAN) was first electrospun to form the CNF framework. The lithiophilic properties of CNFs were systematically explored by adjusting the number of ALD ZnO cycles. The 3D lithium metal composite anodes were then produced by infusing molten lithium into the ZnO-coated CNFs. These composite electrodes showed excellent electrochemical performance, including low overpotential and extended cycle life in symmetric cell tests. In full-cell tests with LiFePO<sub>4</sub>, the 3D Li composite anode delivered higher capacity than traditional Li metal foil. Overall, the combination of electrospinning and ALD techniques demonstrates substantial potential in creating 3D lithium metal composite electrodes, offering improved lithium diffusion, current distribution, battery stability, cycle life, and rate performance.

#### **Keynote Lectures**

Room Town & Country A - Session KYL-MoKYL

#### **Keynote Lecture I**

Moderator: Ivan G. Petrov, University of Illinois at Urbana-Champaign, USA

1:00pm KYL-MoKYL-1 The Ion and Material Design Revolution – Songs of Innocence and Experience, Arunprabhu Sugumaran Arunachalam Sugumaran, Sheffield Hallam University, United Kingdom; Ryan Bower, MIng Fu, Imperial College London, UK; David Owen, Papken Eh. Hovsepian, Sheffield Hallam University, UK; Peter K. Petrov, Rupert Oulton, Imperial College London, UK; Arutiun P. Ehiasarian [A.EHIASARIAN@SHU.AC.UK]<sup>1</sup>, Sheffield Hallam University, UK

High Power Impulse Magnetron Sputtering plasma thin film technology is sweeping through labs and manufacturing facilities across all continents. It has brought new understanding of plasma discharge mechanisms, film growth and performance in critical applications ranging from cutting tools to microelectronics, whilst spurring along the development of precise hardware and process control strategies.

Very high ionisation degree of the metal and gas is produced through high-density plasma, which in some circumstances is achieved by the self-organisation of the plasma into zones of elevated density along the magnetron racetrack. Strategies for controlled increase in current and reverse voltage post-pulsing enable even di-electric materials to be deposited in a stable reactive process. The highly energetic deposition flux created on account of the high ion-to-neutral ratio enables deposition of high-density films on temperature-sensitive substrates such as PET foil. Along with the microstructure densification and crystallographic orientation changes, film properties such as hardness, toughness, electrical conductivity and optical response are improved. Steps towards a digital twin of the deposition process based on optical emission spectroscopic monitoring and physics models are reducing process times and improving reproducibility.

Ion bombardment enables substrate pretreatment to enhance adhesion and preserve high-aspect ratio features by undergoing stages of high removal rate, followed by metal ion implantation. Diffusion surface treatments at low-pressure and under intense ion irradiation have overtaken the rate of conventional nitriding processes by a factor of 4 whilst improving the ductility of the surface.

Nanoscale multilayers introduce extra dimensions towards materials design, with a family of films to withstand high temperature oxidation, corrosion, wear, cavitation and tailored to applications in power generation and propulsion turbines, biomedical implants, automotive components, cutting and forming tools and space satellite components.lon bombardment has been responsible for controlled segregation of metal in carbon films. Recently superhard nanolayer films have been used for plasmonic catalysis enabled by visible light which aims to enhance the activity of surfaces in promoting a broad range of chemical reactions including water splitting and associated production of bioactive reactive oxygen species. Pump-probe laser measurements confirm a significant increase in the lifetime of active electron species in the films due to trapping of hot carriers by oxygen vacancies. Graded structures, starting from a bilayer thickness of 2.4 and reducing to 1.7 nm exhibited a large grain size and increased hardness and toughness, as determined from nanoindentation, and resulted in improved resistance to cavitation erosion.Remarkably, ion-induced densification in HIPIMS-deposited films has demonstrated an improvement in fatigue performance of biomedical implants, power generation turbine blades and forming tools.

Despite these advances, questions remain in all of the fundamental and applied fields.

Monday Afternoon, May 12, 2025 7 1:00 PM

Advanced Characterization, Modelling and Data Science for Coatings and Thin Films

Room Town & Country C - Session CM4-2-MoA

Simulations, Machine Learning and Data Science for Materials Design and Discovery II

Moderator: Po-Liang Liu, National Chung Hsing University, Taiwan

1:40pm CM4-2-MoA-1 Computational Approach to Probing Hydrogen in Atomic Layer-Deposited Barrier Coatings, Vladyslav Turlo, Simon Gramatte [simon.gramatte@empa.ch], Empa, Swiss Federal Laboratories for Materials Science and Technology, Switzerland INVITED

The energy transition of our society requires an improved fundamental understanding of the chemical interaction of H with oxide materials, such as oxide membranes for H-purification, oxides for photocatalytic water splitting, and passivated oxides on steel. In particular, the effect of H impurities on the barrier properties of oxide layers grown by Atomic Layer Deposition (ALD) is of great scientific and technological interest, since hydrogen permeation barriers fabricated by ALD are broadly applied to address specific challenges for transport, handling, and storage of H, as well as for electronics, catalysis and gas sensing. However, resolving tiny changes in local chemical bonding states and structure of e.g. amorphous alumina oxides, as induced by H impurities originating from the ALD deposition process, still poses huge challenges for modern analytical tools up to date.

Here, the effect of hydrogen on the local chemical bonding states and structures of amorphous ALD alumina films is disclosed by predicting Auger parameter shifts, as measured by XPS/HAXPES, using a combination of atomistic and electrostatic modeling. First of all, it is demonstrated that a conventional melt quenching simulation procedure is not applicable for generating representative amorphous oxide structures with different H contents and densities, as observed in the experiment. Instead, a novel approach is proposed for simulating amorphous H-containing oxide structures by annealing reconstructed, highly defective crystalline hydroxide structures using a universal machine learning interatomic potential. As such, excellent agreement between the density, structure, and H-content was obtained between theory and experiment. Moreover, measured Auger parameter shifts for Al as a function of the H-content were accurately predicted by assuming all H atoms to be present in the form of hydroxyl ligands in the randomly interconnected 4-fold, 5-fold, and 6-fold nearest-coordination spheres of Al (by O). Combined atomistic and electrostatic modeling shows in detail how measured Auger shifts depend on the complex correlations between local coordination, bond lengths, bond angles, and ligand type(s) around the core-ionized atom, which equally applies to amorphous and crystalline compounds.

This work enables the computational design of new barrier coatings for hydrogen economy, providing a comprehensive computational characterization framework able to interpret even the tiniest Auger parameter chemical shifts obtained from experimental XPS/HAXPES techniques.

2:20pm CM4-2-MoA-3 Conditions for the Preparation of Maximum-Quality Crystalline ZnO by Molecular Dynamics Simulations of the Atomby-Atom Film Growth, *Jiri Houska* [jhouska@kfy.zcu.cz], Kamila Hantova, University of West Bohemia, Czechia

Crystalline zinc oxide thin films are important due to a combination of optical transparency, electrical conductivity and piezoelectric and pyroelectric properties. These functional properties largely depend on perfection of the crystalline structure. Reproducing the growth of thin films by molecular-dynamics (MD) simulations is very useful for the disentanglement of processes and phenomena which take place in parallel in the experiment and can yield a lot of atomic-scale information which is difficult to access experimentally. After introducing MD simulations in general, classical MD based on a reactive force field is used to study the atom-by-atom growth of ZnO<sub>x</sub> films on a crystalline template. The effect of kinetic energy of fast atoms ( $E_{fast}$ ) and fraction of fast atoms ( $f_{fast}$ ) at varied elemental ratio (x = [O]/[Zn]) is analyzed in a wide range. Following the visual inspection, the crystallinity is quantified in terms of network ring statistics.

Simulations with fixed  $f_{\rm fast} = 100\%$  revealed that the highest crystal quality was obtained at x = 1.03 (not at the intuitive ratio x = 1.00) and  $E_{\rm fast} = 3-12$  eV with a maximum at  $E_{\rm fast} = 10$  eV. When only a low  $E_{\rm fast} = 1$  eV is available, even higher x = 1.10 leads to the relatively best results. Simulations with varied  $f_{\rm fast}$  and fixed  $E_{\rm fast} = 10$  eV revealed that the crystallinity at  $f_{\rm fast} \ge 50\%$  is saturated. The ratio x = 1.03 is optimum at all these  $f_{\rm fast}$  values and it is

followed by x = 1.05 which also leads to higher crystal quality than x = 1.00. However, the ratio x = 1.10 is for the present energy distribution functions too high, not only in terms of growth rate which decreases with increasing x, but also in terms of crystal quality.

First, the results provide a quantitative insight into the role of individual deposition parameters. Second, the results explain available experimental data (for example, the dependence of the mobility of free charge carriers on the pulse-averaged target power density expresses the same character as the dependence of network ring statistics on  $E_{\rm fast}$ ). Third, the results facilitate a further improvement of the film properties. For example, it is important that the recommended  $E_{\rm fast}$  is comparable to achievable positions of maxima of energy distribution functions during reactive HiPIMS.

2:40pm CM4-2-MoA-4 MI-Assisted Atomistic Modeling of Transition Metal Diborides: Mechanical Response and Phase-Dependent Phenomena, Shuyao Lin [shuyao.lin@tuwien.ac.at]1, TU Wien, Institute of Materials Science and Technology, Austria; Davide Sangiovanni, Lars Hultman, Linköping Univ., IFM, Thin Film Physics Div., Sweden; Paul Mayrhofer, Nikola Koutna, TU Wien, Institute of Materials Science and Technology, Austria Transition metal diborides (TMB<sub>2</sub>) represent materials with ultra-high hardness and melting points but limited resistance to crack propagation. Understanding the thermodynamic stability of typical TMB2 polymorph structures ( $\alpha$ ,  $\omega$ , and  $\gamma$ ) at finite temperatures as well as the phasedependence of mechanical and fracture properties has been challenging due to non-trivial synthesis and structural similarity of the phase polymorphs, complicating their detection. This work presents uniform shear and tensile strain simulations of defect-free Group IV-VII TMB2 ceramics using ab initio molecular dynamics as well as molecular dynamics powered by machine-learning interatomic potentials (MLIP), trained in the moment tensor potential framework. Studied materials include TiB<sub>2</sub> (Group IV), TaB<sub>2</sub> (Group V), WB<sub>2</sub> (Group VI), and ReB<sub>2</sub> (Group VII), covering the a,  $\omega$ , and  $\gamma$  polymorphs. Among our main results is a robust workflow for training transferable MLIPs. These MLIPs are suitable for atomic-tonanoscale MD simulations, allowing to understand deformation and fracture mechanisms of each TMB2, and extending the insights into phase transformation mechanisms under shear deformation. By demonstrating the outstanding mechanical performance of TMB2:s in extreme environments, our predictions clearly underpin their huge application potential in protective coatings and high-temperature engineering. To deepen our understanding of fracture behavior, we additionally perform Mode-I fracture simulations allowing to quantitatively assess fracture toughness (Kic) using pre-cracked models. The results are discussed in light of relevant experimental data, including high-resolution transmission electron microscopy analysis of nanoindentation experiments on TiB2 thin

3:00pm CM4-2-MoA-5 Computational Modeling of Nanoelectronics and Emerging Materials, Chao-Cheng Kaun [kauncc@gate.sinica.edu.tw], Academia Sinica, Taiwan INVITED

Using first-principles calculations, we investigate electronic transport through carbon-, oxide- and transition metal dichalcogenide (TMD)-based nanojunctions for nanoelectronic applications. Effects of biasing, defecting, contacting and quantum interfering are addressed. We study noncollinear interlayer exchange coupling in magnetic trilayers for spintronic application. Effects of spacing are uncovered. We also study the efficiencies of hybrid-protected perovskite quantum dot films for LED backlighting and hydrogen evolution reaction in oxides for sustainable-energy applications. Effects of polymer-adsorbing and material-configuring are highlighted. Moreover, we explore the plasmonic properties of complex transition metal nitrides for photonic applications.

<sup>&</sup>lt;sup>1</sup> Graduate Student Award Finalist

Surface Engineering - Applied Research and Industrial Applications

Room Town & Country D - Session IA2-1-MoA

Surface Modification of Components in Automotive, Aerospace and Manufacturing Applications I

Moderators: Satish Dixit, Plasma Technology Inc., USA, Masaki Okude, Mitsubishi Materials Corporation, Japan, Jan-Ole Achenbach, KCS Europe GmbH, Germany

2:20pm IA2-1-MoA-3 Laser Surface Remelting Induced Reaction Sintering of Nickel and Titanium Powders, Milton Lima [miltonsflima@gmail.com], Institute for Advanced Studies, Brazil; Alana Brito, Technological Institute of Aeronautics, Brazil; Felipe Costa, BRENG Co., Brazil; Rafael Siqueira, Technological Institute of Aeronautics, Brazil; Sheila Carvalho, Federal University of Espirito Santo, Brazil

There is currently an interest in the synthesis and applications of mnemonic structure materials for various applications, such as biomedical, aerospace, automotive, and robotics. Shape memory alloys (SMAs) are metallic materials that can return to their initial state after being subjected to deformation as a result of increased temperature, increased pressure, or other stress conditions. These materials have been used in thermoelastic actuators in space applications, such as antenna supports and solar panel deployments, and can impact the manufacture of polymorphic aircraft engines and fuselages. SMAs, such as Nitinol (equimolar alloy of Ni and Ti), are difficult to fabricate, and the powder metallurgy route, whether classical or using laser powder bed fusion (L-PBF), has been constantly improved to meet new application niches. This study proposes reactive sintering of samples with equiatomic compositions of Ni and Ti to form Nitinol using laser surface remelting. Elementary powders were inserted into a high-energy ball mill to fabricate mechanically alloyed Ni-Ti powders, which were subsequently pressed in the form of discs (20 mm diameter, 5 mm thickness). An experimental arrangement with a vacuum chamber and fiber laser beam manipulation was developed to induce sufficient heat for the reaction of elementary powders. Spiral scanning of the fiber laser beam produced surface remelting that ignited the pressed powder mixture. Macro- and microstructural analyses, the crystalline structure, and the composition of the sample surface remelted with a beam power ranging from 10 to 46 W were performed. In this power range, the sintering time was varied between 55 and 295 s when the laser power was varied from 46 W to 10 W. Although the presence of intermetallic phases was approximately the same, the microstructure in the laser-surface-remelted region was more homogeneous than that in the sintered volume. At the end of sintering, tablets were obtained with an apparent density of 61%-67% and a large number of intermetallic phases, such as NiTi<sub>2</sub>, Ni<sub>3</sub>Ti, and Ni<sub>4</sub>Ti<sub>3</sub>, together with unreacted elemental powders (Ni and Ti). The samples prepared in air also presented these phases in addition to  $TiO_2$  and NiTi. The air-processed samples presented an equimolar Nitinol phase, as observed by X-ray diffractometry. According to mass spectrometry analyses of secondary ions, the presence of air oxidized the surface of the grains, which reacted at shorter distances and generated the Nitinol phase.

2:40pm IA2-1-MoA-4 A Comparative Study on the Formation of Micro-Arc Oxidation Coatings on AZ31 and AC84 Magnesium Alloys, *Chi-Hua Chiu [qiuqihua90@gmail.com]*, *Shih-Yen Huang*, *Yueh-Lien Lee*, *Yu-Ren Chu*, National Taiwan University, Taiwan

Magnesium-aluminum-calcium (Mg-Al-Ca) alloys have attracted significant attention due to their excellent strength-to-weight ratio, good castability, and potential for flame retardancy, owing to the presence of calcium and aluminum. However, the applications of these alloys are limited by their poor corrosion resistance. Micro-arc oxidation (MAO) is one of the most common techniques for corrosion protection of magnesium alloys; however, the formation mechanism of MAO coatings is extremely complex and influenced by numerous process parameters, including the substrate effect. In this study, the mechanism of MAO coating formation on the AZ31 and dual-phase AC84 (Mg-8Al-4Ca) alloys was comparatively examined. The preliminary results reveal that, during MAO treatment at a constant anodizing voltage of 150V, unreacted Al<sub>2</sub>Ca secondary phases were observed in the micro-arc oxidation coatings of AC84 magnesium alloys, causing non-uniform surface structures and thicknesses, which led to poor corrosion resistance compared to the MAO coating formed on AZ31.Conversely, AC84 exhibited better corrosion resistance than AZ31 when the voltage was increased to 250V. Further increasing the voltage to 300V resulted in the involvement of secondary phases in the reaction, leading to more uniform microstructures and chemical compositions of the

coatings on both alloys. These findings suggest that the anodizing voltage plays a crucial role in the reaction behavior of secondary phases and the properties of the MAO coatings.

3:00pm IA2-1-MoA-5 HIPIMS – Fascinating Technology to Make Next Steps in Tool, Decorative and Functional Applications, Philipp Immich [pimmich@hauzer.nl], Ivan Kolev, Andreas Fuchs, Daniel Barnholt, Julia Janowitz, Louis Tegelaers, Huub Vercoulen, Chinmay Trivedi, Geert-Jan Fransen, IHI Hauzer Techno Coating B.V., Netherlands; Holger Hoche, Thomas Ulrich, TU Darmstadt, Germany; Peter Polcik, Plansee Composite Materials GmbH, Australia

The PVD (Physical Vapor Deposition) market is rapidly expanding into new application fields. To achieve these new applications, various PVD coating techniques are employed, with HIPIMS (High Power Impulse Magnetron Sputtering) being one of the most fascinating since its discovery. Over the past 25 years, numerous advancements have been made in latest Generation 3 - HIPIMS power supply technology, including modifications in bipolar mode, pulse shape, pulse length, pulse trains, and higher frequencies. Synchronization of cathodes and HIPIMS-based bias has also led to innovative PVD coating solutions.

Beyond the well-known performance improvements in HIPIMS-coated cutting tools, HIPIMS has demonstrated its potential for various other applications. We will showcase the ability to create different colors using HIPIMS technology and highlight its advantages for decorative applications on 3D products. For components, HIPIMS is an excellent tool for enhancing the wear and corrosion resistance of existing material systems. We will also present the latest coating development for cutting tools. Our presentation will illustrate how combining HIPIMS with new material systems can further expand and enhance potential application areas.

Decorative, tool and tribological markets are driven by production costs, making coating volume and size crucial factors. To meet these demands, we have scaled up our HIPIMS developments to deposit coatings on our largest industrial platforms, e.g. the Flexicoat 1500 to address market needs.

We will also provide an outlook on future developments and what can be expected next in the PVD market.

3:20pm IA2-1-MoA-6 Inorganic Sputtered Coatings to Reduce Snow Friction for Cross-Country Skiing, Pauline Lefebvre [pauline.lefebvre@grenoble-inp.fr], SIMAP, Grenoble-INP, CNRS, France; Fabian Wolfsperger, WSL Institute for Snow and Avalanche Research SLF, Switzerland; Jean Herody, FFS, France; Matthias Jaggi, WSL Institute for Snow and Avalanche Research SLF, Switzerland; Arnaud Mantoux, SIMAP, CNRS, University Grenoble Alpes, France; Nicolas Coulmy, FFS, France; Pascal Hagenmuller, Centre d'Etudes de la Neige, CNRM, Météo-France; Elisabeth Blanquet, SIMAP, Grenoble-INP, CNRS, France

In cross-country skiing, reducing the friction coefficient between the skis and snow is essential for sportive performance [1]. Fluorinated waxes, i.e. containing perfluoroalkyl (PFA) are known for their hydrophobic properties and were remarkably efficient in wet snow conditions. However, the International Ski and Snowboard Federation (FIS) has banned fluorinated wax since winter 2023/2024 -for health and environmental reasons [2]. Since then, no alternative with equivalent performance has been found. This project aims to develop hard and hydrophobic coatings based on titanium nitride (TiN), aluminum nitride (AlN) and alumina (Al $_2$ O $_3$ ) materials directly deposited on ski bases and UHMW polyethylene. The role of coating surface properties and structure in friction is investigated

Thin films were deposited using DC and RF magnetron sputtering. The surface (contact angle, roughness, chemical composition), mechanical and thermal properties of the coatings were investigated. Friction coefficient of coated samples was evaluated on snow with a linear tribometer (speed: 0.1 m/s, displacement: 130 mm, contact pressure: 50 kPa). The tribo-system is therefore a 10cm-long coated ski sliding on controlled man-made snow in a cold-room at 0°C and dry air. Snow with different liquid water content were used for the tests.

Results are encouraging as deposition on ski base is feasible at ambient temperature with adhesive and dense coatings. Coating thicknesses were evaluated by scanning electronic microscopy between 50 and 200 nm depending on process parameters. Chemical analysis with XPS indicates nitride films contain a relative high amount of carbon and oxygen. Coatings, selected for their hydrophobicity and structural properties, were investigated in gliding tests. AlN and  $Al_2O_3$ -based coatings presented very high friction coefficient (0.2-0.3). TiN-based coating had the lower friction coefficient with a value of 0.11 on very wet snow, whereas a ski waxed with PFA friction coefficient was measured at 0.072.

To sum up, deposition of sputtered coatings was realized with success and may be a promising technique for preparing competition skis. For winter sport application, titanium nitride seems to be the most promising: it is indeed known for better mechanical properties [3] and lower thermal conductivity [4] which will be further investigated.

#### References:

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- [2] Freberg, B. I. et al, Environ Sc & Techno, 44, 7723-7728 (2010).
- [3] Glocker, D. A. et al, Bristol, UK: Inst of Phys (1995).
- [4] Moraes V. et al, J Appl Phys, 119, 225304 (2016).

4:00pm IA2-1-MoA-8 Influence of Corrosion on Wear and Brake Particle Emissions of Alumina-Coated and Uncoated Cast Iron Brake Discs, Ran Cai [cai12r@uwindsor.ca], Xueyuan Nie, University of Windsor, Canada; Yezhe Lyu Lyu, Jens Wahlström, Lund University, Sweden

Hard coatings can be applied to cast iron brake discs to enhance wear and corrosion resistance and reduce brake particle emissions. This study investigated the influence of corrosion on brake particle emissions from cast iron discs through comparison of plasma electrolytic aluminized (PEA)coated and uncoated surfaces. Six discs were subjected to corrosion in raining-snowy conditions for 24, 48, and 72 hours before undergoing tribological testing using a pin-on-disc tribotester combined with an airborne particle emission measurement system. The counterpart pins were machined from a commercially available low-steel (LS) brake pad. Data of particle concentration, size distribution, and total wear (disc and pad) were collected, while wear tracks, friction transfer layers and worn pad surfaces were analyzed using scanning electron microscopy (SEM) and energy dispersive X-ray spectroscopy (EDX). The results showed that the degree of corrosion of the uncoated disc increased with time, while the coated discs didn't show any corrosion sign. The corrosion products on the uncoated discs can be cleaned during the early stage of the tribotests where the particle emission was much higher than the later stage. The PEA coating effectively mitigated the effects of corrosion, resulting in significantly lower wear and brake particle emissions compared to uncoated discs. These findings demonstrate the potential of PEA coatings to reduce wear and emissions under winter conditions, offering benefits for environmental sustainability and public health.

4:20pm IA2-1-MoA-9 The Effect of Mg Addition on the Corrosion Resistance of Two-Step Galvanizing Zn-5Al Coating, Huan-Chang Liang [Incliang@niu.edu.tw], Department of Mechanical and Electro-Mechanical Engineering, National I-Lan University, Taiwan; Yen-Kai Chen, Chaur-Jeng Wang, Department of Mechanical Engineering, National Taiwan University of Science and Technology, Taiwan

The atmospheric corrosive substances like Cl $^{-}$  ions or SO $_3^{2-}$  ions make it easier for soluble zinc salts to form. Consequently, the introduction of more magnesium into the Zn-Al alloy bath enhances the formation of basic zinc salts that are susceptible to environmental corrosion. Zinc-aluminum carbonate hydroxide and aluminum-magnesium carbonate hydroxide are particularly notable corrosion products because of their stable and compact characteristics. Local acidity influences the rate of transformation of zinc hydroxide from zinc oxide, while the formation of Mg(OH) $_2$  mitigates the surface reduction of the galvanizing coating.

Although the Zn-5Al-2Mg coating is effectively produced using the continuous galvanizing process, the maximum coating thickness achieved is 20  $\mu m$ . Numerous studies present their experimental findings about batch galvanizing zinc alloys. The coating structure is nonuniform, with an enrichment of iron content resulting from the elevated operating temperature, which inhibits the formation of a dense and continuous layer of corrosion products. Consequently, two-step batch galvanizing is utilized to provide a zinc alloy coating of adequate thickness. The initial process involves immersing samples in pure zinc, followed by immersion in zinc alloy. The coating's microstructure consists of an outer layer formed from zinc alloy and internal layers including an iron-aluminum intermetallic compound combined with a eutectic phase.

This study aims to examine the microstructure of two-step batch galvanizing Zn-5Al and Zn-5Al-2Mg coatings on low-carbon steel. The samples are produced by batch galvanizing pure zinc for 10 minutes, followed by batch galvanizing zinc alloy for 2.5 minutes. The corrosion resistance performance of both samples is evaluated by interfacial polarization and impedance matching. The microstructure of two-step galvanizing Zn-5Al and Zn-5Al-Mg consists of four distinct layered structures: a binary (Zn-Al) or ternary (Zn-Al-Mg) eutectic phase layer, a branch-like FeAl<sub>3</sub> phase layer, a dense FeAl<sub>3</sub> phase layer, and an internal

eutectic phase layer. As the zinc content in the coating layer increases, there is a corresponding decrease in the charge transfer impedance ( $R_{ct}$ ). This behavior is ascribed to the area fraction of the  $\text{FeAl}_3$  phase in conjunction with the eutectic phase. The addition of magnesium into the zinc alloy bath enhances the  $R_{ct}$  of the entire coating layer. The advantageous effect arises from the disparity in corrosion potential between magnesium and zinc. Magnesium functions as a sacrificial anode for the Zn-rich phase, hence enhancing the effectiveness of cathodic protection.

4:40pm IA2-1-MoA-10 Erosion Resistant PVD Conatings on CFRP Substrates, Pablo Abarca [pablo.abarca-martinez@unilim.fr], Thibault Maerten, Oerlikon Balzers France; Cedric Jaoul, Pascal Tristant, University of Limoges, France; Sebastien Guimond, Oerlikon Surface Solution AG, Liechtenstein; Marjorie Cavarroc, Safran Tech, France; Simon Belveze, Oerlikon Balzers France

Environmental challenges and European regulations push aircraft manufacturers to increase the efficiency of engines and to reduce the weight of the whole aircraft. Carbon-fiber reinforced polymers (CFRP) rose as a solution on the CFM LEAP engine to reduce the weight of the engine as the compressor fan blades, the outlet guide vane (OGV) and other elements are made of CFRP. Nevertheless, this material is vulnerable to the erosive conditions that the aircraft encounters during service. Right now, some of these elements are coated with polyurethane to reduce the erosion and increase the lifetime of the elements, but this material is targeted by REACh regulations and its substitution is a challenge for manufacturers.

To address this problem, some research has been done to coat CFRP substrates via PVD techniques with erosion resistant coatings like TiN in combination with metallic coatings like titanium, aluminum and chromium. These studies used mainly magnetron sputtering technique with laboratory scale coaters. This study presents an original approach that combines magnetron sputtering and arc evaporation on an industrial scale Oerlikon Surface Solutions coater. Arc evaporation without filter has the reputation of coating at high temperatures (> 400°C), but it will be shown that it is possible to coat CFRP components via this process.

Trying to modify arc evaporation processes to reduce the substrate temperature during the coating can result in a dramatical loss on deposition rate and mechanical properties of the layer. In this talk we will share a strategy to mitigate the loss of mechanical properties (H > 26GPa) while keeping an acceptable deposition rate (>1 $\mu$ m/h) and substrate temperature below 180 °C, which is a critical temperature for CFRP. An extensive set of parameters is tested and their influence on deposition rate, substrate temperature and mechanical properties of the coating is reported.

The resulting arc evaporated coating is compared to a magnetron sputtered version of the same material done in the same machine. Finally, we will share some erosion test results done on this coating/CFRP system.

5:00pm IA2-1-MoA-11 PVD Coatings for High Temperature Applications in Turbines, *Markus Esselbach [markus.esselbach@oerlikon.com]*, Oerlikon Balzer, Liechtenstein

Materials utilized in turbine applications at high temperatures frequently need a surface protection against corrosion or oxidation. Especially suited for such applications are oxide coatings due to their ability to suppress oxygen diffusion. Spray technology is the most prominent method for these applications because it combines high deposition rates with the possibility to form high quality oxide coatings. However, during the last decade PVD technology was developed for coatings of larger thickness and for oxide coatings synthesized by cathodic arc evaporation (CAE). In a specific process approach, a hybrid process combining cathodic arc evaporation with plasma enhanced CVD (PECVD) (PVD+) has been developed for much higher deposition rates and with the possibility to synthesize high quality oxide coatings. PVD+ has been implemented in production systems. The utilization of this process approach resulted in sophisticated coating material developments. First applications for PVD oxide coatings are demonstrated for superalloy and SiC substrates.

# Functional Thin Films and Surfaces Room Palm 5-6 - Session MB2-2-MoA

#### Thin Films for Electronic Devices II

Moderators: Spyros Kassavetis, Aristotle University of Thessaloniki, Greece, Tomas Kubart, Uppsala University, Sweden

2:40pm MB2-2-MoA-4 Polycarbonate Transfer Techniques for the Fabrication of MoS<sub>2</sub> Based Field Effect Transistors, Chih-Hao Chiang, Ruo-Yao Wang, Meng-Lin Tsai [g9711566@gmail.com], National Taiwan University of Science and Technology, Taiwan

In recent years, transition metal dichalcogenides (TMDs) have received significant attention due to their immense potential to extend Moore's Law, positioning them as promising semiconductor materials for next-generation electronic devices. The challenges of large-scale production and commercialization of TMDs remain key challenges for future development in practical applications. In the fabrication of TMD-based semiconductor devices, the interface between metal electrodes and TMD layers is critical. Traditional metal electrode deposition techniques facilitate the diffusion of metals in the TMD, potentially reducing the device performance or preventing proper operation. In this study, the metal electrode transfer technique using polycarbonate has been developed to significantly reduce such damage, ensuring the reliable operation of semiconductor devices. Gold electrodes initially deposited on silicon or SiO<sub>2</sub>/Si substrates via metal mask (channel length of 20 µm) and photolithography (channel lengths of 8 μm for photodetectors and 3 μm for field-effect transistors, FETs) have been successfully onto chemical vapor deposition (CVD)-grown MoS<sub>2</sub> nanosheets. The as-fabricated field effect transistors (FETs) have been characterized to exhibit switching current ratios of approximately 10<sup>4</sup>.

3:00pm MB2-2-MoA-5 Advancing Piezo-Gated Transistor Performance by Bilayer of V-doped ZnO and Mesoporous PVDF-TrFE, Yu Zhen Zhang [n56124650@gs.ncku.edu.tw], National Cheng Kung University (NCKU), Taiwan

In recent years, technology has rapidly advanced, enabling the development of flexible wearable electronics with great potential for applications such as nanogenerators and pressure sensors. Among flexible materials,  $\beta$ -phase PVDF-TrFE, which exhibits piezoelectric properties (d\_33=30–40pC/N), stands out as a promising composite. This polymer has a semicrystalline structure and displays excellent piezoelectric and ferroelectric properties while maintaining flexibility. However, VZO (d\_33=12–22pC/N) is also a piezoelectric material, and we aim to improve the device output by depositing it on PVDF-TrFE.

In this study, we aimed to enhance the flexibility and piezoelectric performance of PVDF-TrFE by blending it with zinc oxide nanoparticles and subjecting the mixture to thermal annealing at 120°C. We then applied 11,000 V through corona poling to align the dipole directions within the composite, followed by etching the ZnO to create a porous structure. Additionally, we used radio frequency magnetron co-sputtering that uses ZnO and  $V_2O_5$  as targets to deposit VZO thin film on both sides of the PVDF-TrFE to serve as conduction pathways. Finally, we deposited two Au electrodes to make a piezoelectric gate transistor device.

In the XRD analysis, we examined unpoled and corona-poled samples. The XRD patterns of the unpoled sample showed two peaks corresponding to the  $\alpha$  phase which has negatively affects the piezoelectric properties. After poling, the pattern of the poled sample confirmed that the  $\beta$  phase completely dominates the PVDF-TrFE.

We investigated the current output of the piezoelectric gate transistor under various mechanical stresses at a 1V bias and 1Hz frequency. Devices with different dipole orientations exhibited opposite behaviors. Applying mechanical stress to the positively polarized surface generated negative charges at the VZO and PVDF-TrFE interface, creating a depletion region in the top surface channel and reducing current. Conversely, this led to an accumulation region, enhancing current. By applying a piezoelectric field to the gate, we could adjust the semiconductor channel's resistance and control current flow. This technique significantly advances the piezoelectric gate transistor device, paving the way for advanced applications in flexible and wearable electronics and sensing technologies.

4:00pm MB2-2-MoA-8 Multicomponent Doping for Suppressing Resistivity Scaling of RuAl Intermetallic Compound for Next-Generation Interconnects, Yi-Ying Fang [ian6325508428@gmail.com], Yung-Hsuan Tsai, Yu-Lin Chen, Shou-Yi Chang, National Tsing Hua University, Taiwan Ruthenium (Ru) and molybdenum (Mo) with a low product of resistivity ( $\rho_0$ ) and electron mean free path ( $\lambda$ ) have been considered as potential

interconnect materials to replace copper (Cu) [1]. However, as the size of interconnects shrinks, metallic materials with an even shorter  $\lambda$  are needed to suppress resistivity scaling. Intermetallic compounds (IMCs) with strong bonding, a low diffusivity and a short  $\lambda$ , are promising candidates [2]. Previously, we investigated RuAl IMC, which has a low bulk resistivity (14  $\mu\Omega$ -cm), a short  $\lambda$  (about 4 nm) and an excellent thermal stability, but its  $\rho_0 \times \lambda$  value (5.6×10<sup>-16</sup>  $\Omega m^2$ ) is still high, causing a sharp increase in resistivity below 5 nm [3]. This study further added 10% one-to-multicomponent alloys (denoted as 1B-5B) into RuAl B2 IMC using co-sputtering, as a strategy to further reduce  $\lambda$  through lattice distortion and enhanced orbital overlap. Experimental results indicated that the Al<sub>5</sub>Ru<sub>4</sub>(nB)<sub>1</sub> films retained an ordered B2 structure. Electrical measurements revealed that the bulk resistivity was influenced by both the lattice distortion of the IMCs and the electronegativity of the doped elements, which increased the electronic disorder and broadened the band structure [4], leading to a stronger impurity scattering. Although the Al₅Ru₄(nB)₁ IMCs had a higher intrinsic resistivity than RuAl, the multicomponent doping effectively reduced the electron mean free path from about 3 nm to only about 1 nm. Consequently, compared to RuAl, the 4B- and 5B-doped Al₅Ru₄(nB)₁ IMCs demonstrated a lower  $\rho_0 \times \lambda$  value of  $4.5 \times 10^{-16} \,\Omega m^2$  that is expected to mitigate the sharp resistivity increase at a reduced thickness. Furthermore, the thermal coefficients of resistivity (TCR) of the Al<sub>5</sub>Ru<sub>4</sub>(nB)<sub>1</sub> IMCs of only 0.03/°C were comparable to that of RuAl and lower than that of pure metals, effectively minimizing the resistivity fluctuations with temperature. Additionally, with a large negative formation enthalpy of about -45 kJ/mol, the Al<sub>5</sub>Ru<sub>4</sub>(nB)<sub>1</sub> IMCs exhibited exceptional thermal stability even at an extreme temperature of 800°C, demonstrating its strong potential as a reliable interconnect metallization material without the need of diffusion barrier.

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4:20pm MB2-2-MoA-9 Fabrication of IZO/IGZO-Based Vertical Thin-Film Transistor and Its Integration with OLEDs for High-Density Display, Nahyun Kim [knhangle0215@naver.com], Seok Hee Hong, Jun Hyeok Lee, Ho Jin Lee, Tae Geun Kim, Korea University, Republic of Korea

The rising demand for next-generation applications, such as augmented reality (AR), virtual reality (VR), and wearable devices, has made ultra-high-resolution displays with pixel densities reaching thousands of pixels per inch (PPI) essential. Achieving such high resolutions requires innovative driving circuits and advanced structures for the driving units. Conventional planar thin-film transistors (TFTs) face significant challenges at nanoscale channel lengths, including short-channel effects and threshold voltage (Vth) instability, which reduce reliability and performance [1]. Therefore, planning vertical channel TFTs (VTFTs) as a promising alternative [2]. Conventional VTFTs feature spacers between the top and bottom electrodes, with a channel layer formed along the spacer sidewalls. However, sidewall interface conditions can result in unstable channel characteristics and lower carrier mobility compared to planar TFTs [3],[4].

Herein, we propose a novel VTFT architecture utilizing a dual-layer metal oxide channel structure, as depicted in Figure 1(a). To further enhance integration, the top electrode of the VTFT is employed as the reflective electrode in OLED devices, enabling a VTFT-based top-emitting OLED integration. We address channel stability by implementing an HfO<sub>x</sub>-based dual-layer oxide spacer, which generates a quasi-2D electron gas at the oxide interfaces with high electron density, as shown in Figure 1(b). This concentrated electron layer facilitates main channel formation at the interface while optimizing the dual-layer thickness maximizes carrier mobility along the channel path. Additionally, pulsed Joule heating enables localized activation of the active layer without external thermal processing, allowing low-temperature processing by avoiding direct substrate heating. This supports flexible display applications compatible with various substrate materials. Experimental results indicate high performance with a mobility of 16.34 cm<sup>2</sup>/Vs, Vth of 0.2 V, subthreshold swing of 0.4 V/dec, and an on/off ratio exceeding 105 (Figure 1(c)).

Finally, based on these results, we propose an integrated VTFT/OLED structure, realizing a high-integration display component. The integrated VTFT/OLED solution not only offers superior mobility and stability but also supports low-temperature processing for diverse substrates, contributing significantly to advancements in next-generation display technologies. This approach shows substantial potential for applications in AR/VR, wearable devices, and high-resolution monitors, advancing new possibilities in display technology.

4:40pm MB2-2-MoA-10 Preventing Native Oxide Formation in Niobium Thin Films Through Platinum Encapsulation, Ananya Chattaraj [achattara@bnl.gov], Aswin Anbalagan, Brookhaven National Laboratory, USA; Jinhyun Cho, Stony Brook University, USA; Mingzhao Liu, Brookhaven National Laboratory, USA

This study investigates the impact of encapsulating niobium (Nb) thin films with platinum (Pt) to enhance the performance and stability of qubits in quantum computing, focusing on the role of thin film technology. Niobiumbased qubits hold significant promise for quantum computing, but their performance is often compromised by oxide formation and dielectric losses, which contribute to decoherence and limit their coherence times. To address these challenges, a Pt capping layer was applied to Nb thin films with the goal of preventing oxide formation, reducing dielectric loss, and maintaining the superconducting properties of Nb. The Nb thin films were optimized using sputtered deposition, ensuring high-quality film growth, and Pt was subsequently deposited in a controlled, oxygen-free environment to minimize exposure to the atmosphere and reduce the risk of oxidation. To evaluate the effectiveness of the Pt encapsulation, a series of structural and chemical analyses were conducted, including Grazing Incidence X-ray Diffraction, and Hard X-ray Photoelectron Spectroscopy. These techniques confirmed that the Pt capping layer effectively prevented the formation of significant oxide layers at the Nb/Pt interface, an essential factor in improving qubit stability and mitigating decoherence. While some alloying between Nb and Pt was observed, it did not negatively impact the superconducting properties of the Nb films, which maintained a critical transition temperature (Tc) of approximately 9 K. This indicates that the superconductivity of Nb was preserved despite the Pt encapsulation, highlighting the potential of this approach to enhance qubit stability without compromising performance. The results demonstrate that encapsulating Nb with Pt in thin film form significantly improves qubit stability by mitigating dielectric loss and oxide formation, crucial factors for maintaining coherence in quantum computing. This method offers a promising pathway for improving the performance of Nb-based qubits, particularly in applications such as quantum communication, where long coherence times and stable qubits are vital for efficient data processing and analysis. While the results are promising, further research is needed to refine deposition techniques, explore alternative capping materials, and optimize the fabrication process to achieve even longer coherence times. These efforts are essential to realizing the full potential of Nb-based qubits in practical quantum computing applications.

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Surface Engineering of Biomaterials, Medical Devices and Regenerative Materials

Room Palm 1-2 - Session MD1-2-MoA

Development and Characterization of Bioactive Surfaces/Coatings II

Moderators: Hamdy Ibrahim, University of Tennessee at Chattanooga, USA, Sandra E. Rodil, Universidad Nacional Autónoma de México

1:40pm MD1-2-MoA-1 Surface Characteristics of Magnesium-Based Nanocomposite for Enhanced Biomedical Implants, Merna Abdrabo [jgs684@mocs.utc.edu], Tooba Tanveer, Abdelrahman Amin, Diya Patel, University of Tennessee at Chattanooga, USA; Thomas McGehee, Mostafa Elsaadany, University of Arkansas, USA; Hamdy Ibrahim, University of Tennessee at Chattanooga, USA

Magnesium (Mg) possesses unique properties that make it a promising candidate for various biomedical applications. That includes biodegradability and an elastic modulus that is closer to that of the human bone compared to titanium and stainless-steel implants, significantly reducing the risk of stress shielding. However, the use of magnesium in Manday Afternoon May 12, 2025

biomedical implants has been limited by its high chemical reactivity and limited strength. Therefore, a significant amount of research has been focused on enhancing the strength and corrosion characteristics of Mg-based biomedical implants by developing nanocomposites through novel fabrication methods. This study focuses on investigating the surface properties of novel Mg-based nanocomposites containing boron nitride and silicon carbide nanoparticles. The examination includes testing the morphology, corrosion characteristics, microhardness, wettability, and invitro cytotoxicity of the prepared surfaces. In this work, a novel acoustic powder mixing technique, combined with powder metallurgy, is utilized to prepare the Mg-based nanocomposite samples. The findings of this work provide a good understanding of the effect of the process parameters on the corrosion characteristics of these novel materials, which could pave the way for the manufacturing of Mg-based implants with superior properties, contributing to advanced applications in the biomedical field.

2:00pm MD1-2-MoA-2 Carbide Derived Carbon Conversion Coatings for Tribological Applications, *Mike McNallan [mcnallan@uic.edu]*, University of Illinois - Chicago, USA

INVITED

Carbide Derived Carbon (CDC) is a unique structure of carbon that is produced by extraction of the metal component from a ceramic carbide. When the conversion is carried out at a temperature below 1200 degrees Celsius, the result is a disordered graphitic structure with largely sp2 bonding. This is because there is not sufficient thermal energy under these conditions for the carbon to relax fully from the ceramic structure to the equilibrium graphitic state.

Carbide Derived Carbon (CDC) has a slick, hydrophobic surface and a low coefficient of friction when paired with most other materials. Because it is grown into a ceramic surface, rather than deposited onto the surface by a CVD or PVD process, CDC coatings can be applied with minimal dimensional changes and are resistant to spallation in comparison to other tribological coatings. CDC coatings have been applied to SiC and WC ceramics by exposure to chlorine gas at temperatures in the range of 800 to 1000 degrees Celsius. In this temperature range, the metal species form volatile chlorides, while the carbon is left behind as a solid.

Tribocorrosion, in which synergistic degradation by corrosion and wear is a particular concern for orthopedic implants such as artificial joints. The Ti-6Al-4V alloy is popular for this application, and carbide ceramics are not favored for this application because of their inherent brittleness. Titanium is a strong carbide former, so titanium carbide surface layers can be formed on titanium alloys by a carburization treatment in a packed bed of carbon. Subsequently, a layer of carbide derived carbon (CDC) can be formed on the surface of the titanium carbide layer by chlorination or by an anodic electrolysis treatment in molten chloride salt. The formation of CDC can be verified by Raman spectroscopy and the improvement of tribocorrosion resistance can be verified by tribocorrosion testing at the free corrosion potential. The results demonstrate a dramatic decrease in corrosion when a CDC layer is present during mechanical sliding.

3:00pm MD1-2-MoA-5 Some Safe Ancillaries? Fretting Corrosion May Be at the Origin of Some Degradations, Jean Geringer [geringer@emse.fr], Mines Saint-Etienne, France; Julie Scholler, CHRU Strasbourg, 1 place de l'hopital BP 426 67091 Strasbourg cedex, France; Sandra WISNIEWSKI, François Bonnomet, CHRU Strasbourg, 1 place de l'hopital BP 426 67091 Strasbourg cedex, France., France

Ancillaries are tools for assisting surgeons and nurses during surgical operations. Most of the time, they are not involved in human tissues contact. However especially during orthopaedic operations, the tools, ancillaries, might be in contact with human tissues, drilling the femoral bone for instance. The focused ancillary is a clamp dedicated to avoid circulation of any liquid (physiological liquid for instance with or without some drugs).

#### **New results**

This study aimed at establishing the treatment effect on 304 stainless steel. Due to multiple usages, the ancillaries might be washed and might exhibited some corrosion marks after certain amount of time. The observations do highlight some surface degradations (cleanliness) and some corrosion marks (corrosion). It is worth noting that the corrosion is visible by human eyes (some rust with red-orange color). The highlighting point is the surface state. Some 1-10  $\mu m$  debris are on the top surface of the ancillary. 304L is the stainless steel. Thanks to brossing polishing surface, starting corrosion is on. The specific surface is increasing due to this treatment that might be deleterious.

#### Conclusions & significance

The corrosion effect was highlighting on this ancillary. For better knowledge, the authors have to do more investigations on many ancillaries.

#### Acknowledgements

The authors acknowledge Ms. M. Mondon, Mines Saint-Etienne, for allowing to use scanning electronic microscope facilities and manufacturing processes.

3:20pm MD1-2-MoA-6 Surface Modification Strategies for Improved Bioactivity: CAP-p15 Functionalization on Titanium and 316L SS Implants, Guadalupe Ureiro-Cueto, Universidad Nacional Autonoma de Mexico, Mexico; Sandra E. Rodil [srodil@unam.mx], Instituto de Investigaciones en Materiales, UNAM, Mexico; Gonzalo Montoya-Ayala, Higinio Arzate, Universidad Nacional Autonoma de Mexico. Mexico

Titanium-based implants and austenitic 316L stainless steel (316L SS) are widely used in medical applications due to their mechanical strength and biocompatibility. However, their bioinert nature often limits osseointegration, particularly for long-term use. To address this, surface modifications—such as oxide layer formation, sandblasting, and peptide functionalization—have emerged as promising strategies to enhance bioactivity and bone regeneration.

This study explores the biofunctionalization of amorphous titanium oxide (aTiO<sub>2</sub>) surfaces deposited on Titanium and 316L SS substrates with a cementum attachment protein-derived peptide (CAP-p15). For titanium-based implants, CAP-p15 functionalization significantly improved human oral mucosal stem cell (hOMSC) proliferation, attachment, and osteogenic differentiation, as evidenced by increased alkaline phosphatase (ALP) activity, mineralization, and expression of osteogenic markers (RUNX2, BSP, BMP2, OCN). Similarly, on 316L SS surfaces, CAP-p15 enhanced human periodontal ligament cell (HPLC) attachment, spreading, and the formation of carbonated apatite in artificial saliva, indicating improved bioactivity.

These findings demonstrate that CAP-p15 functionalization is a versatile and effective approach to enhancing the osseointegration and bioactivity of titanium and 316L SS implants. It offers a promising pathway for bone tissue regeneration and long-term implant success.

4:00pm MD1-2-MoA-8 Noble Nanoparticles Arrays Coating for Electrochemical (EC) and Surface-Enhanced Raman Spectroscopy (SERS) Biosensors, *Ting-Yu Liu [tyliu@mail.mcut.edu.tw]*, Ming Chi University of Technology, Taiwan

We have demonstrate a facile and low-cost preparation process to fabricate the laser scribed graphene (LSG)-based electrochemistry (EC) and surfaceenhanced Raman spectroscopy (SERS)substrate for bio and environmental detection. LSG substrate was fabricated via laser scribed and deposited the Au nanoparticles on the LSG by thermal evaporation or electrochemical deposition. 3D porous microstructure of LSG can improve the SERS signal of Au@LSG substrate, and further fine-tune the thickness of Au nanoparticles (5-25 nm) to optimize the EC-SERS enhancement. The developed sensor demonstrates exceptional performance in detecting uremic toxins. The results show that 20 nm of Au nanoparticles coated on LSG substrate obtains the highest SERS enhancement effects, and successfully detects the dye molecules (rhodamine 6G, R6G) and uremic toxins (urea, uric acid and creatinine). The EC-SERS signals of R6G would enhance 17 times at the potential of -1.3 V, compared to SERS signals without applying an electric field. Moreover, the urea also displays 4 times higher at the potential of -0.2 V. Furthermore, it achieves remarkably low detection limits (10-3 M for creatinine/uric acid, 10-4 M for urea) and offers distinct, concentrationdependent responses for different toxins in cyclic voltammetry (CV) measurements. The detecting molecules could be selected to enhance SERS signals by different voltages, showing the capability of selectively detecting biomolecules, bacteria, and virus, which can solve the problem of complex sample pretreatment.

4:40pm MD1-2-MoA-10 Flexible Implantable Microelectrode Arrays with Electrodeposited Nanoporous Platinum for Electrophysiology and Non-Enzymatic Glucose Sensing, *Chih-Ching Tseng [960076@gmail.com]*, *Yu-Lin Lee*, National Taipei University of Technology, Taiwan; *Pu-Wei Wu*, National Yang Ming Chiao Tung University (NYCU), Taiwan; *Po-Chun Chen*, National Taipei University of Technology, Taiwan

For neuroscience research, scalability in regard to the length and dimension of implantable neuro devices was required owing to differences in species and brain regions. For the clinical investigation of neurological disorders, including Alzheimer's disease, Parkinson's disease, and epilepsy, specifically designed implantable neuro devices for precise focus localization have emerged. Among them, in Alzheimer's disease (AD) studies, the metabolic hypothesis of AD is among the models that have gained much traction

because glucose hypometabolism is one of the early markers of AD that precede clinical dementia. While a strong argument can be made that reduced glucose uptake is merely a consequence of neurodegeneration, the metabolic hypothesis asserts that brain glucose metabolism is nonetheless an integral part of AD progression and the precipitation of cognitive deficits.

In this study, a flexible microelectrode array device is developed with a polyimide substrate, nanoporous platinum microelectrode array, and a biocompatible Parylene C package. This device has low electrochemical impedance with an improved signal-to-noise ratio and high sensitivity of glucose concentration by non-enzymatic electrochemical detection. The nanoporous Pt microelectrode demonstrated excellent electrochemical performance of 88.2 (µAcm<sup>-2</sup>mM<sup>-1</sup>) and 37.02 (µAcm<sup>-2</sup>mM<sup>-1</sup>) using a chronoamperometry (i-t) test method in PBS and ACSF, respectively.

Additionally, we dedicate to maintaining animal welfare ethics and reducing the consumption of animal experiments by developing an artificial prosthesis with agarose to mimic the brain tissue. We successfully developed a prosthesis with tunable impedance, in which we can simulate different neural diseases by adjusting its conductivity to reduce unnecessary animal experiments. The flexible platinum microelectrode shows its high sensitivity to the variation of glucose concentration in the agarose brain prosthesis.

5:00pm MD1-2-MoA-11 A Self-Assembled Silica Nanobead Column-Driven Biosensing Platform for Point-of-Care Diagnostics, KangKug (Paul) Lee [klee3@wilberforce.edu], Eduardo Diaz, Saiyd Harvin, Isaiah Williams, Wilberforce University, USA

An innovative biosensing platform incorporating self-assembled silica nanobead-packed columns has been developed and demonstrated for point-of-care (POC) diagnostic applications. This approach is unique in its dual-purpose functionality: the self-assembled silica nanobead-packed column functions as an efficient whole blood/plasma separator via its nanoporous membrane structure while simultaneously serving as a sensitive biosensor, enhanced by its large surface area that facilitates biological interactions. The biosensing capability was demonstrated and quantified through a capillary-driven lateral flow colorimetric assay, highlighting its potential for POC diagnostics. This nanobead-packed biosensing platform offers a simple, practical, disposable, inexpensive, and user-friendly solution. It is particularly valuable for physicians, nurses, and patients in hospitals worldwide, as well as in resource-limited settings, field environments, and home-care situations.

5:20pm MD1-2-MoA-12 Modelling Complexities of Tribocorrosion Processes: Evaluation and Validation, Avirup Sinha [asinha38@uic.edu], University of Illinois at Chicago, USA; Feyzi Hashemi, Flinders University, Australia; Maansi Thapa, Bill Keaty, Yani Sun, University of Illinois at Chicago, USA; Reza Hashemi, Flinders University, Australia; Mathew T. Mathew, University of Illinois at Chicago, USA

Introduction:

Biomedical implants are vital medical devices surgically placed to replace or support damaged tissues and organs. Modular implants, such as hip replacements, improve adaptability for diverse patients but introduce challenges like tribocorrosion—a complex interaction of tribology and corrosion. Tribocorrosion releases debris, ions, and particles into surrounding tissues, causing reactions, systemic toxicity, and infections. Biocompatible materials like Ti6Al4V are commonly used in implants. Although various experimental methods exist to study tribocorrosion, limited mathematical modeling efforts have been undertaken. This study reviews available models to identify those most suitable for implant applications, with two aims: a) validating model efficiency using literature data, and b) conducting experiments to generate data for further validation.

#### Methodology:

Aim 1: Electrochemical current evolution is a key measure of tribocorrosion. Models like "Olsson and Stemp," "Feyzi and Hashemi," and the Uhlig model predict tribocorrosion currents, but their efficiency remains insufficiently tested. Data from M.T. Mathew et al.'s "Tribocorrosion Behaviour of TiCxOy" was selected for its robust dataset, clear graphical representation, and systematic evaluation across varying voltages, ensuring analytical versatility. Aim 2: Fretting-corrosion experiments were conducted using a custom-built tribocorrosion apparatus (Pin- on-flat) to validate models against experimental outcomes. Materials included Ti6Al4V and CoCr bases with a Zr pin. Testing was performed in 0.9% saline at 83N load and ±6mm amplitude at 1Hz frequency.

Results:

Aim 1 demonstrated that Mischler's model outperformed Olsson and Stemp's in predicting experimental data. While Olsson's model worked well at -0.5V, it struggled at +0.5V due to assumptions about voltage- dependent oxide film growth, making it better suited for lower voltage predictions. Aim 2 revealed Feyzi and Hashemi's model best predicted tribocorrosion behavior, though significant variance highlighted the need for refined assumptions. Olsson and Stemp's model showed promise with adjustments to variables like oxide layer thickness, emphasizing its role in tribocorrosion modeling.

#### Conclusions:

The study concludes that tribocorrosion current is influenced by multiple factors, and model predictions improve with accurate variable inputs. Further research is needed to refine models, including developing experimental procedures to determine assumed variable values (e.g., asperity radius) and creating real- time computational models to compare experimental and predicted results.

# Plasma and Vapor Deposition Processes Room Town & Country A - Session PP1-2-MoA

#### **PVD Coatings and Technologies II**

Moderators: Christian Kalscheuer, IOT, RWTH Aachen, Germany, Qi Yang, National Research Council of Canada

2:00pm PP1-2-MoA-2 Material-Dependent Loss in Deposition Rate of High Power Impulse Magnetron Sputtering Discharges, Martin Rudolph [martin.rudolph@iom-leipzig.de], Leibniz Inst. of Surface Eng. (IOM), Germany; Kateryna Barynova, University of Iceland; Nils Brenning, KTH Stockholm, Sweden; Swetha S. Babu, University of Iceland; Joel Fischer, Daniel Lundin, Linköping University, Sweden; Michael A. Raadu, KTH Stockholm, Sweden; Jon Tomas Gudmundsson, University of Iceland, Sweden

High power impulse magnetron sputtering is an ionized physical vapor deposition technique in which the sputtered metal flux from the target is partially ionized. This enhances film properties like density and adhesion. At the same time, some of the produced metal ions are back-attracted to the target and therefore lost from the deposition process. We show that this loss in deposition rate is largely dependent on the sputter yield of the target material. Here, two extremes can be distinguished: 1) Discharges with low sputter yield targets are dominated by argon, and 2) discharges with high sputter yield targets are metal-rich. In the first case, the electron temperature must be significantly higher to enable sufficient ionization of predominantly the working gas to generate the experimentally observed high discharge currents. In those discharges we find strong electron energization by Ohmic heating in the ionization region extending beyond the cathode sheath. In the second case, we find that Ohmic heating is considerably weaker compared to the low sputter yield discharges. At the same time, frequent collisions with metal atom cool the electron population, which leads to a decrease in electron temperature. By examining a range of different target materials using the Ionization Region Model (IRM) we find a consistent trend of decreasing back-attraction probability and electron temperature as the sputter yield of the target material increases. A lower electron temperature increases the mean free path of ionization of sputtered species, shifting the average location of ionization away from the target. The much weaker electric fields at those locations compared to the target vicinity, ultimately facilitates ion escape toward the substrate, which thus explains the observed reduction in backattraction.

2:20pm PP1-2-MoA-3 Effect of Acetylene Gas Flow Rates on Target Poisoning, Phase Composition, Microstructure, Mechanical Properties and Corrosion Resistance of AlCrNbSiTiC High Entropy Alloy Carbide Thin Films, Hsiang Yu Tsai, Yung Chin Yang, National Taipei University of Technology, Taiwan; Chia Lin Li, Ming Chi University of Technology, Taiwan; Bih Show Lou, Chang Gung University, Taiwan; Jyh Wei Lee [jefflee@mail.mcut.edu.tw], Ming Chi University of Technology, Taiwan High entropy alloy carbide (HEAC) differs from conventional carbides, which are typically composed of one or two metallic elements. HEAC demonstrates remarkable properties, including an extremely high melting point, enhanced hardness, and superior wear resistance. In this study, AlCrNbSiTiCx HEAC thin films with varying carbon contents were deposited using a superimposed high power impulse magnetron sputtering (HiPIMS) and medium-frequency (MF) sputtering technique by a plasma emission monitoring (PEM) feedback control system. The optical emission signal of Cr

element was monitored under different argon/acetylene gas flow ratios and the target poisoning effect was studied by the PEM system. The cross-sectional morphology, chemical composiitons, and crystal structure of the films were characterized using field emission scanning electron microscopy (FE-SEM), FE-electron probe microanalyzer (FE-EPMA), and X-ray diffraction (XRD), respectively. The mechanical properties of the HEAC thin films, including hardness, elastic modulus, adhesion, and wear resistance, were evaluated using nanoindentation, scratch testing, and pin-on-disk wear testing. The corrosion resistance of HEAC films in the in 0.5M sulfuric acid aqueous solution was explored. This study systematically investigated the influence of target poisoning ratios and carbon content on the phase composition, microstructure, mechanical properties, and corrosion resistance of AlCrNbSiTiCx HEAC thin films. Potential applications of these HEACS films were also proposed in this work.

2:40pm PP1-2-MoA-4 Duplex Coating Process by Plasma Enhanced Magnetron Sputtering, *Jianliang Lin [jlin@swri.org]*, Southwest Research Institute, USA

Metallic substrates can be treated by a combination of nitriding and subsequent deposition of a physical vapor deposition (PVD) coating to improve coating adhesion, wear/abrasion resistance, and corrosion resistance. The combination of the two processes is known as duplex treatment. In general, conventional nitriding treatment and PVD coating deposition are typically performed as two separate processes in distinct facilities and environments. Consequently, the lead time and production cost are not optimized. We present a duplex coating process by integrating plasma nitriding and magnetron sputtering using hot filament assisted and plasma enhanced magnetron sputtering (PEMS) within the same facility. In the process, a global nitrogen plasma is generated by impact ionization from electrons emitted from the hot filaments and attracted towards the substrate surface for nitriding. In this study, the effects of the PEMS process on the structure and properties of the nitrided stainless steel have been studied. The PEMS treated stainless steel exhibited greatly improved surface hardness, wear resistance, and hydrophobicity in oil formula. In addition, duplex TiSiCN and DLC coatings deposited using the integrated process showed improved mechanical properties and adhesion as compared to the coatings deposited without the duplex treatment.

4:40pm PP1-2-MoA-10 Determination of Mechanical Properties of PVD Tool Coatings Using Machine Learning, Kirsten Bobzin, Christian Kalscheuer, Xiaoyang Liu [liu@iot.rwth-aachen.de], Surface Engineering Institute - RWTH Aachen University, Germany

The wear resistance of physical vapor deposition (PVD) coatings is heavily influenced by their elastic and plastic properties. These properties serve as essential inputs for finite element method (FEM) simulations to predict tool wear, including the elastic modulus for the characterization of elastic properties and parameters of the Johnson-Cook model for the description of the plastic behavior. A precise determination of these parameters is required for simulation of tool wear. In this study, machine learning models are developed to directly map load-depth curves from nanoindentations on TiAlSiN and TiAlCrN coatings to parameters of coatings in FEM. An FEM simulation model of nanoindentation is employed to generate a dataset comprising load-depth curves resulting from a wide range of input material properties. Several machine learning models including support vector regression (SVR), multilayer perceptron (MLP), long short-term memory (LSTM) and gated recurrent unit (GRU) are then trained, validated, and compared using this dataset. The input to these models consists of simulated load-depth curves, with the target being parameters required for the definition of the material in commercial FEM softwares. Among these machine learning models, GRU achieves the best prediction performance. Ultimately, GRU is used to predict material parameters of TiAlSiN and TiAlCrN coatings based on experimental load-depth curves. FEM simulations using the GRU-predicted material parameters show excellent alignment with experimental measurements, achieving accurate results in a single iteration without further parameter adjustments. The determined parameters can be directly used as reasonable inputs for further FEM simulations as parts of a Greybox model to predict tool wear during cutting.

5:00pm PP1-2-MoA-11 Experimental and Simulative Investigation of Crack Growth in TiAlCrN PVD Coatings, Ujjwal Suri [u.suri@iwm.rwth-aachen.de], Felix Weber, Christoph Broeckmann, Institute of Applied Powder Metallurgy and Ceramics (IAPK) at RWTH Aachen e.V., Germany; Kirsten Bobzin, Christian Kalscheuer, Xiaoyang Liu, RWTH Aachen University, Surface Engineering Institute (IOT), Germany

Hard physical vapor deposition (PVD) coatings are widely used as protective layers on cemented carbide tools due to their exceptional mechanical properties. However, these coatings can be susceptible to damage and cracking. Gaining a deeper understanding of how the coating microstructure influences the cracking behavior is essential. Moreover, most tool wear prediction does not include the effect of the cracking behavior. Thus, crack initiation and propagation under external loads and its contribution to tool wear should be investigated. A precise micromechanical simulation of cracks could enhance the accuracy of tool wear simulations in cutting applications.

This study combines experimental and mesoscale simulation to investigate the cracking behavior of a TiAlCrN PVD coated cemented carbide tool. Initially, nanoindentations coupled with inverse FEM simulations are conducted to determine mechanical properties of coatings, specifically Young's modulus and parameters for the Ludwik-Hollomon model. These properties are then applied to simulate high-load nanoindentation at a macroscopic scale. Subsequently, scanning electron microscopy (SEM) is applied to characterize the grain morphology. Using this data, a representative finite element model is developed. Numerical simulations of the local crack initiation and growth are performed based on the implemented model in combination with the extended finite element method (XFEM). SEM micrographs taken after indentation are analyzed to study crack behavior, enabling a correlation between experimental results and numerical simulations.

The combined experimental and detailed numerical modelling approach facilitates insights into how microstructural parameters including grain size and orientation influence crack growth in the coating system. This study presents a combined analysis using experimental nanoindentation and a mesoscopic simulation model of the nanoindentation to investigate the crack growth in PVD coated cemented carbide. The correlation of experimental and simulative results allows a detailed study of the interaction of microstructure and crack growth in PVD coatings. Models comparable to the one here presented may be used in future work for optimization of coated cemented carbide tools.

5:20pm PP1-2-MoA-12 Characteristics of TiAlN+X Coatings for Inconel Machining using Advanced Arc Technology, Ryosuke Takei [takei.ryosuke@kobelco.com], Shinichi Tanifuji, S. Hirota, Kobe Steel Ltd., Japan; M. Tona, N. Hirata, Ayabo, Ltd., Japan

Inconel is a material with low thermal conductivity, making it challenging to machine due to the high cutting edge temperature and rapid tool wear. To extend tool life, coating performance is crucial. Although various coatings have been developed for machining Inconel, an optimal solution has yet to be established.

Common coating processes for cutting tools include cathodic vacuum arc (CVA), sputtering, and chemical vapor deposition (CVD), each with its limitations. Sputtering produces smooth coatings but suffers from lower hardness and productivity. CVD coatings offer excellent toughness at high temperatures, making them suitable for turning; however, their intrinsic tensile stress makes them less ideal for milling. The arc process enables dense coatings with high compressive stress and high productivity, but excessive droplet deposition increases surface roughness, reducing cutting performance. In Inconel machining, it has been reported that work material can fill voids around droplets, leading to film damage.

A previous study by KOBELCO investigated AlCrN coatings using both a conventional arc evaporation source and a novel " $\mu$ -ARC" source. The  $\mu$ -ARC process was found to reduce surface roughness and micro-particles (MPs), improving durability in cutting tests. However, due to the chemical reactivity between AlCrN and nickel-based materials, Cr-containing coatings are generally unsuitable for Inconel machining, and TiAlN or TiAlN+X coatings are commonly used instead.

This study explores the deposition of TiAlN and TiAlN+X films using both conventional and  $\mu\text{-}ARC$  evaporation sources. The effects of the evaporation source and metal X addition on the film's microstructure, mechanical properties, and crystal structure are analyzed. Based on these evaluations, optimal deposition conditions for carbide end mills were determined, and machining performance of TiAlN and TiAlN+X coated tools in Inconel cutting was evaluated. The findings provide insights into the

development of high-performance coatings with improved durability and cutting efficiency.

#### Plasma and Vapor Deposition Processes Room Palm 3-4 - Session PP6-MoA

#### **Greybox Models for Wear Prediction**

Moderators: Philipp Immich, IHI Hauzer Techno Coating B.V., Netherlands, Ludvik Martinu, Polytechnique Montréal, Canada

1:40pm PP6-MoA-1 Greybox Models for the Qualification of Coated Tools for High-Performance Cutting, Kirsten Bobzin [info@iot.rwth-aachen.de], Christian Kalscheuer, Muhammad Tayyab, Xiaoyang Liu, RWTH Aachen University, Germany

The real application behaviour of coated cutting tools cannot be satisfactorily described mathematically. The incipient failure, wear progression and remaining service life cannot be predicted. However, there is a solid basic knowledge in machining technology and materials engineering, which is being described more and more fundamentally and atomistically in the form of white box models. This includes numerical simulations, which are becoming increasingly computationally and time intensive as the level of detail increases. However, the highly non-linear interactions of reality can never be fully described due to idealized assumptions. In contrast, black box models of machine learning can model complex correlations with a sufficient database and are capable of learning. However, physical interactions are then often not understood and their robustness in relation to changing boundary conditions remains uncertain.

As a new research approach, the existing deterministic white box models are to be combined with new data-driven black box models in grey box models. The robust but inaccurate predictions from white box models will be converged into a precise target window using data-driven and adaptive black box models. Already existing machine learning algorithms form the solution space for this. The gap that currently exists between stationary material properties before and after use, i.e. the unsteady system behaviour of coated tools during machining, is being researched and closed. This should enable knowledge-based selection and qualification of coated tools for more efficient machining processes in the future.

The large amounts of data collected but largely unused in research are seen as key. On the materials engineering side, the coated tools are initially analysed in their manufacturing state. However, the focus here is much more on time-dependent changes due to thermal, mechanical, and chemical loads during machining. The stress collective in the machining process is increasingly being monitored in situ in the form of forces, temperatures, images, or noises. The aim is to be able to trace changes in the in-situ measurement data back to the damage progress of the tools. Initially heterogeneous data formats from the machining process and from materials analysis need to be combined. As much real data as possible is systematically recorded, qualified, and correlated. The valid interpretation of the results requires a holistic view of the entire service life and interdisciplinary cooperation.

2:20pm PP6-MoA-3 A Grey-Box Modell for Predicting Friction Coefficients of Coated Cutting Tools for Improved Wear Modelling, Jan Wolf Ijan.wolf@ifw.uni-stuttgart.de], University of Stuttgart - Institute for Machine Tools, Germany; Nithin Kumar Bandaru, Martin Dienwiebel, Karlsruhe Institute of Technology (KIT), Institute for Applied Materials (IAM), Germany; Hans-Christian Möhring, University of Stuttgart - Institute for Machine Tools, Germany

Wear of cutting tools is known to affect the surface integrity of the workpiece and contributes significantly to machine downtime. It has been shown that the wear rate increases dramatically once the coating is worn through. Predicting the wear of the coating is therefore a good indicator for the remaining useful life. Although white-box models based on Finite Element Analysis exist and showed good wear prediction capabilities for uncoated tools, transferring this approach to only model wear of coatings is challenging. One of the main factors for precise wear modelling is to use a precise friction model which captures the frictional behavior of the coating and the workpiece material under process conditions in cutting. Based on the design of a custom pin-on disk test setup in a vertical turning machine build for elevated temperatures and sliding velocity for matching the conditions in machining, a friction model is determined for a TiN/AlTiN coated cutting tool. The non-linear friction behavior is then determined via a regression approach by training machine learning algorithms. A custommade- python interface for the seamless incorporation of typical AI libraries

and their models is presented for the software DEFORM 2D. The interface of the tool and the chip is discretized due to employed friction windows, for which the determined regression approach predicts the friction coefficient based on the calculated state variables of the FEA. Thus, this work presents a novel Grey-Box approach for locally predicting friction coefficients along the surface of coated cutting tools, which is the basis for an improved wear modelling of cutting tool coatings.

2:40pm PP6-MoA-4 Coating-Dependant Thermomechanical Loading of Cutting Tools for Greybox Models, Thomas Bergs, Markus Meurer [m.meurer@mti.rwth-aachen.de], Mustapha Abouridouane, Manufacturing Technology Institute (MTI) - RWTH Aachen University, Germany; Kirsten Bobzin, Christian Kalscheuer, Muhammad Tayyab, Surface Engineering Institute - RWTH Aachen University, Germany

For economically efficient cutting processes, cutting tool life can be extended through physical vapor deposition (PVD) coatings. However, imprecise tool life prediction models limit the cost-effective qualification of PVD coated tools. Analytical or simulation-based whitebox models mostly ignore coating effect on tool wear and cannot fully capture nonlinear interactions in cutting processes due to necessary simplifications of boundary conditions. In contrast, data-driven blackbox models can represent complex correlations but often lack an understanding of physical causality and robustness under variable conditions. To overcome these limitations, greybox models can be developed by coupling whitebox and blackbox models to create a balanced predictive framework. Such models require an inclusive dataset containing information on coating properties, realistic thermomechanical tool loading, process data and tool wear behavior. The current investigation focuses on determination of coatingdependent thermomechanical loading of cutting tools, required for development of greybox models. Monolayer TiAlCrSiN and bilayer TiAlCrSiN/TiAlCrSiON coatings were deposited on cemented carbide substrates and characterized. In order to assess thermomechanical tool loading, analogy tests representing orthogonal cutting were carried out on heat-treated C45 and 42CrMo4 steels. The process forces and tool temperatures were measured by dynamometer and high-speed infrared camera, respectively. Moreover, the heat flow into the tool was determined by placing a pyrometer directly under the rake face of the cutting inserts. The experimental data from coating properties and cutting tests contributed to the extension and validation of finite element chip formation simulation for coated tools. Thermomechanical stress distributions on coated tools with high spatial and temporal resolution were computed using the validated simulation model. The experimental as well as simulative data was combined to understand the effect of coating and workpiece material combinations on the process forces, tool temperatures as well as the resulting friction and tool wear behavior. The study contributes towards extension of existing numerical whitebox models for consideration of coating as well as determination of more accurate thermomechanical loading on PVD coated tools. The resulting highresolution spatial and temporal thermomechanical tool loading data can be instrumental to the development of greybox models for tool life prediction.

3:00pm PP6-MoA-5 Bridging the Gap Between Milling and Tribological Wear Mechanisms: Comparative Analysis of Coated Carbide Tools, Amod Kashyap [amod.kashyap@kit.edu], Institute for Applied Materials (IAM-ZM), Micro-Tribology Centre (μTC), Karlsruhe Institute of Technology, Germany; Amirmohammad Jamali, Institute of Production Science (wbk), Karlsruhe Institute of Technology, Germany; Johannes Schneider, Institute for Applied Materials (IAM-ZM), Micro-Tribology Centre (μTC), Karlsruhe Institute of Technology, Germany; Michael Stueber, Institute for Applied Materials (IAM-AWP), Karlsruhe Institute of Technology, Germany; Volker Schulze, Institute of Production Science (wbk), Karlsruhe Institute of Technology, Germany

Milling tools used in metal manufacturing face severe challenges such as complex wear scenarios, heat generation and dissipation, and vibration, which lead to reduced tool life, poor surface quality, and higher operational costs. To address these issues, advanced coatings are applied to enhance their performance and longevity by reducing wear and friction, enabling higher cutting speeds and improved efficiency, and minimizing the formation of built-up edges. Coatings based on Titanium Nitride (TiN) and Aluminum Titanium Nitride (AlTiN) provide excellent heat resistance and hardness, crucial for maintaining tool integrity under high-temperature conditions.

The wear mechanisms of a milling tool vary depending on cutting parameters, and extensive research has been conducted on tool wear in coated milling tools with large-scale milling machines. Traditionally,

researchers have attempted to evaluate coating performance using laboratory-based tribological setups. However, tribological tests do not accurately replicate the actual milling process. In this study, the authors aim to correlate tool wear in milling machines with wear observed in tribological model experiments. Milling tests were conducted with coated cemented carbide tools on C45 steel, and tribological tests involved coated cemented carbide cylinders against C45 discs. In-house TiN and AlTiN model coatings, deposited by pulsed-DC and high-power impulse magnetron sputtering techniques, were applied in these experiments. Tribological test parameters were optimized to replicate similar wear mechanisms on both the milling tools and coated cylinders, allowing for a detailed study of wear development through tribological testing only. Scanning electron and focussed ion beam microscopy including energy dispersive X-ray analysis were employed to analyse oxidation, adhesion, and diffusion wear on the coated carbide tools and cylinders. Additionally, the in-house-developed coatings were also compared with industrial coatings, enabling a comprehensive examination of wear mechanisms across different coatings.

3:20pm PP6-MoA-6 Prediction of Tool Wear Depending on the Coating Architecture for Coated Cemented Carbide Tools by Machine Learning, Benjamin Bergmann, Institute of Production Engineering and Machine Tools - Leibniz University Hannover, Germany; Christian Kalscheuer, Surface Engineering Institute - RWTH Aachen University, Germany; Berend Denkena, Institute of Production Engineering and Machine Tools - Leibniz University Hannover, Germany; Kirsten Bobzin, Xiaoyang Liu, Surface Engineering Institute - RWTH Aachen University, Germany; Nico Junge [junge@ifw.uni-hannover.de], Institute of Production Engineering and Machine Tools - Leibniz University Hannover, Germany

Cutting tools based on cemented carbide are usually coated by physical vapor deposition. In machining operations, the specific characteristics of the process parameters and the machined material determine the different wear behavior. However, the utilization of diverse coating properties enables the targeted enhancement of wear resistance. Furthermore, the coatings are adjusted to the individual substrate. It could be shown, that the interaction between the substrate and the interlayer thickness and coating architecture exhibit a significant influence on the tool wear behavior. Due to the high complexity for predicting the influence of the interlayer on the wear behavior wear models are not available. Therefore, machine learning was used to predict the tool wear based on coating properties, considering the complex interaction between process parameters, tool and material.

In this study PVD coated cutting tools with three different interlayer thicknesses were prepared. This method is used to analyze different substrate-coating systems while preserving the properties of the functional layer of the tool. The initial coating properties such as residual stress, hardness and thermal conductivity were measured and served as input parameters for the ML- model. Afterward experimental wear investigations in turning different steels and process parameters were conducted. The wear data and the process parameters were also used as the input for the machine learning model. Different machine learning models such as support vector regression (SVR) and multilayer perceptron (MLP) were tested regarding the wear prediction. It can be shown, that the used models can predict the wear form and the size of wear for the turning operation depending on the operating time and the different coating properties, such as the interlayer thickness.

4:00pm PP6-MoA-8 Greybox Modeling the Run-in and Wear Behavior of Milling Tools Coated with Arc-Evaporated TiAIN Based on Operando, in Situ and Ex Situ Analyses, Wolfgang Tillmann [wolfgang.tillmann@udo.edu], Finn Rümenapf, Nelson Fllipe Lopes Dias, Simon Jaquet, Rafael Garcia Carballo, Dirk Biermann, Nils Denkmann, Jörg Debus, TU Dortmund University, Germany

In modern machine manufacturing, a controlled cutting process is crucial for high workpiece quality and production efficiency. The dynamic stability of milling processes depends, e.g., on the cutting parameter values and the system behavior of the machine tool including the cutting tool. Milling tools are subjected to significantly more wear during dynamically unstable processes. To enhance wear resistance, cathodic arc evaporated TiAIN thin films are widely used. Throughout the cutting process, varying tribological loads alter the transient system behavior of the coated tools, which in turn impacts run-in behavior, tool wear, and lifetime. In this regard, the system behavior under varying dynamic load profiles remains largely unknown during the cutting process, thus complicating efforts to predict dynamic stability and tool wear accurately.

These challenges are addressed by using a greybox model approach designed to characterize the transient system behavior of TiAlN-coated tools. In a greybox model, experimental data of the steady-state and transient system behavior are exploited to reveal the wear initiation and development with respect to the dynamic run-in behavior. The experiments focus on the milling process of normalized C45 steel, utilizing tools coated with arc-evaporated TiAIN thin films. For the input of the greybox model a comprehensive dataset is produced, including operando cutting force measurements, in situ Raman spectroscopy, and ex situ analyses of chemical composition, hardness, and adhesion of the coatings pre- and post-cutting. A supervised machine learning model is used to enhance signal clarity and reliability of in situ input data. Furthermore, artificial neural networks with k-means clustering provide correlations between thin film properties, wear behavior and cutting performance. The combination of these Al-driven insights with physical and material-specific causalities allows the greybox model to predict tool wear progression and failure onset of the arc-evaporated TiAIN-coated milling tools.

Initial greybox model approaches demonstrate that the run-in behavior of the coated tools is influenced by the initial droplet distribution of the TiAlN, which in turn is affected by the choice of target material. This underlines the importance of considering the entire process, from coating deposition to tool performance. Additionally, a preliminary clustering of measurement data reveals meaningful patterns related to wear and stability. These first results highlight the potential of greybox models in describing the transient system behavior and predicting the lifetime of TiAlN-coated tools.

4:40pm PP6-MoA-10 Determination of Residual Stress and Crystallite Size for TiAIN-Coated Milling Tools Using Laser-Spectroscopy-Based Grey-Box Modeling, Nils Denkmann [nils.denkmann@tu-dortmund.de], Nelson Filipe Lopes Dias, Finn Rümenapf, Simon Jaquet, Rafael Garcia Carballo, Dirk Biermann, Wolfgang Tillmann, Jörg Debus, TU Dortmund University, Germany

TiAIN thin films are widely used in machining processes due to their high hardness and high wear resistance. However, continuous and intermittent thermo-mechanical loads during machining impair the structural properties of the thin film, e.g., the phase composition and the residual stress state. Such an impact alters the wear resistance of the thin film as well as the constitution of the tools, which ultimately leads to a drastic increase in tool wear. For a comprehensive understanding of the wear of coated milling tools, it is crucial to gain insight into the microscopic structural-chemical properties that determine early-predictively the degradation of the coating.

We use laser scattering spectroscopy combined with AI supported data analysis to determine changes in the residual stress and crystallite size of TiAIN coatings applied to milling tools for C45 steel machining. By utilizing confocal Raman scattering with micrometer spatial resolution, we measure the longitudinal and transversal optical and acoustic lattice vibrations, whose spectral line features grant access to the residual stress and crystallite size of TiAIN.

The k-means clustered changes in the residual stress and crystallite size h of TiAlN are correlated for initial and different material removal volumes of the TiAlN-coated tool. In the initial state and after minor material removal, the residual stress changes are given by  $(1.2 \pm 0.6)$  GPa, while h fluctuates around 50 nm. In case of high material removal, the residual stress switches from compressive to positive tensile stress ranges with decreasing h. The sign switching in the residual stress is attributed to a temperature-induced spinodal segregation in fcc-TiN and fcc-AlN. This phase transformation appears to be present at h below about 30 nm. As the wear is significantly lower than for the uncoated reference tool, it can be assumed that the spinodal segregation has a wear-reducing effect.

In addition to that, a possible wear initiation for the TiAlN thin films is determined by the frequency of missing TiAlN Raman scattering signals at local tool surface positions. The k-means cluster analysis of the scattering spectra shows that with increasing material removal volume a non-specific background is formed in 5 % and 11 % of the cases, respectively.

Raman spectroscopy combined with grey-box modeling reveals possible wear initiations for the TiAlN thin films and outlines that the observed wear mitigation is related to the formation of self-organized nanostructures, so that structural-chemical changes at the tool surface may be used to develop robust and early-predictive criteria for wear.

5:00pm PP6-MoA-11 Predicting Solid Particle Erosion of Metals: A Machine Learning Approach, Stephen Brown [stephen.brown@polymtl.ca], Foutse Khomh, Polytechnique Montréal, Canada; Juan Manuel Mendez, MDS Coating Technologies, Canada; Marjorie Cavarroc, Safran Tech, France; Ludvik Martinu, Jolanta Ewa Klemberg-Sapieha, Polytechnique Montréal, Canada

Solid particle erosion (SPE) is a tribological phenomenon in which a surface is impacted by a stream of particles, causing a gradual removal of the material. It is a critical challenge in aerospace, where compressor blades and other components are exposed to harsh particle-laden environments. Despite decades of research, accurately predicting SPE under diverse conditions remains difficult due to the non-linear relationships between erosion rates, material properties, and environmental factors. While several white-box models exist for the prediction of erosion, they rely on the use of empirically determined values that are sensitive to changes in erosion conditions and material properties, and are not easily adaptable to different erosion environments.

Machine learning (ML) offers a powerful alternative for handling variability and extracting insights from diverse datasets. This study compiles a database of over 1,000 erosion tests on metals based on the erosion literature and internal experiments, encompassing material and particle properties, experimental conditions, and article metadata. Using ML models such as XGBoost, Neural Networks, and Random Forests, erosion rates were predicted, achieving mean absolute errors (MAE) of 15-16% for the unseen test data. Model performance was further validated against interlaboratory test results from the ASTM G76 standard, with accurate predictions being made in two of three cases. The influence of different variables on erosion predictions was analyzed using feature importance metrics and partial dependence plots. Key features like particle velocity and impact angle showed expected effects, while the importance of target features such as density and Poisson's ratio was sometimes overstated due to their ability to act as classifiers for outlier materials.

These results demonstrate the potential of data-driven approaches to improve wear modeling by quantitatively predicting erosion rates across a wide range of conditions, while also highlighting the challenges arising from issues such as data sparsity and feature correlation.

5:20pm PP6-MoA-12 Characterization of AlCrVY(O)N Thin Film Properties and Thermo-Mechanical Load Profiles in Machining AlSI 304 Stainless Steel Using Greybox Modelling Approaches, Erik Krumme, Finn Rümenapf, Kai Donnerbauer, Jannis Saelzer, Nelson Filipe Lopes Dias, Pascal Volke, Andreas Zabel, Wolfgang Tillmann, Frank Walther, Simon Strodick [simon.strodick@tu-dortmund.de], TU Dortmund University, Germany

The thermo-mechanical load collective prevailing in machining significantly determines the wear of coated carbide tools and therefore also has an influence on the productivity and sustainability of many industrial value chains. The tool wear can be described and predicted by developing greybox models, whereby it is known that the temperatures occurring in the chip formation zone have a greater impact on wear than the mechanical tool loads. AlCrVY(O)N thin films thus show significant promise for reducing tool wear due to lower friction and enhanced thermal stability at elevated temperatures compared to conventional thin films. Based on the complex coupling of friction, temperature and wear, the need for further development of such systems is substantial. To initiate greybox modeling of wear behavior, these thin-film systems were tested in whitebox approaches, i.e. when machining AISI 304 during an orthogonal turning process. As a benchmark, the thin films were compared to TiAIN and AICrN thin films as well as uncoated cemented carbide tools. The thin film properties of AlCrVY(O)N on the cemented carbide inserts were evaluated and correlated with the cutting performance. The test set-up used, enables a comprehensive examination of the thermo-mechanical load collective concerning the measurement of the process forces and rake face temperatures. A variation of the cutting parameters was carried out to investigate the performance of the thin films under different levels of load. The tool wear identified was evaluated using blackbox approaches. In particular, a neural network for image segmentation was trained and applied to optical micrographs of the used tools. As a result, an automated evaluation of the tools was possible and new criteria for quantifying tool wear were developed. In order to improve the prediction of the transient tool wear behavior in form of cracks in the thin film and cutting edge failures, a further machine learning approach was chosen. For this purpose an autoencoder was developed and trained, which first analyzes the experimentally determined force measurement signals ex situ and can subsequently identify process windows of interest with regards to the tool wear behavior. The fundamental investigations show that the applied thin

films on the inserts generally have a homogeneous chemical composition and a high hardness around 35 GPa. In the operational tests the temperatures on the rake face were reduced by using the AlCrVY(O)N thin films compared to TiAlN. Despite the low level of wear due to the short cutting time, image segmentation was validated as a suitable method for wear quantification.

# Topical Symposium on Sustainable Surface Engineering Room Town & Country B - Session TS1-2-MoA

#### **Coatings for Batteries and Hydrogen Applications II**

Moderators: Chen-Hao Wang, National Taiwan University of Science and Technology, Taiwan, Martin Welters, KCS Europe GmbH, Germany

1:40pm TS1-2-MoA-1 The Effect of the Transition Metal Dopant on the Microstructure and Electrochemical Performance of Magnetron Sputtered Electrodes for Solid Oxide Fuel Cells Applications, Justyna Kulczyk-Malecka [j.kulczyk-Malecka@mmu.ac.uk], Katharina Steier, David Shaw, Kleitos Panagi, Peter Kelly, Manchester Metropolitan University, UK

The electrolytic energy conversion has become one of the main technologies considered to deliver actions on reducing CO<sub>2</sub> emissions in the energy, heavy-duty transportation and industrial processes sectors. The electrolytic cells (fuel cells and electrolysis cells) can be utilised in energy conversion, generation, and storage, which has been demonstrated at scale in many regions around the world already. Solid oxide cells are typically composed of porous ceramic matrix and Ni metal catalyst fuel electrodes, dense ceramic electrolytes, such as YSZ or GDC, and perovskite air electrodes. These cells operate at relatively high temperatures (typically 600-850°C) and, therefore do not require precious metal group catalysts to drive the reaction forward in both electrolysis (H<sub>2</sub> generation) and fuel cell (energy conversion) modes. Moreover, they are more versatile in terms of required fuel type allowing utilisation of hydrogen as well as alternative fuels, such as methanol, ammonia, or biogas.

In this work, thin (~3  $\mu$ m) nanostructured cermet anodes consisting of YSZ-Ni and GDC-Ni were doped with transition metals to study their influence on coatings microstructure and electrochemical performance. The anodes were deposited onto commercial YSZ electrolyte support cells using oblique angle pulsed DC reactive magnetron sputtering. The coating microstructure was evaluated using FIB-SEM and TEM and focused on the triple-phase boundary evolution in relation to the amount of the added dopant (0-5 wt.%). The chemical composition of the coatings was assessed using EDX, XPS and XRD analysis. The polarization curves were obtained from SOFC single stack assemblies under hydrogen and air flows for anode and cathode, respectively, at operating temperatures of 850°C to evaluate the electrochemical performance of the deposited films.

2:00pm TS1-2-MoA-2 Investigation of Ba $_{0.5}$ Ce $_{0.3}$ Zr $_{0.01}$ Yb $_{0.01}$ O $_{3.\delta}$ /Y $_{0.2}$ Ce $_{0.8}$ O $_{2.\delta}$  Composite Coatings for the Electrolyte of Solid Oxide Fuel Cell, Yen-Yu Chen [yychen@mail.npust.edu.tw], Ke-Hsing Wang, National Pingtung University of Science and Technology, Taiwan

Solid oxide fuel cells (SOFCs) are one of the potential power generation devices for the sources of renewable energy. In this study, the composite coatings consisted of BaCe $_{0.7}Zr_{0.12}Y_{0.1}Yb_{0.1}O_{3-\delta}$  (BCZYYb) and  $Y_{0.2}Ce_{0.8}O_{2-\delta}$ (YDC) were developed by a colloidal coating process as the electrolyte for SOFC. Both of the powders of BCZYYb and YDC were synthesized by the solid-state reaction methods. The well dispersed suspensions after refined by the planetary ball method were spin coated on the porous  $NiO/BaZr_{0.85}Y_{0.15}O_{3\text{-}\delta}$  (BZY) substrates, which were prepared by a die pressing method after pre-sintering at 1200°C for 1 h. Several properties were analyzed including microstructures, crystallographic phases, and electrical performance. The BCZYYb/YDC composite coatings still showed duel-phases including perovskite-type BCZYYb and fluorite-type YDC after sintered at 1550oC for 24 h, The coating layer shows high density after sintered. And the thickness of the coating layers are around several µm. The composites show ionic conductive behaviors from the temperature between 500~800°C. The details will be reported in the presentation.

2:20pm TS1-2-MoA-3 Unveiling the ORR Mechanism on Co Single-Atom Catalysts Using Operando Raman Spectroscopy with Catalyst-Coated Membrane (CCM) Methodology, Sun-Tang Chang [suntang925@gmail.com], Yi-Qing Chu, Zih-Jhong Huang, Chen-Hao Wang, National Taiwan University of Science and Technology, Taiwan

In this study, operando Raman spectroscopy was employed to investigate the mechanism of the oxygen reduction reaction (ORR) on a cobalt single-atom catalyst (Co-SAC). The Co-SAC was synthesized and utilized as a

cathode catalyst for an alkaline anion exchange membrane fuel cell (AAEMFC). The results demonstrate excellent ORR activity, with an electron transfer number of 3.96 and  $J_{\rm iming}$  is 5.5 mAcm<sup>-2</sup>.

X-ray absorption spectroscopy (XAS) revealed that the Co-SAC features a Co-N5 coordination structure, with cobalt in the +3 oxidation state. Furthermore, wavelet transform (WT) analysis confirmed the presence of isolated cobalt single atoms.

To minimize interference from the electrolyte during Raman laser measurements, the catalyst-coated membrane (CCM) method was adopted. This approach effectively prevents direct interaction between the laser and the electrolyte while ensuring efficient OH<sup>-</sup> group transfer to the catalyst. Additionally, in the operando setup, electrochemical impedance spectroscopy (EIS) was integrated with Raman spectroscopy. This combination enabled a detailed observation of the ORR mechanism and the evolution of surface phenomena under different applied biases.

This study represents a significant breakthrough in unveiling the ORR mechanism, particularly for Co-based single-atom catalysts.

2:40pm TS1-2-MoA-4 Study on Mo<sub>x</sub>N Thin Films Deposited by HiPIMS and RF Sputtering with Heteroatom Doping for Hydrogen Evolution Reaction Catalysts, *Hung-I Wu [a8794191@gmail.com]*, National Yunlin University of Science and Technology, Taiwan; *Ying-Hsiang Lin*, National United University, Taiwan; *Shih-Hung Lin*, National Yunlin University of Science and Technology, Taiwan; *Fan-Bean Wu, Chi-Yueh Chang*, National United University, Taiwan; *Thi Xuyen Nguyen, Chia-Ying Su, Ruei-Chi Lin, Jyh-Ming Ting*, National Cheng Kung University (NCKU), Taiwan; *Wan-Yu Wu*, National United University, Taiwan

In recent years, materials such as transition metal oxides and nitrides have been popular in catalyst research. Compared to scarce noble metals, molybdenum-based materials not only have more abundant resources but also exhibit excellent activity[1], making them highly suitable to replace the costly noble metal catalysts (Ru, Ir, RuO $_{\rm 2}$ , IrO $_{\rm 2}$ ). Molybdenum nitride (MoN) possesses outstanding corrosion resistance and electronic conductivity[2], allowing it to perform the hydrogen evolution reaction (HER) in acidic media. If different elements like Ti, Co, Ni, and V are doped into Mo $_{\rm x}$ N as a substrate, a synergistic effect is expected to further enhance HER performance. Additionally, when attaching catalysts to electrodes, one must consider the uniformity of surface coverage and adhesion on electrodes of various shapes. As is well known, sputtering offers advantages such as uniform coating, easy control of film thickness, and excellent adhesion. Therefore, using this method to prepare catalyst thin films on electrodes is the optimal choice.

In this study, we employed RF sputtering and High Power Impulse Magnetron Sputtering (HiPIMS) techniques to deposit  $Mo_xN$  thin films for comparison. Initially, we deposited  $Mo_xN$  thin films using HiPIMS and found in preliminary results that the HiPIMS-deposited  $Mo_xN$  thin films exhibited an overpotential of 415 mV at  $\eta{=}10$  during hydrogen evolution reaction (HER) tests in 0.5M  $H_2SO_4$ . Energy-dispersive X-ray spectroscopy (EDS) analysis revealed that the Mo and N contents in the Mo\_xN thin films were 60 at.% and 40 at.%, respectively. Grazing-incidence X-ray diffraction (GIXRD) results indicated that the thin films have a face-centered cubic (FCC) structure similar to  $Mo_3N_2$ . Building on these Mo\_xN results, we will further explore the impact of varying the N/Mo ratio on HER performance. Additionally, we plan to attempt doping other elements into Mo\_xN to observe the changes induced by doping.

3:00pm TS1-2-MoA-5 Ternary FeCoNi / Graphene Composites as Electrocatalysts for Highly Efficient Hydrogen Evolution Reaction, Yu Tsung Lin [asd881228@gmail.com], Jow Lay Huang, Sheng Chang Wang, Yu Min Shen, National Cheng Kung University (NCKU), Taiwan

As a consequence of the depletion of fossil fuels, the escalating energy crisis has driven researchers to explore innovative energy. To address this problem, exploring hydrogen energy generation via water splitting emerges as a promising solution. This process involves the hydrogen evolution reaction (HER), a multi-electron transfer process necessitating catalysts to facilitate efficient rates. Despite noble metals have conventionally served this purpose due to their favorable Gibbs free energy, their prohibitive costs pose challenges for widespread adoption and commercialization. In response, our investigation focuses on HER within alkaline electrolytes, aiming to engineer alternative electrocatalysts that are both cost-effective and efficient.

Transition metals from the first row are active centers for the HER due to their 3d orbital, exhibiting excellent activity. Among them, nickel (Ni) and cobalt (Co) shows the most promising potential because the hydrogen

adsorption energy of them approaches that of Pt. Furthermore, recent studies have indicated that the incorporation of third transition metals, such as iron (Fe), can further enhance the HER activity. This is attributed to the synergistic effect among the three metals. Additionally, it can be observed that a large number of studies use two-dimensional carbon materials as supports. Graphene, a highly conductive 2D material, serves as an excellent supporting matrix due to its high surface area, facilitating efficient electron transfer during HER. Consequently, we synthezised ternary FeCoNi-LDH/graphene composite by hydrothermal method and measured the HER performance in 1.0 M KOH electrolyte.We used X-ray diffraction (XRD), X-ray photoelectron spectroscopy (XPS), and transmission electron microscope (TEM) to determine the crystal structure and morphology of the composite. The results demostrate that we successfully synthesized FeCoNi-LDH/graphene. In addition, Linear Sweep Voltammetry (LSV) were employed to confirm the HER performance. The overpotential and Tafel slope is -386.6 mV and 85.8 mV/dec, respectfully.

4:00pm TS1-2-MoA-8 One Step Fabrication of Highly Ordered Binder Free Vanadium Oxide Thin Film Cathode for Next Generation Micro Batteries, Ananya Bansal [ananya\_b@ic.iitr.ac.in], Indian Institute of technology Roorkee, India; Ramesh Chandra, Indian Institute of Technology Roorkee, India

The increasing demand for microelectronics has significantly driven the advancement of thin film energy storage devices, specifically batteries. Till now, a range of materials have been investigated for lithium-ion batteries, with vanadium oxide emerging as a promising material. Vanadium oxides are known for multiple oxidation-reduction states during electrochemical reaction, hence, can promote multiple diffusion of Lithium ions resulting in high energy and power density. In this work, binder-free Vanadium oxide  $(V_2O_5)$  has been synthesized by reactive DC magnetron sputtering on aluminium foil substrate. Vanadium target (99.99% pure) is bombarded with high-energy Ar<sup>+</sup> ions which dislodge atoms from the vanadium surface. These ejected atoms then react with oxygen ions to form highly pure V<sub>2</sub>O<sub>5</sub> and deposit onto a substrate, forming a thin film. The deposited layers were analyzed for their structural and surface morphology using XRD and SEM techniques. Highly ordered brick like nanostructures were observed during SEM analysis. X-ray photoelectron spectroscopy measurements were carried out to understand the chemical bonding of the cathode. The surface of vanadium oxide obtained from this binder-free approach helps us to create a high-quality cathode-electrolyte interface with high wettability (33.3°). Cyclic voltammetry (CV), galvanostatic charge-discharge (GCD) cycling, and electrochemical impedance measurements were used to investigate the capacity and cycling stability of the  $V_2O_5$  cathode in 1 M lithium hexa-flouro-phosphate. As a result, it can be interpreted that this binder-free technology can be used to fabricate efficient lithium free cathode for new generation thin film batteries.

4:20pm TS1-2-MoA-9 Research Coating Conductive Material on SiO<sub>x</sub>@rGO Composite Materials as Anode Material in Lithium-Ion Batteries, *Yi-Ling Chen [n56124155@gs.ncku.edu.tw]*, National Cheng Kung University (NCKU), Taiwan

With the advancement of technology, lithium-ion batteries have emerged as a future energy storage technology with the gradual development of electric vehicles. Silicon-based materials, due to their high theoretical capacity, energy density (~4200mAh/g), and natural abundance, are considered as candidates for negative electrode materials in lithium-ion batteries.

In this study, our research team successfully prepared reduced graphene oxide (rGO) using the Hummer method and incorporated commercial  $SiO_x$  micron-sized powder to synthesize  $SiO_x$ @rGO composite material as an anode for lithium-ion batteries. The initial charge capacity was measured at 1487 mAh/g, with a discharge capacity of 1060 mAh/g, yielding an initial coulombic efficiency of 71%. After 40 cycles, the capacity retention remained at 91%. However, there are currently no theoretical studies addressing the lithiation process and lithium ion insertion/extraction mechanisms in SiOx materials.

Therefore, in our study, we not only explore the use of SiO<sub>x</sub>@rGO composite material as an anode to improve the theoretical capacity and energy density of lithium-ion batteries but also aim to enhance the electrical conductivity and electrochemical performance of the battery. Conductive materials such as copper, gold, and platinum will be deposited on the prepared SiO<sub>x</sub>@rGO anode material. These conductive coatings will provide additional electrons, creating a driving force for lithium ion diffusion into the anode material during discharge to achieve charge conservation. This process is expected to enhance the capacity and cycling

stability of lithium-ion batteries. We will characterize the materials using X-ray diffraction (XRD), scanning electron microscopy (SEM), energy-dispersive spectroscopy (EDS), and transmission electron microscopy (TEM). Furthermore, charge-discharge and cycling performance tests will be conducted on the lithium-ion batteries to investigate the effect of the conductive materials on their performance. Cyclic voltammetry will be employed to observe the electrochemical reactions during charge and discharge cycles.

This study conducts a theoretical analysis of SiO<sub>x</sub>@rGO composite material as an anode for lithium-ion batteries by coating it with conductive materials, aiming to provide valuable reference data for both commercial and academic purposes.

Focused Topic Session Room Town & Country B - Session FTS-TuM

#### **Focused Topic Session I**

7:00am FTS-TuM-1 American Elements Focused Topic Session: Metal-Based Coatings and Alloys: Innovations, Challenges, and Al-Driven Advancements at American Elements, Chad Lindner [chad.lindner@americanelements.com], American Elements, USA

Metal-based coatings and alloy coatings play a critical role in enhancing durability, wear resistance, and corrosion protection across industries such as aerospace, automotive, and energy. This presentation explores the latest advancements at American Elements in metallurgical coatings, addressing key challenges and emerging solutions through materials science innovations and artificial intelligence (AI).

Key topics include high-performance alloy coatings, such as high-entropy alloys (HEAs) and NiCr-based thermal spray coatings, which exhibit superior oxidation resistance and longevity. Case studies highlight successful implementations, including HEA coatings in aerospace turbine components and Al-optimized electroplated alloys for automotive applications. The discussion extends to industry challenges such as corrosion resistance, adhesion issues, and sustainability concerns, which are driving the shift toward environmentally friendly alternatives to traditional chromium-based coatings.

At American Elements AI is revolutionizing the field by optimizing coating formulations, predicting material performance, and enhancing quality control. Machine learning algorithms improve electroplating consistency, while AI-driven process monitoring minimizes defects in thermal spray applications. These innovations are accelerating the development of our next-generation coatings with improved efficiency and reduced environmental impact.

By integrating advanced materials science with Al-driven approaches, the metallurgical coatings industry is poised for transformative growth. This presentation provides insights into cutting-edge research, industry trends, and future directions at American Elements in metal-based coatings, paving the way for more sustainable and high-performance solutions.

Surface Engineering - Applied Research and Industrial Applications

Room Palm 1-2 - Session IA1-TuM

Advances in Application Driven Research and Hybrid Systems, Processes and Coatings

Moderators: Ladislav Bardos, Uppsala University, Sweden, Hana Barankova, Uppsala University, Sweden

8:00am IA1-TuM-1 Advancing Correlative Microscopy: In-Situ Integration of AFM-SEM-EDS for Multi-Modal Analysis, Kerim T. Arat [karat@qdusa.com], William K. Neils, Stefano Spagna, Quantum Design Inc.. USA

There is a growing interest in in-situ correlation microscopy, which brings the complementary strengths of different imaging modalities without the inherent complications of sample transfer. These approaches ensure high confidence in correlation accuracy and eliminate the risk of sample contamination and alteration during the sample transfer.

We have developed a correlative microscopy platform based on AFM-SEM [1]. These techniques can map the surface in high resolution, and the trunnion stage, with up to 80° tilt capability, allows monitoring of tip quality and tip-sample interaction [2]. However, these methods fall short in identifying the elemental composition of the sample.

To address this issue, we have extended the capabilities of the correlative platform with an energy-dispersive X-ray spectrometer (EDS). The spectrometer is based on a state-of-the-art silicon drift detector [3], which provides high energy resolution. Its graphene window offers improved transmission performance, especially at the lower energy range, allowing elemental detection down to carbon. The elemental identification algorithm uses a background subtraction method to remove non-characteristic signals and compares the resulting spectra to reference datasets based on the NIST database for standardless quantification [4]. Both hardware and software integration allow the correlation of elemental information with the other imaging modalities that the tool can provide (see the supplementary document), where one can superimpose topography and elemental information.

Integration of the X-ray detector adds a comprehensive analysis capability to AFM-SEM techniques applicable to a diverse range of fields such as materials science, semiconductors and biosciences. With this option, researchers can obtain an in-situ correlation of high-resolution, localized elemental information with high-resolution lateral and vertical topographical information.

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- [2] "FusionScope by Quantum Design," Open a world of easy-to-use correlative microscopy, 2022. https://fusionscope.com/ (accessed Apr. 27, 2023).
- [3] D. E. Newbury and N. W. M. Ritchie, Journal of Materials Science 50 (2015), p. 493-518. doi: 10.1007/s10853-014-8685-2
- [4] D. E. Newbury and N. W. M. Ritchie, Scanning Microscopies 9236 (2014), p. 9236OH. doi: 10.1117/12.2065842

8:20am IA1-TuM-2 Non-stick Hydrophobic and Superhydrophilic Metallic Coatings: Their PVD Fabrications and Applications, Jinn P. Chu [jpchu@mail.ntust.edu.tw], National Taiwan University of Science and Technology, Taiwan; Sea-Fue Wang, National Taipei University of Technology, Taiwan

The presentation will begin with an introduction to a non-stick, low-friction hydrophobic metallic glass coating and its applications. This amorphous coating, fabricated using PVD techniques, has been successfully applied in various fields, including medical devices. For the superhydrophilic coating, a 316 stainless steel layer is sputtered onto the substrate, resulting in a water contact angle of approximately 10 degrees on the coated surface. This coating also demonstrates antifouling and underwater superoleophobic properties, which are advantageous for use in separation membranes for oil/water emulsions. Furthermore, it has proven highly effective in enhancing electrochemical responses in electrodes used as electrochemical sensors.

8:40am IA1-TuM-3 Energy Bandgap Engineering for Gate-All-Around Poly-Ge Charge Trapping Flash Memory by Using Stacking Tunneling Layer, Kuei-Shu Chang-Liao [Ikschang@ess.nthu.edu.tw], National Tsing Hua University, Taiwan; Dun-Bao Ruan, Fuzhou University, China; Chu-Chun Su, National Tsing Hua University, Taiwan

A high-performance junction-less charge-trapping flash memory device based ongate-all-around structure with a poly-Ge channel was successfully fabricated in this work. By leveraging the high carrier mobility of Ge, the use of a low-temperature poly-Ge channel enhances the operational speed of the flash memory device. However, under stringent thermal budget limitation, the reliability of the Poly-Ge flash device may degrade without enoughthermal processing. This degradation is likely attributed to the narrow energy bandgap of Ge material and Ge out-diffusion phenomena. By integrating post plasma processand stacked tunneling layer engineering, the memory device incorporating an aluminum oxynitride (AION) tunneling demonstrates significant improvements, including high programming/erasing speeds, excellent endurance cycles, and long data retention time. These enhancements can be primarily attributed to the superior thermal stability and interface quality of AlON, which may mitigate interface defects and improves robustness during thermal cycles. Besides, compared to traditional silicon nitridecharge-trapping layers, AION exhibits shallower trap energy levels. This property enables faster charge injection/ejection during programming/erasing operations without compromising data retention or endurance performance.

9:00am IA1-TuM-4 PVD Coatings for the Hydrogen economy - Applications, Testing and Production, Herbert Gabriel [h.gabriel@pvtvacuum.de], PVT Plasma und Vakuum Technik GmbH, Germany INVITED

Green hydrogen could be the fuel of the future. Generated by electroysers powered by photovoltaics and used in fuel cells could be part of the solution to the human mankind's problems with the climate change.

The harsh environments in electrolysers and fuel cells require components to be coated for corrosion resistance, electrical conductivity and other related properties..

Most of the components are made of stainless steel or titanium, but still need for their performance and long lifetimes up to 100.000 hours coatings with high performance properties.

Depending on the application, whether PEMWE, PEMFC, AEM, SOFCS, SOECs or others, thin coatings made of materials such as C, Ti, Cr, Nb, Au, Pt, Ir, MCO, Al2O3...... are deposited in the nanometer to a couple of micron range.

Preferred coating processes are magnetron sputtering, respectively HiPIMS, high power impulse magnetron sputtering to deposit highly adherent and dense coatings.

Most components of fuel cells and electrolycers to be coated are thin 2-dimensional structures in high quantity. For this reason high productive so-called in-line systems with vertical orientation are the preferred coating systems for double-sided deposition.

Apart from a number of other QC – tests, adhesion, corrosion and ICR (interface contact resistance) prior and after corrosion testing are essential properties to continually be tested and monitored.

9:40am IA1-TuM-6 Improving Doping Concentration for Shallow N\*/P Substrate Germanium Pn Junction with Plasma-Immersion Ion Implantation Process, Bo-Syun Syu [brian20000713@gmail.com], National Tsing Hua University, Taiwan; Dun-Bao Ruan, Fuzhou University, China; Kuei-Shu Chang-Liao, Po-Chun Wu, National Tsing Hua University, Taiwan

In recent years, due to the development of device technology nodes, therequirements for the mobility of channel materials have become increasingly demanding. Considering the compatibility with existing process equipment, the most suitable and high-mobility material is germanium (Ge) based channel material. However, unlike traditional silicon, Ge has a smaller band-gap, lower solubility for dopant impurities, a lower melting point, and other issues, making it very prone to phenomena such as Fermi-level pinning, small on/off junction current ratio, high reverse junction current, poor reliability. Therefore, exploring an effective implantationmethod, which may achieve shallow high-density dopingPN junction, is particularly important. This work successfully utilizes plasma immersion ion implantation technology to fabricate high-performance shallow N+/P junctions. It is believed that this work can provide an important technical path exploration for theapplication of Ge-based devices.

10:00am IA1-TuM-7 Molecular Layer Deposition – Versatile Tool for High Performance CNT-Polymer Composites, Roie Yerushalmi [roie.yerushalmi@mail.huji.ac.il], Edmond J Safra Campus, Givat Ram, Israel

Composite materials, particularly those reinforced with carbon nanotubes (CNTs), are gaining significant attention due to their remarkable strengthto-weight ratio compared to traditional materials. Additionally, these composites exhibit exceptional thermal and electrical properties. However, a primary challenge hindering the widespread application of CNTreinforced composites arises from the very properties that make them desirable—the CNT Csp<sup>2</sup>-Csp<sup>2</sup> network. To enhance the compatibility of CNTs with polymer matrices, modifications to the surface properties of CNTs are necessary. Unfortunately, these modifications often compromise the integrity of the CNT network, creating a significant barrier to progress. To address this challenge, we have developed an innovative vaporphase approach that utilizes combined Atomic & Molecular Layer Deposition (M/ALD). This method allows for molecular-level precision in tailoring CNT interfaces while minimizing negative impacts on the CNT network. By integrating surface engineering with M/ALD vapor-phase chemistry, we achieve a balanced interaction between non-covalent and covalent bonds with the polymer matrix in a single streamlined process.

This approach facilitates the fine-tuning of physical properties, enabling the design of high-performance CNT-reinforced polymer composites. The combined M/ALD methodology is broadly applicable for engineering the CNT-polymer interphase, providing precise control over surface interactions. Ultimately, this paves the way for the systematic development of high CNT loading composites and other nano-reinforced systems, exhibiting enhanced strength, toughness, and a range of additional desirable properties typical of nanomaterials composites.

# Surface Engineering - Applied Research and Industrial Applications

Room Town & Country D - Session IA2-2-TuM

# Surface Modification of Components in Automotive, Aerospace and Manufacturing Applications II

Moderators: Satish Dixit, Plasma Technology Inc., USA, Jan-Ole Achenbach, KCS Europe GmbH, Germany, Masaki Okude, Mitsubishi Materials Corporation, Japan

8:00am IA2-2-TuM-1 2D Material-Based Coatings for Superlubricity in Dry Sliding and Rolling Contacts, Diana Berman [diana.berman@unt.edu], University of North Texas, USA INVITED

Friction and wear-related failures are critical challenges for modern mechanical systems, affecting applications from microelectromechanical devices to automotive assemblies and biomedical implants. The pressing need to reduce these tribological failures has intensified efforts to design advanced coatings and lubrication solutions tailored to withstand extreme operating conditions. This presentation emphasizes our progress in the precise design of 2D material-based coatings, particularly those using graphene, molybdenum disulfide, and MXene, to achieve superlubricity—an ultra-low friction regime that greatly enhances component lifespan and efficiency.

By establishing a fundamental understanding of material interactions at sliding interfaces, we are able to develop coatings that not only improve performance but also contribute to the reliability and sustainability of tribological systems. These engineered coatings are evaluated for their tribological properties under a range of conditions, demonstrating that superlubricity can be achieved at the macroscale, under high contact pressure and shear conditions. We also propose experimental pathways to realize superlubricity in rolling-sliding contact conditions using solid-lubricant coatings, which could open new opportunities for industrial applications requiring highly durable, low-friction surfaces. Overall, this work lays the groundwork for next-generation tribologically optimized coatings, offering promising solutions for critical sectors reliant on advanced friction and wear management.

8:40am IA2-2-TuM-3 Exploring Controlled Plastic Deformation as a Preferable Pre-Treatment for Enhanced Tribo-Mechanical Properties of Fundamental Industrial Materials: Design of Wear Resistant Surfaces/sub-Surfaces, Daniel Tobota [daniel.tobola@kit.lukasiewicz.gov.pl], Puneet Chandran, Łukasiewicz Research Network – Krakow Institute of Technology, Poland; Łukasz Maj, Jerzy Morgiel, Institute of Metallurgy and Materials Science of Polish Academy of Sciences, Poland

The need for superior physical, mechanical and tribological properties in modern industrial applications has driven manufacturers to develop advanced materials or provide tailor-made/innovative solutions for utilizing existing high performance materials. Aerospace and automotive industries are known to employ innovative materials like modern steels, titanium alloys, advanced ceramics etc., to meet the exponentially growing demand for 'sustainable' materials, taking into account industrial and economic viability. However, an exclusive solution addressing the increased adaptability of these materials is always inadequate owing to the excellent mix of inherent physical and mechanical properties. Although industry favors a 'one solution' to all materials/problems approach, it is practically impossible to implement it in real time. In this study, we aim to strategically propose solutions to enhance the tribo-mechanical properties of wellknown, critical industrial materials like titanium, ceramics (alumina/Si) and advanced steels (Vancron/Vanadis) - through the synergistic effects of cold working and thermo-chemical processing.

The substrates of all the materials were subjected to simple finishing processes like grinding, turning/milling followed by controlled burnishing and shot peening/micro-blasting. Low temperature gas/plasma nitriding formed the last stage of pre-processing. Detailed tribological studies were carried out on all the samples. The nanoscale characterization of the pre-processed samples and the wear track via SEM/TEM revealed the formation of a thin 'tribo zone' with improved tribo-mechanical properties. The nature of tribo zone formed in each material, based on the type of cold working along with thermo chemical treatment will be outlined for all the materials and presented in the conference.

#### Acknowledgments

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9:00am IA2-2-TuM-4 Liquid Feedstock Thermal Spraying for Advanced Functional Coatings, Shrikant Joshi [shrikant.joshi@hv.se], University West, Sweden INVITED

Thermal spraying with liquid feedstock offers an exciting opportunity to obtain coatings with characteristics vastly different from those produced using conventional spray-grade powders. The two extensively investigated variants of this technique are Suspension Plasma Spraying (SPS), which utilizes a suspension of fine powders in an appropriate medium, and Solution Precursor Plasma Spraying (SPPS), which involves use of a suitable solution precursor that can form the desired particles in situ. The advent of axial injection high power plasma spray systems in recent times has also eliminated concerns regarding low deposition rates/efficiencies associated with liquid feedstock. The 10-100 µm size particles that constitute conventional spray powders lead to individual splats that are nearly two orders of magnitude larger compared to those resulting from the fine (approximately 100 nm - 2 µm in size) particles present in suspensions in SPS or formed in situ in SPPS. The distinct characteristics of the resulting coatings are directly attributable to the above very dissimilar 'building blocks' responsible for their formation. This talk will discuss the advancements in suspension and solution precursor thermal spraying associated with axial plasma spraying, with specific emphasis on thermal barrier coating (TBC) and environmental barrier coating (EBC) applications. Prospects of liquid feedstock thermal spraying for addressing some other niche applicationswill be discussed through some illustrative examples. A further extension of deploying solutions and suspensions that involves use of hybrid powder-liquid feedstock combinations for thermal spraying will also presented. This approach can be used to elegantly deposit coatings with unusual microstructures to develop a wide array of composite coatings. The possibilities unplugged by such hybrid feedstock processing will also be illustrated through case studies.

9:40am IA2-2-TuM-6 Research on HVOF Sprayed WCCoCr Coatings in Terms of Their Use on Sliding Rings of Mechanical Seals, *Aleksander Iwaniak [aleksander.iwaniak@polsl.pl]*, Silesian University of Technology, Poland; Łukasz Norymberczyk, ANGA Uszczelnienia Mechaniczne Sp. z o.o., Poland; *Grzegorz Więcław*, Certech Sp. z o.o., Poland

In many technical devices, where rotating elements occur and at the same time there is a need to transfer power, mechanical seals are used. This applies to practically every industrial sector, including: machinery, mining, automotive and aviation. Classic examples of such devices are pumps and compressors. The main purpose of using mechanical seals is to ensure the tightness and impermeability of the system. The critical elements of mechanical seals are sliding rings. Practical in all constructions used in industrial conditions, at least one of these rings is usually made of solid ceramic. Due to high requirements for durability, mainly resistance to wear through friction and high resistance to corrosive factors, the rings are most often made of tungsten carbide or silicon carbide.

The paper presents the results of tests on slip rings made of metal, whose working (friction) surfaces were coated with a HVOF thermal spraying technology using WCCoCr powder. This solution, in which a metallic ring core was used, provides very good mechanical properties, mainly impact strength. Thermally sprayed carbide coating on the working surface makes the slip rings highly resistant to wear due to friction. It is comparable to rings made of solid ceramics.

The work presents the results of durability tests of the working surfaces of rings manufactured using the HVOF technique. Specialized research methodology is discussed, including tests in static and dynamic conditions on a stand imitating the actual conditions of the device. The friction systems of rings made of different materials were tested. The condition of the surface layer of the rings after the tests was analyzed using 3D profilometry, SEM, EDS. The tests showed that the analyzed solution is characterized by high durability and has application potential. It should also be noted that this solution fits into the trends of sustainable development and closed circulation - worn slip rings can be regenerated.

Financial support by The National Centre for Research and Development (NCBiR) in Warsaw, Poland - Project No INNOTECHK2/IN2/2/181798/NCBR/13 is gratefully acknowledge.

10:00am IA2-2-TuM-7 Advanced Coatings for Critical Semiconductor Manufacturing Components, Julien Keraudy [julien.keraudy@oerlikon.com], Oerlikon Surface Solutions AG, USA; Venkateswarlu Kuchi, Oerlikon Surface Solutions AG, USA; Venkateswarlu Kuchi, Oerlikon Surface Solutions AG, USA; Klaus Boebel, Florian Rovere, Oerlikon Surface Solutions AG, Liechtenstein

The semiconductor industry is experiencing unprecedented growth, driven by the increasing demand for advanced technologies such as artificial intelligence, electric vehicles, and high-performance computing. This boom has led to a projected market value of \$1 trillion by 2030. To meet this demand, semiconductor manufacturers are under pressure to enhance production efficiency and reduce the cost of ownership. High throughput in semiconductor manufacturing is essential to achieve these goals, as it directly impacts production capacity and operational costs. The semiconductor industry relies heavily on specialized equipment to produce microchips and other semi-conductor devices. These machines, tasked with operating in highly demanding environments, require durable, precise, and dependable mechanical components to function optimally and with high reliability. High-quality coatings applied over inexpensive substrates offer a promising approach to meet the stringent demands of next-generation semiconductor manufacturing. These coatings can replace expensive monolithic materials without compromising performance, significantly reducing metallic contamination and improving the cost of ownership (CoO). This paper explores the development and application of advanced coatings by PVD or PECVD deposition methods for critical semiconductor manufacturing components. These advanced coatings enhance the longevity and efficiency of semiconductor manufacturing components, including but not limited to wafer stages, E-Chucks, and chamber liners by offering as an example superior wear resistance, reduce friction and improve lubricity, lower particulate generation under aggressive corrosive environment.

Protective and High-temperature Coatings Room Town & Country A - Session MA1-1-TuM

Coatings to Resist High-temperature Oxidation, Corrosion, and Fouling I

**Moderators: Justyna Kulczyk-Malecka**, Manchester Metropolitan University, UK, **Francisco Javier Pérez Trujillo**, Universidad Complutense de Madrid, Spain

8:00am MA1-1-TuM-1 High Temperature Corrosion Resistant Coatings: Recent Aluminide Developments for Renewable Energy Applications, Pauline Audigié [audigiep@inta.es], Cristina Lorente, Sergio Rodriguez, Loïc Oger, Alina Agüero, Instituto Nacional de Técnica Aeroespacial (INTA), Spain

Protective coatings are known for many decades as first-rate mitigation methods to hinder high-temperature oxidation and corrosion in several industrial sectors. For many years, INTA has been developing diffusion coatings by spraying Al slurry onto different substrates such as different composition of Fe, Ni, Ti and Mo-based alloys. Recently, new developments have been focused on renewables energies including concentrated solar power (CSP) plants with thermal energy storage systems and hydrogenfueled combustion systems. For those applications, some components require coatings that are resistant to corrosion/oxidation and mechanical stresses which might also give rise to considerable cost reduction by using lower cost alloys. In particular, new slurry aluminide coatings deposited onto TA6V and Ti6246 Ti-based alloys are being explored for compressor parts of aircraft engines and also onto 310H and 347H austenitic steels for molten nitrate and carbonate resistance in hot storage tanks and tubes in CSP plants. An overview of the global deposition process including surface preparation, deposition methods and thermal treatments will be shown for each generated coating. The coating formation mechanism and their prevailing protection mechanism in their respective corrosive environment will be presented. Furthermore, the loss of the protective oxide former element, Al in this case, by coating-substrate interdiffusion during exposure at high temperature can lead to premature degradation of the coating. Efforts have thus been pursued to reduce interdiffusion in the Ti6246 and 310H coated systems by incorporating Si particles in the slurry. This led to the formation of Si-Al rich diffusion barriers for which the latest progresses and corrosion results in both environments will be presented.

8:40am MA1-1-TuM-3 Molten Salt Corrosion and Stress Corrosion Cracking Performance of Slurry Aluminide Coated Steels for Thermal Energy Storage, Loïc Oger [loge@inta.es], Pauline Audigié, Instituto Nacional de Técnica Aeroespacial (INTA), Spain

Thermal energy storage systems associated to concentrated solar power (CSP) plants emerged as key technologies to allow consistent energy distribution while reducing electricity cost. However, their wide-range deployment is limited by the cost of the structural materials and by their short lifespan which imposes recurrent and expensive operation and maintenance. This is mainly due to the use of corrosive high-temperature molten salts as heat transfer fluid and to stresses generated by temperature variations. The European project COMETES was thus designed to develop coated materials as cost-effective alternatives suitable in current plants and in more aggressive operating conditions considered for next-gen CSP. The present study focused on molten salt corrosion and stress corrosion cracking (SCC) resistance of:

(1)A slurry aluminide coated P91 martensitic-ferritic steel considered as a promising alternative for current use in Solar Salt (60wt%NaNO $_3$  – 40wt%KNO $_3$ ) up to 580 °C because of its 3 to 10 times lower cost than the currently used Ni-based materials.

(2)a newly developed coated 347H austenitic steel, capable to withstand higher operating temperatures – 700  $^{\circ}$ C in the present study – with 32wt%Li<sub>2</sub>CO3 – 33wt%Na<sub>2</sub>CO<sub>3</sub> – 35wt%K<sub>2</sub>CO<sub>3</sub> carbonate to achieve higher plant efficiency and increase the heat storage duration.

1000 h-hot corrosion study of the uncoated materials in their respective molten salt and temperature showed significant degradation of the substrates with the formation of various alkaline oxides. Tensile tests performed at a strain rate of 10<sup>-3</sup> s<sup>-1</sup> after such exposure showed a relatively low sensitivity of the P91 to SCC while a collapse of the 347H mechanical properties was evidenced. The slurry aluminide coated P91, composed by a homogeneous FeAI outer layer with Kirkendall pores formed in the interdiffusion zone, significantly increased the corrosion resistance when compared with the uncoated P91 in Solar Salt capable to withstand up to 10,000 h at 580 °C. On the 347H, a 3-layers coating developed with several

Fe-Al-Cr rich phases in the top layer. Despite the hardness heterogeneity (200 to 1000 HV0.05) and the coating evolution along the exposure with the occurrence of phase transformations and Cr3Si precipitation, aluminide coated 347H withstood at least 2,500 h in carbonatesat 700 °C with the formation of a mixed  $\gamma/\alpha\text{-LiAlO}_2$  top layer. From the mechanical view, both steels were shown to have slightly lower maximal stresses after coating, which was attributed to crack initiation in the latter and then propagation into the substrate. Nonetheless both coatings efficiently increased the SCC resistance of the steels in molten salts.

9:00am MA1-1-TuM-4 Prediction of the Ageing Behavior of Diffusion Aluminide Coatings Using Machine Learning, Vladislav Kolarik [vladislav.kolarik@ict.fraunhofer.de], Maria del Mar Juez Lorenzo, Fraunhofer Institute for Chemical Technology ICT, Germany; Pavel Praks, Ranata Praksová, IT4Innovations National Supercomputing Center, VSB - Technical University of Ostrava, Czechia

Aluminide diffusion coatings provide an effective and cost-efficient solution for protecting steels from high-temperature corrosion in harsh environments. They can be applied as aluminum slurries through various deposition techniques, such as spraying or brushing, followed by heat treatment to create the diffusion layer. Machine learning algorithms show great potential for modeling and predicting the aging behavior of these coatings, while facilitating their optimization and customization. Machine learning relies solely on data and does not require physical models to describe dependencies. This is especially advantageous for systems influenced by multiple parameters, where the extent of each parameter's impact on the system is not well known. Symbolic regression and decision tree-based algorithms, such as XGBoost and Catboost were employed to explore the potential of machine learning in modelling the coating characteristic over time and forecasting the ageing behavior.

Data files for input were created collecting parameters, such as time, temperature, atmosphere, overall coating thickness, thicknesses of the partial layers, number of the partial layers, type of slurry etc. To evaluate the aging process, two key output parameters were modeled based on selected input variables: (1) the ratio of the outer Fe<sub>3</sub>Al layer to the total coating thickness, and (2) the aluminum concentration within the outer Fe<sub>3</sub>Al layer. The first parameter indicates the conditions under which the diffusion coating evolves into a single aluminum-poor layer—this occurs when the ratio equals 1. The aluminum concentration, on the other hand, reflects how much aluminum remains in the coating, which is essential for forming a protective alumina layer. Decision tree-based algorithms, such as XGBoost, are well-suited for assessing the degree of influence of individual parameters, with time emerging as the most significant factor, followed by the thickness of the aluminum-poor Fe<sub>3</sub>Al layer.

The results demonstrate that machine learning is highly effective for analyzing complex material systems influenced by numerous parameters, where the relationships and significance of these parameters are challenging to capture through traditional physical modeling.

9:20am MA1-1-TuM-5 Al-Enhanced Correlative Microscopy: A Multi-Modal Approach to Automotive Coating Evaluation, Hugues G. Francois-Saint-Cyr [hugues.fsc@thermofisher.com], Thermo Fisher Scientific, USA; Alice Scarpellini, Bartlomiej Winiarski, Thermo Fisher Scientific, Netherlands; Roger Maddalena, Rengarajan Pelapur, Thermo Fisher Scientific, USA

The automotive industry extensively employs zinc-based coatings to enhance corrosion resistance and extend the lifespan of steel components exposed to harsh atmospheric conditions. These protective layers, applied through hot-dip galvanizing and electroplating, prevent oxidation of the underlying steel. The effectiveness of these coatings depends on thorough material quality assessment, adhesion evaluation, and stringent final product control.

Scanning electron microscopy (SEM), along with other advanced analytical characterization techniques, is crucial for detailed evaluation of zinc-based coatings. SEM supports correlative microscopy (CM) workflows, integrating imaging, analytical solutions, and Al-assisted image analysis to provide a multi-modal and multi-dimensional view of the materials under investigation. The site-specific use of Plasma Focused Ion Beam-SEM (PFIB-SEM) cross-sections enables highly targeted analysis, revealing detailed microstructural features and providing comprehensive compositional and crystallographic information.

The Apreo ChemiSEM exemplifies this approach by enabling comprehensive surface and cross-sectional analysis of both coatings and steel substrates. Its correlative capabilities combine imaging, energy dispersive X-ray

spectroscopy (EDS) via ChemiSEM Technology, and electron backscatter diffraction (EBSD) with the TruePix detector. Cross-sectional analysis is performed on both metallographically prepared sections and PFIB-prepared cross-sections, providing detailed insights and accelerating the characterization of coating morphology and defect identification.

Integrating imaging with ChemiSEM Technology allows for detailed investigation of surface oxidation, inclusions, and inhibition layers within the coatings. The TruePix detector identifies areas of high dislocation density and other crystalline defects, offering a deeper understanding of material weaknesses that may correlate with reduced adhesion or other issues.

Al-assisted image analysis enhances the characterization process by significantly reducing the time required to interpret complex datasets. Deep learning models integrated into the workflow provide accurate, large-scale analysis of data collected at both micro- and nanoscale levels, enabling validation over millimeter-scale regions. This synergy between advanced microscopy and Al ensures a robust and comprehensive evaluation of zinc-based coatings, linking structure, processing, property, and performance in automotive applications.

9:40am MA1-1-TuM-6 High-Temperature Corrosion in Contact with Molten Glass Improved by Thermal Spray Coating, Michelle Hartbauer [michelle.hartbauer@uni-bayreuth.de], University of Bayreuth, Germany; Thomas Dörflinger, Neue Materialien Bayreuth GmbH, Germany; Helge Schumann, Wiegand-Glashüttenwerke GmbH, Germany; Gilvan Barroso, Rauschert Heinersdorf-Pressig GmbH, Germany; Haneen Daoud, Neue Materialien Bayreuth GmbH, Germany; Florian Scherm, Uwe Glatzel, University of Bayreuth, Germany

Glass manufacturing demands extreme conditions, exposing components to high-temperature corrosion through contact with molten glass at temperatures above 1100 °C. Thermal spray processes have emerged as a valuable solution for creating protective coatings that can significantly enhance material performance in such harsh environments. These coatings improve component longevity by increasing hardness, wear resistance, and corrosion resistance. Known for their performance, ease of application, and cost-effectiveness, thermal spray coatings are widely used across various applications.

To address these challenges, this study employs thermal spray processes to deposit an Al-Ni alloy onto a substrate of lamellar grey cast iron. Al and Ni wires are applied simultaneously via arc spray process. An electric arc generated between two consumable wires produces intense heat, melting the wire tips. Nitrogen gas then propels the molten material onto the substrate's surface, where it rapidly solidifies to form the protective coating. Heat treatment was then carried out.

To examine the coating's corrosion resistance, samples were immersed in molten glass for up to 48 h and subsequently prepared for analysis using metallographic procedures. Cross-sectional imaging allowed the analysis of the reaction zone between the thermal spray coating and molten glass.

Analyses of microstructure and chemical composition were conducted using SEM and energy-dispersive spectroscopy (EDS) across various stages: after thermal spraying, post-heat treatment, and after glass contact. Additionally, phase identification was carried out using X-ray diffraction (XRD).

Coating thicknesses with  $100 - 300 \ \mu m$  were achieved. The differences in composition and heat treatment have a great influence on the microstructure of the thermal sprayed coatings. Differences in elemental distribution, phases formed, and their corresponding properties, particularly hardness, were observed.

10:00am MA1-1-TuM-7 Microstructural Characterization and Isothermal Oxidation Behavior of a Nanolaminate Ti<sub>2</sub>AlC MAX Phase Coating on TiAl 48-2-2, Radosław Swadźba [radoslaw.swadzba@git.lukasiewicz.gov.pl], Łukasiewicz Research Network – Uppersilesian Institute of Technology, Poland; Bogusław Mendala, Lucjan Swadźba, Silesian University of Technology, Poland; Nadine Laska, German Aerospace Center (DLR), Germany; Sarra Boubtane, German Aerospace Center, Germany; Dariusz Garbiec, Łukasiewicz Research Network – Poznań Institute of Technology, Poland

This study investigates the application of the Closed Hollow Cathode Physical Vapor Deposition (CHC-PVD) method for depositing  ${\rm Ti}_2{\rm AlC}$  MAX phase nanolaminate coatings on a TiAl 48-2-2 alloy substrate. During deposition, samples were placed within an 80 mm-diameter, 160 mm-long hollow cathode using a target composition of Ti-25Al-25C (at.%). The resulting coatings, approximately 12  $\mu$ m thick, exhibited a columnar

microstructure. Advanced characterization techniques, including High-Resolution Scanning Transmission Electron Microscopy (STEM) and High-Resolution TEM (HRTEM), were employed to analyze the microstructure of both as-deposited coatings and coatings subjected to isothermal oxidation testing.

The oxidation resistance of the obtained coatings was evaluated using Thermogravimetric Analysis (TGA) at 850 °C for 20 hours in an air atmosphere. Mass change analysis revealed that the parabolic rate constant for the coated material was over five times lower than that of the uncoated substrate. Detailed STEM and HRTEM analyses showed Ti<sub>2</sub>AlC nanolaminates within the columnar structures, with basal planes of the Ti<sub>2</sub>AlC phase aligned parallel to the growth direction at the core and tilted in sub-columnar regions.

After the isothermal oxidation test it was found that a very thin and continuous alumina oxide scale is formed on the coated TiAl 48-2-2 with a thickness of around 320 nm. HRTEM and FFT (Fast Fourier Transform) imaging were applied to study the phase composition of the oxide scale and showed the presence of a mixture of transition  $\theta\text{-Al}_2O_3$  and stable  $\alpha\text{-Al}_2O_3$ .

10:20am MA1-1-TuM-8 Harnessing Ti<sub>2</sub>AlN MAX Phase Based PVD Coatings on Titanium Aluminide Alloys for High Temperature Applications, Sarra Boubtane [sarra.boubtaneepzammouri@dlr.de], German Aerospace Center, Germany

Nanolaminate coatings based on MAX phases (where M= Ti, A=Al, and X is nitrogen) exhibit a distinctive combination of ceramic and metallic material properties, offering considerable potential for utilization in high-temperature environments.

It is unfortunate that the application of MAX phases as coating material on various Ti- or Ni-based alloys results in degradation due to interdiffusion processes between the coating and the alloy, which is accompanied by an Al-depletion. A promising strategy involves combining a  $\gamma$ -TiAl substrate with a MAX phase coating, as the substrate can serve as an Al reservoir, replenishing the coating through outward diffusion of Al. This approach could also enhance the mechanical properties of such coated components compared to other protective but brittle intermetallic coatings on TiAl alloys.

In this study, a Ti<sub>2</sub>AlN-MAX phase-based coating was deposited using reactive magnetron sputtering using pure elemental targets of Ti, Al and nitrogen as a reactive gas. The deposition process was studied using a variety of substrates, including inert Al<sub>2</sub>O<sub>3</sub> and MgO substrates, as well as an already been used y-TiAl alloy. This alloy TiAl48-2-2 (48Ti-48Al-2Nb-2Cr in at.%), supplied by GfE-Gesellschaft für Elektrometallurgie in Nuremberg, Germany, was utilized for all oxidation and interdiffusion experiments. The two-fold rotation ensures homogeneous deposition, with a thickness of 10  $\mu$ m and near to the requisite stoichiometric composition of the Ti<sub>2</sub>AlN MAX phase. Due to the low substrate temperature during deposition, the resulting layer was X-ray amorphous. A post-annealing treatment was performed at 800°C in a high vacuum furnace for one hour for crystallization. Additionally, high-temperature X-ray diffraction (HT-XRD) in a vacuum atmosphere was conducted from room temperature to 1000°C to observe in-situ the phase formation in the Ti<sub>2</sub>AlN coating.

Following the production of Ti<sub>2</sub>AlN MAX Phase, a series of oxidation tests are conducted to assess the coating's performance. These include isothermal oxidation for 10 hours at 850°C in laboratory air. Hereby, the Ti<sub>2</sub>AlN MAX phase based coating develop a thermally grown layer of predominantly alumina, which is suitable as protection in high temperature environments. Below the alumina layer the Ti<sub>2</sub>AlN MAX phase as well as the intermetallic Al-rich phase.

Analysis techniques included GD-OES for chemical composition, XRD for phase analysis, and SEM/EDS and TEM for structural evaluation are used.

10:40am MA1-1-TuM-9 Empowering Pvd for Corrosion Protection: Ti(Al,Mg)Gdn Coatings with Game-Changing Corrosion Performance, Holger Hoche [holger\_claus.hoche@tu-darmstadt.de], Grafenstraße 2, Germany

Today, PVD technology is not the first choice for surface functionalization under corrosive conditions. The state-of-the-art for corrosion protection involves multilayers of electroplating or chemical corrosion protection layers, followed by a PVD top layer. This negatively affects sustainability and economic factors.

The authors successfully developed PVD-TiMgGdN and TiAlMgGdN coatings, sputtered with powder metallurgical targets in an industrial DC-magnetron PVD unit. With only a 5  $\mu$ m coating thickness, corrosive mild Tuesday Morning. May 13. 2025

steel substrates can be protected for at least 1000 hours in the salt spray test against corrosion [1]. By partially substituting magnesium with aluminum, the corrosion properties and manufacturability were further improved. Additionally, TiAlMgGdN coatings exhibit excellent corrosion behavior in alkaline (pH 8.5) and acidic (pH 5) environments.

This improvement is based on the synergistic effects of magnesium and gadolinium: Magnesium lowers the free corrosion potential of the coating, thereby reducing the susceptibility to galvanic corrosion. Gadolinium enhances the hydrophobicity of the surface, affects the conductivity, and supports the formation of stable passivation layers [2].

The influence of Gd, Mg, and Al on the corrosion protection performance will be investigated. Therefore, coatings with different Al/Mg proportions and varying Gd content were produced and compared regarding their microstructural, chemical, physical, and corrosion properties. Corrosion properties were investigated using different corrosion tests. The coating surfaces were also analyzed by nanoindentation measurements and chemical analysis to gather knowledge about the coating stability during corrosive stress.

The key properties influencing the corrosion protection effect of Ti(Al, Mg)Gd will be evaluated. Additionally, the effect of the specific chemical composition on the coating properties will be investigated. Understanding the key properties and their correlation with chemical composition allows for the specific design of functional corrosion-resistant PVD coatings.

[1] T. Ulrich, C. Pusch, H. Hoche, P. Polcik, M. Oechsner, Surface and Coatings Technology 422 (2021) 127496.

[2] H. Hoche, T. Ulrich, P. Kaestner, M. Oechsner, Vakuum in Forschung und Praxis 36, 2 (2024) 40.

#### Protective and High-temperature Coatings Room Palm 3-4 - Session MA3-1-TuM

#### Hard and Nanostructured Coatings I

**Moderators: Rainer Hahn**, TU Wien, Institute of Materials Science and Technology, Austria, **Stanislav Haviar**, University of West Bohemia, Czechia, **Fan-Yi Ouyang**, National Tsing Hua University, Taiwan

8:00am MA3-1-TuM-1 Hard TiAlTaN Coating by HIPIMS Deposition for Cutting Tools: Experiments, Simulations and Cutting Tests, Emile Haye [emile.haye@unamur.be], University of Namur, Belgium; Jérôme Muller, Pavel Moskovin, University of Namur, Innovative Coating Solutions, Belgium; Loris Chavee, University of Namur, Belgium; Szilard Kolozsvari, Plansee Composite Materials GmbH, Germany; Stéphane Lucas, University of Namur, Innovative Coating Solutions, Belgium

The quaternary  $Ti_{1.x\cdot\gamma}AI_xTa_vN$  system has been shown to possess superior thin film properties compared to  $Ti_{1.x\cdot}AI_xN$ . In addition to the impact of Ta content, the sputtering technique significantly influences the structural and mechanical characteristics of these films. In this study, high power impulse magnetron sputtering (HiPIMS) was employed to deposit dense, tough, and hard  $Ti_{1.x\cdot\gamma}AI_xTa_vN$  thin films from composite targets, which were then compared to TiAIN thin films. The effects of Ta addition were explored both experimentally and through simulations. VirtualCoater® was used to simulate thin film growth and properties, providing insight into the role of Ta in the densification process and the relationship between target composition and film composition. Subsequently, the mechanical, structural, and thermal properties of the films were experimentally examined, highlighting the significant benefits of Ta addition.

The observed enhancements are attributed to: (1) increased hardness due to film densification facilitated by intense Ta bombardment, (2) stabilization of the cubic structure at elevated temperatures, and (3) superior thermal resistance resulting from the formation of a mixed ( $Ti_xAl_yTa_z$ ) oxide monolayer, as opposed to the  $Al_2O_3/TiO_2$  bilayer observed in TiAlN-based coatings, as confirmed by XPS depth profiling.

Finally, dry cutting tool tests demonstrated a substantial increase in tool life and improved surface finish of the machined parts.

8:40am MA3-1-TuM-3 Development and Comparison of AlTiN-Based HiPIMS Coatings for Microtool Machining Applications, *Ivan Fernández-Martínez [ivan.fernandez@nano4energy.eu]*, Nano4Energy S.I.N.E, Spain Currently, the coating of microtools plays a critical role in precision manufacturing, as tool performance and longevity are heavily influenced by the properties and quality of the coating employed. In this context, HiPIMS technology provides hard coatings with a smooth finishing, a low defect

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density, and homogeneous coverage of 3D intricate parts – an essential advantage when coating tools with diameters smaller than a millimeter – thus representing an ideal choice for these applications.

AlTiN-based coatings doped with silicon (AlTiSiN) and boron (AlTiBN) have been developed to meet the specific demands of micro-tool applications, such as enhanced wear resistance, high thermal stability, and low friction in extreme operating environments. Properties such as hardness, adhesion, and residual stress were tailored and correlated to HiPIMS process parameters. In addition to mechanical properties analysis, tool performance was evaluated through machining tests, selecting Hardened Steel (HRC60) and Ti6AlV4 alloy as case materials. Both the finishing of the machined parts, and the wear suffered by the tool were analyzed.

The results highlight the potential of HiPIMS-deposited AlTiN-based coatings to significantly extend tool life and improve machining quality in precision manufacturing. Furthermore, this study provides insights into the trade-offs between boron and silicon doping, offering practical guidelines for selecting the most appropriate coating for specific micro-tool applications.

Our findings underline the versatility of HiPIMS technology in tailoring thinfilm properties for high-performance applications, demonstrating its growing relevance in the field of advanced microtools.

Keywords: hard coatings, nitrides, sputtering, HiPIMS.

9:00am MA3-1-TuM-4 Micro-Fracture Toughness and Durability of HiPIMS-Deposited Hard Coatings used for Micro-Machining of TiAl<sub>6</sub>V<sub>4</sub> Alloys, Arley Garcia [arley.garcia@imdea.org], Nano4Energy SL, IMDEA Materiales, Spain; Jose Antonio Santiago, Nano4Energy SL, Spain; Christoph Kirchlechner, Karlsruhe Institute of Technology (KIT), Institute for Applied Materials (IAM), Germany; Pablo Diaz Rodriguez, Nano4Energy SL, Spain; Miguel Monclús, IMDEA Materiales, Spain; Iván Fernández Martínez, Nano4Energy SL, Spain; Alvaro Guzmán, Universidad Politécnica de Madrid, Spain; Subin Lee, Karlsruhe Institute of Technology (KIT), Institute for Applied Materials (IAM), Germany; Jon Molina Aldareguia, Universidad Politécnica de Madrid, Spain

The High-Power Impulse Magnetron Sputtering (HiPIMS) technique enables the deposition of coatings with high hardness, low defect densities, and uniform, conformal coverage over complex 3D geometries, meeting the strict tolerances required in micromachining applications. High-speed machining (HSM) applications demand not only high hardness but also sufficient fracture toughness K<sub>IC</sub>, which is critical for maintaining the structural integrity of both bulk and coated engineering components [1]. However, these mechanical properties are often antagonistic, particularly in materials capable of plastic deformation, where high hardness typically correlates with lower fracture toughness [2].

This study aims to systematically evaluate the fracture toughness and machining performance of AlTiN- and TiN-based coatings doped with Si, deposited by HiPIMS using different process parameters. Micro-fracture toughness was assessed on freestanding films using single cantilever bending tests, effectively minimizing residual stress and substrate interactions to obtain precise K<sub>IC</sub> values. Crack formation at the cutting edge of a 0.4 mm diameter microdrill was observed using FIB, while the composition of the coatings was determined by GDOES. Additionally, XRD was employed to analyze grain growth texture and peak shifts, enabling the evaluation of biaxial stresses. The AlTiSiN coatings exhibited a hardness of 35 GPa, while TiSiN coatings reached 40 GPa. Fracture toughness ranged from 1.78 MPa·√m to 2.2 MPa·√m, depending on the HiPIMS parameters used. In micromachining tests on the TiAl6V4 alloy, the coatings allowed continuous micro-milling to be extended from 40 minutes to over one hour. These findings link toughness values, stress reduction, and crack formation at the cutting edges with tool durability in machining applications.

- [1]. BARTOSIK, M., et al. Fracture toughness of Ti-Si-N thin films. *International Journal of Refractory Metals and Hard Materials*, 2018, vol. 72, p. 78-82.
- [2]. HAHN, Rainer, et al. Superlattice effect for enhanced fracture toughness of hard coatings. *Scripta Materialia*, 2016, vol. 124, p. 67-70.

9:20am MA3-1-TuM-5 The Effects of Composition and Coating Thickness on the Mechanical Properties of TiZrN Coatings, *Chun Lin Yang [a0903271975@gmail.com]*, *Jia-Hong Huang*, National Tsing Hua University, Taiwan

The objective of this study is to deposit  $Ti_{1-x}Zr_xN$  hard coatings on silicon substrates using an unbalanced magnetron co-sputtering (UBMS) technique and to investigate the effects of target current and deposition time on the structure and mechanical properties of the films. In the experiments, the Zr *Tuesday Morning, May 13, 2025* 

target current was adjusted from 0.17 A to 0.35 A, while the Ti target current was varied from 0.37 A to 0.19 A. The total target current was controlled at 0.54 A, resulting in three series of Ti<sub>1-x</sub>Zr<sub>x</sub>N coatings, where x = 0.25, 0.55, and 0.85 (represented as Zr25, Zr55, and Zr85, respectively). The deposition time was also varied under these three compositional conditions to control the coating thickness, allowing the influence of composition and thickness on coating performance to be examined. The Ti and Zr targets were mounted on 2-inch unbalanced magnetron sputtering guns. The Ti<sub>1-x</sub>Zr<sub>x</sub>N coatings displayed a strong (111) texture, with a texture coefficient exceeding 0.95. The results revealed that due to the solid solution strengthening mechanism, Ti<sub>1-x</sub>Zr<sub>x</sub>N thin films exhibited high hardness across all three compositions, with the highest hardness of 34.9 GPa observed at Zr55. Furthermore, as the Zr content increased, residual stress also increased, attributed to the larger atomic radius of Zr compared to Ti. However, residual stress decreased with increasing coating thickness. This study uses the internal energy induced cracking (IEIC) method to calculate the stored elastic energy at different depths of the coatings based on residual stress, the Young's modulus of the films, and thickness. This approach enables precise calculation of energy gradients at various depths, thereby elucidating the stress distribution within the coatings.

9:40am MA3-1-TuM-6 Superstoichiometric (Al,Cr)N<sub>x</sub> Coatings with Superior Hardness, Fracture Toughness, and Wear Resistance, Fedor F. Klimashin [fedor.klimashin@empa.ch], Empa, Swiss Federal Laboratories for Materials Science and Technology, Switzerland; Martin Učík, PLATIT a.s., Czechia; Martin Matas, David Holec, Montanuniversität Leoben, Austria; Martin Beutner, Otto-von-Guericke-Universität Magdeburg, Germany; Jan Klusoň, Mojmír Jílek, PLATIT a.s., Czechia; Andreas Lümkemann, PLATIT AG, Switzerland; Johann Michler, Thomas E. J. Edwards, Empa, Swiss Federal Laboratories for Materials Science and Technology, Switzerland

Many transition-metal carbides, nitrides, and oxides are inherently nonstoichiometric compounds, characterised by broad homogeneity ranges in their phase diagrams. Deviations from stoichiometry, defined as the ratio of non-metal to metal atoms (x), can drastically affect properties. While substoichiometric compounds (x<1) have been widely studied, superstoichiometric compounds (x>1) remain largely unexplored.

Here we present our finding on superstoichiometric  $(Al,Cr)N_x$  coatings sputter-deposited from an  $Al_{60}Cr_{40}$  target at power densities reaching 840 W/cm². Experimental and computational analyses reveal that excess nitrogen predominantly occupies interstitial lattice sites. Upon surpassing a critical concentration ( $x\approx1.06$ ), grain renucleation rates increase, disrupting columnar growth and altering the preferential orientation from (111) to (220). The coatings exhibit a single-phase, face-centred cubic structure, a dense microstructure, and reduced surface roughness compared to benchmark coatings produced by cathodic arc evaporation.

Remarkably, hardness, fracture toughness, and wear resistance equal or exceed those of the benchmark coatings. Our findings highlight the advantages of superstoichiometric (Al,Cr)N $_{\rm x}$  as effective wear-resistant materials for advanced engineering applications, while also suggesting broader implications for the utilisation of superstoichiometric nitrides across various industries.

10:00am MA3-1-TuM-7 Connecting Phase Stability and Mechanical Properties of Ti—B—N Thin Films, Rebecca Janknecht [rebecca.janknecht@empa.ch], Empa, Swiss Federal Laboratories for Materials Science and Technology, Thun, Switzerland; Tomasz Wójcik, TU Wien, Austria; Fedor F. Klimashin, Empa, Swiss Federal Laboratories for Materials Science and Technology, Thun, Switzerland; Johann Michler, EMPA, Switzerland; Paul H. Mayrhofer, Rainer Hahn, TU Wien, Austria Understanding the relationship between thermally induced decomposition

Understanding the relationship between thermally induced decomposition in metastable material systems and their mechanical properties is critical for designing thin films with improved wear resistance and thermal stability. Our previous work revealed that achieving improved solubility of B in fcc-TiN requires a deviation from the TiN-TiB tie line toward Ti-rict compounds, facilitated by the formation of vacancies in the non-metal sublattice. This deviation was achieved by non-reactive co-sputtering of a Ti target alongside TiN and TiB2 targets, allowing full incorporation of 8.9 at.% B into the fcc-TiN lattice. In contrast, co-sputtering TiN and TiB2 yielded compositions along the TiN-TiB2 tie line, with excess B forming amorphous grain boundary phases [1]. In this study, we systematically annealed (1) a single-phase fcc-Ti-B-N coating with a composition of Ti1.08B0.18N0.74 and (2) a Ti-B-N coating with amorphous B-rich grain boundary phases with a Ti1.01B0.21N0.78 stoichiometry. Annealing was performed at 700°C, 800°C, 900°C, 1200°C and 1400°C for 10 minutes. Both coatings retained high hardness of 30±1 GPa up to 1200°C. The results of micro-cantilever

bending tests indicate an inverse relationship between fracture toughness ( $K_{\rm ic}$ ) and annealing temperature ( $T_{\rm a}$ ). The Ti<sub>1.01</sub>B<sub>0.21</sub>N<sub>0.78</sub> coating exhibits a  $K_{\rm ic}$  of 2.1 ± 0.1 MPa·m<sup>0.5</sup>, which increases to 3.8 ± 0.3 MPa·m<sup>0.5</sup> upon increasing  $T_{\rm a}$  to 1000°C, but  $K_{\rm ic}$  for the Ti<sub>1.08</sub>B<sub>0.18</sub>N<sub>0.74</sub> sample was observed to decrease from 2.7 ± 0.1 MPa·m<sup>0.5</sup> in the as-deposited state to 2.3 ± 0.1 MPa·m<sup>0.5</sup> at  $T_{\rm a}$ =1000°C. X-ray diffraction (XRD) and transmission electron microscopy (TEM) analyses confirm that  $K_{\rm ic}$  improves only when metastable Ti–B–N decomposes into co-existing thermodynamically more stable fcc-TiN and hcp-TiB<sub>2</sub> phases without the formation of additional hcp-Ti precipitates. These findings highlight the critical influence of compositional and structural control on the thermal and mechanical stability of Ti–B–N thin films, providing new pathways for their use in high-performance applications.

[1] R. Janknecht et al., A strategy to enhance the B-solubility and mechanical properties of Ti–B–N thin films, Acta Mater., 271 (2024), Article 119858, 10.1016/j.actamat.2024.119858 [https://doi.org/10.1016/j.actamat.2024.119858]

10:20am MA3-1-TuM-8 Effect of Oxygen Content and Thickness on the Property and Structure of Zr(O,N) Thin Film, Chi Feng Hung [rbisme@gapp.nthu.edu.tw], Jia Hong Huang, National Tsing Hua University, Taiwan

Transition metal nitrides (TMeNs) have been widely applied as the protective coatings for tools due to their excellent properties. Zirconium nitride (ZrN) coatings, in particular, attract attention for the outstanding mechanical properties, corrosion resistance, and attractive golden color. It has been extensively reported that by adding oxygen in ZrN, the coating becomes Zr(N,O), where the ionic/covalent bond ratios can be tuned by adjusting the nitrogen-to-oxygen (N/O) ratio, and consequently influencing the optical, electrical, and mechanical properties of the coatings. However, most studies of Zr(N,O) coatings are on the effect of phase transition on structure and properties within a wide range of N/O contents, while limited research was conducted with range of oxygen content where Zr(N,O) remains a single phase. The purpose of this study is to explore the effect of oxygen content and film thickness on the structure and properties of singlephase Zr(N,O) films. In this study, the Zr(N,O) coatings were prepared using dc unbalanced magnetron sputtering with different durations and oxygen flow rates. Four different oxygen flow rates were used to control the oxygen content, and the coating thickness was controlled by varying the deposition times. The preferred orientation of the coatings was observed by X-ray diffraction. The results showed that dominant (111) and (200) textures appeared in the specimens with low and medium oxygen contents, respectively; in contrast, the texture of the specimens with high oxygen contents varied from random to (200) with increasing thickness. The hardness and Young's modulus of the coatings were nearly constant for all samples, with no observable trends with respect to thickness or oxygen content. The results revealed that the electrical resistivity increased with increasing oxygen content. The variation of residual stress with increasing oxygen content could be divided into two regimes, where compressive stress dropped sharply when the texture changed from (111) to (200) and then gradually decreased with further increase of oxygen content. The specimens with higher oxygen contents exhibited a significant decrease in electrical resistivity with decreasing thickness, while the specimens with medium oxygen contents showed a less distinct decrease, and the specimens with the lowest oxygen contents showed no change in resistivity with thickness. The residual stress also showed two trends, in which residual stress decreased with thickness for the specimens with the lowest oxygen contents, while residual stress increased with thickness for the other specimens.

10:40am MA3-1-TuM-9 Effect of Fluence on Zirconium Nitride Coating Irradiated by 5-MeV-Proton, Rou-Syuan Chen [250201chen@gmail.com], Department of Engineering and System Science, National Tsing Hua University, Taiwan (ROC); Kuan-Che Lan, Institute of Nuclear Engineering and Science, National Tsing Hua University, Taiwan (ROC)

The development of advanced nuclear reactors and small modular reactors (SMR) will require nuclear fuel at a higher enrichment, which can introduce higher fluence of ionizing radiation such as fission products, neutron and proton etc. It will bring a severe challenge for fuel cladding materials. Zirconium nitride (ZrN) thin films are known for their thermal stability, high hardness, low resistivity, and better tribological properties, and they are widely used as hard coatings on deposited on the edge surface of cutting. Previous studies have also shown that ZrN exhibits great resistance to ionizing radiation. However, report about the irradiation damage of ZrN thin film as a function of proton fluence are rare. The objective of this study

is to investigate on effect of fluence on ZrN thin film irradiated by 5-MeV-proton. Post irradiation examination of ZrN thin film will be performed to analyze the electronic properties, crystal structure, hardness and young's modulus. The crystal structure and grain size of each sample are characterized by X-ray diffraction (XRD). The electronic resistivity is measured by a four-point probe. The film thickness is examined by scanning electron microscope (SEM). The residual stress is assessed by the laser curvature method (LCM) and average X-ray strain (AXS) method. The surface hardness and young's modulus are measured by nanoindentation (NIP).

#### Functional Thin Films and Surfaces Room Palm 5-6 - Session MB2-3-TuM

#### Thin Films for Electronic Devices III

Moderators: Jiri Houska, University of West Bohemia, Czechia, Ufuk Kilic, University of Nebraska - Lincoln, USA

8:00am MB2-3-TuM-1 Morphological Effects and Impurity Levels on the High-Temperature Electrical Insulation of reactively sputtered AIN, Norma Salvadores Farran [norma.salvadores@tuwien.ac.at], Christian Doppler Laboratory for Surface Engineering of high-performance Components, TU Wien, Austria; Tomasz Wojcik, Christian Doppler Laboratory for Surface Engineering of high-performance Components, Austria; Carmen Jerg, Oerlikon Balzers, Oerlikon Surface Solutions AG, Liechtenstein; Astrid Gies, Oerlikon Balzers, Oerlikon Surface Solutions, Liechtenstein; Jürgen Ramm, Oerlikon Balzers, Oerlikon Surface Solutions AG, Liechtenstein; Szilard Kolozsvári, Peter Polcik, Plansee Composite Materials GmbH, Germany; Jürgen Fleig, Tobias Huber, Institute of Chemical Technologies and Analytics, TU Wien, Austria; Eleni Ntemou, Daniel Primetzhofer, Department of Physics and Astronomy, Uppsala University, Sweden; Helmut Riedl, Christian Doppler Laboratory for Surface Engineering of high-performance Components, TU Wien, Austria

Aluminum nitride-based ceramics are renowned for their insulating properties and high thermal conductivity. Consequently, these materials have been employed for various applications across a range of temperature conditions, with a particular focus on insulating purposes. Nevertheless, as the electrical conductivity is a thermally activated process, the mobility of charge carriers at elevated temperatures presents a significant challenge for insulating thin film materials.

The aim of this study is to explore the effect of morphological features (i.e. grain size or porosities) and impurities on hexagonal structured AIN thin films using different physical vapor deposition techniques. Given the difficulties associated with maintaining process stability during the deposition of insulating coatings, various reactive PVD techniques have been explored, including magnetron sputtering (DCMS), high-power pulsed magnetron sputtering (HIPIMS), and pulsed magnetron sputtering (PMS). All films were grown in an in-house developed magnetron sputter system using 3" Al targets in a mixed Ar/N2 atmospheres. Phase formation has been examined using X-ray diffractometry (XRD), while the morphology was investigated in detail through scanning and transmission electron microscopy (SEM and TEM). The insulating behaviour of all films grown on metallic substrates was analysed using in-situ impedance spectroscopy across a temperature range from 400°C to 750°C – utilizing differently sized Ti/Pt lithography pads. The concentration of impurities, especially oxygen, was determined through the use of electron-induced X-ray emission spectroscopy (ERDA).

The results of the impedance measurements demonstrated a correlation between the electrical properties of the films and their morphological characteristics. The films grown via HIPIMS exhibited the highest morphological density and the greatest resistance over temperature. The samples deposited via PMS also demonstrated high electrical resistivity, although the values decreased at a certain level. It was not possible to determine the insulating properties of the films grown via DCMS, due to the presence of pinholes in the samples which also signifies a less dense morphology. Moreover, the influence of impurities as O2 has a significant effect on reducing the electrical resistivity of the films.

8:20am MB2-3-TuM-2 Pulsed Laser Deposition of Epitaxial Ti3AlC2 Mxene Thin Films on Al2O3(0001) Substrate, Pramod Kumar [pramod.kumar@surrey.ac.uk], Indian Institute of Technology Roorkee, India, University of Surrey, UK; Ananya Bansal, Indian Institute of Technology Roorkee, India; Satheesh Krishnamurthy, University of Surrey, UK; Ramesh Chandra, Indian Institute of Technology Roorkee, India

The newly explored two-dimensional transition metal carbides/nitrides, popularly known as MXene, are a new family of 2D materials with diverse applications. The coexistence of both ceramic and metallic nature, giving rise to exceptional mechanical, thermal, electrical, chemical properties and wide range of applications. Although several solution process techniques are there to deposit the MXene on substrate, but there is a need of highquality epitaxial thin films for the above stated applications. In this work, Ti<sub>3</sub>C<sub>2</sub>T<sub>x</sub> MXene powder was synthesized using acid etching method. Epitaxial thin films were deposited on sapphire substrate (Al<sub>2</sub>O<sub>3</sub>, 0001) for the first time using pulse laser deposition (PLD) with Ti<sub>3</sub>C<sub>2</sub>T<sub>x</sub> pellet as the source. The X-ray diffractometer and morphology studies showed the epitaxial nature of the film with columnar growth. The electrical conductivity of the film was found to be ~9421 S/cm. Resistance-temperature graph showed semiconductor-like behaviour for all the thickness tested. The thin film was also highly corrosive resistant in nature when tested with standard acidic. alkaline and saline solutions, which makes it ideal for anticorrosive coatings. Moreover, the p-n and n\*-n devices on silicon substrate also resulted in a high switching ratio compared to other 2D materials. Our results demonstrate the potential of PLD as a novel method for the growth of epitaxial MXene thin films.

**Keywords:** MXene; pulsed laser deposition, epitaxial growth, corrosion resistance, switching diode

8:40am MB2-3-TuM-3 Sputter Epitaxy of Predicted Dirac Semimetal MgTa<sub>2</sub>N<sub>3</sub>, Baptiste Julien [baptiste.julien@nrel.gov], Sage Bauers, National Renewable Energy Laboratory, USA

Ternary nitrides exhibit a wide range of functional properties, including superconductivity, magnetism, thermoelectricity, as well as topological properties. Among these,  ${\rm MgTa_2N_3}$  (MTN) has been predicted to be a Dirac semimetal with an interesting potential for topological phases tunability. These unique electronic properties tied to its layered crystal structure. In this works, we synthesized epitaxial MTN thin films using reactive RF sputtering on c-cut sapphire substrates. The as-deposited films exhibit a (111)-oriented disordered rocksalt structure (rs-MTN), with a high-quality epitaxy, confirmed by X-ray diffraction (XRD) and rocking curve analysis. To transform the disordered rocksalt phase into the targeted layered phase (P6<sub>2</sub>/mcm), we annealed the precursor films in NH<sub>3</sub>. This method showed significant promise, successfully inducing the phase transformation at lower temperatures while maintaining film integrity, decent epitaxy and mitigating secondary phase formation. Structural analysis revealed that annealing the epi-film precursor under NH<sub>3</sub> yields to a phase transformation from the (111)-oriented rs-MTN into a c-axis textured layered MTN. Whereas the precursor rocksalt shows weak thermally activated conduction, preliminary electro and magneto-transport measurements on the layered MTN films reveal promising properties for a Dirac semimetal

9:00am MB2-3-TuM-4 Stabilization of Cubic or Orthorhombic Structure in Sputtered Tin Sulfide Thin Films for Thermoelectric Applications, Rémy Juliac, David Pilloud, Sylvie Migot, Axel Tahir, Jaafar Ghanbaja, Brigitte Vigolo, Nicolas Stein, Jean-François Pierson [jean-francois.pierson@univ-lorraine.fr], IJL / CNRS / Univ. Lorraine, France

Tin sulfide (SnS) is a p-type semiconducting material with a band gap of approx. 1.3 eV. This compound is a promising material for thermoelectric applications, as an alternative to SnSe with the same crystallographic phase Pbnm but with no critical chemical elements [1]. Indeed SnS may crystallize in various structures, the orthorhombic phase (Hertzenbergite,  $\alpha\text{-SnS}$ ,) being the most stable one. Other structures are also reported in the literature, such as the  $\pi\text{-SnS}$  one that crystallizes in a cubic structure (P213) [2].

In the present work, SnS thin films have been deposited using pulsed-DC magnetron sputtering of a tin sulfide target. The effect of the experimental deposition conditions (total pressure and substrate temperature) to the structure, the microstructure, the composition and the functional properties has been studied.

The deposition total pressure strongly influences the structure of SnS thin films. The use of low pressure (0.5 Pa) favors the growth of the metastable cubic phase. A columnar microstructure with stacking faults has been evidenced by high resolution transmission electron microscopy for the films

deposited at low pressure. Deposition at high pressure (1.5 Pa) induces the synthesis of the orthorhombic phase, the most stable phase. At intermediate pressure, the films are biphased: cubic + orthorhombic. The electrical properties of the films are strongly influenced by their structure. On one hand, the orthorhombic phase exhibits a high electrical resistivity that strongly decreases the transport properties. On the other hand, the cubic phase shows a low electrical resistivity that improves the film properties.

The cubic structure being a metastable one, this phase is not obtained anymore when the films are deposited on a heated substrate. For temperature lower than 100 °C, the orthorhombic phase is the only one detected by X-ray diffraction and Raman spectroscopy. The film microstructure becomes porous when the SnS films are deposited at a temperature higher than the ambient one. Such a porous microstructure has a negative impact on the electrical properties and therefore the thermoelectric properties.

- [1] Tan *et al.*, Thermoelectrics with earth abundant elements: low thermal conductivity and high thermopower in doped SnS, J. Mater. Chem. A 2 (2012) 17302
- [2] Guc et al., Structural and vibrational properties of  $\alpha$  and  $\pi$ -SnS polymorphs for photovoltaic applications, Acta Mater. 183 (2020) 1

9:20am MB2-3-TuM-5 Governing Metal-Insulator Transition in Ultra-Thin VO<sub>2</sub> Films by Surface Engineering, Andres Hofer [juhofer@ucsd.edu], UC San Diego, USA; Ali Basaran, General Atomics, USA; Alexandre Pofelski, Brookhaven National Laboratory, USA; Tianxing Damir Wang, Victor Palin, UC San Diego, USA; Yimei Zhu, Brookhaven National Laboratory, USA; Ivan Schuller, UC San Diego, USA

The metal-insulator transition (MIT) in vanadium dioxide (VO<sub>2</sub>) thin films is strongly affected by grain size, thickness, and interfacial properties. Typically, the MIT is substantially suppressed for thickness below 50 nm when substrates like sapphire and silicon are used. While some studies have shown that films below 20 nm thickness can be achieved without compromising the integrity of the MIT, complex pre or post-growth processing is required. We show that engineering the substrate surface before the deposition facilitates the direct deposition of ultra-thin 15 nm thick films, exhibiting over four orders of resistance change across the MIT, which is comparable to its bulk counterpart. Our findings indicate that the interface between the thin film and the substrate is crucial to the structural evolution during the initial growth layer. With the appropriate surface preparation, the desired VO2 MIT transition can be obtained independently of the substrate's crystalline orientation. Furthermore, we propose a novel approach to obtain high-quality MIT in ultra-thin VO<sub>2</sub> films by magnetron sputtering. Unlike traditional film depositions, we incorporate a predeposited 1.5 nm thick vanadium oxide buffer layer, thereby eliminating the need of different materials besides vanadium oxide or complex pre- and post-growth processing. We also demonstrate that our unique growth methodology improves the MIT of 25 nm VO<sub>2</sub> thin films on standard silicon substrates. This study reveals a compelling approach for the direct growth of ultrathin VO<sub>2</sub> films exhibiting a high-quality MIT, which is commonly accepted as unattainable on technologically essential substrates such as sapphire and silicon.

9:40am MB2-3-TuM-6 Enhancing High-Entropy MEMS with Superior Thermal Stability and Scalability, Li-Hui Tsao [nthu031239@gmail.com], National Tsing Hua University, Taiwan; Ying-Hao Chu, National Tsing Hua University, Taiwan

Microelectromechanical Systems (MEMS) are essential in modern technology due to the increasing demand for multi-functional devices and Internet-of-Things (IoT) applications. In typical cases, the piezoelectric layers in MEMS serve as the main component for actuation, sensing, and transduction, which lead zirconate titanate (PZT) is widely used with high piezoelectricity. However, challenges, including poor thermal stability and degradation after long-term usage, have hindered its further development. Thus, it is crucial to introduce new material designs to solve these problems. In this work, a high-entropy material, Pb(MgaNbbTicHfdZre)O3 (PMNTHZO), is developed with colossal piezo-response and superior thermal stability. The sluggish diffusion effect diminishes the critical phase transformation and contributes to the robust properties at 523 K. Meanwhile, the integration with an 8-inch silicon substrate further suggests the massive potential for practical usage. In conclusion, this work demonstrates a novel high-entropy material with several intriguing physical properties, paving the way for next-generation electronic devices.

10:00am MB2-3-TuM-7 the Influence of Substrate Bias on Properties and Microstructure of High-Density Nanotwinned Ag Thin Films for High Power Device, *Pinng-Chun Kuo [icanfire93@gmail.com]*, Fan-Yi Ouyang, Department of Engineering and System Science, National Tsing Hua University, Hsinchu, Taiwan

In response to the increasing demands for advanced technologies, including autonomous vehicles, self-driving systems, and artificial intelligence computing (AIPC), the concept of 3D-IC has emerged. Advanced packaging techniques that exhibit high reliability, superior properties, and the capacity to endure elevated operating temperatures are necessary to address these demands. The predominant technique employed is Cu-to-Cu direct bonding; however, this method necessitates high-temperature processing (>350°C), during which Cu tends to oxidation, thus requiring a high vacuum environment for execution. In contrast, Ag has demonstrated superior electrical and thermal conductivity, and great oxidation resistance, making it a promising candidate for metal-to-metal direct bonding techniques in atmospheric conditions.

This study successfully fabricated high-density nanotwinned Ag thin films on SiC substrates utilizing magnetron sputtering and investigated the impact of substrate bias on the microstructure and properties of the films. The results show that nanotwinned structures were found on all samples, characterized by a high density of nanotwins with an average twin spacing of 7 nm. The grain size remained relatively consistent as the substrate bias was increased from 0 V to -80 V; however, grain growth was observed when the substrate bias was further increased from -80 V to -120 V. In addition, the samples deposited without bias exhibit a resistivity of 2.17  $\mu\Omega$  cm and a hardness of 1.9 GPa, significantly surpassing that of bulk Ag (0.58GPa). When the substrate bias increases to -60 V, the resistivity further decreases to 1.96  $\mu\Omega$  cm and hardness reduce to 1.55 GPa. Moreover, a comparative analysis was also conducted on the microstructure and properties of nanotwinned Ag thin films deposited on Si and SiC substrates. The influence of the substrate bias on nanotwin formation of Ag thin films for both substrates is discussed and compared.

 $\label{eq:condition} \textbf{Key word: nanotwin, metal-to-metal direct bonding, advanced packaging techniques}$ 

#### Plasma and Vapor Deposition Processes Room Town & Country C - Session PP5-TuM

#### Microfabrication Techniques with Lasers and Plasmas

**Moderators: Carles Corbella**, National Institute of Standards and Technology (NIST)/ University of Maryland, College Park, USA, **Stephanos Konstantinidis**, University of Mons, Belgium

8:00am PP5-TuM-1 Synthesis of 2D Transition Metal Dichalcogenides
Using Advanced ALD Cycle Schemes, Ageeth Bol [aabol@umich.edu],
University of Michigan, Ann Arbor, USA
INVITED

2D materials have been the focus of intense research in the last decade due to their unique physical properties. This presentation will highlight our recent progress on the large-area synthesis of two-dimensional transition metal chalcogenides for nanoelectronics using advanced plasma-enhanced atomic layer deposition cycle schemes. First, I will show how we can use advanced cycle schemes to deposit wafer-scale polycrystalline MoS2 thin films at very low temperatures down to 100 °C. We have identified the critical role of hydrogen during the plasma step in controlling the composition and properties of molybdenum sulfide films. By increasing the  $\rm H_2/H_2S$  ratio or adding an extra hydrogen plasma step to our ALD process, we can deposit pure polycrystalline MoS2 films at temperatures as low as 100 °C. To the best of our knowledge, this represents the lowest temperature for crystalline MoS2 films prepared by any chemical gas-phase method.[1]

The most dominant methods for preparing MoS<sub>2</sub> via ALD is to alternately expose a substrate to a metalorganic precursor and a hydrogen sulfide (H<sub>2</sub>S) or a plasma containing H<sub>2</sub>S. H<sub>2</sub>S is a corrosive, toxic, and flammable gas that is heavier than air, which makes it hazardous and expensive to store, install, and transport. Alternative sulfur precursors in the solid or liquid phase would be beneficial in terms of cost and safety and would require the installation of no additional hardware for most ALD reactors. In the second half of this contribution, the widely researched ALD process using bis(tert-butylimido)bis(dimethylamino)molybdenum(IV) (('BuN)<sub>2</sub>(NMe<sub>2</sub>)<sub>2</sub>Mo) and H<sub>2</sub>S plasma is compared to a novel ALD process using ('BuN)<sub>2</sub>(NMe<sub>2</sub>)<sub>2</sub>Mo, hydrogen plasma, and di-tert-butyl disulfide (TBDS), which is an inexpensive, liquid-phase sulfur precursor.

8:40am PP5-TuM-3 Nanocalorimetry for Plasma-Assisted Process Metrology in Semiconductor Microfabrication, J. Trey Diulus, National Institute of Standards and Technology (NIST), USA; Carles Corbella [carles.corbellaroca@nist.gov], National Institute of Standards and Technology (NIST)/ University of Maryland, College Park, USA; Feng Yi, David LaVan, Berc Kalanyan, Mark McLean, National Institute of Standards and Technology (NIST), USA; Lakshmi Ravi Narayan, National Institute of Standards and Technology (NIST)/ University of Maryland, College Park, USA; William A. Osborn, James E. Maslar, Andrei Kolmakov, National Institute of Standards and Technology (NIST), USA

New methods to monitor plasma processes in microelectronics industry, such as deposition, etching, and surface modification, require fine control plasma parameters, basic plasma-surface interactions, and structural/chemical resolution. These challenges can be solved by implementing nanocalorimeter devices, which usually consist of a 100 nmthin self-sustained silicon nitride membrane with lithographically defined metallic structure as a resistive temperature sensor and heater. The lateral sizes of the sensor can range from micrometer to millimeter scales. The small size/thermal mass, functionalization versatility, and low wafer-scale fabrication cost of nanocalorimeters, enable their facile integration into any reactor chamber to meet specific plasma process requirements. Here, we report on pilot tests of NIST-microfabricated nanocalorimeters aimed to detect reactive radicals generated by hydrogen cold plasma at typical conditions for semiconductor manufacturing (75 W RF, 10-30 Pa). The setup consists of a parallel arrangement of one gold-coated active sensor and a second alumina-coated reference sensor. Au layer serves as a catalyst with known hydrogen recombination coefficient. Hence, the difference in heat of recombination reactions is detected comparatively by activated and reference nanocalorimeters. The inert, reference sensor enables discrimination against the incoming UV-vis radiation, and fluxes of ions and electrons, which constitute the major parasitic signals. The setup was successfully tested, and parameters such as sensitivity in radical detection (5×10<sup>20</sup> m<sup>-2</sup>s<sup>-1</sup>) and in radical density (10<sup>18</sup> m<sup>-3</sup>), and response time (sub-100 ms), are discussed within the framework of standard plasma diagnostic techniques. In conclusion, fast-scanning nanocalorimetry constitutes a promising platform for plasma process monitoring, whose flexibility enables its possible integration into other optical or electrical metrologies.

9:00am PP5-TuM-4 Pulsed Laser Deposition for Energy Materials, Thomas Lippert [thomas.lippert@psi.ch], Paul Scherrer Institute, Switzerland INVITED

One of the material systems which we study are oxynitrides that are applied as photoanodes in photo-electrochemical water splitting. Shortcomings of this material class are a fast decay in activity over the first few electrochemical cycles and a decay on the long term. While the longterm decay is possibly related to a degradation of the material, i.e., a loss of nitrogen, the fast decay is not really understood, and therefore also no approach can be envisioned how to overcome this problem. We studied the fast decay of the material (and first approaches how to prevent this) by using thin films as model system. We could detect a surface modification, i.e., a change in density, by NR in the range of 3 nm, while XAS was utilized to analyze changes in oxidation state (order) for the different elements. A change of oxidation state of the A cation was detected, while the B cation (here for LaTiOxNy), which is normally assumed to be the active site, undergoes local disordering. This surface modification reduces the overall water splitting activity, but we could identify a co-catalyst, which supresses these modifications. We could also identify critical steps in the water splitting mechanisms, where during surface modifications the formation of NO<sub>x</sub> competes with the oxygen evolution. Without highly defined, high quality PLD films it would have not been possible to utilize the large facilities, and therefore to identify (mitigate) the origins of activity decay of these oxynitrides for water splitting.

Fundamental understanding material properties and reactions of energy materials can often be very well studied by large facility techniques, e.g., at synchrotrons or neutron sources, as unique information can be obtained in this way. A number of these methods require the application of well-defined samples, controlling crystallinity, roughness to interface quality. These requirements can often be fulfilled by thin films. We apply pulsed laser deposition (PLD) to create these thin films to utilize complementary techniques, ranging from neutron reflectometry (NR) to grazing incidence X-ray absorption spectroscopy (GIXAS).

9:40am PP5-TuM-6 Synergies Between Laser Technology and Thin Films for Advanced Functionalities, Sylvain Le Coultre [sylvain.lecoultre@bfh.ch], BFH-ALPS, Switzerland

In our ALPS Institute, laser technology and thin-film deposition are combined with the objective to unlock novel functionalities in nanofabrication. As a first example, by leveraging precise and partial laser ablation within multilayer systems, we achieve high-resolution decorative effects with nanometric precision. Laser structuring on thin-film materials enables tuning of material properties, as seen on carbon allotropes. Additionally, laser processing can be employed to generate nanoparticles by ablating a target, which can then be embedded in coatings to form nanocomposites with enhanced mechanical, optical, or catalytic properties. This presentation will explore a few specific applications and case studies that highlight the advantages of integrating laser processing with thin-film technologies.

10:00am PP5-TuM-7 Sputtering onto Liquids : From Nanoparticle Suspensions to Functional Polymer Composites, Stephanos Konstantinidis [stephanos.konstantinidis@umons.ac.be], France - Emmanuelle Bol, Valentine Jauquet, Jeremy Odent, Anastasiya Sergievskaya, University of Mons, Belgium

Magnetron sputter deposition of metal atoms onto vacuum compatible liquids allows producing colloidal solutions of small metal nanoparticles (NPs) without any additional reducing or stabilizing reagents [1]. This presentation aims at presenting the results during which the process parameters were varied to study how the properties of the as-formed metal NPs are impacted. Parameters such as pressure, sputter power, and sputtering regime, e.g., DC or HiPIMS, were varied as well as the characteristics of the host liquid chemistry and viscosity. To monitor in space and time the behaviour of the NPs inside the liquid, in situ UV-Vis absorption spectrophotometry was implemented [2]. The temperature of the liquid was measured as well.

Our data show that the formation of a cloud of particles underneath the oil surface is usually observed while films form in the case of high viscosity liquids [3]. The effect of sputtering time and power, argon pressure, type of sputtering plasma (dcMS vs HiPIMS) were also studied taking castor oil, a vegetable liquid, as substrate. In this case, few - nm - in - diameter Au-NPs have a higher stability in the oil than Ag-NPs but secondary growth processes take place. Interestingly, HiPIMS promotes the formation of NPs larger than those obtained in dcMS mode [4]. Most recent experiments highllight the possibility of elaborating hydrogel / nanoparticle composites in a two step process by choosing an appropriate polymerizable host liquid [5]. Preliminary measures confirm that the as-obtained Ag-NPs / hydrogel composite can be used to detect mercury cations in aqueous solutions through color change.

Our data highlight that sputtering onto liquid allows for the synthesis of a few nm in diameter NPs but the plasma and liquid parameters matter. Ultimately, by chooseing carefully the liquid host, it is possible to elaborate polymer / NP functional composites.

- [1] A. Sergievskaya, A. Chauvin, S. Konstantinidis. *Beilstein J. Nanotechnol.* 13 (2022) 10–53.
- [2] S. Konstantinidis, F.- E. Bol, G. Savorianakis, P. Umek, P., A. Sergievskaya, Instr. Sci. Technol. 52(2), 125–137. https://doi.org/10.1080/10739149.2023.2223627
- [3] A. Sergievskaya, R. Absyl, A. Chauvin, K. Yusenko, J. Veselý, T. Godfroid, S. Konstantinidis, Phys. Chem. Chem. Phys. 25 (2023), 2803–2809.
- [4] A. Sergievskaya, A. O'Reilly, H. Alem, J. De Winter, D. Cornil, J. Cornil, S. Konstantinidis, Front. Nanotechnol. 3 (2021) 57.
- [5] V. Jauquet, Master Thesis, University of Mons (June 2023).

# Exhibitors Keynote Lecture Room Town & Country A - Session EX-TuM

#### **Exhibitors Keynote Lecture**

Moderator: Johanna Rosen, Linköping University, Sweden

11:00am EX-TuM-1 Design, Implementation and Production Upscaling of Magnetron Sputtering Cluster Technology for Future Applications, Hailin Sun [hailin.sun@teercoatings.co.uk], Teer Coatings Ltd., UK INVITED Cluster beam deposition offers advantages such as narrow size distribution and environmental benefits over wet chemical methods, but adoption has been limited by low deposition rates. This work presents the design and optimisation of a magnetron sputtering-based aggregation system to enhance cluster production. Gas dynamics simulations and magnetic field modelling were used to guide improvements in both chamber geometry and magnetic configuration.

We explored how gas aerodynamics within the condensation chamber influence cluster throughput. Specifically, varying the carrier gas inlet position revealed that introducing gas at the magnetron head significantly increased cluster flux under constant pressure and power, a result supported by simulation. Additionally, four chamber geometries were modelled, with experimental validation on two. A conical chamber with a narrower cross-section showed an order-of-magnitude increase in throughput (~20 mg/hour).

Further enhancement was achieved by unbalancing the magnetron's magnetic field. A configuration with reduced plasma density at the magnetron axis favoured dimer formation and cluster growth, leading to a 150-fold increase in cluster flux.

An application of this technology is demonstrated through the production of antimicrobial coatings for aerospace. We compared amorphous carbon coatings doped with silver or silver clusters. The cluster-based coatings, created using a separate cluster source, showed similar antibacterial efficacy but greater longevity due to reduced metal diffusion. These coatings were tested under both terrestrial and microgravity conditions, indicating suitability for space applications.

Surface Engineering - Applied Research and Industrial Applications

Room Palm 1-2 - Session IA3-TuA

# Innovative Surface Engineering for Advanced Cutting and Forming Tool Applications

**Moderators: Alessandro Bertè**, Lafer SpA, Italy, **Markus Esselbach**, Oerlikon Balzer, Liechtenstein

1:40pm IA3-TuA-1 Natural Rock Star: PVD-Functionalizing of Nature-Derived Materials for Cutting Applications, Wolfgang Tillmann, Dominic Graf [dominic.graf@tu-dortmund.de], Nelson Filipe Lopes Dias, TU Dortmund University, Germany; Bernd Breidenstein, Berend Denkena, Benjamin Bergmann, Hilke Petersen, Leibniz Universität Hannover, Germany The manufacturing process of traditional cutting materials such as cemented carbide involves significant energy consumption and costly raw materials that are often linked to environmental harm during extraction. To address these concerns, there is a growing demand for developing sustainable cutting materials. In this context, natural materials are both environmentally friendly and abundant. Natural rocks, in particular, are promising due to their hardness, which typically ranges from 8 to 16 GPa depending on the rock type. The suitability of these natural materials for machining can be enhanced via functionalization of the surface properties by applying a protective thin film using physical vapor deposition (PVD) technology.

Preliminary studies show the suitability of various rock types as cutting material. Cutting inserts are crafted from these natural rocks and subsequently ground. A TiN thin film is deposited onto the various natural rock inserts using a magnetron sputtering process. The resulting TiN thin films crystallize in a cubic structure on all rock types. The obtained hardness values are comparable to TiN thin films grown on tool steel. In contrast to a polished surface, a ground surface of the natural rocks promotes good adhesion of the TiN thin films. To assess the cutting performance and wear characteristics of PVD-coated natural rocks, turning tests are conducted using the aluminum alloy Al7075. The TiN thin film significantly enhances wear resistance, thus extending the service life of the cutting inserts. Additionally, it is observed that the distinct material properties of the natural rocks significantly affect the wear behavior. Rock types with a more homogeneous structure demonstrate improved wear resistance over extended cutting lengths.

To analyze the effect of the substrate on the TiN thin film adhesion three different glass substrates were chosen as surrogates for natural rocks. Glasses are particularly suitable as surrogates because of their similar SiO2 content. The investigations reveal a strong influence of the stress state on the adhesion, as TiN on window glass shows weaker adhesion due to high compressive residual stresses. The possible adaptation of thin film design strategies developed for glass onto natural rock surfaces is evaluated. The utilization of a PVD-coated natural rock emerges as a promising concept for broadening the spectrum of cutting materials and promoting sustainability in their manufacturing. A tailored adjustment of the grinding process for cutting inserts with an adapted thin film design is anticipated to further elevate the cutting performance of natural rock inserts.

2:00pm IA3-TuA-2 Effect of Si Alloying on CrN Coatings Deposited by S3p Technology for Plastic Processing Applications, Alessandro Togni [alessandro.togni@oerlikon.com], Denis Kurapov, Thomas Vermland, Oerlikon Surface Solutions AG, Liechtenstein

In the plastic processing industry, molds and tooling components are frequently exposed to chemically aggressive environments, particularly when handling plastics containing halogenated and phosphorus-based flame retardants. While CrN coatings generally offer good corrosion resistance, their wear resistance may fall short when processing plastic formulations containing highly abrasive additives such as glass fibers or hard polymers like polycarbonate. In addition, achieving an ultra-smooth surface finish is essential for producing mirror-polished plastic components, requiring deposition techniques that enhance surface quality while ensuring precise control over coating thickness to meet stringent dimensional tolerances.

To address these challenges, we developed Si-alloyed CrN coatings using Oerlikon Balzers' Scalable Pulsed Power Plasma (S3p) technology. Si-doped Cr targets with varying Si concentrations were employed to systematically investigate the effect of Si content on the microstructure and mechanical properties of the coatings.

Our findings revealed that all coatings exhibited a cubic CrN phase and a columnar growth morphology. Increasing the Si content progressively refined the grain structure and induced higher compressive residual stress levels, thus improving mechanical performance. Notably, the hardness of the coatings increased by up to 50% at approximately 5 at.% Si while maintaining a consistent elastic modulus, resulting in a higher H/E ratio—a key indicator of wear resistance. These results highlight the potential of Sialloyed CrN coatings as a promising solution for extending the lifespan of molds and tooling components in demanding plastic processing environments.

2:20pm IA3-TuA-3 Tailoring PVD Coatings by Electro-Magnetic Fields Generated by Coil Systems for Cathodic Arc Evaporation, *Dominic Stangier [dominic.stangier@oerlikon.com]*, Oerlikon Balzers Coating Germany GmbH, Germany

Cathodic arc evaporated (CAE) coatings are dominating the field of wear resistant PVD thin films for tools due to their excellent adhesion, high deposition rates and outstanding performance as well as service life in industrial scale applications. An established approach to control the movement of the arc spot on the cathode surface during the deposition process is the use of static magnetic fields, which are generated by a defined arrangement of permanent magnets placed close to the cathode material. However, this solution is strongly limited in its setting options and does not consider any dynamic effects such as an increasing magnetic field strength caused by changes of the erosion profile of the cathode material or adjusted evaporator currents. To overcome these challenges and limitations of CAE processes electro-magnetic fields generated by coil systems (CS) are a promising approach to precisely control and adjust the magnetic field design and strength and therefore enable the possibility of tailored and improved properties of PVD coatings. Due to this reason, a coil system consisting of an inner and outer independently controllable coil, which additionally allows switching of the polarity was used for an industrial scale arc source (APA evaporator) as well as in combination with permanent magnets. It was found, that besides the higher material utilization compared to permanent magnetic setups for cathodic arc materials, the adjustment of the magnetic field by APA CS enables the possibility of maintaining the desired fcc-structure for high Al-contents in Al-rich AlTiN coatings. Additionally, this dedicated setup can be used for challenging CAE processes for "hard to evaporate materials" in combination with a dynamically controlled magnetic field for steering the arc spot. A homogenous erosion profile of the cathode material was achieved for the deposition of carbon coatings with adjustable hardness profile.

2:40pm IA3-TuA-4 Advanced Cyclic Load Resistance of AIXN Coatings for Metal Forming Applications, Simon Evertz [simon.evertz@eifeler-vacotec.com], Stefan A. Glatz, Tobias Oellers, Markus Schenkel, voestalpine eifeler Vacotec GmbH, Germany

Cyclic loading is critical for the industrial application of PVD coatings, especially in metal forming applications. With the increasing interest in using thin super-high-strength steel sheets for forming bodies/parts with reduced component weight, light-weight design and less fuel consumption could be achieved for example in automotive industry. Consequently, the loads become more demanding on molding dies and therewith protective coatings. These applications require coatings resistant to cyclic mechanical and/or thermal loading and fatigue. The specific structure of voestalpine eifeler's Duplex-VARIANTIC \*-1400-plus with its multiple hard material AIXN layers overcomes the very demanding requirements in terms of strength, not-hardness, and load-bearing capacity in such metal forming applications and outperforms other commercially used hard nitride protective coatings. This property profile makes voestalpine eifeler's Duplex-VARIANTIC\*-1400-plus the optimal solution for metal forming high-strength and advanced high-strength steel sheets.

3:00pm IA3-TuA-5 Bistability and Process Control in Electrolytic Plasma Processes, *Nicolas Laugel [nicolas.laugel@manchester.ac.uk]*, *Aleksey Yerokhin, Allan Matthews*, The University of Manchester, UK

Electrolytic Plasma Processes (EPP) encompass a variety of surface modification techniques, leveraging high power densities in aqueous electrolytes to induce plasma-assisted reactions at material interfaces. These processes have diverse applications, including Electrolytic Plasma Polishing (EPPo), thermal diffusion-based hardening, Cathodic Plasma Discharge Electrolysis (CPDE) for surface cleaning or superficial inclusion of trace metallic dopants, and Plasma Electrolytic Oxidation for ceramic coatings on valve metals. Their relevance spans major manufacturing fields, particularly in the automotive, aerospace, tooling and medical sectors. Since EPP are independent of workpiece geometry and rely on harmless

electrolytes, they are uniquely well-suited for finishing additively manufactured components. Yet despite their versatility, EPP development remains constrained by the complex interplay of electrochemical and physical effects. In particular, the emergence of a bistable regime - caused by the coupling between rapid electrochemical reactions and slower evolution of gas phases in the electrolyte - often renders a large portion of the electrical parameter space practically inaccessible.

We introduce a basic first-principle model able to capture the essential dynamics of bistability without distracting complexity. This model serves as a guide to predict the qualitative effects of varying key process parameters. We identify qualitative convergences as well as discrepancies between model-based predictions and experimental observations in industrially-relevant conditions, using ammonium sulfate-based electrolytes and stainless steel workpieces. The parameters explored include the polarity of EPP, electrolyte composition, temperature, and electric field distribution, manipulated via radius of curvature of the treated surface. Particular attention is given to the parameters impacting the boundary between stable and bistable regimes under these conditions.

Since industrial applications of EPP typically favor conservative approaches that prioritize process stability, improving the predictability of bistability regime boundaries is an immediately relevant opportunity for fine-tuning. This could lead to optimizations in energy efficiency, processing time, and uniformity. Furthermore, recognizing the role of transient states and harnessing them may inspire innovative surface engineering strategies, expanding the potential of EPP beyond current implementations.

# Protective and High-temperature Coatings Room Town & Country A - Session MA1-2-TuA

# Coatings to Resist High-temperature Oxidation, Corrosion, and Fouling II

**Moderator: Vladislav Kolarik**, Fraunhofer Institute for Chemical Technology ICT, Germany

2:20pm MA1-2-TuA-3 Advanced Chemical Vapor Deposition Technology for High Temperature Applications, Natasa Djordjevic [natasa.djordjevic@ihi-bernex.com], Anne Zhang, Hristo Strakov, IHI Bernex AG, Switzerland

Recent research explores the potential of Chemical Vapor Infiltration (CVI) and Chemical Vapor Aluminizing (CVA) technologies to produce advanced coating solutions for high temperature applications and materials with enhanced performance at demanding conditions.

CVI is increasingly used for establishing coating solutions for fiber-reinforced composites, enabling deposition of interface layers or infiltration of ceramic matrices with different precursors at elevated temperatures. The technique allows production of materials with greatly enhanced properties such as thermal stability, mechanical strength, oxidation and corrosion resistance.

On the other hand, CVA is a modern advanced process for applying diffusion coatings on metallic-based turbine blades and vanes in the hot section of aero- and land-based turbines against oxidation and corrosion. The CVA process is capable of controlled alloying the coating with additional elements by using metal chlorides and tight control of the coating composition and on this way increasing the life time of such components.

This work will highlight the latest developments of different coating technology solutions for high temperature applications, including improvements in precursor chemistry, reaction kinetics, stoichiometry and process control. Emphasis will be placed on the challenges related to maintaining uniformity and quality of deposition in different geometries and the influence of the coating equipment in order to precise control the parameters.

2:40pm MA1-2-TuA-4 Oxygen Concentration Governs High-Temperature Oxidation Behavior of (Cr<sub>0.5</sub>Al<sub>0.5</sub>)(O<sub>Y</sub>N<sub>1-Y</sub>) Thin Films, Pauline Kümmerl [kuemmerl@mch.rwth-aachen.de], Felix Leinenbach, Janani Ramesh, RWTH Aachen University, Germany; Daniel Primetzhofer, Uppsala University, Sweden; Marcus Hans, Jochen M. Schneider, RWTH Aachen University. Germany

In (TM,Al)(O,N) (TM = Ti, V) thin films, the addition of oxygen enhances the thermal stability as for the decomposition into the hexagonal and cubic phases mobility on the metal and nonmetal sublattices is required, while Tuesday Afternoon May 13, 2025

for (TM,AI)N decomposition the activation of diffusion on the metal sublattice is sufficient. Little is known about the oxidation resistance of (TM,AI)(O,N) thin films; thus a systematic study of the influence of the O concentration in (Cr,AI)(O,N) on the oxidation resistance and oxide scale formation is presented here.

 $(Cr_{0.5}A|_{0.5})(O_{\nu}N_{1.\gamma})$  thin films were grown by reactive high power pulsed magnetron sputtering where the O content was systematically varied through adjustment of the  $O_2$  partial pressure leading to compositions of  $(Cr_{0.50}A|_{0.50})_{0.49}N_{0.51}, \qquad (Cr_{0.48}A|_{0.52})_{0.48}(O_{0.15}N_{0.85})_{0.52}, \qquad \text{and} \ (Cr_{0.44}A|_{0.56})_{0.46}(O_{0.40}N_{0.60})_{0.54}.$  The oxidation behavior was investigated as a function of the O concentration at 1000 °C, 1100 °C, and 1200 °C for up to 16 h.

During oxidation an Al-rich oxide scale is formed. Between the  $(Cr_{0.5}Al_{0.5})(O_{\gamma}N_{1-\gamma})$  thin films and the scale, the formation of a an Al-depleted and O-enriched region is observed whereby the geometric extent and the level of porosity were strongly time and temperature dependent. At 1100 °C after 16 hours of oxidation the oxide scale thickness on  $(Cr_{0.48}Al_{0.52})_{0.48}(O_{0.15}N_{0.85})_{0.52}$  was with  $369\pm48$  nm significantly smaller than the  $513\pm96$  nm and  $462\pm53$  nm thick scale layers measured on  $(Cr_{0.50}Al_{0.50})_{0.49}N_{0.51}$  and  $(Cr_{0.44}Al_{0.55})_{0.46}(O_{0.40}N_{0.60})_{0.54}$ , respectively. Furthermore, chemical environment dependent DFT calculations are performed to determine the species specific energy requirements for vacancy formation and mass transport in an effort to elucidate the time and temperature dependent oxidation behavior.

# Protective and High-temperature Coatings Room Town & Country A - Session MA2-1-TuA

#### Thermal and Environmental Barrier Coatings I

Moderators: Sabine Faulhaber, University of California, San Diego, USA, Fernando Pedraza, La Rochelle University, Laboratory LaSIE, France, Francisco Javier Perez Trujillo, Universidad Complutense de Madrid, Spain, Gustavo García-Martín, REP-Energy Solutions, Spain

4:00pm MA2-1-TuA-8 Multicomponent Rare Earth Oxide Coatings for Refractory Alloys, Rachel Rosner, Kristyn Ardrey, Will Riffe, Alejandro Salanova, Prasanna Balachandran, Bi-Cheng Zhou, Carolina Tallon, Jonathan Laurer, Jon Ihlefeld, Patrick Hopkins, Sandamal Witharamage, Elizabeth Opila [opila@virginia.edu], University of Virginia, USA INVITED Rare earth oxide (RE2O3) exhibit three crystal structures across the lanthanide series:hexagonal, monoclinic, and cubic, with all showing exceptionally high-melting temperatures (>2100°C) and excellent thermochemical stability. The cubic RE2O3, dysprosium through lutetium oxides, have isotropic thermal expansion with a reasonable match to Nb, making them suitable high temperature coatings for oxidation-prone refractory alloys. Multicomponent rare-earth oxides (MRO) allow the additional ability to target and optimize thermal expansion, resistance to molten deposits, and especially thermal conductivity, enabling their use as thermal/environmental barrier coatings (T/EBCs) in high-temperature, reactive environments such as turbine engines. Thermal conductivity of MROs has been shown to decrease with mixtures of RE2O3 with increasing mass and size variation. The larger, lighter, non-cubic lanthanide oxides, lanthanum through terbium oxides, mixed in a majority MRO cubic phase in non-equimolar proportions will precipitate as second phases once their solubility limit in the cubic RE<sub>2</sub>O<sub>3</sub> is exceeded, enabling further reductions in thermal conductivity. In this work, MRO compositions are systematically varied to aid in achieving targeted thermal conductivity, thermal expansion, and resistance to molten deposits. Powder mixtures were combined, ball milled, and sintered via spark plasma sintering. Room temperature thermal conductivity was measured using the laser-based time domain thermoreflectance method. Thermal expansion was determined by dilatometry or lattice parameter measurements as a function of temperature.Resistance to molten CaO-MgO-Al<sub>2</sub>O<sub>3</sub>-SiO<sub>2</sub> was quantified after exposure at temperatures of 1300-1500°C for times between 1 and 96h.Here we evaluate whether a single layer MRO will meet all design requirements for a (T/EBC) enabling cost efficient coating synthesis or whether additional coating layers are required to achieve adherent, protective properties for hot section turbine engine component applications.

4:40pm MA2-1-TuA-10 PVD Ce-Coating to Mitigate Intergranular Oxidation of Additively Manufactured Ni-Base Alloy In625, Anton Chyrkin [chyrkin@chalmers.se], Andrea Fazi, Mohammad Sattari, Mattias Thuvander, Chalmers University of Technology, Gothenburg, Sweden; Wojciech J. Nowak, Rzeszow University of Technology, Rzeszow, Poland; Dmitry Naumenko, Forschungszentrum Jülich GmbH, Germany; Jan Froitzheim, Chalmers University of Technology, Gothenburg, Sweden

Additively manufactured (AM) Powder Bed Fusion - Laser Beam Ni-base alloy IN625 suffers from intergranular oxidation (IGO) during air exposure at 900 °C in contrast to the conventionally manufactured (CM) forged alloy IN625. A new mechanism of IGO in AM alloys is proposed: IGO is triggered by oxide buckling over the grain boundaries (GBs) in the alloy followed by oxidation of the open intergranular voids. Application of a 10 nm thick PVD coating of Ce promoted a slower inward growth of the  $Cr_2O_3$  scale, better oxide adherence and as a result strongly suppressed IGO. The  $Cr_2O_3$  scales thermally grown on both uncoated and Ce-coated alloys were analysed with SEM/EDX, EBSD, GD-OES, TEM and APT. The main beneficial effect provided by the Ce-coating is improved oxide adherence preventing oxide from buckling and oxidation of intergranular voids.

# Protective and High-temperature Coatings Room Palm 3-4 - Session MA3-2-TuA

#### **Hard and Nanostructured Coatings II**

**Moderators: Rainer Hahn**, TU Wien, Institute of Materials Science and Technology, Austria, **Stanislav Haviar**, University of West Bohemia, Czechia, **Fan-Yi Ouyang**, National Tsing Hua University, Taiwan

1:40pm MA3-2-TuA-1 Designing Nanocrystalline Alloys and Compounds: Unraveling Compositional and Microstructural Pathways to Exceptional Properties, Rostislav Daniel [rostislav.daniel@unileoben.ac.at], Michal Zitek, Tobias Ziegelwanger, Montanuniversität Leoben, Austria; Ranming Niu, The University of Sydney, Australia; Edoardo Rossi, Marco Sebastiani, Università degli studi Roma Tre, Italy; Petr Zeman, Stanislav Haviar, University of West Bohemia, NTIS, Czechia; Jozef Keckes, Montanuniversität Leoben, Austria

This talk presents advanced methods in combinatorial synthesis and microstructural design to achieve extraordinary properties in multielement alloys and layered coatings. Using the CrCuTiW alloy system as a primary example, we demonstrate how large compositional variations and the limited miscibility between elements lead to diverse self-assembled multicomponent phases, combining solid solutions, nanocomposites, and metallic glasses. These structures exhibit unexpected combinations of hardness and elastic modulus, demonstrating the potential for unique property tailoring.

In a second example, a cross-sectional combinatorial synthesis of nanostructured CrMnFeCoNi alloy is employed to address the thermal stability of this metastable alloy. This approach enables an in-depth analysis of segregation kinetics in the primary phase at moderate temperatures (50-450°C) resulting in the formation of a variety of coexisting phases that enhance alloy strength while maintaining ductility and fracture toughness. This approach demonstrates its capability to provide insights into the thermal behavior of complex, metastable microstructures and allows for controlled property enhancement.

Additionally, the talk emphasizes a bio-inspired approach to compositional and microstructural design withina layered Zr-Cu-N system, where antibacterial properties are combined with enhanced fracture toughness and stress resistance. These multifunctional coatings represent a new class of sustainable materials, suitable for both hard and smart coating applications.

Our methodology integrates advanced multi-technique characterization tools, including 2D (XRD, EDX) and 3D (nano-XRD, nanoindentation) mapping capabilities, combined with transmission electron microscopy and atom probe tomography. These techniques facilitate a rapid assessment of processing-structure-property relationships in these novel nanostructured alloys, bridging the gap between theoretical predictions and practical applications. Together, these methodologies provide a pathway to the design of next-generation multifunctional layered architectures, tailored down to the nanoscale, to enable exceptional mechanical and functional properties and robust thermal stability.

2:20pm MA3-2-TuA-3 Evolution of the Pulsed-DC Powder-Pack Boriding Process: Exploring Low-Temperature Boride Layer Formation, J.L. Rosales-Lopez [jrosales1401@alumno.ipn.mx]<sup>1</sup>, M. Olivares-Luna, L.E. Castillo-Vela, I.E. Campos-Silva, Instituto Politécnico Nacional, Mexico

This study rigorously investigates the transformative potential of the Pulsed-DC Powder-Pack Boriding (PDCPB) process to catalyze boride layer formation on AISI H13 steel at remarkably reduced temperatures (600°C, 650°C, and 700°C) under substantial current densities (~952mA·cm<sup>-2</sup>) and significantly minimized exposure times of 1800s, 2700s, and 3600s. Enabled by the implementation of a custom high-capacity power supply, this innovation generates the essential electric field to support boriding at unprecedented low temperatures. Traditionally, achieving similar results in AISI H13 required treatments at temperatures exceeding 900°C with exposure times of at least 14400s, underscoring the extraordinary advancement represented by this approach.

Through meticulous microstructural and physicochemical analyses using SEM–EDS and XRD, the study reveals substantial findings: at a mere 600°C, PDCPB successfully produced dense, biphasic FeB+Fe2B layers with thicknesses ranging from ~8 $\mu$ m to ~17 $\mu$ m, uniformly distributed across the sample surfaces. Remarkably, and contrary to established reports on borided AISI H13, the substrate retained its  $\alpha$ -phase microstructure without transformation to the  $\alpha$ -phase, and the interface between the boride layer and substrate remained free of any diffusion zone. This breakthrough not only introduces significant commercial scalability for low-temperature boriding but also opens possibilities for further innovations, potentially achieving effective boriding near the 530°C threshold. The insights presented mark a seminal advancement in boriding technology, with vast implications for industrial applications and the future of materials engineering.

2:40pm MA3-2-TuA-4 Three-Fold Superstructured HfN/HfAIN Multilayers, Marcus Lorentzon [marcus.lorentzon@liu.se]<sup>2</sup>, Linköping University, IFM, Thin Film Physics Division, Sweden; Rainer Hahn, TU Wien, Institute of Materials Science and Technology, Austria; Lars Hultman, Justinas Palisaitis, Linköping University, IFM, Thin Film Physics Division, Sweden; Johanna Rosen, Linköping University, IFM, Materials Design Division, Sweden; Grzegorz Greczynski, Jens Birch, Naureen Ghafoor, Linköping University, IFM, Thin Film Physics Division, Sweden

Brittleness and poor fracture toughness are limiting factors for the application of hard protective coatings. To resolve these issues, we explore multilayer superlattice (SL) coating designs based on HfN<sub>1.33</sub> and Hf<sub>0.76</sub>Al<sub>0.24</sub>N<sub>1.15</sub>. We achieve high-quality single-crystal films and superlattices with superior mechanical characteristics by epitaxial growth on MgO(001) substrates using ion-assisted reactive magnetron sputtering at high temperatures.

The structure and properties of monolithic single-crystal HfN<sub>1.33</sub> and  $Hf_{0.76}Al_{0.24}N_{1.15}$  are studied to evaluate the SL-coating performance. Overstoichiometric HfN<sub>v</sub> exhibits metal-like ductility in micropillar compression tests, with easy dislocation nucleation and movement along multiple {111}<110> slip systems, which results in significant strain hardening and a doubled ultimate strength at 17% strain, compared to the yield point at 2%. The improved ductility is attributed to point defects vacancies and nitrogen interstitials—forming a checkerboard superstructure of hyper-overstoichiometric and near-pristine domains. In contrast, HfAIN shows improved hardness and yield strength in pillar compression, however, it fails by strain-burst with fractures on the {110}<110> slip system. These properties stem from strain fields, pinning dislocations, which develop between coherent Hf- and Al-rich nanodomains, formed by surface-initiated spinodal decomposition. In addition, the domains similarly self-organize into a checkerboard superstructure.

Thus, by combining overstoichiometric HfN<sub>1.33</sub> and Hf<sub>0.76</sub>Al<sub>0.24</sub>N<sub>1.15</sub> in SLdesigns with equal layer thicknesses but varying bilayer period of 20 nm, 10 nm, and 6 nm, fascinating three-fold superstructured SLs are created by checkerboard superstructuring in 1) the HfN layers and 2) the HfAlN layers, as well as 3) the multilayer structure itself. While the interfaces provide dislocation pinning to maintain an equally high hardness as Hf<sub>0.76</sub>Al<sub>0.24</sub>N<sub>1.15</sub>, about 20% higher than HfN<sub>1.33</sub>, other multilayer effects and inherent ductility of HfN<sub>1.33</sub> enhance the toughness through coherency strains, cracktip blunting or deflection. The SLs are analyzed using X-ray diffraction, reciprocal space maps, high-resolution z-contrast scanning transmission

<sup>&</sup>lt;sup>1</sup> Graduate Student Award Finalist

<sup>&</sup>lt;sup>2</sup> Graduate Student Award Finalist

electron microscopy, selected area electron diffraction, nanoindentation, and micropillar compression tests. Post-mortem imaging of the pillars reveals the underlying plastic deformation mechanisms. Superlattice effects enhance mechanical performance, combining properties of both materials for coatings with high hardness and improved toughness, ideal for advanced protective applications.

3:00pm MA3-2-TuA-5 Effects of Different Interlayer Layers on Residual Stress Relief in y-MoN/Ti and y-MoN/Mo Thin Films, *Ding-Hsuan Yang [dave35116@gmail.com]*, *Jia-Hong Huang*, National Tsing Hua University, Taiwan

Transition metal nitrides have been widely used due to their outstanding properties such as wear resistance, high corrosion resistance and excellent mechanical properties. y-Mo<sub>2</sub>N coating is becoming more popular in terms of high temperature tribological properties, which results from the formation of Magnéli oxide phase. However, residual stress from the deposition of the hard coatings is a common issue that may decrease the adhesion strength and fracture toughness. Adding a metal interlayer is a convenient method to relief the residual stress of hard coatings. The purpose of this research was to compare the behavior of stress relief by using different metal interlayers. Ti and Mo. In this study, the Ti and Mo interlayers were deposited by DC-unbalanced magnetron sputtering, while the y-Mo<sub>2</sub>N coatings with Ti and Mo interlayer were deposited using high pulsed power magnetron sputtering on Si (100) substrates. The γ-Mo<sub>2</sub>N layer thickness was maintained at 1000 nm with three different interlayer thicknesses controlled at 50, 100, and 150 nm. The overall residual stress of the bilayer coatings was determined by the laser curvature method ( $\sigma_{LCM}$ ), while individual layer stress was evaluated by the average X-ray strain method ( $\sigma_{AXS}$ ). Contrary to our expectations, the results show that  $\sigma_{LCM}$ values are consistently higher than  $\sigma_{\text{AXS}}\text{,}$  suggesting that the Ti and Mo interlayer cannot effectively relieve stress through plastic deformation. The Ti interlayer may be partly converted to TiN due to the reaction of N<sub>2</sub> gas or N<sub>2</sub><sup>+</sup> ions with the pre-deposited Ti, and consequently the interlayer cannot be plastic deformed to relieve stress. In contrast, due to the high elastic constant of Mo. the compressive residual stresses in the Mo interlayer is higher than that in y-Mo<sub>2</sub>N coating, where the stress is higher than the yield strength of Mo metal, indicating that Mo interlayer cannot serve as a buffer layer to relieve residual stress of γ-Mo<sub>2</sub>N coatings.

4:00pm MA3-2-TuA-8 Highly Stacked Ge0.8Si0.2 Nanosheets Fabricated by Wet Etching of MBE-Grown Superlattice Films, Zefu Zhao, Dun-Bao Ruan, FZU-Jinjiang Joint Institute of Microelectronics, College of Physics and Information Engineering, School of Advanced Manufacturing, Fuzhou University, China; Kai-Jhih Gan, FZU-Jinjiang Joint Institute of Microelectronics, College of Physics and Information Engineering, School of Advanced Manufacturing, Fuzhou University,, China; Qian Cheng Yang [455783022@qq.com], FZU-Jinjiang Joint Institute of Microelectronics, College of Physics and Information Engineering, School of Advanced Manufacturing, Fuzhou University, China; Kuei-Shu Chang-Liao, Department of Engineering and System Science, National Tsing Hua University, Taiwan; Jie-yin Zhang, SongShan Lake Materials Laboratory, Center for Semiconductor Heterogeneous Materials and Devices, Dongguan 523830, China; Shenglin Pan, FZU-Jinjiang Joint Institute of Microelectronics, College of Physics and Information Engineering, School of Advanced Manufacturing, Fuzhou University, China

This work demonstrates a novel wet etching approach to fabricating a 3stacked Ge0.8Si0.2 nanosheet structure, which holds significant promise for advancing next-generation transistor technologies. As the semiconductor industry approaches the 2 nm node, transistors with nanosheet architectures are emerging as strong contenders to replace FinFETs due to their superior electrostatic control over short channels, enabling enhanced performance and power efficiency. In this study, high-quality and atomically flat superlattice Ge0.8Si0.2 layers were epitaxially grown using molecular beam epitaxy (MBE), providing an ideal platform for nanosheet fabrication. The key innovation lies in the utilization of HNO<sub>3</sub>-based wet etching, which exploits the high selectivity of Ge over Ge0.8Si0.2 to achieve precise and uniform etching, resulting in three stacked Ge0.8Si0.2 nanosheets with exceptional channel uniformity. To mitigate lattice mismatch and confine misfit dislocations, an undoped Ge buffer layer was grown on a Si wafer, serving as a critical intermediate layer. Reciprocal space mapping (RSM) analysis confirms the successful realization of a fully relaxed Ge buffer layer and strained Ge0.8Si0.2 nanosheets, highlighting the structural integrity and strain engineering of the fabricated stack. This work not only provides a scalable and cost-effective method for nanosheet fabrication but also paves the way for the integration of GeSi-based nanosheet transistors in future

sub-2 nm technology nodes, offering a pathway to further miniaturization and performance enhancement in semiconductor devices.

4:20pm MA3-2-TuA-9 A TEM and Nanoindentation Study of the Correlation between Composition, Structure and Mechanical Properties of the AlCu Thin Film System, Dániel Olasz [olasz@student.elte.hu], Quang Chinh Nguyen, Eötvös Loránd University, Hungary; Noémi Szász, György Sáfrán, HUN-REN Centre for Energy Research, Hungary

AlCu alloys in their bulk form are of great importance of the industry, including construction and aerospace and also their study has been instrumental in understanding the precipitation hardening effect in alloys. However, they are less frequently studied in their thin film form, with existing research mainly focusing on low alloying concentration cases. The aim of the present research is to investigate over the whole compositional range the correlation between composition, structure and mechanical properties in the AlCu thin film system.

By applying the micro-combinatorial approach, 15 discrete Al<sub>1-x</sub>Cu<sub>x</sub> (0≤x≤1) films having a thickness of 1.7 um and different compositions were deposited on a single Si substrate through DC magnetron sputtering of Al and Cu in a single vacuum run. Nanoindentation measurements have demonstrated that even for pure Al and Cu, the layers exhibit significant strength in comparison to their bulk counterparts. The hardness increases substantially with increasing alloying concentration, reaching a maximum of about 16 GPa in the vicinity of ~50 at% Cu composition. For these layers, which display the highest strength, the loading stage of the indentation curves exhibits a step-like behavior, indicative of non-continuous deformation. Furthermore, the indentation experiments on the layer system provide an excellent opportunity to gain a deeper understanding of the indentation size effect (ISE), as the hardness of the layers at low alloying concentrations is independent of the applied maximum indentation force, whereas a clear sensitivity to ISE can be observed for layers containing 40-70 at% Cu. A comprehensive TEM study over the whole Al-Cu composition range using cross-sectional FIB TEM lamellae revealed microstructural features such as grain size, crystal structure, presence of non-equilibrium phases and layer growth mechanisms. This, together with the nanoindentation results, led to an understanding of the deformation mechanisms at work during indentation and hence to an explanation of the surprising mechanical properties of thin AlCu layers.

# Protective and High-temperature Coatings Room Town & Country D - Session MA4-1-TuA

High Entropy and Other Multi-principal-element Materials I Moderators: Shih-Hsun Chen, National Yang Ming Chiao Tung University (NYCU), Taiwan, Pavel Soucek, Masaryk University, Czechia

1:40pm MA4-1-TuA-1 Phase-Adjustable High-Entropy Alloy Coatings Prepared via Thermal Spray Process, Shih-Hsun Chen [brucechen@nycu.edu.tw], NYCU, Taiwan INVITED

The alloy design in HEAs has impacts the resultant microstructure, phase structure, and hardness and wear resistance. Careful selection of HEAs elements is critical depending on the intended application of the alloy. Development of HEAs alloys follows careful selection of elements such a Co, Cr, Ni, Al, Fe, Ti among others. Mechanical properties in HEAs depend on existing phases whether single phase (BCC or FCC) or a mixture of phases and intermetallics or oxides. AlCoCrFeNi alloy has been widely studied and is considered an excellent base for additional strengthening strategies through compositional optimization. In this study, Al<sub>0.5</sub>CoCrFeNi<sub>2</sub>Ti powders were prepared using the gas atomization method, and annealing treatments were performed to characterize the phase transformation behavior, providing essential insights into the effects of thermal energy during the atmospheric plasma spraying process. To further explore these effects, the study examined effects of plasma energy and powder size in the production of Al<sub>0.5</sub>CoCrFeNi<sub>2</sub>Ti coatings. The characterization of both powders and coatings was consolidated to better understand the influence of Ti addition on the Al<sub>0.5</sub>CoCrFeNi<sub>2</sub> HEA system and to assess the performance of its plasma-sprayed coatings.

2:20pm MA4-1-TuA-3 Three Noble Metals, Three Different Stories: Unraveling the Complex Behavior of Cu, Ag, and Au in CrMnFeCoNi High-Entropy Alloy Thin Films, Salah-eddine Benrazzouq [salah-eddine.benrazzouq@univ-lorraine.fr], Institut Jean Lamour - Université de Lorraine, France; Ekaterina V. Gunina, Svyatoslav Povarov, School of Physics and Engineering, ITMO University, Russian Federation; Jaafar Ghanbaja, Sylvie Migot, Alexandre Nominé, Jean-François Pierson, Valentin A. Milichko, Institut Jean Lamour - Université de Lorraine, France

Advanced electronic devices and sensing technologies demand materials with precisely tunable electrical and optical properties, alongside excellent structural stability. While high-entropy alloys (HEAs) show promise for such applications due to their unique multi-element composition, controlling their functional properties remains challenging. Noble metals (Cu, Ag, Au) were strategically chosen for this study due to their similar electronic configurations and increasing metallic radii (rCu=0.127 nm, rAg=0.144 nm, rAu=0.147 nm) compared to the average metallic radius of the Cantor alloy (0.125 nm).

This study unravels the distinct stories of how Cu, Ag, and Au additions transform the structure and properties of CrMnFeCoNi Cantor alloy thin films, revealing behaviors that challenge our initial expectations. Using DC magnetron co-sputtering, we systematically investigated these transformations through comprehensive characterization including X-ray diffraction (XRD), high-resolution transmission electron microscopy (HRTEM), electrical resistivity measurements, and non-linear optical response.

Each noble metal reveals a unique chapter in phase evolution and property modification, far from the expected systematic progression based on atomic size. Copper tells a story of structural preservation, maintaining the fcc structure while systematically reducing nano-twin density, culminating in near-zero TCR (-2.86 ppm/K) at 37 at% Cu. Silver writes a different narrative through unexpected phase separation, creating artistic patterns of nano-precipitates with a characteristic tweed-like microstructure, despite predictions of solid solution formation. Gold presents perhaps the most surprising tale, where HRTEM unveils large grains with intricate twin boundary networks, contrasting sharply with its apparent amorphous nature in XRD analysis and defying expectations based on atomic size considerations.

These distinct structural modifications yield equally diverse functional properties. Cu-modified films demonstrate precise control over electrical behavior while maintaining metallic characteristics, aligning with initial predictions. Ag-modified films combine decreased electrical resistivity with enhanced second harmonic-generation (SHG) response, providing unexpected opportunities for multifunctional properties. Au-modified films exhibit unique optical properties tied to their complex grain structure. These three different stories highlight how noble metals can orchestrate dramatically different transformations in HEA thin films, while comparing their properties with sustainability metrics offers guidance for future technological implementations

2:40pm MA4-1-TuA-4 Mechanical, Tribological and Corrosion Behavior of CoCrFeNiMn High-Entropy Thin Films, Lin Wu [lin.wu2@mail.mcgill.ca], McGill University, Canada; León Zendejas Medina, McGill University, KTH Royal Institute of Technology, Canada; Richard Chromik, Janine Mauzeroll, McGill University, Canada

The CoCrFeNiMn (Cantor alloy) thin films deposited under ambient and high temperature conditions have been studied from the aspect of microstructure, mechanical, tribological and corrosion properties. The Cantor films were deposited by pulsed direct current magnetron sputtering on silicon wafers at ambient and 350 °C. An FCC phase appeared in both ambient and high temperature films, with small amounts of an unidentified secondary phase. Using nanoindentation, film hardness (H) and reduced elastic modulus (Er) were measured.

Micro-tribology testing was conducted using a 20  $\mu$ m radius spherical diamond tip in the dry air atmosphere (RH% value under 4.0%), applying normal loads ranging from 2 to 7 mN. The worn surfaces were characterized by atomic force microscopy. Schiffmann's model was used to evaluate the elastic and plastic components of the friction. The corrosion behaviors of the films were studied by using anodic polarization in 3.5% NaCl, followed by a tribological testing carried out on the corroded surfaces, to address the correlation of corrosion response with the phase composition, mechanical properties and tribological behavior of the films.

3:00pm MA4-1-TuA-5 Microstructure and Mechanical Properties Evaluation of CoCrNiTiAl Multiple-Principal Element Alloy Thin Films: Effect of TiAl Additions, Pongpak Chiyasak [pongpak.c@ku.th], Department of Materials Engineering, Faculty of Engineering, Kasetsart University, Thailand; Jun-Xing Wang, Ming Chi University of Technology, Taiwan; Chia-Lin Li, Center for Plasma and Thin Film Technologies, Ming Chi University of Technology, Taiwan; Surapit Posri, Thanawat Santawee, Worawat Wattanathana, Aphichart Rodchanarowan, Department of Materials Engineering, Faculty of Engineering, Kasetsart University, Thailand; Jyh-Wei Lee, Ming Chi University of Technology, Taiwan

Multiple-principal element alloy thin films have attracted lots of interest from academia due to their optimized properties for both functional and structural applications, for example, high strength, good thermal stability, cost efficiency, and lower stacking fault energy. Several previous studies have shown that adding Ti and Al as alloying elements into CoCrNiTiAl multiple-principal element alloy thin films could further enhance their performance. In general, Ti element can transform the face-centered cubic structure into the amorphous structure, while Al generates body-centered cubic (BCC) structures, promoting higher hardness and wear resistance. However, the effect of the addition of Ti and Al elements into CoCrNiTiAl multiple-principal element alloy thin films is still unexplored.

In this work, the CoCrNiTiAl multiple-principal element alloy thin films with different amounts of Ti and Al contents were fabricated by the cosputtering of TiAl and CoCrNi targets through a hybrid magnetron sputtering system. The CoCrNi and TiAl target were connected with a high power impulse magnetron sputtering system and a radio frequency power supply, respectively. The power input of the TiAl target was adjusted to achieve multiple-principal element alloy thin films with different TiAl contents. The field-emission (FE) scanning electron microscopy, FE-electron probe microanalyzer, X-ray diffraction, nanoindentation, tribometer, and electrochemical workstation were used to characterize microstructure, chemical composition, phase evolution, hardness, wear resistance, and corrosion behavior of multiple-principal element alloy thin films. The effect of TiAl contents on the microstructure and mechanical properties of CoCrNiTiAl multiple-principal element alloy thin films were discussed in this work

**Keywords**: multiple-principal element alloy, CoCrNiTiAl, phase transformation, mechanical properties

4:00pm MA4-1-TuA-8 Effects of Deposition Parameters and Post-Annealing Treatment on the Microstructure and Mechanical Properties of TiZrNbTaMo High **Entropy** Alloy Films, Chia-Lin [chialinli@mail.mcut.edu.tw], Center for Plasma and Thin Technologies, Ming Chi University of Technology, Taiwan; Sen-You Hou, Department of Materials Science and Engineering, National Tsing Hua University, Taiwan; Li-Chun Chang, Ming Chi University of Technology, Taiwan; Bih-Show Lou, Chemistry Division, Center for General Education, Chang Gung University, Taiwan; Jyh-Wei Lee, Department of Materials Engineering, Ming Chi University of Technology, Taiwan; Po-Yu Chen, Department of Materials Science and Engineering, National Tsing Hua University, Taiwan

TiZrNbTaMo high-entropy alloys (HEAs) with a body-centered cubicstructure are known for their excellent compressive yield strength and significant compressive plasticity, retained even in thin film forms. These properties make them promising for various applications. The deposition parameters significantly influence the density and microstructure of thin films, affecting mechanical properties. In this study, TiZrNbTaMo highentropy alloy films (HEAFs) were prepared using high power impulse magnetron sputtering (HIPIMS), DC, and RF power sources. The effects of pulse frequency and duty cycle in HIPIMS on their structure and properties were systematically investigated. The microstructure and crystal structure of TiZrNbTaMo HEAFs were characterized using transmission electron microscopy (TEM) and X-ray diffractometry (XRD), while their mechanical properties were evaluated by nanoindentation.TiZrNbTaMo HEAFs deposited by HIPIMS exhibited increased hardness due to higher peak power density resulting in the coexistence of amorphous and nanocrystalline structures. However, the highest hardness of 5.78 GPa was achieved with RF power source, which was attributed to more nanocrystalline content and stacking faults. To further improve the mechanical properties of HEAs, post-annealing is used to modify the grain size and structure by inducing microstructures such as stacking faults and twins. In this study, the effects of post-annealing at 900 °C on microstructure and mechanical properties were investigated, and detailed

features such as grain sizes, annealing twins, and variations in mechanical properties were discussed.

4:20pm MA4-1-TuA-9 High Entropy Alloys Coatings for Inertial Confinement Fusion Hohlraums, Leonardus Bimo Bayu Aji [bayuaji1@llnl.gov], Daniel Goodelman, David Strozzi, Brandon Bocklund, Scott Peters, Alison Engwall, Swanee Shin, Gregory Taylor, Eunjeong Kim, James Merlo, Sergei Kucheyev, Lawrence Livermore National Laboratory, USA

Hohlraums, centimeter-scale sphero-cylindrical heavy-metal canswith wall thickness of 10 - 100  $\mu m$ , are a key component of an indirect-drive inertial confinement fusion (ICF) target as it determines the x-ray drive that implodes the fuel capsule. A previous study [Jones et al., Phys. Plasmas 14, 056311 (2007)] has demonstrated the feasibility of improving the x-ray drive by using a hohlraum made from a mixture ("cocktail") of elements, instead of a single element hohlraums, such as Au or U traditionally used for ICF. Here, we present our results on developing sputter-deposited heavy-metal high-entropy alloys (HEAs) for hohlraums with improved x-ray drive, high electrical resistivity to support a magnetized ICF, and material properties that are compatible with the ICF target fabrication process.

This work was performed under the auspices of the U.S. DOE by LLNL under Contract DE-AC52-07NA27344 and LDRD project 23-ERD-005.

4:40pm MA4-1-TuA-10 Evaluation of the Microstructure and Electrocatalytic Performance of FeNiMoWCux High-entropy Alloy Thin Film, Kuan-Chen Lin [love17321125@gmail.com], Ming Chi University of Technology, Taiwan; Bih-Show Lou, Chang Gung University, Taoyuan city, Taiwan., Taiwan; Chia Lin Li, Naveen Karuppusamy, Thi Cam Tuyen, Jyh-Wei Lee, Ming Chi University of Technology, Taiwan

Since Professor Yeh's introduction of high-entropy alloys (HEAs) in 2004, research on HEA materials has garnered worldwide attention due to their exceptional properties. Certain HEA materials have demonstrated great potential as electrocatalysts, attributed to their unique structures and compositions. In this study, FeNiMoWCux HEA thin films with varying Cu contents were synthesized on Si wafers and nickel foams using magnetron sputtering. Co-sputtering was performed with equimolar FeNiMoW and pure Cu targets to produce  $FeNiMoWCu_x$  films with x = 0, 0.5, and 1.0. An inclined deposition technique was employed to increase surface roughness and create more active sites on the HEA films. A comprehensive evaluation of the films was conducted, examining their composition, microstructure, crystallographic structure, surface roughness, adhesion, and corrosion resistance in 0.5 M sulfuric acid. Furthermore, electrochemical oxygen evolution reaction (OER) and hydrogen evolution reaction (HER) performance along with long term stability were carried out using a threeelectrode electrochemical configuration in 1.0 M KOH and 1.0 M KOH + 3.5 wt.% NaCl solutions, respectively, to assess their electrocatalytic properties. The findings revealed that the  $FeNiMoWCu_x$  HEA films hold considerable promise for electrode surface modification in water-splitting applications. owing to their improved catalytic activity, structural stability, and excellent corrosion resistance.

## Tribology and Mechanics of Coatings and Surfaces Room Palm 5-6 - Session MC2-1-TuA

### Mechanical Properties and Adhesion I

Moderator: Alice Lassnig, Austrian Academy of Sciences, Austria

1:40pm MC2-1-TuA-1 Nanoscale Interface Engineering for Thin Films on Polymer Substrates, Barbara Putz [barbara.putz@empa.ch], EMPA (Swiss Federal Laboratories for Materials Science and Technology), Switzerland

Atomic layer deposition (ALD) holds enormous potential to design interfaces, due to the unique way in which a material is built in an atomic layer-by-layer fashion. When combined with other thin film techniques, such as magnetron sputtering (PVD), without breaking vacuum, the layer-by-layer nature of ALD can be harvested to design (sub)nanoscale interface architectures. An interesting area for this combined deposition are metal-polymer interfaces, where thin amorphous interlayers (IL, 5 nm thick) between metal film and polymer substrate favour strong and stable interfaces [1-3]. Until now, interlayer formation is governed by the film/substrate chemistry and deposition method, preventing high interface quality for the majority of material combinations and fabrication routes. Since ultrathin ALD layers uniquely resemble the reported interlayer in structure and chemistry, interlayer formation can, for the first time, be

mimicked artificially to clarify the role of these structures in thin film delamination.

Through a combined ALD/PVD setup, we fabricate and study Al thin films (150 nm) with different ALD interlayer thicknesses (Al $_2$ O $_3$  + H, 0.12 - 25 nm) on a polyimide substrate. Mechanical properties are measured via uni- and equi-biaxial tensile loading [4] with in-situ X-ray diffraction and electrical resistivity measurements from the evolution of Al film stress, width of the Al diffraction peak and electrical resistivity as a function of IL thickness and applied strain. Adhesion energy between metal film and polymer substrate is calculated using the tensile induced delamination method.

In our study, differences in the system's mechanical behaviour (yield strength, crack onset strain) are found to be driven by the microstructure of the metallic Al layer (film thickness and grain size), while the crack propagation (electrical failure strain) and adhesive performance (buckle density) is dominated by the interface structure. Significant embrittlement and fracture is only observed for thick interlayers (>= 25 nm).

[1] Putz, B. et al., Adv. Eng. Mater. (2022), 2200951

[2] Putz, B. et al. Surf. Coat. Technol. 332, 368-375 (2017)

[3] S. Oh. Et al., Scripta Materialia 65 (2011) 456-459

2:20pm MC2-1-TuA-3 Trilayer Fracture and Adhesion Investigated with in-Situ Synchrotron Radiation, Megan J. Cordill [megan.cordill@oeaw.ac.at], Erich Schmid Institute of Materials Science, Austrian Academy of Sciences, Austria; Shuhel Altaf Husain, Université Sorbonne Paris Nord, France; Claus O.W. Trost, Erich Schmid Institute of Materials Science, Austrian Academy of Sciences, Austria; Damien Faurie, Université Sorbonne Paris Nord, France; Pierre O. Renault, University of Poitiers, Pprime Institute, France

Flexible and wearable electronics use multiple metal films on polymer substrates to achieve functionality where the resistance to through thickness fracture and the adhesion to the polymer substrates determines device performance. Commonly, flexible material systems are made of layers ductile metals of copper or aluminum as the conducting layers with more brittle molybdenum and chromium used as interlayers to improve adhesion to the polymer substrate or as protective capping layers. In this work, in-situ uniaxial tensile straining was used to investigate the fracture and delamination behavior of brittle-ductile-brittle trilayers. The method uses uniaxial straining to cause fracture of the film system perpendicular to the tensile loading direction and film delamination parallel to the tensile loading direction, which allows the adhesion energy to be evaluated. Experiments on the differently layered samples, namely Mo-Cu-Cr and Nb-Cu-Mo, were performed with in-situ resistance measurements and X-ray diffraction (XRD). Combined with post-straining confocal laser scanning microscopy, XRD provided the film stress evolution simultaneously in every layer to understand fracture of trilayer systems and how adhesion can be measured using tensile induced delamination. The main aspects presented will be adhesion energy along with the stress evolution under uniaxial tensile loading of the various trilayer architectures. Results indicated that the position of the Mo layer can influence the fracture behavior. It was also observed that only the presence of a brittle layer, rather than the position (interface layer vs. top layer), aids delamination in trilayers. Compared to single layer films of similar thickness, no significant change in the calculated adhesion energy of the same trilayer interfaces was found.

2:40pm MC2-1-TuA-4 The Model to Explain the Origin of Residual Thin Film Stress, *Tong Su [tong\_su@brown.edu]*, *Eric Chason*, Brown University, USA

Residual stress has been a long-standing problem in thin film deposition, and it is critical to the adhesion and physical properties of their applications. In previous works, we have studied the mechanisms and used modeling to explain the stress evolution in the post-coalescence stage (typically 50 nm ~ 400 nm) and steady state (>400 nm or when the stress does not change significantly). The early stage of growth (<50 nm) is not as well studied as the others and vet this state is important to the origin of the thin film stress. Here we present a model to explain the behavior of residual stress in the early stage with the assumption that the deposited particles form hemisphere islands on the substrate. The model is applied to analyze stress measurements of e-beam evaporated Ag and Ni from the wafer curvature measurements. The results suggest that the end of the coalescence stage may not be sufficient to explain the occurrence of the tensile peak in the early state. Rather, the balance between tensile and compressive stress mechanisms as the grain boundary is formed between islands needs to be considered.

3:00pm MC2-1-TuA-5 Novel Approach for Scratch Analysis of Ductile Metallic Layers on Fragile Substrates, Mohammad Arab Pour Yazdi, Pavel Sedmak, Anton Paar TriTec SA, Switzerland; Parth Kotak, Anton Paar USA; Jiri Nohava, Anton Paar TriTec SA, Switzerland; Mark Haase [mark.haase@anton-paar.com], Anton Paar, USA

In the electronics and semiconductor industries, there is a growing demand for precise characterization of adhesion properties in soft metallic multilayers on fragile substrates, such as semiconductor wafers and glass. Consequently, nondestructive testing methods have become essential to prevent damage to these sensitive substrates during testing. Conductive metallic layers including gold (Au), platinum (Pt), copper (Cu), and silver (Ag) are critical for microchip pathways; however, their ductility poses challenges for adhesion testing on brittle substrates. Traditional nanoscratch methods, which use sphero-conical indenters, rapidly traverse these soft layers and exert significant stress on the fragile substrates. This often results in substrate failure rather than yielding valuable insights into the interfacial adhesion of the layers.

In this study, we introduce a novel scratch testing method specifically designed for soft metallic layers or multilayers on fragile substrates. This approach employs a micro wedge blade indenter, rather than the conventional spheroconical indenter, along with a two-axis tilt stage sample holder, enhancing precision and reducing substrate damage to yield more reliable adhesion measurements. This method is particularly suited for ductile metallic coatings deposited via PVD, CVD, and ALD, providing a robust solution for accurately assessing the adhesion properties of soft metallic coatings on sensitive substrates.

**Keywords:** Ductile coatings; Fragile substrates; Adhesion testing; Wedge blade indenter; Nanoscratch testing.

4:00pm MC2-1-TuA-8 The Comparison in Microstructure and Mechanical Properties of MoN Films Deposited by RFMS and HiPIMS Techniques, Chi-Yueh Chang [w6208asx@gmail.com], National United University, Taiwan

The MoN films are gathering increasing attentions for their highperformance characteristics, making the production of high-quality MoN films an important research focus. In this study, Mo-N thin films are coated using radio frequency magnetron sputtering, RFMS, and high intensity power impulse magnetron sputtering, HiPIMS, techniques. The input power and Ar/N2 ratio are adjusted from 150 to 200W and 15/5 to 18/2 sccm/sccm to control the microstructure. The duty cycle of the HiPIMS from 4 to 10% is also manipulated to trigger higher peak power density and current. A columnar structure feature was observed across all thin films. Nevertheless, the phase of the Mo-N changes under different parameters. Through RFMS, as the Ar/N<sub>2</sub> ratio was raised from 15/5 to 18/2 sccm at 150 W input power, a significant evolution of major Mo<sub>2</sub>N to MoN phase was observed. With higher peak current and power density through HiPIMS deposition, a multiple phase feature with decreased grain size of Mo-N phases were discovered. The microhardness, elastic modulus, wear resistance and indentation cracking behavior were investigated. The correlation between microstructure evolution and the mechanical properties were also discussed.

Keywords:RefractoryThin film coatingsMoNHipims

4:20pm MC2-1-TuA-9 Quantitative 3D FIB-SEM Characterization of Single Cu Particle Impacts for Cold Spray Applications, Veera Panova [vpanova@mit.edu], Massachusetts Institute of Technology, USA; Christopher Schuh, Northwestern University, USA

Cold spray is a solid-state additive manufacturing process that produces coatings and standalone parts by accelerating micron-sized metallic particles to supersonic velocities. Upon impact, the particles and substrate undergo plastic deformation, surface oxide layers get disrupted, and direct particle-substrate contact is achieved to attain metallurgical bonding. Our recent works take advantage of the Laser-Induced Particle Impact Test (LIPIT) to produce single microparticle impacts under carefully controlled conditions, providing a unit-process understanding of cold spray physics. Each launched particle is well-characterized: its size, morphology, microstructure, velocity, and in-flight behavior are known. We then analyze impact sites using focused ion beam-scanning electron microscopy (FIB-SEM) to study multiple aspects of the impact event: bonding at particlesubstrate and particle-particle interfaces, deformation at high strain rates. and microstructural evolution. The major advantage of this approach is that it is tomographic, providing direct 3D observations of the interfaces, as well as quantitative measurements of the bonded area and microstructural changes around the impact site.

This talk will review several observations that 3D tomography of the impact sites reveals about structure development in cold spray. First, we observe generally non-symmetrical bonding at the particle-substrate interface and conclude that bonding takes place top-down; regions experiencing high strain bond first. These insights conform to a model for particle-substrate bonding through oxide-layer rarefication and provide guidelines for how to optimize processing parameters to produce well-bonded cold spray coatings. Second, our microstructural observations reveal limiting conditions for the development of recrystallization structures. Such information speaks to the development and dissipation of adiabatic heat upon impact.

4:40pm MC2-1-TuA-10 Mechanical Properties and Deformation Mechanisms of Metallic Thin Films Synthetized by Pulsed Laser Deposition, Francesco Bignoli, Davide Vacirca, Philippe Djemia, Laboratoire des Sciences des Procédés et des Matériaux (LSPM) – CNRS, France; Andrea Li Bassi, Department of Energy, Politecnico di Milano, Italy; James Paul Best, Gerhard Dehm, Max-Planck Institut für Eisenforschung, Germany; Matteo Ghidelli [matteo.ghidelli@lspm.cnrs.fr], Laboratoire des Sciences des Procédés et des Matériaux (LSPM) – CNRS, France

The ongoing trend toward miniaturization in device components across key technologies demands the synthesis of high-performance nanostructured films with exceptional combination mechanical properties such as high yield strength and plasticity which, however, are mutually exclusive. In order to overcome such trade off, it is crucial to control the atomic composition and the microstructure, going beyond currently nanoengineering design approaches for thin films. One main limitation arises from conventional thin film deposition techniques (sputtering) with limited possibility to fabricate novel microstructures such as with ultrafine grains or nanoscale laminates alternating layers of different compositions and phases with intrinsic dimensions on the order of a few nanometers. Such features could induce mechanical size effects, influencing deformation mechanisms and enabling highly tunable and enhanced mechanical properties.

Here, I will show the potential of Pulsed Laser Deposition (PLD) as a novel technique to synthetize advanced metallic thin films, reporting the fabrication of a variety of microstructures with tailored composition and nanoscale features including compact, nanogranular and crystal/glass ultrafine nanolaminates and focusing on the deformation behavior and mechanical properties.

First, I will focus on the on the fabrication of thin film metallic glasses with different composition ZrCu, ZrCuAl (with also O addition) and controlled microstructure, compact and nanogranular [1]. The mechanical characterization with optoacoustic techniques, nanoindentation and *in situ* SEM micropillar compression reports large and tailored mechanical properties, above sputter-deposited counterparts, reaching ultimate yield strength (>4 GPa) and ductility (>15 %) for ZrCuAl/O films. Then, I will show the fabrication of ultrafine glass/crystal (ZrCu/Al) nanolaminates with high and tunable density of interfaces (nanolayer thickness <5 nm), reporting shear bands blocking and homogenous deformation, in combination with large plasticity (> 10%) and yield strength (>3.4 GPa) [2].

Lastly, I will focus on the PLD synthesis of CoCrCuFeNi crystalline high entropy alloys showing unique microstructure and ultrafine grains (≈10 nm), triggering Hall-Petch strengthening resulting in high hardness (≈10.5 GPa) and yield strength (1.9 GPa) significantly above sputter-deposited counterparts, while retaining large plastic deformability (30%) [3].

- [1] M. Ghidelli et al., Acta Mater., 213, 116955, 2021.
- [2] F. Bignoli et al., ACS Appl. Mater. Interfaces, 16, 27, 35686–96, 2024.
- [3] D. Vacirca et al., Submitted to Acta Mater, 2024.

5:00pm MC2-1-TuA-11 The Forgotten Method: Coatings Mechanical Properties Calculated According to ISO Standard 14577, Esteban Broitman [ebroitm@hotmail.com], EDB Engineering Consulting, France

When an indenter penetrates the surface of a film deposited onto a substrate, the mechanical response of the film will be influenced by the mechanical properties of the substrate, according to its penetration depth h and the film thickness t. As the depth of penetration h increases, more of the mechanical contribution will come from the substrate.

From the first work published by H. Bückle in 1959 for microindentations, there have been many theoretical and experimental published research works trying to show how the hardness and elastic modulus of the coatings should be calculated in order to avoid any influence of the substrate [1].

ISO Standard 14577 "Metallic Materials—Instrumented Indentation Test for Hardness and Materials Parameters" published for first time in 2002, was written to make some order in the way to use nanoindenters. The standard included originally 3 parts: Part 1: Test method; Part 2: Verification and calibration of testing machines; and Part 3: Calibration of reference blocks. Some years later, the standard included a new Part 4: Test method for metallic and non-metallic coatings. This section of the Standard contains a method that has been ignored by most of researchers.

In this presentation, we will review the four parts of ISO standard 14577. In particular, we will analyze the simple experimental methodology established in Part 4 that, in most of cases, gives the correct values for hardness and elastic modulus, independently of the coating/substrate system.

[1] E. Broitman, Indentation Hardness Measurements at Macro-, Micro-, and Nanoscale: A Critical Overview. Tribol. Lett. 65 (2017) 23.

## Topical Symposium on Sustainable Surface Engineering Room Town & Country C - Session TS3-TuA

### **Circular Strategies for Surface Engineering**

Moderators: Marcus Hans, RWTH Aachen University, Germany, Nina Schalk, Montanuniversität Leoben, Austria

2:00pm TS3-TuA-2 Scalable Solar-Thermal Synthesis of High-Yield Flake Graphite and Hydrogen, *Timothy S. Fisher [tsfisher@ucla.edu]*, University of California Los Angeles, USA

INVITED

Current industrial processes for power, fuel, and commodity production are responsible for massive, ongoing CO<sub>2</sub> emissions that adversely affect the stability of Earth's climate with potentially disastrous consequences. Increased use of hydrogen as a fuel and chemical building block promises to reduce CO<sub>2</sub> emissions in critical sectors, but contemporary hydrogen production technologies also involve high greenhouse gas emissions. This talk considers a process in which concentrated radiation from a simulated solar source converts methane and similar hydrocarbons to high-value synthetic flake graphite and hydrogen gas. Methane flows within a photothermal reactor through the pores of a thin substrate irradiated by several thousand suns at the focal peak. The methane decomposes primarily into hydrogen while depositing highly graphitic carbon that grows conformally over ligaments in the porous substrate. The direct heating of the porous substrate serves to capture the solid carbon into a readily captured and useful form while maintaining active deposition site density with persistent self-catalytic activity. The talk will cover topics including solar irradiation profile modeling and measurements, chemical kinetics, gas-phase diagnostics, material characterization, product yields, and solar-to-chemical efficiency.

2:40pm TS3-TuA-4 Developing Next Generation Sustainable Flexible Food Packaging Materials, Peter Kelly [peter.kelly@mmu.ac.uk], Manchester Metropolitan University, UK; Carolin Struller, Bobst Manchester Ltd, UK; Glen West, Manchester Metropolitan University, UK; Nick Copeland, Bobst Manchester Ltd, UK; Gwyneth Spence, Manchester Metropolitan University, UK

Flexible food packaging materials are complex surface engineered products that must meet demanding quality criteria yet be produced at very high volume and low cost. Until recently, typical flexible packaging material might consist of an inner heat sealable polyethylene (PE) film, then combinations of adhesives to hold the laminated structure together, inks for printing product details, a topcoat, a barrier layer and finally an outer polymer film, such as polyethylene terephthalate (PET). The barrier layer provides extended shelf life to the food product by preventing moisture and oxygen ingress, which spoils the product over time. Barrier layers can be organic layers (e.g. polyvinylidene chloride (PVdC)) deposited by wet chemical techniques, aluminium foil layers or as in the case here, either aluminium or aluminium oxide ('AlOx') coatings deposited by thermal evaporation techniques. These coatings are deposited at very high rates (line speeds are up to 1000m/min) and over very large areas (up to 4.85m wide x 100km long rolls) in roll-to-roll vacuum systems. Average barrier layer thicknesses are 40-50nm for Al films and 8-15nm for AlOx layers.

As a consequence of the mixed materials used in conventional flexible packaging, most products cannot be recycled and go to landfill or are incinerated. The increasing demand for sustainable packaging products has led Bobst and other companies in the packaging value chain (ranging from raw material producers and convertors to brand owners and retailers) to

develop new products that meet the criteria of 'recyclable, reusable or compostable'.

This paper describes progress towards mono-material polyolefin-based solutions for fully recyclable polymeric packaging and paper-based products, which are suitable to be processed in the existing paper recycling stream. In both cases, the Bobst oneBARRIER PrimeCycle PE product and the FibreCycle paper-based product provide high barrier performance and meet international recyclable standards. In addition to the development of these products, extensive life cycle analyses (LCAs) have been undertaken on each stage of the manufacturing process to allow accurate and comparable assessments to be made of the environmental impact and sustainability of the product.

3:00pm TS3-TuA-5 PFAS Free Anti-Stick Coatings for Superior Electrosurgical Performance, Noora Manninen, Oerlikon Surface Solutions, Liechtenstein; Julien Keraudy [julien.keraudy@oerlikon.com], Oerlikon Surface Solutions AG, Liechtenstein; Sanna Tervakangas, Oerlikon Surface Solutions, Finland; Klaus Boebel, Oerlikon Surface Solutions, Liechtenstein Per- and polyfluoroalkyl substances (PFAS) are a large class of thousands of synthetic chemicals currently used in a wide variety of products (e.g. food packaging, cookware, textiles, medical devices, semiconductor components, batteries, among many others). PFAS contain carbon-fluorine bonds, which are one of the strongest chemical bonds in organic chemistry, meaning they are very attractive in different consumer products as they can resist to degradation. Nevertheless, the degradation resistance also persists once they are disposed. Currently PFAS are increasingly detected as environmental pollutants and some are linked to negative effects on human health, which has led to the current restriction proposal by European Chemical Agency (ECHA).

The restriction on use of PFAS will require the development of new solutions, which must fulfill health and environmental requirements. Medical devices are one of the main fields of use of PFAS, where PTFE based coatings are widely used, among many applications as anti-stick coatings in electrosurgical devices. In the current work existing coating solutions already in use for medical market and approved by regulatory authorities (e.g. FDA) have been tested (e.g. TiN, CrN, DLC, Parylene C) and compared to new coating solutions under research and development. The main goal is to obtain coatings with good anti-stick performance, which ideally can be re-used as multiple use devices, opposed to concept of single-use devices, which are discarded after each surgery generating large amount of waste. In order to fulfill the requirements for multiple use devices the coatings must stand multiple cleaning and sterilization cycles meaning they must have good corrosion properties as well as good abrasion resistance.

In the present study the coatings are characterized regarding their surface energy given that this surface property is connected with anti-sticking properties, and also functional tests are performed in a test set-up consisting of an electrosurgical unit (ESU) where coatings anti-stick performance is tested against pork liver. Additionally, the corrosion and abrasion resistance of the coatings are evaluated under autoclave and alkaline cleaning conditions and under abrasive cleaning test condition in order to resemble the lifecycle of multiple-use electrosurgical devices.

4:00pm TS3-TuA-8 Design of Defect Structure in an Epitaxial VN Bilayer Film by Tailoring Nitrogen Concentration and Interfacial Strain, Marcus Hans [hans@mch.rwth-aachen.de], Damian Holzapfel, RWTH Aachen University, Germany; Zhuo Chen, Erich Schmid Institute of Materials Science, Austria; Soheil Karimi Aghda, Michal Fečik, RWTH Aachen University, Germany; Daniel Primetzhofer, Uppsala University, Sweden; Zaoli Zhang, Erich Schmid Institute of Materials Science, Austria; Jochen Schneider, RWTH Aachen University, Germany

A  $V_{0.48}N_{0.52}/V_{0.54}N_{0.46}$  (001) bilayer has been grown epitaxially on MgO(001) by reactive high power pulsed magnetron sputtering at a temperature of 400 °C in an industrial-scale deposition system. Based on ion beam analysis, atom probe tomography, X-ray diffraction, high-resolution transmission electron microscopy data as well as *ab initio* calculations, it is demonstrated that the defect structure is affected by the nitrogen concentration and interfacial strain. Strain at the MgO/V<sub>0.48</sub>N<sub>0.52</sub> interface is caused by a lattice parameter mismatch of ~2.3% as predicted by density functional theory. The experimentally determined lattice parameter difference is only ~1.3%, hence, the interfacial strain is partially relaxed by formation of misfit dislocations. Consequently, the dislocation density in  $V_{0.48}N_{0.52}$  is reduced from ~0.20 nm<sup>-2</sup> to ~0.10 nm<sup>-2</sup> within a distance of ~10 nm from the MgO/V<sub>0.48</sub>N<sub>0.52</sub> interface. The dislocation density is reduced to ~0.04 nm<sup>-2</sup> at the  $V_{0.48}N_{0.52}/V_{0.54}N_{0.46}$  interface and < 0.01 nm<sup>-2</sup> in the  $V_{0.54}N_{0.46}$  layer within

a distance of  $^{\sim}35$  nm from the interface due to strain relaxation. Based on the here presented findings, it is evident that control of the nitrogen concentration and interfacial strain allows for the design of layered architectures with a variation in dislocation density by two orders of magnitude.

4:20pm TS3-TuA-9 Low Friction Sputtering Coatings, a Sustainable Option to Reduce Energy Consumption and Harmful Lubricant Usage, Albano Cavaleiro [albano.cavaleiro@dem.uc.pt], University of Coimbra, Portugal

From the more than 500 EJ of the World energy consumption, 20% regards losses due to friction in mechanical contacts¹. The obvious solution to decrease friction, the use of liquid lubricants, rises increasing concerns to the environment due to their harmful impact. Therefore, alternatives that can either provide a decrease of the friction in solid contacts or a reduction/removal of the usage of liquid lubrication, will have a significant and positive impact in both saving of energy and protection of the environment

Low friction coatings were intensively developed in last decades as solutions for applications where liquid lubrication is restricted (space, food industry, vacuum,...) as well as a tool for removing either the usage of liquid lubrication or the extremely harmful additives of lubricant oils. As friction is a surface phenomenon, the main advantage of low friction coatings is that they can be applied over the currently used materials for mechanical applications without significant changes of the components and devices.

In this talk several examples related with the development and application of sputtering coatings in mechanical applications (moulding, cutting, forming,...) with main objectives of decreasing friction and reducing the harmful impact of oil lubricants, will be presented. The sliding mechanisms, in particular the understanding of tribolayers formation, will be addressed and connected to different concepts which were in the basis of the coatings development.

<sup>1</sup> K. Holmberg, A. Erdemir, Influence of tribology on global energy consumption, costs and emissions, Friction 5 (2017) 263–284

### Protective and High-temperature Coatings Room Town & Country A - Session MA2-2-WeM

### **Thermal and Environmental Barrier Coatings II**

Moderators: Fernando Pedraza, La Rochelle University, Laboratory LaSIE, France, Francisco Javier Pérez Trujillo, Universidad Complutense de Madrid, Spain

8:40am MA2-2-WeM-3 Comparison of the Protective Performance of YSZ Coatings on Austenitic Steel Under Static and Dynamic Molten Carbonate Conditions, M. Teresa de Miguel, Gustavo García Martín [gusgarci@ucm.es], M. Isabel Lasanta, Jaime Chaves, Francisco Javier Perez Trujillo, Universidad Complutense de Madrid, Spain; Pauline Audigié, Sergio Rodríguez, Alina Agüero, Instituto Nacional de Técnica Aeroespacial (INTA), Spain

The development of protective coatings is crucial for mitigating the severe corrosion caused by molten salts operating at high temperatures in Concentrating Solar Power plants. While nitrate-based salts are currently used as thermal storage media in CSP plants, carbonate salts offer superior thermal stability. The properties of the Li-Na-K carbonate eutectic were enhanced by the addition of 0.5% of  $\alpha\text{-Al}_2O_3$  nanoparticles. The new mixture presents a reduced melting point and an increase in the decomposition temperature, allowing the operation of the CSP plants at 700 °C. This increase would enhance steam generation efficiency and would reduce the Levelized Cost of Electricity (LCoE). However, the intense corrosive effects of molten carbonates demand the development of durable protective coatings to extend the lifespan of critical components.

This study evaluates the performance of yttria-stabilized zirconia (YSZ) solgel coatings applied to the 310H austenitic steel when it is exposed to static and dynamic conditions in a lab-scale setup. Corrosion tests were conducted at 700°C for up to 2500 hours in the eutectic ternary  $\text{Li}_2\text{CO}_3-\text{Na}_2\text{CO}_3-\text{K}_2\text{CO}_3$  mixture with  $\alpha\text{-Al}_2\text{O}_3$  additive. The protective behavior of the coatings was assessed through gravimetric analysis, microstructural characterization and XRD. The performance of the coated material was also compared with the uncoated substrate. The coated samples exhibited an improved corrosion resistance, whereas the uncoated steels showed substantial degradation, including detachment and high mass variation. The presence of the YSZ coating reduced the corrosion extent by over one-third, with the thickness of the corrosion products measuring approximately 200  $\mu\text{m}$  - 300  $\mu\text{m}$  on the uncoated substrate, and between 50  $\mu\text{m}$  - 70  $\mu\text{m}$  on the coated samples.

The YSZ coating exhibited very similar behavior under both dynamic and static conditions. No significant difference was observed in the thickness of the corrosion product layer. Additionally, the same multilayer structure was identified, with the outer layer mainly composed of  ${\rm LiFeO_2}$ , while the inner region was enriched in iron and chromium oxides. However, the uncoated austenitic steel showed higher degradation when exposed to dynamic conditions, displaying cracks along the corrosion layer and detachments.

These findings highlight the potential of YSZ coatings to enhance the durability of structural materials in next-generation CSP plants employing molten carbonates.

9:00am MA2-2-WeM-4 Enhanced Oxidation Resistance of Ni substrate by Sputtered Nanotwinned Al<sub>9</sub>SiCo<sub>20</sub>Cr<sub>20</sub>Ni<sub>45</sub>NbMo<sub>4</sub> Medium-Entropy Alloy Thin Films at High Temperatures, Jun-Hui Qiu [junhui-qiu@gapp.nthu.edu.tw], Yi-Chun Yen, Fan-Yi Ouyang, Department of Engineering and System Science, National Tsing Hua University, Taiwan High-entropy alloys exhibit various properties, such as superior thermal stability, oxidation resistance, and corrosion resistance. These characteristics have sparked interest in using HEAs as anti-oxidation protective coatings. The nanotwinned structure within these alloys contributes to their high hardness and thermal stability, while the sluggish diffusion in high entropy alloys helps lower oxidation rates.

In this study,  $Al_9SiCo_{20}Cr_{20}Ni_{45}NbMo_4$  medium-entropy alloy thin films with a nanotwinned structure were successfully fabricated using magnetron sputtering system on a nickel-metal substrate. Then, the samples were subjected to high-temperature oxidation tests at 600°C, 700°C, and 800°C for 72 hours in dry air using a thermogravimetric analyzer to investigate the high-temperature oxidation behavior of these films and their protective effects against oxidation on nickel substrates. The results demonstrated that the medium-entropy alloy films exhibited strong oxidation resistance, leading to significantly lower oxidation rates and mass gain than pure nickel. The oxidized films had smoother surfaces than bare nickel substrates, with no pores or cracks. Due to grain growth at high

temperatures, the (111) texture and nanotwinned structure in the films partially disappeared at 600°C and 700°C, although some twin structures remained. At 800°C, the twin structures were nearly absent, forming larger grains and a more pronounced (200) diffraction peak. After 24 hours of oxidation at 800°C, chromium oxide particles began to precipitate on the surface, with size and density increasing over time. At 600°C, the oxide layer on the films consisted of an inner aluminum oxide layer and an outer chromium oxide layer, mainly driven by the inward oxygen diffusion. After oxidation at 700°C and 800°C, the oxide layer evolved into a three-layer structure with an inner aluminum oxide layer, a middle chromium oxide layer, and an outer aluminum oxide layer. With prolonged oxidation time, the outer aluminum oxide layer developed an island-like structure with a discontinuous thickness. After extended oxidation at 800°C, form chromium oxide within and on the surface of the aluminum oxide. Additionally, internal oxidation of aluminum occurred inside the film.

## Protective and High-temperature Coatings Room Palm 3-4 - Session MA3-3-WeM

### Hard and Nanostructured Coatings III

**Moderators: Rainer Hahn**, TU Wien, Institute of Materials Science and Technology, Austria, **Stanislav Haviar**, University of West Bohemia, Czechia, **Fan-Yi Ouyang**, National Tsing Hua University, Taiwan

8:20am MA3-3-WeM-2 Comparative Study of the Effect of W and Nb Addition on Microstructure and Properties of Zr-Cu-Based Thin-Film Metallic Glasses, Deepika Thakur [deep0808@kfy.zcu.cz], Michaela Červená, Radomír Čerstvý, Petr Zeman, University of West Bohemia - NTIS, Czechia

Zr-Cu-based thin-film metallic glasses (TFMGs) have emerged as a promising class of materials due to their exceptional properties such as high glass-forming ability, superior elastic strain limit, enhanced hardness and plasticity. Moreover, these TFMGs offer the potential to be combined with nanocrystalline materials (transition metals or metal nitrides) to create heterogeneous dual-phase nanocomposite structures and thus achieving a better balance of toughness and hardness and/or unlocking new functionalities.

Therefore, this study explores the effect of gradual addition of W (negative mixing enthalpy with Zr but positive with Cu) and Nb (positive mixing enthalpy with both Zr and Cu) on microstructure and properties of Zr-Cu-based TFMGs. Two film series, W-Zr-Cu and Nb-Zr-Cu, were prepared, keeping Zr:Cu as 1:1 and gradually varying the W and Nb content in the respective series. Each deposition was done in Ar using three magnetrons equipped with Zr and W/Nb targets operated in the dc regime and a Cu target in the HiPIMS regime.

A systematic investigation revealed that W and Nb additions have a significant impact on microstructure and other properties of the films. The films remain amorphous with smooth surfaces (roughness < 2 nm) up to 65 at.% of W or Nb, displaying vein-like features typical of metallic glasses upon fracture. W-Zr-Cu films with 67 at.% W are characterized by a combination of featureless structures (amorphous-like) close to the substrate and thin columns in the upper part of the film. Films with even higher W contents grow in a V-shaped columnar microstructure corresponding to the bcc  $\alpha$ -W crystalline structure. Nb-Zr-Cu films with 70 at.% Nb clearly exhibit a dual-phase structure with thin columns surrounded by vein-like features. Further increase in the Nb content above 70 at.% leads to the formation of a crystalline structure with parallel columns and very small voids. These voids tend to vanish with increasing Nb content. A gradual increase in hardness and reduced Young's modulus is observed with increasing W content for the amorphous W-Zr-Cu films and the crystalline films show an enhancement in hardness of up to 15% compared to films with pure W due to solid solution hardening. In the case of Nb-Zr-Cu films with up to 70 at.% Nb, the hardness remains nearly constant. However, further addition of Nb results in a decreased hardness and this reduction might be attributed to a less dense structure of the films.

Results of ongoing analysis and experiments on W-Zr-Cu and Nb-Zr-Cu films based on ZrCu TFMG will also be presented, providing new insights into the material's phase transitions, mechanical strength, and electrical properties.

8:40am MA3-3-WeM-3 Tailoring Nanostructure and Functional Properties of Sputter-Deposited Cu-Based Films by Zr Alloying, Mariia Zhadko, Anna Benediktová, Radomír Čerstvý, Jiří Houška [jhouska@kfy.zcu.cz], Jiří Čapek, David Kolenatý, Pavel Baroch, Petr Zeman, University of West Bohemia, Czechia

Cu and Cu-based films, known for their superior electrical and thermal conductivity, find primary applications in electronic devices and the electrical industry. However, the implementation of various strengthening mechanisms often compromises the conductivity. Therefore, it is crucial to carefully control the structural state and composition of these films to achieve an optimal balance between mechanical strength and conductivity.

In this work, we prepared nanocrystalline Cu-Zr films with a minor Zr content ranging from 0 to 2.7 at.% using non-reactive direct current magnetron co-sputtering of separate Cu and Zr targets in pure Ar at a pressure of ~0.5 Pa without substrate bias and external heating. The effects of Zr alloying on the structure, surface, mechanical, and electrical properties were systematically investigated using X-ray diffraction, electron microscopy, atomic force microscopy, indentation, and the four-point probe method. We demonstrate that Zr alloying within the investigated composition range is an effective approach for modifying the structural state and properties of sputter-deposited films, with the most notable changes observed between 0.3 and 1.3 at.% Zr. Beyond this range, only minor changes in the microstructure and mechanical properties are observed, while the solubility, electrical resistivity, and surface roughness continue to rise.

Our systematic investigation shows that during film deposition, a redistribution of Zr atoms occurs between the supersaturated solid solution and grain boundaries resulting in the formation of a complex microstructure along with significant texture weakening and structural refinement. As a result, the alloyed Cu-Zr films exhibit hardness values between 3.2 and 4.2 GPa, exceeding the 2.5 GPa observed in the unalloyed Cu film. This hardness enhancement is attributed to the combined effect of grain boundary strengthening due to the structural refinement and Zr segregation, and solid solution strengthening. An observed increase in electrical resistivity is primarily attributed to electron scattering by Zr atoms dissolved in the Cu lattice and additional scattering at the grain boundaries, especially at Zr contents above 1.5 at.%. However, the as-deposited Cu-Zr films exhibit a combination of hardness and electrical conductivity that is comparable to or better than reported values in the literature. These findings provide a pathway for optimizing structure-property combinations in Cu-Zr films and suggest potential for further enhancement of mechanical and electrical properties through the precipitation hardening mechanism.

9:00am MA3-3-WeM-4 Influence of Bilayer Periodic Thickness Ratios on the Mechanical Properties and Corrosion Resistance of Alcrnbsitin/Alcrn High-Entropy Alloy Nitride Multilayer Thin Films, Shang-Hua Tseng [bnb515032@gmail.com], National Taiwan University of Science and Technology, Taiwan; Jyh-Wei Lee, Ming Chi University of Technology, Taiwan; Chaur-Jeng Wang, National Taiwan University of Science and Technology, Taiwan; Bih-Show Lou, Chang Gung University, Taiwan

High entropy alloy (HEA) nitride thin films have attracted considerable attention from the global industrial and academic communities due to their excellent mechanical properties. HEA multilayer nitride films also exhibit good interfacial stability, outstanding mechanical performance, and superior corrosion resistance. In this study, AlCrNbSiTiN/AlCrN nitride multilayer thin films were deposited using a high power impulse magnetron sputtering (HiPIMS) system with AlCrNbSiTi and AlCr targets in a mixed argon and nitrogen atmosphere. By adjusting the residence time of the substrates in the plasma regions of the AlCrNbSiTi and AlCr targets, multilayered thin films with varied bilayer periodic thicknesses ranging from 6 to 40 nm were fabricated. For the multilayer thin film with 15 nm bilayer period thickness, the thickness ratios of AlCrNbSiTiN and AlCrN single layer were adjusted to evaluate their influence on the hardness and corrosion resistance of films. XRD analysis indicated that all AlCrNbSiTiN/AlCrN multilayer films, as well as single-layer AlCrNbSiTiN and AlCrN films, exhibited a face-centered cubic crystal structure. Notably, the AlCrNbSiTiN/AlCrN multilayer film with a 15 nm bilayer period demonstrated a high hardness of 28 GPa and excellent corrosion resistance in 0.5 M H<sub>2</sub>SO<sub>4</sub> agueous solution, with a corrosion impedance value of 1.19 × 10<sup>6</sup>W.cm<sup>2</sup>. The influence of AlCrNbSiTiN to AlCrN thickness ratios on the mechanical properties and corrosion resistance of AlCrNbSiTiN/AlCrN multilayer thin film with 15 nm bilayer period was explored in this work.

9:20am MA3-3-WeM-5 Impact of Microstructural Characteristics of HVOF-Deposited Cr3C2-Cermet Coatings on Their Performance in Sliding Abrasive Wear, Xinqing Ma [Chin.ma@cwst.com], Peter Ruggiero, Curtiss-Wright Corporate, USA

Nowadays, Cr<sub>3</sub>C<sub>2</sub>-based cermet hardface coatings manufactured by advanced HVOF processes are well recognized for their corrosion and erosion resistance, particularly at high temperatures. Their lightweight nature and high temperature capability make them an attractive alternative to WC-based alloy coatings and hard Cr plating coatings. The objective of this study is to develop optimal Cr<sub>3</sub>C<sub>2</sub>-NiCr coatings by comparing different feedstock materials, including feedstock with nanocrystalline and/or submicron sized Cr<sub>3</sub>C<sub>2</sub> phases. The focus of the investigation is on understanding the impact of feedstock features such as particle size, morphology, and nanocrystalline carbide sizes, as well as sliding abrasive wear conditions on the coating properties and sliding wear performance. The results of the study indicate that the sliding wear resistance of the Cr<sub>3</sub>C<sub>2</sub>-NiCr coatings is highly influenced by the features of the Cr<sub>3</sub>C<sub>2</sub> carbides. With the special interest of nano-crystaline and or submicronsized carbides, the presence of nano, submicron and a few microns sized carbides in the coatings was revealed to improve their density, residual stress and hardness, leading to a significant reduction in wear rates under test conditions. Furthermore, the size of the abrasive SiC grit on the counter surface plays a significant role in determining the sliding wear behavior of these coatings. Based on the analysis of the test data, the mechanisms behind the performance of the Cr<sub>3</sub>C<sub>2</sub>-NiCr coatings have been investigated and used to interpret their sliding wear behaviors. This study has identified and recommended optimized materials for improved coating properties based on the key findings and results analyses. These findings and model analyses contribute to the understanding of the relationship between feedstock features, sliding abrasive wear conditions, and the wear rates of HVOF-sprayed Cr<sub>3</sub>C<sub>2</sub>-NiCr coatings. Hence, the optimized manufacture method by advanced HVOF method will meet the on-going need for a robust alternative solution to hard chromium plating (HCP) method.

11:00am MA3-3-WeM-10 Improving the Elemental Accuracy and Imaging Precision in Atom Probe Tomography of TiSiN Coatings Using Isotopic Substitution and Peak Decomposition, Saeideh Naghdali, Maximilian Schiester, Montanuniversität Leoben, Austria; Marcus Hans, RWTH Aachen University, Germany; Markus Pohler, Christoph Czettl, CERATIZIT Austria GmbH, Austria; Michael Tkadletz, Nina Schalk [nina.schalk@unileoben.ac.at], Montanuniversität Leoben, Austria

Owing to its excellent mechanical properties TiSiN is commonly used as hard protective coating in cutting applications. However, the detailed investigation of the microstructure of TiSiN is a challenging task due to its nanocomposite structure, typically consisting of nanocrystalline and amorphous regions. Atom probe tomography would be a valuable method to study the local elemental distribution with high resolution, but peak overlaps of Si and N in the mass spectrum do not allow for an unambiguous differentiation, resulting in poor elemental accuracy and imaging precision. In order to improve both, isotopic substitution of naturally abundant nitrogen with <sup>15</sup>N enriched nitrogen was applied, allowing to disentangle the contribution of Si and N to the mass spectrum. In addition, the bulk composition of TiSiN coatings deposited with naturally abundant nitrogen was corrected by peak decomposition considering the corresponding isotopic abundancies, resulting in an improved elemental accuracy. A spatially resolved approach via voxeling the 3D reconstructed data and subsequent peak decomposition of the individual voxels also allows the improvement of the imaging precision. The results showed, that Si is to some extent incorporated into a Ti<sub>1-x</sub>Si<sub>x</sub>N solid solution, but also Ti is incorporated into the amorphous SixTiyNz phase fraction.

11:20am MA3-3-WeM-11 Sputter Deposition of Ultrathick Boron Carbide Coatings on Rolling Spherical Substrates, William Rios Lopez [rioslopez1@llnl.gov], James Merlo, Greg Taylor, Jean-Baptiste Forien, Sergei Kucheyev, Lawrence Livermore National Lab, USA

Advancing inertial confinement fusion (ICF) technology requires the development of novel vapor deposition processes for the fabrication of spherical ablators. Boron carbide (B4C) is a promising material for next-generation ICF fuel ablator capsules due to its unique properties, enabling optimal ICF implosion dynamics. Furthermore, its compatibility with direct-current magnetron sputtering (DCMS) offers scalability for ablator production. However, the deposition of B4C films on spherical substrates remains challenging due to the complex interplay between directional deposition processes and substrate geometry. This study focuses on minimizing the density of nodular defects in ultrathick B4C coatings

deposited by DCMS on rolling spherical substrates. We used a custom-designed substrate holder to systematically study how the rolling kinetics of spherical substrates influences the nodular defect population in coatings. This work was performed under the auspices of the U.S. DOE by LLNL under Contract DE-AC52-07NA27344.

11:40am MA3-3-WeM-12 Superhard Single-phase Ti<sub>1-x</sub>Al<sub>x</sub>B<sub>Y</sub> Films with Good Oxidation Resistance Grown without External Heating using Hybrid HiPIMS/DCMS Technique, Bartosz Wicher [bartosz.wicher@liu.se], Linköping University, IFM, Thin Film Physics Division, Poland; Vladyslav Rogoz, Linköping University, IFM, Thin Film Physics Division, Ukraine; Oleksandr Pshyk, Empa, Swiss Federal Laboratories for Materials Science and Technology, Switzerland, Ukraine; Szilard Kolozsvari, Peter Polcik, Plansee Composite Materials GmbH, Germany; Ivan Petrov, University of Illinois at Urbana-Champaign, USA, Bulgaria; Lars Hultman, Grzegorz Greczynski, Linköping University, IFM, Thin Film Physics Division, Sweden

A hybrid High-Power Impulse Magnetron Sputtering (HiPIMS) and Direct Current Magnetron Sputtering (DCMS) approach with TiB2 and AlB2 targets is used to grow Ti1-xAlxBy thin films with  $0.40 \le x \le 0.76$  and  $1.81 \le y \le 2.03$ . The hybrid sputtering method ensures precise control over the energy and momentum of ionized species. The primary aim is to optimize the Al content for enhancing the high-temperature oxidation resistance while maintaining excellent mechanical properties that stem from the diboride structure. No external substrate heating is used resulting in the substrate temperature lower than  $180^{\circ}\text{C}$ .

Oxidation tests performed at temperatures ranging from 700 to 900 °C indicate a substantial improvement in oxidation resistance with higher Al content. Films with  $x \leq 0.49$  develop porous, B-depleted oxide layers exhibiting titanium dioxide (TiO2) rutile phase structure and often show spallation. In contrast, the Ti1-xAlxBy layers with  $x \geq 0.58$  form dense oxide scales composed of amorphous alumina (Al2O3) and borate (Al18B4O33) phases, which effectively passivate the film surface against further oxidation. The oxide scales formed on the high-Al content films exhibit nanoindentation hardness comparable to that of TiAlN coatings and very good adhesion to the underlying substrate due to better matching of thermal expansion coefficients.

These findings offer a promising foundation for developing high-performance boride-based coatings for applications in industries such as aerospace and power generation that require coating materials with mechanical strength and resistance to high-temperature oxidation.

### Acknowledgment

Bartosz Wicher is grateful to the ÅForsk Foundation for personal travel grant (ref.nr 24-721).

12:00pm MA3-3-WeM-13 Nanostructured Multilayer Cr/C Coatings for Advanced Protection of Mold Tools, Yavor Sofronov, Krassimir Marchev [k.marchev@northeastern.edu], Milko Angelov, Milko Yordanov, Rayna Dimitrova, Krum Petrov, Antonio Nikolov, Todor Gavrilov, Technical University of Sofia, Bulgaria

Nanostructured Cr/C multilayer coatings have been deposited by non-reactive unbalanced magnetron sputtering on DIN 1.2363 (A2) cold-worked air-hardened steel samples using proprietary system from Cr and graphite targets. Smooth crack-free coatings with bi-layer periods of 4.8, 3.1 and 2.2 nm have been produced by precision control of substrate rotation speeds of 2, 3, and 4 rpm.The high sp3/sp2 hybridization ratio, moderate hardness and high elastic recovery are essential for variety of industrial applications. Used deposition technology demonstrates high reliability and reproducibility of coatings.

Protective and High-temperature Coatings Room Town & Country D - Session MA4-2-WeM

High Entropy and Other Multi-principal-element Materials

Moderators: Jean-François Pierson, IJL - Université de Lorraine, France, Frederic Sanchette, Université de Technologie de Troyes, France

8:00am MA4-2-WeM-1 Oxidation Resistance of High Entropy Nitride Thin Films Deposited by Magnetron Sputtering, Djallel Eddine Touaibia, Abdelhakim Bouissil, Sofiane Achache, Mohamed El Garah, Frederic Sanchette [frederic.sanchette@utt.fr], Université de Technologie de Troyes, France

In the last decades, Refractory High Entropy Alloys (RHEAs) thin films have attracted more attention owing to their enhanced mechanical properties and better thermal stability at high temperatures, compared to conventional alloys. TiTaZrHfW-N and TiTaZrHfAl-N RHEAs thin films were deposited by reactive magnetron sputtering technology at different N2 flow rates. For both systems, nitrogen-free films are amorphous, and the nitrides are columnar, single-phased with an FCC-NaCl type structure. The strong Me-N bonds lead to hardness up to 29 GPa and a Young's modulus up to 257 GPa, for the TiTaZrHfW-N system whereas the highest hardness and Young's modulus for the TiTaZrHfAl-N system are 25.3 GPa and 201.3 GPa respectively. Unlike metallic films, TiTaZrHfW-N and TiTaZrHfAl-N nitride films are thermally stable at 800 °C under vacuum and have a much better oxidation resistance. Nanolayered architectures TiTaZrHfW-N/Si3N4 and TiTaZrHfAl-N/Si3N4 result in a significant improvement of the oxidation resistance at 800 °C due to the formation of amorphous Si-N barrier nanolayers, hindering the oxygen diffusion.

8:40am MA4-2-WeM-3 Plasmonic Behaviour of Multi-Component Nitride (TiVZrNbTa)Nx Thin Films, Miguel Piñeiro [miguel.pineiro-sales@univ-lorraine.fr], Institut Jean Lamour - Université de Lorraine, France, Peru; Salah-Eddine Benrazzouq, Institut Jean Lamour - Université de Lorraine, France, Morocco; Valentin Milichko, David Pilloud, Thomas Easwarakhanthan, Institut Jean Lamour - Université de Lorraine, France; Frank Mücklich, Saarland University, Germany; Jean-François Pierson, Institut Jean Lamour - Université de Lorraine, France

Although transition metal nitrides such as TiN or ZrN have been widely studied as plasmonic properties, the optical and electrical properties of multi-component nitride thin films are rather lacking in the literature for plasmonic applications, in spite of their well-known mechanical properties [1]. We attempt in this paper to alleviate this drawback by depositing the multi-component nitride (TiVZrNbTa)Nx films on silicon substrates by using reactive magnetron sputtering under different nitrogen flow rates (R<sub>N2</sub>) and at different working pressures. The X-ray diffractograms of the as-deposited films have shown that they crystallize in a single-phase with a rocksalt-like structure. Moreover, the plasmonic potential of the films was investigated from their dielectric function determined by variable angle spectroscopic ellipsometry. The films prepared at low working pressures exhibit optimal metallic behaviour with the real part of their dielectric function displaying zero-crossover. In contrast, those fabricated at high working pressure show non-metallic behaviour without any zero-crossover and with low absorption in the near-infrared region. In particular, the real part of the dielectric function of the film produced at 0.5 Pa has a notable feature of double epsilon-near-zero (2ENZ) comparable to other transition metal nitrides such as TiN, ZrN and NbN [2-4]. The dielectric function of (TiVZrNbTa)Nx can be tuned by tailoring the deposition parameters such as working pressure to some desired plasmonic application. Specifically, the screened plasma energy (E<sub>DS</sub>) is tuneable from near UV to visible ranges, from 3.4 to 2.1 eV. Their plasmonic performance were evaluated by calculating their intrinsic quality factor for surface plasmon polaritons (SPP). Additionally, the freecarrier density, the scattering time and the electrical resistivity of the films were also determined by means of Drude model for the free charge carrier contribution to the dielectric function [2]. Drude parameters were compared with additional electrical measurements performed by fourpoint probe method.

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9:00am MA4-2-WeM-4 Temperature Stability of High Entropy Ceramic Cr-Hf-Mo-Ta-W-N Refractory Metal Coatings, Pavel Soucek [soucek@physics.muni.cz], Stanislava Debnarova, Matej Fekete, Masaryk University, Czechia; Sarka Zuzjakova, University of West Bohemia, NTIS, Czechia; Shuyao Lin, Technische Universitat Vienna, Austria; Ondrej Jasek, Tatiana Pitonakova, Masaryk University, Czechia; Nikola Koutna, Technische Universitat Vienna, Austria; Petr Zeman, University of West Bohemia, NTIS, Czechia

High entropy alloys (HEAs) are multi-component materials composed of five or more principal elements, with each element's content ranging from 5 to 35 atomic percent. The properties of HEAs arise from four core effects: the high entropy effect, severe lattice distortion, sluggish diffusion, and the cocktail effect. This high entropy concept also extends to ceramics, including oxides, nitrides, borides, and carbides.

In this study, we are examining the temperature stability of high entropy nitrides from the Cr-Hf-Mo-Ta-W-N system. We utilized magnetron cosputtering of segmented elemental targets for all depositions, which were performed on silicon and sapphire substrates. The first set of depositions was conducted at ambient temperature, while an elevated temperature of 700°C was used for the second set to enhance coating crystallization.

All the deposited coatings exhibited strong diffraction peaks corresponding to a face-centred cubic (fcc) lattice, which is anticipated for the formation of these high entropy ceramics. The coatings were annealed at temperatures of 1000°C, 1200°C, and 1400°C to observe changes in their chemical composition, phase, crystal structure, morphology, and mechanical properties.

We will discuss the significant role of coating adhesion in withstanding annealing, the impact of nitrogen loss on changes in the coating structure, and the influence of the inherent multilayered structure of the coatings on phase emergence and stability. Furthermore, we will identify critical elements that enhance the temperature stability of the coatings and discuss the limits of high entropy stabilization in the studied nitride system.

11:00am MA4-2-WeM-10 The Microstructure, Mechanical Properties and Performance of High–Entropy (AlCrTiMoVNi)N Coatings Produced by Cathodic Arc Evaporation, *Qi Yang [qi.yang@nrc-cnrc.gc.ca]*, National Research Council of Canada; *Alex Lothrop, Xiao Huang*, Carleton University, Canada

High-entropy (AlCrTiMoVNi)N coatings were prepared using cathodic arc evaporation. The target composition was varied to investigate the effect of nickel concentration on the microstructure, mechanical properties and tribological performance of the coatings. All coatings assume a B1 face centered cubic structure, and contain many small droplet and large splat defects; and the amounts of those defects increase with the concentration of Ni. All coatings showed excellent high-temperature phase stability. The hardness and elastic modulus of the coatings reached maximum values at 2% Ni and then, decreased as the Ni content increases. In terms of performance, the coating with 2% Ni had the lowest wear rate while in erosion testing the coating free of Ni had the lowest erosion rates. Overall, the presence of droplets/splats had a significant influence on the tribological performance of the coating.

11:20am MA4-2-WeM-11 Fabrication of CrMoNbWTi High Entropy Alloy Thin Films: Effect of Ti Content, Han-Jie Chen [youtude8@gmail.com], Ming Chi University of Technology, Taiwan; Bih-Show Lou, Chang Gung University, Taoyuan, Taiwan; Jyh-Wei Lee, Ming Chi University of Technology, Taiwan

In 2004, Professor Yeh and Professor Cantor independently introduced innovative material systems known as high entropy alloys (HEAs) and multicomponent alloys. Due to their exceptional mechanical and physical properties, HEAs and their thin films have garnered significant attention from industrial, academic, and research communities. This study explores the fabrication and characterization of CrMoNbWTi HEA thin films grown on stainless steel (AISI 304 and AISI 420) and silicon substrates by high power impulse magnetron sputtering (HiPIMS) technology. The effect of titanium content on the phase, microstructure, mechanical properties, and corrosion resistance of CrMoNbWTi HEA thin films was studied. The films' cross-sectional morphology was analyzed using field emission scanning electron microscopy, while X-ray diffraction (XRD) was used to evaluate the crystalline structure. The mechanical properties, including hardness, elastic

modulus, adhesion, and wear resistance, were evaluated through nanoindentation, scratch testing, and pin-on-disk wear testing. We can find out that the hardness of CrMoNbWTi HEA thin films increased from 20.7 GPa to 23.5 GPa with increasing Ti content. The adhesion critical load also increased with increasing Ti contents. The corrosion resistance of CrMoNbWTi HEA thin films also showed a positive tendency with Ti concentration. Due to its solid solution hardening and grain refinement effects, we can conclude that the Ti content plays an important role in the mechanical and corrosion resistance enhancement of CrMoNbWTi HEA films.

11:40am MA4-2-WeM-12 Effect of Elemental Concentration on Mechanical and Tribological Properties of (AlNbSiTiZr)N Thin Films, Tongyue Liang [tongyue.liang@mail.mcgill.ca], Stéphanie Bessette, Raynald Gauvin, Richard Chromik, McGill University, Canada

(AINbSiTiZr)N thin films were deposited on silicon wafer and steel substrates using pulsed DC magnetron sputtering with four distinct targets (AlSi, Ti, Nb, Zr). The elemental concentration of each constituent was tuned by adjusting the discharge current applied to each target. The thickness of the deposited films was maintained at approximately 1.3  $\mu m$ . Three different (AINbSiTiZr)N thin films with slight variations in elemental concentrations were studied to assess the impact of compositional changes on their structure and properties. The films were characterized for surface and cross-sectional morphology, microstructure, roughness, and mechanical properties. A minor increase in the concentrations of Nb and Zr (5 at.% for each) led to a significant improvement in hardness, increasing from 12.7  $\pm$  0.7 GPa to 20.8  $\pm$  0.5 GPa. The tribological properties of the films were studied using a ball-on-plate tribometer under dry air conditions with a load of 0.5 N for 1000 sliding cycles. The results indicate that the wear resistance of the (AINbSiTiZr)N thin films improved with the increased concentrations of Nb and 7r.

### Protective and High-temperature Coatings Room Palm 5-6 - Session MA5-1-WeA

**Boron-containing Coatings I** 

Moderator: Anna Hirle, TU Wien, Austria

11:00am MA5-1-WeA-10 Metal Boride Nanocrystal Inks for Applications in Extreme Environments, Loredana Protesescu [I.protesescu@rug.nl], RUG, Netherlands INVITED

How can boron-rich nanocrystalline films be optimized to meet the stringent mechanical demands of extreme environment applications?

Modern advances in clean energy, hypersonic travel, and nuclear technologies place extraordinary demands on materials' thermal and mechanical durability. High-stakes fields, such as aerospace and space exploration, require materials that withstand extreme conditions, often exceeding 4,000 °C, with substantial mechanical strength and oxidation resistance. Refractory materials like ultra-high temperature ceramics (UHTCs), while promising, are limited by high production costs and challenging synthesis processes. This study seeks to address this challenge by exploring nanoscale metal boride materials—specifically, strontium hexaboride (SrB6) nanocrystals (NCs)—as a cost-effective, mechanically robust alternative.

Nanocrystals (NCs) offer unique advantages due to their high surface area, tunable crystallization, and the ability to form films with nanoscale precision, which is critical for enhancing mechanical properties in thin coatings. Here, we investigate the potential of surface-modified SrB6 NCs, blade-coated onto silicon and sapphire substrates, as a pioneering solution for boron-rich, super-hard thin films. Through ligand modification with BF4 and BI3, these NCs achieve distinct structural formations on different substrates, significantly impacting their mechanical performance.

Our findings demonstrate that SrB6-BI3 films on silicon reach up to 10 GPa hardness and a Young's modulus between 180 and 200 GPa. In comparison, SrB6-BF4 films attain 5 GPa hardness and 170 GPa modulus on silicon, with a notably higher modulus of 300 GPa on sapphire, suggesting enhanced stiffness through substrate optimization. Atomic force microscopy (AFM) revealed crystallization patterns where SrB6-BI3 formed micron-sized crystals on silicon, while SrB6-BF4 created spherical clusters, further affecting mechanical properties.

This study highlights that by optimizing ligand choice, substrate selection, and minimizing defects, boron-rich metal boride nanomaterials can be tailored for demanding applications. These findings position SrB6 NC-based

films as a promising, cost-efficient alternative to conventional super-hard materials like diamond, with potential breakthroughs in extreme environment applications.

11:40am MA5-1-WeA-12 Dos and Don'Ts When Performing Theoretical Predictions for Identification of Stable Metal-Boride Materials (MAN Phases), Martin Dahlqvist [martin.dahlqvist@liu.se], Linköping University, IFM, Materials Design Division, Sweden

The number of atomically laminated boron-based materials (MAB phases) have grown significantly since their discovery in the 1960s and in the last decade we have seen the realization of their two-dimensional derivatives, boridene. MAB phases are versatile in terms of chemical composition, which facilitate controlled and tailored properties. Widening and enhancement of these materials requires an enlarged palette of compositions. Alloying through the addition of elements is one way for expanding the compositional space of MAB phases and, in turn, their attainable properties. This has traditionally been realized through solid solutions upon metal alloying and recently through the formation of chemically ordered metal layers. This is where theory can be used for accelerating the exploration of next-generation MAB phases. It will be demonstrated how predicted stability can be used to identify the most promising novel material candidates to be used as guidance for synthesis experiments. The importance of considering both chemically ordered structures and disordered solid solutions for reliable predictions will also be discussed. is required. Results will cover ternary and quaternary MAB phases, the latter with metal disorder and order (in-plane i-MAB and outof-plane o-MAB).

## Tribology and Mechanics of Coatings and Surfaces Room Palm 5-6 - Session MC2-2-WeM

#### Mechanical Properties and Adhesion II

Moderator: Chia-Lin Li, Ming Chi University of Technology, Taiwan

8:00am MC2-2-WeM-1 Adhesion, Delamination and Cracking of Thermal Spray Coatings: Understanding Critical Phenomena During Processing and Service, Sanjay Sampath [sanjay.sampath@stonybrook.edu], Stony Brook University, USA

INVITED

The efficacy of coatings in engineering applications rely on their ability to be well bonded to the underlying substrate. Many factors govern this adhesion including deposition materials, substrate materials, substrate attributes, surface chemistry, processing conditions, thickness, build rate, mismatch between the coating and substrate etc. Methods to measure adhesion in present day is largely phenomenological with "go/no-go" agenda. Of importance is that today's measures of adhesion strength may not be appropriate for coatings which are largely brittle, where cracking is a predominant mode of failure representing a toughness problem rather than strength consideration. Furthermore, even well bonded coatings can delaminate during service where compounding effects of service load can superpose to accentuate the interfacial stresses. Thus, understanding these phenomena is critical. The debonding of the interface is driven by energy dissipation. In situation where bonding is strong, an alternative energy release mechanism is cracking of the coating. When harnessed they provide a pathway to build strain-tolerant vertically cracked coating with implications for novel design and manufacturing of thermo-structural coatings. In many instances, the factors of cracking and delamination compete. This is dependent on adhesion and microstructure. In this presentation, the above attributes are critically discussed through phenomenological and quantitative strategies.

8:40am MC2-2-WeM-3 A Study on the Surface Morphology and Tribological Behavior of Hydrided Zircaloy, Jun Xian Lin [linst214200@gmail.com], Kuan-Che Lan, National Tsing Hua University, Taiwan

The integrity of used nuclear fuel claddings is one of the keys to assess the safety margin during interim dry storage. Nuclear fuel claddings made of zirconium alloys have been widely applied in commercial nuclear reactors such as boiling and pressurized water reactors. The accumulation of hydrogen in the form of zirconium hydride which could deteriorate the integrity of used nuclear fuel claddings during interim dry storage is one of critical concerns intrinsically. Besides, existence of hydride in zirconium alloys could weaken the tribological resistance of the cladding materials during the loading and transportation procedures of used fuel prior to a long-term dry storage and hurt the integrity externally. A thoroughly understanding of about the microstructure and tribological behavior of

zirconium alloy with hydrides will improve the reliability of evaluation on the integrity of used fuel cladding during interim dry storage. The objective is to study the influence of zirconium hydride on the tribological resistance. Scratch tests were conducted on as-hydrided Zircaloy-4 plate using a scratch tester to determine the minimum load causing cracks and to analyze the morphology of surface cracks. Additionally, a pin-on-disc test was conducted to assess the wear resistance, followed by SEM analysis over the damaged surface to observe the effect of hydrogen permeation on the tribological behavior of the Zircaloy-4.

9:00am MC2-2-WeM-4 Effects of Stored Elastic Energy and Stress Gradients on the Tribological Behavior of TiN Coatings on D2 Steel, *I-Sheng Ting [gary820902@yahoo.com.tw]*, *Jia-Hong Huang*, National Tsing Hua University, Taiwan

Residual stress is one of the most pivotal issues in protective hard coatings deposited by physical vapor deposition methods. It is generally acknowledged that low residual stress is beneficial for prolonging the lifespan of hard coatings. In our previous studies [1,2], a Ti interlayer was added to alleviate the residual stress of TiZrN coating on D2 steel, thereby improving its wear resistance. An energy-based hypothesis was proposed to explain the enhancement in wear resistance [2], where by lowering the stored elastic energy (Gs) in the TiZrN coating, the margin for reaching the fracture toughness (Gc) was extended, indicating that the coating could endure more external loading. However, the energy-based perspective neglected the effect of stress gradient that significantly affects the propagation of cracks in coatings. This study aimed to measure the stored elastic energy and energy gradients of TiN coating on D2 steel and evaluate the effect of gradients on the tribological behavior. TiN coatings were deposited on D2 steel and Si substrates using DC unbalanced magnetron sputtering, where the stress gradient of TiN coating was controlled by adjusting the working pressure during deposition. The average stress of the TiN coating was determined using the average X-ray strain (AXS) combined with nanoindentation methods [3-5], and the stress gradient was acquired by changing the X-ray incident grazing angles. The adhesion and wear resistance of the TiN coatings on D2 steel were respectively evaluated using scratch test and pin-on-disk wear test. Through the adjustment of working pressure during deposition, it is feasible to control the tribological behavior of a hard coating by tuning the distribution of stored elastic energy and stress gradients.

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9:20am MC2-2-WeM-5 Adhesion at the Glass/Metal interface probed by Colored Picosecond Acoustics, Arnaud Devos [arnaud.devos@iemn.fr], IEMN, France

Glass is a common material already employed in everyday applications, which has gainedconsiderable interest for electronic components, due to its attractive electrical, physical, andchemical properties, as well as its prospects for a cost-efficient solution. Adhesion of thin metal film on glass is especially critical and bonding between glass and metal can broaden the applications of glass in many industrial areas. A large number of methods have been developed to characterize the adhesion of a thin film to a substrate. Acoustic waves and especially ultra-high frequency acoustic waves are also sensitive to adhesion defects as they affect the way acoustic waves are transmitted and reflected at the interface concerned. At a poor interface, acoustic waves are much more reflected than expected and therefore much less transmitted. In this work, we use picosecond acoustics for measuring the metal film thickness and the acoustic transmission coefficient at the interface with a glass substrate. Picosecond acoustics is a ultrafast laser technique that implements a nanoscale pulse-echo technique[1]. A femtosecond optical pulse excites a short acoustic pulse inside the sample and another optical pulse is used to monitor acoustic propagation and reflections. We show that we can take advantage of the laser tunability to improve the measurement of adhesion between metal and glass: by making picosecond acoustic measurements at different wavelengths (spectroscopy), we observe very sensitive changes in the

photo-acoustic response which can be used to improve measurement accuracy.

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9:40am MC2-2-WeM-6 The Mechanical and Tribological Performance of (V,Mo)N Coatings Deposited by Magnetron Sputtering, Yuqun Feng, Jia-Hong Huang [jhhuang@ess.nthu.edu.tw], National Tsing Hua University, Taiwan

The wear resistance of transition metal nitrides (TMeNs) can be enhanced by introducing self-lubricating oxide forming alloy elements, such as V and Mo. However, TMeNs are usually brittle under dynamic loading conditions. (V,Mo)N is a recently developed material for wear-resistant coatings due to its high fracture toughness. The objective of this study was to evaluate the mechanical and tribological properties of single-phase (V,Mo)N coatings. (V,Mo)N coatings with different N/metal ratios were deposited on AISI D2 steel substrates using direct current unbalanced magnetron sputtering (dc-UBMS) and high power pulsed magnetron sputtering (HPPMS). The results showed that the coatings deposited on steel substrates have higher N/metal ratio and (200)-preferred orientation than those on Si substrates. This may be attributed to the higher electrical conductivity of the steel substrate, leading to more intense ion bombardment that delivers more energy in forming N-metal bonding and enhances the channeling effect. The hardness of the coatings increases with decreasing N/metal ratio. Additionally, the coatings deposited by HPPMS on steel substrates have lower residual stress than those by dc-UBMS. This may be due to the stress induced by the power cycle being relieved by plastic deformation of the steel substrate. All (V,Mo)N coatings show a very low wear rate ranging from 1.1×10<sup>-7</sup> to 4.0×10<sup>-7</sup> mm<sup>3</sup>N<sup>-1</sup>m<sup>-1</sup> at room temperature. As temperature increases to 500 °C and above, the wear resistance of the (V,Mo)N coatings significantly decreases, while low friction coefficients are maintained by the formation of self-lubricating V- and Mo-oxides. All coatings remain intact after 150k impact fatigue test, even when the deformation depth is larger than the coating thickness, implying the remarkable toughness of the (V,Mo)N coatings. In contrast, the coatings deposited using dc-UBMS have the worst impact fatigue resistance, which may be related to their lower fracture toughness.

## Tribology and Mechanics of Coatings and Surfaces Room Town & Country C - Session MC3-1-WeM

## Tribology of Coatings and Surfaces for Industrial Applications I

Moderators: Stephan Tremmel, University of Bayreuth, Germany, Martin Welters, KCS Europe GmbH, Germany

9:00am MC3-1-WeM-4 Cyclic and Randomized Micro-Impact Tests of Coatings for Erosion Protection: Role of Multilayer Structure in Providing Damage Tolerance, Ben Beake [ben@micromaterials.co.uk], Micro Materials Ltd, UK; Daniel Tobola, Lukasiewicz Research Network, Krakow Institute of Technology, Poland; Luksaz Maj, Institute of Metallurgy and Materials Science of Polish Academy of Sciences, Krakow, Poland; Tomasz Liskiewicz, Manchester Metropolitan University, UK; Puneet Chandran, Lukasiewicz Research Network, Krakow Institute of Technology, Poland Coating systems for applications in machining and forming tools, and in applications where they are subject to solid particle erosive wear, are subject to high loads which can result in high wear and premature failure. To aid the design of coating systems to mitigate this with improved surface fatigue resistance, cyclic micro-impact tests have been performed on three hard multilayered coatings (TiN/TiCrN/TiN, TiN/TiCrN/10x(TiN/CrN)/TiN and 25x(Cr/CrN)) deposited by arc evaporation onto hardened tool steel and results compared to a monolayer TiN reference. To more closely replicate the statistical, and apparently stochastic, distribution of multiple impacts that occur in solid particle erosion randomized micro-impact tests were performed where multiple impacts occur with controlled energy at different (chosen) locations on the coating surface. The cyclic and randomized impact tests were both performed using a multi-sensing approach where the depth and dissipated energy were monitored for every impact improving detection of the onset of severe wear. The multilayered TiN-based coatings were more prone to chipping than the monolayer TiN in the cyclic and randomized tests. Although the 25x(Cr/CrN) coating was susceptible to radial cracking and cracking within impact craters this localized cracking relieved the impact-induced stresses and minimized the

chipping failure found on the other coatings. SEM and TEM imaging has been used to investigate the impact damage phenomena.

9:20am MC3-1-WeM-5 Effect of Bias Voltage and Temperature on the Structural and Tribo-Mechanical Properties of Chemically Complex Tisibon Nanocomposites, Wolfgang Tillmann, Julia Urbanczyk [julia.urbanczyk@tu-dortmund.de], TU Dortmund University, Germany; Alexander Thewes, TU Braunschweig University, Germany; Nelson Filipe Lopes Dias, TU Dortmund University, Germany

TiSiBCN thin films show promising properties for applications at elevated temperatures due to improved thermal stability and oxidation resistance, as well as friction-reducing characteristics. While previous studies investigated mainly the effect of the chemical composition on the thin film properties, it remains unclear how deposition parameters, such as the bias voltage and the heating power, affect the structural and tribo-mechanical properties of TiSiBCN. For this reason, the effect of the bias voltage and heating power on magnetron-sputtered TiSiBCN nanocomposites with different chemical compositions was analyzed. In the first line of investigation, the bias voltage was varied from -100, -150, and -200 V, and in the second line, the heating power was set to 2, 5, and 8 kW.

The chemical composition remains nearly unaffected by the heating power, while the bias voltage has a slight effect on the quantity of the elements. Xray diffraction (XRD) analysis revealed a polycrystalline structure with randomly oriented crystallites, characterized by different peak shifts depending on the chemical composition. Identified crystalline phases include TiN, TiC, TiB, and TiB<sub>2</sub>, coexisting with various amorphous phases. Transmission electron microscopy (TEM) images reveal a nanocomposite structure and changes in microstructure, such as crystallite refinement with higher bias voltage or growth, as well as further self-assembly with higher deposition temperatures, depending on the chemical composition and initial phase structure. An increased bias voltage induces residual stresses while the hardness tends to decrease. With higher heating power, internal stresses are released and the hardness increases up to 41 GPa. To explore the application potential of the TiSiBCN thin films for forming processes of aluminum alloys, the tribological behavior was evaluated against AW-6060 in tribometer tests, highlighting TiSiBCN as a promising protective coating.

9:40am MC3-1-WeM-6 Lubrication Mechanism of CrAIN+MoWS Coatings in Gear Contacts under Dry Rolling-Sliding Conditions, Kirsten Bobzin, Christian Kalscheuer, Max Philip Möbius, Marta Miranda Marti [marti@iot.rwth-aachen.de], Surface Engineering Institute - RWTH Aachen University, Germany

The use of liquid lubricants for wear and friction reduction in geared transmissions is well established. However, in applications like the food industry, liquid lubricants are undesirable due to contamination risks. A promising alternative involves applying a wear-resistant CrAIN coating incorporated with solid lubricant components, such as molybdenum, tungsten and sulfur. Previous studies demonstrated the functionality of graded CrAIN+MoWS coatings, analyzing the lubrication mechanism on flat samples using pin-on-disc method. Further studies extended this analysis to gear applications, where the coating reduced friction and wear by 88 % compared to uncoated contacts.

In this study the lubrication mechanism of PVD deposited graded CrAIN+MoWS on gears was analyzed. The coated wheels were tested against uncoated pinions under varying Hertzian pressure at pitch point, with  $p_{H1}$  = 589 N/mm<sup>2</sup> and  $p_{H2}$  = 1.723 N/mm<sup>2</sup>, and circumferential speed  $v_{t1}$ = 2 m/s and  $v_{t2}$  = 8,3 m/s. After tribological testing, the gear tooth surfaces were examined using confocal laser scanning microscopy (CLSM) and energy-dispersive X-ray spectroscopy (EDX) to determine the coating distribution. Raman spectroscopy was employed to analyze the possible formation of the solid lubricant MoS<sub>2</sub> and WS<sub>2</sub> phases, as well as other friction-reducing oxides. At lower Hertzian pressures, the triboactive elements on the wheel tooth flank are effectively consumed, leading to a friction reduction compared to uncoated gear contacts. On the wheel tooth faces, the triboactive elements remain present and are identified through Raman spectroscopy as MoS<sub>2</sub>, which could further contribute to friction reduction. On the corresponding uncoated pinions, traces of Mo, W, and S are detected, confirming the effective transfer mechanism of CrAIN+MoWS coatings in gear contacts at lower Hertzian pressure. At higher Hertzian pressures and high circumferential speeds, traces of MoS<sub>2</sub> are observed on the wheel tooth face, indicating the coating consumption to reduce friction and demonstrating the effectiveness of the coating under extreme testing conditions, which expand the gear's lifespan compared to uncoated gear contacts.

The results demonstrate the lubrication mechanism of the CrAIN+MoWS coating in gear contact.  $MoS_2$  is generated at the gear contact, even under low Hertzian pressure, and is efficiently utilized within the contact zone to ensure a friction reduction. At higher speeds, these triboactive elements remain effective, continuing to enhance lubrication and reduce wear within the gear contact when compared to uncoated gears.

11:00am MC3-1-WeM-10 Wear Protection via Triboactive CrAIMoN Coatings in Chain Drives, Kirsten Bobzin, Christian Kalscheuer, Max Philip Möbius [moebius@iot.rwth-aachen.de], Surface Engineering Institute - RWTH Aachen University, Germany; Martin Rank, Oliver Koch, Institute of Machine Elements, Gears and Tribology - RPTU Kaiserslautern-Landau, Germany

Within chain drives, critical wear occurs between the chain pin and chain bush, leading to chain elongation. This determines the service life of a chain. Hard coatings deposited by physical vapor deposition (PVD), such as CrAIN, can effectively reduce wear. However, coating the inner surfaces of chain bushes presents economic and technological challenges. A promising alternative is the use of triboactive CrAIMON coatings, which interact with lubricants and their additives to form protective tribofilms. These tribofilms can transfer to uncoated chain bushes, providing essential wear protection.

In this study, three chains were assembled using uncoated, CrAIN and CrAlMoN coated pins. These chains were then tested on a chain drive test bench. All chains were lubricated with grease containing sulfur additives. Analyses of the as-coated chain pins included geometry, surface roughness, coating thickness, coating morphology and compound adhesion. The chains underwent testing under medium load conditions corresponding to a power transmission of  $P_M$  = 2.3 kW and high load conditions corresponding to  $P_H$  = 9.5 kW. Wear was monitored through periodic measurements of chain elongation to determine wear rates over time. Upon completion of testing, both chain pins and bushes were analyzed for visual appearance changes, wear volume, surface topography, and remaining coating thickness. Under medium load conditions, CrAlMoN coated chains exhibited slightly higher wear rates compared to reference systems. However, under high load conditions, CrAlMoN coated chains demonstrated the lowest wear rates among all tested configurations. Notably, wear distribution between the chain pin and bush was more uniform in CrAlMoN coated systems compared to others where higher wear predominantly affected uncoated bushes.

This observation suggests that the formation and transfer of protective tribofilms in CrAlMoN systems contribute significantly to enhanced wear resistance under high stress conditions. Analysis after high-load testing revealed that CrAlMoN coated pins retained substantial coating thickness within the wear areas of the pin.The findings indicate that triboactive CrAlMoN coatings hold considerable promise for reducing wear in high-performance chain drives by forming protective tribofilms during tribological operation that can be transferred to uncoated chain bushings.

11:20am MC3-1-WeM-11 Tribological Contact Formation on PVD-Coated Tools, Aljaz Drnovsek [aljaz.drnovsek@ijs.si], Peter Panjan, Matjaž Panjan, Miha Čekada, Jožef Stefan Institute, Slovenia INVITED

Tools surface topography changes dramatically after PVD coating deposition. Various topographical imperfections on the coating surface can negatively impact the quality of the coating and, in some cases, cause the failure of the coating. The imperfections in coated forming tools initiated over a decade of research into the phenomena associated with coating surfaces, particularly the growth defects.

I will present results related to the formation of the coating topography and how it depends on factors such as substrate material, ion etching, and deposition processes. The topographical features of the coating significantly influence oxidation, corrosion, and especially the tribological behavior of PVD coatings.

The influence of the coated surface on the formation of a tribological contact has been the focus of several studies, as the contact area between two sliding bodies is not constant with time. Initially, only the asperities which appear as growth defects are in real contact with the counter body. Under load, these asperities can fracture, spall, and produce small particles. The real contact area is increasing sharply before it stabilizes. In terms of friction, we recognize this behavior as the running-in period. The coefficient of friction increases in this period until it reaches a steady state value. It is still poorly understood how this transition from the run-in to the steady state friction occurs and, more importantly, how the growth defects affect the tribological performance. The role of defects in the formation of the tribological contact changes depending on counter body materials and operating temperature. The latter was studied recently. The results indicate *Wednesday Morning, May 14, 2025* 

that in the case of the TiAlN coating, the highest wear was measured during the room temperature test. Conversely, the wear during the running-in phase and steady-state friction were low at elevated temperatures initially, but as the temperature increased, the wear rate rose, which can be attributed to increased tribological oxidation and fatigue.

The growth defects on the coating surface played a significant role in the friction and wear behavior, as they were a primary source of wear particles and the first spots of oxidation on the coating. The measurements suggest that the running-in phase depends mainly on the asperities density at room temperature tests. In contrast, at high temperatures, they attributed to the formation of a stable tribological oxide layer in the wear track, which elongates the running-in period and protects the coating underneath.

12:00pm MC3-1-WeM-13 Effect of Transition Metals (Nb, V, and Ta) Doping on the High-Temperature Mechanical and Tribological Properties of CrYN Coatings, Gokhan Gulten, Banu YAYLALI, Mustafa YESILYURT, Yasar TOTIK, Atatürk University, Turkey; Justyna Kulczyk Malecka, Peter Kelly, Manchester Metropolitan University, U.K.; Ihsan Efeoglu [iefeoglu@atauni.edu.tr], Atatürk University, Turkey

This study aims to develop a high temperature wear resistant coating for AISI 316L. As a functional coating, CrYN coatings with added niobium, tantalum, and vanadium (a-C:H:Nb/Ta/V) were deposited using a closedfield unbalanced magnetron sputtering (CFUBMS) system. The Taguchi L9 orthogonal array approach was used to test and systematically change a variety of parameters in order to achieve the optimal coating properties. The microstructural properties of the coatings were examined using a scanning electron microscope (SEM), while X-ray diffraction (XRD) and X-ray photoelectron spectroscopy (XPS) analysis were conducted to determine crystallographic and surface chemistry properties, providing a detailed understanding of the coating structure. Nanoindentation tests were performed to determine mechanical properties, yielding precise measurements of hardness and elasticity. The adhesion of the coatings was measured through scratch tests at varying temperatures (400, 600, and 800 °C) and room temperature. The tribological characteristics of the a-C:H:Nb/Ta/V coatings were assessed using a high-temperature pin-on-disc tribometer, examining their wear resistance and frictional behavior under ambient air and at varying temperatures (400, 600, and 800 °C). These comprehensive analyses reveal the potential of the a-C:H:Nb/Ta/V coatings for applications requiring enhanced surface properties, offering superior tribological performance across different temperature conditions.

# Surface Engineering of Biomaterials, Medical Devices and Regenerative Materials

Room Palm 1-2 - Session MD2-WeM

## Surface Response to Biological Environments, Biointerphases, and Regenerative Biomaterials

**Moderators: Po-Chun Chen**, National Taipei University of Technology, Taiwan , **Jean Geringer**, Ecole Nationale Superieure des Mines, France, **Hamdy Ibrahim**, University of Tennessee at Chattanooga, USA

9:20am MD2-WeM-5 Green Fabrication of Conductive Carbon Thin Film Patterns for Biosensors, Ying-Chih Liao [liaoy@ntu.edu.tw], National Taiwan University, Taiwan INVITED

The demand for sustainable and cost-effective materials in biosensing is growing, especially for real-time and portable health monitoring. However, conventional electrode fabrication methods often require multiple processing steps and use non-renewable materials. This reliance raises environmental concerns and limits scalability. In this study, a green approach is developed to directly transform biodegradable bacterial cellulose (BC) into conductive carbon thin films using CO<sub>2</sub> laser-induced carbonization under ambient conditions for biosensor fabrication. Bacterial cellulose (BC) a biopolymer generated by specific bacteria, features a highly porous, nanoscale fibrous structure along with notable mechanical strength and biocompatibility. These properties make it a highly versatile material for biomedical applications. The laser-induced carbonization process leverages these unique structural features of BC, converting it into a conductive carbon matrix suitable for electrochemical applications. This one-step technique involves the precise application of a CO2 laser, which locally heats the BC, breaking down organic components and rearranging carbon atoms to create conductive graphitic structures.

This approach integrates essential elements into the BC matrix, enhancing conductivity and sensor functionality without requiring complex post-treatments. The laser-induced carbonized BC electrode offers promising

detection capabilities for glucose and lactate, enabling concurrent sensing in phosphate buffer solution (PBS) and demonstrating selectivity, reproducibility, and stability, verified through differential pulse voltammetry (DPV). This streamlined laser carbonization method facilitates electrode fabrication and yields electrodes capable of application in real sweat sample analysis. These characteristics highlight BC-based electrodes as highly promising candidates for portable, cost-effective on-site biosensors for monitoring key biomarkers in sweat, underscoring the potential of laser-induced carbonization in advancing sustainable, high-performance materials for health monitoring technologies.

11:00am MD2-WeM-10 Functionalized Graphene for Sensor Applications, Chi-Hsien Huang [chhuang@mail.mcut.edu.tw], Ming Chi University of Technology, Taiwan INVITED

Graphene (G), a one-atom-thick, two-dimensional material, exhibits great potential as a biosensor transducer due to its high sensitivity to foreign atoms or molecules. However, its inertness limits its application, making functionalized graphene is very crucial for biosensor applications. In this presentation, I will talk about an atomic layered composite of graphene oxide/graphene (GO/G) by functionalizing chemical vapor deposition (CVD)grown bilayer graphene (BLG) using our developed low damage plasma treatment (LDPT). This process selectively oxidized only the top layer of BLG, leaving the bottom layer intact. The GO top layer provides active sites for stable covalent bonding with biorecognition elements, while the G bottom layer acts as a sensitive transducer. With this GO/G composite, we constructed a solution-gated field effect transistor (SGFET)-based biosensors for miRNA-21, a cancer biomarker and p-tau 217, a Alzheimer's disease biomarker. In addition, laser induced graphene attracts a lot of attention because the preparation is low-cost, easy pattern fast and environment friendly. However, the electrochemical performance of standalone LIG is limited. To address this, the study enhances LIG by synthesizing nickel-iron Prussian blue analogues through co-precipitation and calcination, forming porous NiFe-Oxide, which is subsequently deposited onto the LIG surface via a facile physical deposition method. The porous NiFe-Oxide@LIG electrode material demonstrates excellent electrochemical sensing capabilities due to its high conductivity, improved surface area, enhanced active sites, and superior electrocatalytic performance for detecting the antioxidant propyl gallate (PG).

Keywords: graphene, LIG, sensor, biomarker

#### References:

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- 11:40am MD2-WeM-12 Surface Functionalization of Indium Tin Oxide via (3-Aminopropyl) Triethoxysilane and Glutaraldehyde for Enhanced Sensitivity in Glucose Detection, Kai-Jhih Gan [jameswsalebron@gmail.com], Jialong Xiang, Fuzhou University, China; Kuei-Shu Chang-Liao, Bo-Syun Syu, National Tsing Hua University, Taiwan; Dun-Bao Ruan, Fuzhou University, China

In this study, (3-aminopropyl)triethoxysilane and glutaraldehyde were employed to functionalize the surface of indium tin oxide (ITO) thin films, followed by a comprehensive analysis of their material structure and properties. The structural characteristics of the ITO thin films before and after surface modification were investigated using atomic force microscopy and x-ray diffraction. The chemical composition of both the surface-modified layer and the ITO thin films was confirmed via x-ray photoelectron spectroscopy. Additionally, the structure of the ITO-based glucose biosensor fabricated with the modified films was characterized using transmission electron microscopy and energy-dispersive spectroscopy. The results reveal that surface modification of the ITO thin films not only optimized their surface properties but also enhanced the effective contact area, thereby improving the sensor performance. ITO sensing patch exhibited a broad linear response range for glucose detection, from 10 fM to 10<sup>10</sup> fM, under optimized conditions.

12:00pm MD2-WeM-13 Magnetron-Sputtered Ti-Based Thin Films: A Versatile Platform for Biopotential Sensing and Neurorehabilitation, Claudia Lopes [claudialopes@fisica.uminho.pt], CF-UM-UP, University of Minho, Portugal; Patrique Fiedler, Technische Universität Ilmenau, Germany; Jean-Francois Pierson, Institut Jean Lamour - Université de Lorraine, France; Brigitte Vigolo, Institut Jean Lamour - Université de Lorraine (F), France; Nelson Azevedo, Nellson Azevedo & Terapias Globais, Portugal; Michael Cullinan, Department of Mechanical Engineering, The University of Texas at Austin, USA; Armando Ferreira, Filipe Vaz, CF-UM-UP, University of Minho, Portugal

Four distinct Ti-based thin film systems, doped with different metals (Au, Ag, Cu, Al), have been prepared by magnetron sputtering, allowing precise control over their chemical composition and microstructure. The strategic incorporation of these metals induces significant variations in phase composition, grain morphology, crystallographic orientation, and surface topography, which directly impact the electrical conductivity, mechanical flexibility, and electrochemical stability. These tunable properties are crucial for optimising their performance in biomedical applications, particularly as functional interfaces for biopotential sensing.

All the systems exhibit three distinct regimes based on their chemical composition. At low metal contents, Ti-based films establish  $\alpha$ -Ti(metal) metastable solid solutions. For intermediate metal/Ti ratios (0.2 - 1.0), the precipitation of intermetallic phases leads to high structural disorder, giving rise to different microstructures depending on the metal type. At higher ratios (> 1.0), the systems display contrasting morphologies, from well-defined domains to amorphous structures. The mechanical properties vary accordingly: Ti-Au and Ti-Cu films demonstrate superior toughness (H/E  $\approx$  0.1) and high elastic recovery, whereas Ti-Ag and Ti-Al, characterised by columnar and brittle intermetallic structures, exhibit lower plastic deformation resistance (H/E < 0.04). Electrical resistivity is also metal-dependent, with Ti-Au and Ti-Cu films maintaining nearly constant resistivity (~180  $\mu\Omega$ -cm) due to their Thin Film Metallic Glasses-like morphology, while Ag- and Al-rich films exhibit resistivity variations (130–270  $\mu\Omega$ -cm) linked to their crystalline structures.

These Ti-based systems have been implemented as advanced dry biopotential electrodes, namely on the integration of novel neurocombining electroencephalography (EEG), rehabilitation systems electrocardiography (ECG), electromyography (EMG), and functional electrical stimulation (FES). Ti-Au and Ti-Cu electrodes demonstrated superior electromechanical performance and in vivo signal acquisition. outperforming conventional Ag/AgCl electrodes. Their dense, disordered structures contribute to enhanced durability, while Ti-Cu electrodes exhibited prolonged reusability, maintaining high-fidelity signal recording for at least 24 hours. The integration of these biocompatible, flexible thin films onto polymeric substrates ensures mechanical adaptability and stable skin-electrode interaction, reinforcing their potential in bioelectronic and neurorehabilitation systems.

## Plasma and Vapor Deposition Processes Room Town & Country B - Session PP2-1-WeM

### HiPIMS, Pulsed Plasmas and Energetic Deposition I

Moderators: Martin Rudolph, Leibniz Inst. of Surface Eng. (IOM), Germany, Shimizu Tetsushide, Tokyo Metropolitan University, Japan

8:00am PP2-1-WeM-1 Energetics and Chemistry of Cathodic Arc Ti-N Plasma: A Combinatorial Investigation Using Experimental Probes and Fluid Mechanical Modelling, Nikolaos Giochalas [nikolaos.giochalas@liu.se], Linköping Univ., IFM, Nanostructured Materials Div., Sweden; Grzegorz Greczynski, Linköping Univ., IFM, Thin Film Physics Div., Sweden; Ferenc Tasnadi, Linköping Univ., IFM, Theoretical Physics Div., Sweden; Lina Rogström, Magnus Odén, Linköping Univ., IFM, Nanostructured Materials Div., Sweden

Cathodic Arc Deposition, a commonly used PVD process of growing hard coatings, involves high fluxes of ions and electrons in a dense, expanding plasma. The composition of the arc plasma may vary significantly within the deposition chamber, and the source-to-substrate distance impacts the coating growth conditions. This study investigates the Ti-N plasma generated by a 100 mm, dc-operated arc source at 20 V and 120 A, in an 1 Pa  $N_2$  ambient within a cylindrical, lab-scale HV chamber. A combinatorial approach of experimental probes and finite element fluid mechanical modelling is used to understand the varying plasma composition in terms of ions, neutrals, and radicals, and their corresponding fluxes. The measured and simulated ion species are  $Ti^{1+}$ ,  $Ti^{2+}$ ,  $Ti^{3+}$ ,  $TiN^{1+}$ ,  $TiN^{1+}$ ,  $TiN^{2+}$ ,  $N_2^{1+}$ ,

and N¹+. The dominant ion species in every probed spatial configuration is  $Ti^{2+}$ , while  $Ti^{1+}$  follows closely and equalizes the energetic footprint of  $Ti^{2+}$  when the distance from the source is increased. Atomic Nitrogen ions maintain a significant presence throughout the plasma volume, largely due to a sustained emission from the nitrided Ti-source surface,  $N_2$  dissociation and charge exchange collisions. In general, the plasma density and average charge state follow a decreasing trend for distances larger than 35 cm from the source, where the presence of  $Ti^{3+}$  and  $Ti^{4+}$  is suppressed. At the same time, TiN-ions retain their presence, leading to different growth conditions at the substrate, depending on the chosen distance from the source.

8:20am PP2-1-WeM-2 Exploring the Microstructure and Mechanical Properties of TiZrMbTaMoN Highentropy Alloy Nitride Coating: Effect of Nitrogen Content, Sen-You Hou [housenyou23@gmail.com], National Tsing Hua University, Taiwan, China; Po-Yu Chen, National Tsing Hua University, Taiwan; Bih-Show Lou, Chang Gung University, Taiwan; Jyh-Wei Lee, Ming Chi University of Technology, Taiwan

The highpower impulse magnetron sputtering (HiPIMS) generates highdensity plasma through higher instantaneous pulse currents, resulting in thin films with fewer defects, higher density, and densermicrostructure. In this work, a combination of HiPIMS and radio frequency power supply system was used to deposit TiZrNbTaMoN highentropy alloy (HEA) thin films with varying nitrogen contents on Si wafer, AISI304 and 420 stainless steel substrates. The cross-sectional morphology, composition, and crystal structure of thin films were analyzed using scanning electron microscopy, electron probe microanalyzer, X-ray diffraction, andtransmission electron microscope, respectively. Subsequently, potentiodynamic polarization corrosion tests were conducted on the HEA thin films in 3.5 wt.% NaCl aqueous solution using an electrochemical workstation to evaluate their corrosion resistance. We found that TiZrNbTaMoN HEA nitride coatings exhibited a hardness of up to 29 GPa, along with outstanding corrosion resistance. The effect of nitrogen content on the phase, mechanical properties, and corrosion resistance of TiZrNbTaMoN HEA thin films was discussed in this work. The potential applications for the TiZrNbTaMoN HEA thin filmsin the machining industries were proposed.

8:40am PP2-1-WeM-3 Insights into the Carbon HiPIMS Discharge: Ionized Flux Fraction and Ion Energy Distribution, Tetsuhide Shimizu [simizutetuhide@tmu.ac.jp], Ryo Sakamoto, Erdong Chen, Tokyo Metropolitan University, Japan; Caroline Hain, Empa, Swiss Federal Laboratories for Materials Science and Technology, Switzerland; Peter Klein, Masaryk University, Czechia; Daniel Lundin, Linköping University, Sweden

Magnetron sputtering-based physical vapor deposition (PVD) has gained considerable attention for the synthesis of functional carbon and carbide coatings, such as tetrahedral amorphous carbon (ta-C), due to its scalability, cost-effectiveness, and uniform film deposition capabilities. In this context, enhancing the ionization degree of carbon using high-power impulse magnetron sputtering (HiPIMS) presents a promising opportunity to expand its applicability. Therefore, substantial progress has been made toward increasing carbon ionization in HiPIMS discharge, through techniques such as adding neon (Ne) gas and employing bipolar pulse schemes. However, the ionization fraction of carbon achieved by HiPIMS remains significantly lower than other techniques, e.g. filtered cathodic vacuum arc (FCVA) and pulsed laser deposition (PLD), presenting significant challenges to its adoption as an alternative approach. Despite these limitations, effectively utilizing the carbon ions generated in HiPIMS discharges requires a detailed quantitative understanding of the ionization fraction, their transport to the substrate, and their role in film growth. In this study, we aim to quantitatively investigate the ionized flux fraction and ion energy distributions in HiPIMS carbon discharges using argon (Ar) as the working gas, correlating these metrics with key process parameters. To achieve this, plasma diagnostics were performed using a magnetically shielded charge selective quartz crystal microbalance (ionmeter), time-of-flight (TOF) mass spectrometry, and time-resolved optical emission spectroscopy, with particular focus on the effects of the peak discharge current density, working gas pressure, and magnetic field. Our results demonstrate that the ionized flux fraction of carbon increases with higher peak current density, lower working pressure, and weaker magnetic fields. The maximum ionized flux fraction of ~12% was observed at a peak current density of 3.1 A/cm<sup>2</sup> under the weakest magnetic field configuration at a working pressure of 0.6 Pa. Furthermore, the ion energy distribution functions (IEDFs) revealed a distinct high-energy tail exceeding 100 eV, a feature not commonly observed in conventional HiPIMS discharges involving metal targets. Using time-resolved optical emission imaging, we also investigated the kinetic mechanisms underlying the acceleration of these high-energy carbon ions. This study highlights the importance of process parameter control in achieving efficient carbon ionization and transport, which is essential for advancing HiPIMS as a viable technique for carbon coating technologies.

9:00am PP2-1-WeM-4 Controlling Film Growth by Changing the Target Thickness, Diederik Depla [Diederik.Depla@ugent.be], Farzaneh Ahangarani Farahani, Andreas Debrabandere, Ghent University, Belgium

This paper summarizes a series of experiments demonstrating the significance of energetic species during DC magnetron sputter deposition. The first example focuses on the phase composition of tungsten (W) films, which can consist of a mixture of a-W and b-W crystals. Various mechanisms have been proposed to explain phase selection, including substrate heating due to plasma exposure and residual gas pressure. However, a broad parameter scan rules out these trends and shows that the phase composition can be quantitatively correlated with the flux of reflected neutrals with energies exceeding the displacement energy threshold. To establish this correlation, the phase composition is quantitatively determined using X-ray diffraction (XRD) analysis and combined with test particle Monte Carlo simulations to evaluate the energy of the reflected neutrals. The energy of these neutrals is defined by binary collisions between argon (Ar) and tungsten (W) atoms and the initial energy of the argon ions, which is set by the discharge voltage. Increasing the target thickness results in a lower magnetic field strength and, consequently, a higher discharge voltage. This effect allows the phase composition to be tuned by just adjusting the target thickness. The role of target thickness is further illustrated in a study on the percolation film thickness during the growth of silver (Ag) thin films. In-situ four-point probe resistance measurements are used to investigate the initial nucleation of these films. A power-law correlation between the percolation thickness and the deposition flux is observed, with the correlation exponent adjustable through variations in target thickness. Both studies highlight that reporting only the discharge power during experiments omits essential information critical for other researchers.

F. Ahangarani Farahani, D. Depla. "Phase Composition of Sputter Deposited Tungsten Thin Films." *Surface and Coatings Technology*, vol. 494 (2024) 131447

9:20am PP2-1-WeM-5 HiPIMS goes Ferroelectric: Improving the Remnant Polarization and Leakage in Ferroelectric AlScN for Memory Applications, Federica Messi, Jyotish Patidar, Nathan Rodkey, Empa, Swiss Federal Laboratories for Materials Science and Technology, Switzerland; Morgan Trassin, ETH Zurich, Switzerland; Sebastian Siol [sebastian.siol@empa.ch], Empa, Swiss Federal Laboratories for Materials Science and Technology, Switzerland

The increasing demands of big data and AI necessitate breakthroughs in energy-efficient computing and data storage. Ferroelectric nitrides, such as aluminum scandium nitride (AIScN), show great potential for non-volatile memory technologies due to their high remnant polarization, temperature stability, and compatibility with current semiconductor manufacturing processes.

The performance of ferroelectric nitrides is directly linked with their structural properties. The remnant polarization can be improved by increasing the c-axis orientation of the film, whereas the leakage current density can be improved by optimizing the microstructure. Point defects however negatively affect the breakdown behavior of the material, which represents a major challenge in the deposition of ferroelectric thin films.

Metal-ion synchronized high-power impulse magnetron sputtering (MIS-HiPIMS) can be used to accelerate film-forming metal ions onto the growing film, resulting in enhanced crystalline quality, improved c-axis texture, and a compact microstructure. Building on our recent successful demonstration of MIS-HiPIMS for piezoelectric thin films [1] we leverage the unique advantages of the technique for the deposition of ferroelectric AlScN with excellent performance.

Through a combinatorial study, we investigate the influence of HiPIMS on the ferroelectric properties of  $Al_{z,x}Sc_xN$  films while correlating these properties with crystallinity and Sc composition. Our optimized deposition process successfully yields  $Al_{z,x}Sc_xN$  films on Si substrates with performance that is otherwise only achieved using epitaxial growth.[2] Compared with previous reports using conventional sputtering the HiPIMS films show significantly enhanced remanent polarization with values of > 170  $\mu$ C/cm² while maintaining comparable coercive fields of 5.0 MV/cm. Notably, our findings reveal that the remanent polarization remains stable even with increasing scandium concentrations. At the same time the leakage current densities are among the lowest reported to date.[3] These results can be explained by the excellent c-axis texture enabled by HiPIMS. In the future HiPIMS could enable dense ferroelectric films with low thickness to reduce

the switching potential. To our knowledge this is the first report of ferroelectric switching in HiPIMS-deposited nitride thin films. Overall, the results are more than promising and highlight the potential of HiPIMS for the development of defect-sensitive electronic thin films.

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- [2] Deng et al. Journal of the American Ceramic Society, 107, (3), 2023
- [3] Yazawa et al. arXiv preprint arXiv:2407.14037, 2024

11:00am PP2-1-WeM-10 Effect of Nitrogen Content on the Microstructure, Mechanical, and Anti Corrosion Properties of AlCrNbSiTiN High Entropy Alloy Films Fabricated by High Power Impulse Magnetron Sputtering, Sheng-Jui Tseng [pprayray0915@gmail.com], National Taipei University of Technology, Taiwan; Chia-Lin Li, Center for Plasma and Thin Film Technologies, Ming Chi University of Technology, Taiwan; Yung-Chin Yung, National Taipei University of Technology, Taiwan; Bih-Show Lou, Chemistry Division, Center for General Education, Chang Gung University, Taiwan; Jyh-Wei Lee, Ming Chi University of Technology, Taiwan

High entropy alloy (HEA) films, especially the HEA nitride coatings, have attracted much attention from industries and researchers due to their unique mechanical properties and corrosion resistance. In this work, the AlCrNbSiTiN HEA coatings were fabricated on Si wafers. AISI 420 and 304 stainless steel plates by high power impulse magnetron sputtering. To investigate the impact of equimolar AlCrNbSiTi target poisoning ratios (ranging from 10 to 90 %) and the nitrogen contents on the phase, microstructure, mechanical, and anti corrosion properties of AlCrNbSiTiN coatings, a plasma emission monitoring (PEM) feedback control system was employed during sputtering. A systematic analysis of the microstructure and mechanical properties was conducted. The chemical compositions of HEA coatings were investigated by a field emission (FE) electron probe microanalyzer. FE-scanning electron microscopy and transmission electron microscopy were used to examine the microstructure of HEA films. Additionally, X-ray diffraction was employed to assess grain size, lattice constants, and crystallinity. A series of mechanical property tests, including hardness, adhesion, wear, and residual stress measurements were performed. The potentiodynamic polarization test of coatings in the 0.5 M H2SO4 aqueous solution was examined. It is anticipated that the AlCrNbSiTi HEA film exhibited an amorphous structure. With increased nitrogen contents and target poisoning ratios, the AlCrNbSiTiN HEA nitride films transformed into a face-centered cubic structure. The HEA nitride films are projected to show enhanced hardness and elastic modulus, primarily due to the formation of a saturated metal nitride phase and solid solution strengthening from multiple elements. Based on the experimental results, the effects of target poisoning and nitrogen contents on the phase, microstructure, mechanical properties, and corrosion resistance of AlCrNbSiTiN coatings were discussed in this study.

11:20am PP2-1-WeM-11 Effects of High-Power Impulse Plasma Source (HiPIPS) Parameters on the Properties of Aluminum Thin Films Synthesized at Atmospheric Pressure, Brianna Hoff [brianna.hoff@mines.sdsmt.edu], Forest Thompson, Nathan Madden, Grant Crawford, South Dakota School of Mines and Technology, USA

High vacuum is required for conventional physical vapor deposition (PVD) techniques which restricts the application space that benefits from the dimensional stability, functionality, and chemically benign processing afforded by PVD thin films. Motivated by this limitation, a high-power impulse plasma source (HiPIPS) has been developed for surface engineering at atmospheric pressure. HiPIPS technology utilizes high-voltage, low duty cycle DC pulses to generate a plasma discharge between a consumable feedstock (cathode) and a conductive plasma jet nozzle (anode). The plasma is forced out of the nozzle by high flow rates of process gas where it subsequently interacts with a substrate which may be biased to increase the kinetic energy of ionized species. The HiPIPS design enables high plasma density to be achieved while maintaining low average power. In this study, processing-microstructure-property relationships are reported for the HiPIPS deposition of metallic aluminum (AI) films. Argon (Ar) was used as the working gas, and Al thin films were deposited at atmospheric pressure by utilizing Al alloy electrodes. HiPIPS system design variables and plasma discharge characteristics were correlated with the mechanical, compositional, and microstructural properties of the Al films. Film characterization was conducted via adhesion testing, energy dispersive xray spectroscopy, transmission electron microscopy, and atomic force microscopy. In this presentation, HiPIPS parameters which lead to desirable film qualities are discussed.

11:40am PP2-1-WeM-12 Enhancing CrAl Ionization in HiPIMS Using Auxiliary Targets: Insights from Time-Averaged OES, Kai-Shawn Tang [a0966877063@gmail.com], Ying-Xiang Lin, Chih-Yen Lin, Yi-Hui Lee, Wan-Yu Wu, National United University, Taiwan

Recently, High-Power Pulsed Magnetron Sputtering (HiPIMS) technology, due to its high ionization rate, has enabled increased ion bombardment during film growth, resulting in dense and smooth films. Previous studies using Bipulse-HiPIMS to deposit Ag-Cu and Ti-Cu films showed that, compared to unipolar mode, Ag-Cu co-sputtering significantly increased the ionization of Ag, while Ti-Cu co-sputtering greatly enhanced the ionization of Cu. These findings suggest that, in the Bipulse-HiPIMS process, the selection and configuration of targets, as well as the tuning of each target's parameters, are closely related to the ionization rate of plasma species.

CrAIN possesses excellent oxidation resistance; however, ternary metal nitride films are no longer sufficient to meet current demands. Therefore, we further investigated quaternary metal nitride films, doping with elements such as Cr, Ti, and Zr to enhance mechanical properties and oxidation resistance. This study uses Bipulse-HiPIMS co-sputtering, with CrAI as the main target at a fixed power of 1.5 kW and Cr, Ti, and Zr as auxiliary targets. Since Cr has a relatively high second ionization energy (16.49 eV), whereas Ti and Zr have second ionization energies of 13.58 eV and 13.13 eV respectively, Ti and Zr are expected to ionize more readily than Cr. The Bipulse-HiPIMS technique aims to assist the ionization of the less readily ionized material (Cr) by more easily ionized materials. Timeaveraged OES was used to measure the effect of different target materials on the plasma spectrum during the process.

The auxiliary target power was increased from 0.2 kW to 0.8 kW, observing plasma conditions on the CrAl target. It was found that plasma intensity was lowest in single-target mode, while the addition of an auxiliary target significantly enhanced plasma intensity. The study showed that as auxiliary target power increased, the intensities of Cr $^{+}$ , Ar $^{+}$ , N $_{2}$  $^{+}$ , N $_{2}$  $^{2}$ , and Cr $^{0}$  in the plasma also increased. Next, with the auxiliary target power fixed at 0.5 kW, the auxiliary target's duty cycle was varied from 3% to 15%. Under different duty cycle conditions, it was observed that lower duty cycles led to increased Cr $^{+}$  and Al $^{+}$  intensities, with minimal differences in N $_{2}$  $^{+}$  and N $_{2}$  $^{2}$ +, while Ar $^{+}$  intensity increased with higher duty cycles. The effect of different auxiliary targets is also demonstrated.

### **Keynote Lectures**

Room Town & Country A - Session KYL-WeKYL

### **Keynote Lecture II**

Moderator: Peter Kelly, Manchester Metropolitan University, UK

1:00pm KYL-WeKYL-1 Spatial Atomic Layer Deposition for High Throughput Industrial Production of Lithium-Ion Batteries and Photovoltaic Cells, Emanuele Sortino [emanuele.sortino@beneq.com], Beneq, USA INVITED

Atomic Layer Deposition (ALD) is an enabling thin film technology which found its use in energy applications such as energy storage (Li-ion batteries) and PV applications (TOPCon and perovskite PVs). Thin oxide coating deposited by ALD has been shown to improve battery performance through the introduction of thin film coatings to modify interface surfaces on cathodes, anodes and separators. ALD can help to improve thermal stability, stabilize Solid Electrolyte Interphase (SEI), suppress dendrite growth, inhibit transition metal dissolution, and increase interfacial contact between layers, all of which are current issues facing lithium-ion battery technology. ALD SnO2 has been a material of choice for electron transport layers (ETL) of perovskite based solar cells and Al2O3 as a passivation layer for TOPCon solar cells.

Spatial ALD (SALD) is an advanced coating technique, which has been studied for more than 10 years for various applications. We will demonstrate how SALD technology can be used to scale-up the throughput of ALD technology used in battery and PV applications.

Protective and High-temperature Coatings Room Town & Country D - Session MA4-3-WeA

High Entropy and Other Multi-principal-element Materials

Moderators: Jean-François Pierson, IJL - Université de Lorraine, France, Pavel Soucek, Masaryk University, Czechia

2:00pm MA4-3-WeA-1 Few-Layered Multi-Transition Metal Chalcogenide Heterostructured Alloy Absorber for High-Performance Photodetector, Chia-Ying Su [neowww1114@gmail.com], National Cheng Kung University, Taiwan; I-Hsi Chen, Jyh-Ming Ting, National Cheng Kung University (NCKU), Taiwan

Few-layered MoWSSe alloy with composition spread was synthesized using salt-assisted atmospheric pressure chemical vapor deposition. A heterojunctionphotodetector device was then made by connecting two electrodes to two areas that across a composition gradient. Basic material characteristics the photodetectorperformance were examined. We demonstrate that the photodetector exhibits the highest performance under visible light among a wide range of the incident light, witha responsivity greater than 10 A/W, detectivity over 5×10 10 jones, and external quantum efficiency exceeding 3000%. Even in the near-infrared wavelength range, the device still shows a responsivity greater than 1 A/W, detectivity over 5×10 9 jones, and external quantum efficiency over 150%. The rise time was also less than 5milliseconds. The outstanding performance of this photodetector device is attributed to the multiple p-n heterojunctions formed within a few-layered composition-gradientMoWSSe alloy, generating an internal electric field that facilitates the separation of photo-generated electron-hole pairs.

2:20pm MA4-3-WeA-2 Sputter Deposition of Ta-W-Au-Bi High Entropy Alloys for Inertial Confinement Fusion Hohlraums, Daniel Goodelman [goodelman1@llnl.gov], Lawrence Livermore National Laboratory, USA; Nikhil Vishnoi, Gregory Taylor, Eunjeong Kim, Alison Engwall-Holmes, Swanee Shin, David Strozzi, Brandon Bocklund, Scott Peters, Sergei Kucheyev, Leonardus Bimo Bayu Aji, Lawrence Livermore Laboratory, USA

The hohlraum is a centimeter-scale sphero-cylindrical cannister used as the housing for a hydrogen fuel capsule in an indirect-drive inertial confinement fusion (ICF) target. The hohlraum is a critical component in increasing the ICF energy yield. Our simulations with the radiation hydrodynamics code LASNEX suggest that the fusion yield can be improved by using hohlraums made of Ta-W-Au-Bi high entropy alloys (HEAs). However, the magnetron sputter deposition of these HEAs with low porosity and submicron grains remains a challenge. Here, we examine how tailoring the main deposition process parameters, including the average plasma discharge power, working pressure, substrate bias, target-to-substrate distance, and substrate temperature, can be leveraged to enable the fabrication of Ta-W-Au-Bi films with a dense microstructure and high electrical resistivity, thus providing a promising path forward for the development of next-generation ICF targets.

This work was performed under the auspices of the U.S. DOE by LLNL under Contract DE-AC52-07NA27344 and was supported by the LLNL-LDRD Program under Project No. 23-ERD-005.

2:40pm MA4-3-WeA-3 ADRENALINe: Accelerated Design of Revolutionary Entropy-Augmented, Lasting and Innovative NitridEs – First Results on Oxidation Resistance of Binary and Ternary Nitrides, Ludovic Méreaux, IRCER, France; Edern Menou, Thomas Vaubois, SAFRAN, France; Cédric Jaoul, IRCER, France; Marjorie Cavarroc [marjorie.cavarroc@safrangroup.com], SAFRAN, France

Increasing aircraft engine temperature is one method, amongst others, to decarbonize aviation. But at high temperature, e.g., 1200 °C, metallic materials performances are drastically decreased due to the effect of hot corrosion. To limit this impact, metallic materials need to be protected with dedicated coatings with adequate properties, which Entropy-augmented ceramics could feature.

However, the composition space of complex ceramics is very wide, and comparatively very few bibliographical data are available as these specific ceramics have not been widely studied to date. While the use of a data-driven screening tools to identify relevant compositions appears necessary, it is not sufficient as (1) it requires data to be trained on, and (2) final properties should be experimentally assessed.

Due to considered temperatures, coatings based on refractory elements such as Zirconium (Zr), Niobium (Nb), Molybdenum (Mo), Hafnium (Hf); Tantalum (Ta), Tungsten (W), Ruthenium (Ru) or Rhenium (Re), combined

with Carbon (C), Nitrogen (N) or Boron (B), are credible potential candidates [1]. Cheaper and more abundant elements, as Iron (Fe) and Aluminium (Al), could also be considered in the mix to comply with industrial and environmental constraints.

High Entropy Alloys (HEA) (or Complex Concentrated Alloys (CCA) for their multiphase counterparts) are single-phase multielementary alloys showing original combination of properties (chemical resistance, mechanical resistance...) over a wide temperature range. The relatively new paradigm of HEA design, translated into the space of ceramics, offers new opportunities to meet high temperature requirements [2].

Two main challenges have to be overcome: achieving a single solid solution films to guarantee both material and property homogeneity throughout the coatings, and assessing the long term mechanical and environmental stability of the materials.

In this talk, we will highlight our methodology to combine numerical and experimental studies. First results about binary and ternary nitrides will be shown, together with the prospective work to come.

[1] W. G. Fahrenholtz, « A Historical Perspective on Research Related to Ultra-High Temperature Ceramics », in *Ultra-High Temperature Ceramics*, John Wiley & Sons, Ltd, 2014, p. 6–32. doi: 10.1002/9781118700853.ch2

[2] H. Xiang *et al.*, « High-entropy ceramics: Present status, challenges, and a look forward », *J. Adv. Ceram.*, vol. 10, n° 3, p. 385–441, 2021, doi: 10.1007/s40145-021-0477-y

3:00pm MA4-3-WeA-4 Effect of Substrate Bias on Structural and Mechanical Properties of (MoNbTaW)N Coatings Deposited by Reactive DC Magnetron Sputtering, Saikumar Katta [saikumar.uoh@gmail.com], University of Hyderabad, India

MoNbTaW is well known for its refractory high entropy properties which can maintain the same crystal structure even at very high temperatures without losing its mechanical properties. Nitrides of such (MoNbTaW)N will be a prime focus to get a hard and tough, mechanically stable high temperature withstanding coatings at room temperature.

In this study, (MoNbTaW)N hard coatings were deposited using a DC magnetron sputtering technique at a working pressure of 0.3Pa by varying substrate bias voltage from 0V to -200V. Optimized deposition parameters, including nitrogen flow and substrate temperature (400°C), were employed to produce dense and homogenous coatings on Silicon (100) substrates. X-Ray diffraction studies revealed that all the deposited films have Face Centered Cubic (FCC) crystal structure. A significant decrease in intensity ratio of principal reflection peak (111) to (200), from 2.39 to 0.84, is observed with increasing bias voltage from 0V to -200V. AFM studies indicated all the films have a fine granular morphology, with a maximum film thickness of 636nm at 0V, reducing to 550nm as the bias voltage is increased.

Topological analysis demonstrated that higher bias voltage led to smoother coatings, achieving an RMS roughness of < 2nm. XPS studies revealed that the covalency due to the increased bonding of p(N)-d(TM) with the increase in bias voltage. Nanoindentation studies confirmed a maximum hardness of  $32 \pm 2$  GPa and a modulus of  $345 \pm 18$  GPa at -200V bias. Additionally, the coatings displayed improved toughness, with the highest H/E value of 0.09 achieved at -200V.

3:20pm MA4-3-WeA-5 Effect of Substrate Bias Voltage on Microstructure and Mechanical Behaviour of Equimolar VCrCoNi Alloy Thin-films Deposited via Unbalanced Magnetron Sputtering, Razie Hanafi [r.hanafi@unsw.edu.au], UNSW, Australia; Yujie Chen, University of Adelaide, Australia; Zhifeng Zhou, City University of Hong Kong; Zonghan Xie, University of Adelaide, Australia; Paul Munroe, UNSW, Australia

Equimolar medium-entropy alloy VCrCoNi thin films were deposited on tool steel substrates by way of unbalanced magnetron sputtering, under different substrate bias voltages ranging from -20V to -120V. The deposited films were typically ~5.4 um thick. Variations in chemical composition as a function of bias voltage were observed, showing fluctuations in the concentrations of V, Ni, and Cr, while Co remained constant. These compositional variations arose from the interaction between the sputtered metal cations and the kinetic energy differences of the adatoms induced by changes in bias voltage. The thin films exhibited strong crystallographic textures and a microstructure characterized by ultrafine (< 5 nm) equiaxed grains. Changes in phase composition were also observed with variations in bias voltage. Hardness values ranged from 11 GPa to 14 GPa, peaking at -100 V bias. Additionally, scratch resistance and wear performance were examined, revealing correlations between microstructural characteristics and tribological behaviour.

3:40pm MA4-3-WeA-6 Microstructure, Mechanical and Corrosion Properties of Reactively Sputtered (TiVCrZrNbMo)N High-Entropy Nitride Coatings, Žan Gostenčnik [zan.gostencnik@ijs.si], Aljaž Drnovšek, Matjaž Panjan, Matej Drobnič, Miha Čekada, Jožef Stefan Institute, Slovenia

Since their discovery in 2004, high-entropy materials have been widely studied for their exceptional properties and broad application potential. Among these, high-entropy nitride coatings have emerged as promising candidates for protective coatings due to their superior mechanical and thermal properties. In particular, coatings containing refractory elements exhibit strong bonding with nitrogen, further enhancing their performance.

In this study, high-entropy nitride coatings composed of six refractory elements were synthesized with reactive direct current magnetron sputtering. The nitrogen flow ratio  $R_N = N_2/(Ar + N_2)$  was varied from 0 to 50 % under a constant total gas flow to investigate the impact of nitrogen concentration on microstructure, crystal structure, mechanical properties, and corrosion resistance.

Microstructural and crystallographic analyses were conducted using X-ray diffraction (XRD), atomic force microscopy (AFM), and scanning electron microscopy (SEM). Elemental composition and chemical bonding were examined by X-ray photoelectron spectroscopy (XPS) and energy-dispersive spectroscopy (EDS). Mechanical properties were assessed using nanoindentation and profilometry, while corrosion resistance was evaluated using potentiodynamic polarization measurements.

XRD analysis revealed an amorphous structure for the coating without nitrogen, while nitride coatings exhibited a face-centered cubic (fcc) crystal structure. SEM imaging showed a columnar cross-section morphology. Hardness exceeded 30 GPa, while the reduced elastic modulus surpassed 250 GPa. Additionally, the coatings demonstrated enhanced corrosion resistance, highlighting their potential for protective applications.

4:00pm MA4-3-WeA-7 High-Entropy Spinel Oxide Nanoparticles Achieve Record Low Thermal Conductivity and Diffusivity at High Temperatures, Yu Pei [y2pei@ucsd.edu], University of California at San Diego, USA; Renkun Chen, Ka Man Chuang, Sarath Adapa, University of California San Diego, USA

Achieving efficient thermal insulation at high temperatures is critical for applications such as concentrating solar thermal (CST) and other thermal energy systems. Recent advancements in high-entropy ceramics offer a promising approach to tailoring thermal conductivity while maintaining excellent thermal stability. In this study, we demonstrate the realization of ultra-low thermal conductivity and diffusivity in ambient air using densely packed nanoparticle (NP) assemblies composed of high-entropy spinel oxides (HESOs) with more than five cation species. Unlike conventional porous thermal insulators, HESO-8 NP pellets achieve a high packing density while effectively suppressing all three major heat transfer mechanisms—solid conduction, gas conduction, and thermal radiation.

Our measurements reveal that the thermal conductivity of HESO-8 NP pellets remains as low as  $^{\sim}0.1~W~m^{-1}~K^{-1}$  at high temperatures, approaching the conductivity of air. This remarkable reduction in heat transport arises from three key factors: (1) suppressed solid conduction due to minimal interparticle contact, (2) reduced gas conduction via nanoscale interstitial spaces, and (3) significantly attenuated thermal radiation enabled by the infrared-absorbing metallic spinel structure. Additionally, the relatively high packing density of these pellets results in much lower thermal diffusivity than aerogels, effectively delaying heat propagation under transient heat flux conditions.

Beyond their superior thermal insulation properties, the HESO NP pellets exhibit excellent thermal stability in air at elevated temperatures. Their high-entropy spinel structure resists coarsening (sintering), ensuring long-term stability in particle size and thermal performance. These findings highlight the potential of high-entropy oxide nanostructures as next-generation thermal insulation materials for high-temperature applications.

Functional Thin Films and Surfaces
Room Palm 1-2 - Session MB1-WeA

### Thin Films and Surfaces for Optical Applications

Moderators: Rajiv Pethe, Vital Chemicals, USA, Barbara Putz, Empa Thun, Switzerland

2:00pm MB1-WeA-1 Experimental and Theoretical Insights into UV-Active Chirality in Glancing Angle Deposited Zirconia Nano-Helical Metamaterial Platforms, Ufuk Kilic [ufukkilic@unl.edu], Matthew Hilfiker, University of Nebraska-Lincoln, USA; Shawn Wimer, Raymond Smith, University of Nebraska - Lincoln, USA; Christos Argyropoulos, Pennsylvania State University, USA; Eva Schubert, Mathias Schubert, University of Nebraska - Lincoln, USA

Chirality, the property of handedness in molecules or objects that prevents them from being superimposed on their mirror images, is optically manifested as circular dichroism (CD)—the differential absorption of left-and right-handed circularly polarized light. However, chirality found in nature is inherently weak, challenging to spectrally control, and primarily active in the ultraviolet (UV) region of the spectrum [1-3]. Enhancing UV-active chirality, crafting UV-active photonic wave-guide systems and also detecting chiral molecules through metamaterial platforms remains a challenge, as most designs are optimized for the infrared (IR) to visible spectral ranges [3].

In this study, we fabricated ultra-wide bandgap (~5 eV) zirconia (ZrO<sub>2</sub>) thin films using the glancing angle deposition (GLAD) method with electron beam evaporation. When the particle flux was directed at normal incidence (0°), uniform coating of flat ZrO<sub>2</sub> thin films were successfully fabricated. In contrast, directing the flux at an oblique angle (85.5°) with continuous substrate rotation (24 seconds per revolution) yielded spatially coherent, super-lattice nano-helices. Generalized spectroscopic ellipsometry (GSE) technique was used to extract frequency-dependent complex dielectric functions and identify band-to-band transitions spanning the near-IR to vacuum-UV (VUV) spectrum. Strong VUV-active CD responses were experimentally observed in ZrO<sub>2</sub> nano-helical metamaterials using Mueller matrix GSE. Additionally, visualization of both near- and far-field characteristics induced by circularly polarized illumination, along with the theoretical validation of the VUV-active chiroptical response, were investigated using finite element modeling (FEM) based full wave simulations. The systematic FEM calculations also revealed that the chiral properties could be tuned by (i) adjusting the structural parameters of the nano-helices and (ii) incorporating plasmonic subsegments into the helical structure.

Our research outputs suggest that the proposed metamaterial design holds significant potential for applications such as high-power chiro-optic photonic and electronic circuits, quantum information systems, UV-active topological insulators, and chiral sensing technologies.

- [1] Kilic, U., et al., Nat. Commun. 15, 3757 (2024).
- [2] Kilic, U. et al., Adv. Funct. Mater. 31.20: 2010329,(2021).
- [3] Sarkar, S. et al., Nano letters 19.11: 8089-8096,(2019).

2:40pm MB1-WeA-3 Fabrication of High Quality Titanium Nitride Nanostructures for Plasmonics, Spyros Kassavetis [skasa@physics.auth.gr], Stavros Panos, Nikos Pliatsikas, Despina Tselekidou, Panos Patsalas, Aristotle University of Thessaloniki, Greece

Transition metals nitrides (TMNs) emerge as alternative plasmonic nanomaterials suitable for a wide range of applications from photovoltaics to photonics and medicine. The TMNs are conductive ceramics that combine exceptional properties such as substantial electronic conductivity, high melting point (>3000 K) and tunable work function, while they are particularly stable in hostile chemical environments, high temperature, and strong electric fields. Among them, Titanium Nitride (TiN) emerges as significant candidate material for practical plasmonic applications (biosensors, catalysis and photochemistry, solar energy harvesting, photodetection, and optical storage of information).

In this work, we focus on novel and cost-efficient fabrication techniques of alternative plasmonic nanostructures. TiN nanostructures with controlled spacing and tunable dimensions (thickness and lateral dimensions) were fabricated using a combination of Nanosphere Lithography (NSL) and several reactive magnetron sputtering (MS) deposition techniques such as DC, Closed-Field Unbalanced MS or Highly Power Impulse MS (HIPMS) with the aim to study the fundamentals that will unlock the fabrication of high quality TMNs nanostructures for plasmonic applications.

NSL appears as a very promising approach, due to its rapid implementation

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and compatibility with wafer-scale processes, combines the advantages of both top-down and bottom-up approaches and includes: (a) development of the nanospheres monolayer colloidal mask, (b) deposition of the desired material in the empty space between the nanospheres and (c) removal/lift-off of the nanosphere colloidal mask to "reveal" the deposited material. Specifically, a suspension of monodisperse polystyrene nanospheres (diameter, d=552 nm or d=175 nm) was spin coated on a substrate such as Si (001), glass, flexible or PET to form the colloidal mask. A UV ozone process was used to confine the triple-junction vias of the polystyrene mask. Subsequently, the selective growth of TiN was made by the above mentioned MS in Ar/N atmosphere by varying the TiN thickness from 10 to 30 nm, while the MS process parameters were also fine-tuned to increase the directionality of deposited species such as the negative bias voltage during the growth of the TiN.

The arrays of ordered TiN nanostructures appear after the lift-off of the mask. Atomic Force Microscopy characterization of the samples showed the fabrication of TiN nanostructures, with low concentration of point defects, similar structure with the continuous TiN films of high electrical conductivity and plasmonic performance, and durability at least up to 400° C.

3:00pm MB1-WeA-4 Enhancing Optical Properties and Photocatalytic Performance withNanopatterned Anodized Aluminum Oxide on transparent substrate, Fu-Gi Zhong [fugi.en12@nycu.edu.tw], Shih-Hsun Chen, National Yang Ming Chiao Tung University (NYCU), Taiwan

In recent years, the rapid advancement of nanotechnology has driven an increasing demand for high-performance nanostructured materials. Among various fabrication techniques, anodic aluminum oxide (AAO) films have attracted significant attention due to their excellent chemical and thermal stability, transparency, and tunable nanoporous structure. AAO features highly ordered nanopore arrays, making it an ideal template for functional thin films, especially in applications requiring high surface area and aspect ratios. By integrating functional ceramic or semiconductor coatings, materials deposited on AAO can self-assemble into nanostructures, further enhancing their optical and chemical reactivity and making them highly suitable for applications in sensors, photocatalysis, and other fields requiring heightened sensitivity and resolution.

This study focuses on the fabrication of AAO structures on transparent substrates, followed using Atomic Layer Deposition (ALD) to coat these structures with ZnO thin films, aiming to produce transparent, nanostructured porous films on both sides of the substrate. By integrating ZnO coatings with AAO structures, we plan to investigate light transmission and surface interaction properties, thereby enhancing optical performance and photocatalytic efficiency and making the films more suitable for high-sensitivity, multifunctional sensor and photocatalytic applications.

3:20pm MB1-WeA-5 A Comparative Study: The Structural and Optoelectronic Properties of Al- and Ga-Doped ZnO Films Deposited by Atmospheric Pressure Plasma Jet, Chih-Yun Chou [f10k45003@ntu.edu.tw], National Taiwan University, Taiwan

Aluminum-doped zinc oxide (AZO) and gallium-doped zinc oxide (GZO) are leading transparent conductive oxides (TCOs) for optoelectronic applications, valued for high transparency and conductivity. GZO provides superior carrier mobility and lower resistivity, while AZO is more cost-effective and less toxic. This study compares AZO and GZO films prepared via atmospheric pressure plasma jet (APPJ) deposition, allowing for precise parameter control to evaluate Al and Ga's effects on ZnO film properties and their suitability in advanced optoelectronics.

Structural analysis using X-ray diffraction (XRD) and scanning electron microscopy (SEM) reveals both AZO and GZO films exhibit a hexagonal wurtzite structure with a c-axis orientation. The broader full-width at half maximum (FWHM) at (002) peak and higher strain in GZO films suggest more pronounced lattice distortion, likely due to Ga's higher doping efficiency. Further, reducing the working distance, thereby increasing processing temperature, effectively eliminates surface particles in GZO films but not in AZO films. This temperature-driven improvement enhances the mobility of Ga atoms on the substrate surface, leading to a more cohesive and uniform film morphology in GZO.

Optoelectronic properties assessed via UV-Vis spectroscopy and Hall effect measurements indicate that GZO films maintain high visible-range transparency (>80%) compared to AZO films (>70%). In the near-infrared range, GZO transparency decreases significantly (<40% at 1400 nm) due to its higher carrier concentration. Overall, AZO films show lower electronic

performance, likely due to complex defect formation and increased impurity scattering, evidenced by higher Urbach energy (Eu) values (0.28-0.29 eV for AZO films and 0.26 eV for GZO). Decreased APPJ working distance enhances carrier mobility, improving the figure of merit at 550 nm for GZO from 11  $\times$  10 $^3$   $\Omega^{-1}$  to 26.4  $\times$  10 $^3$   $\Omega^{-1}$  and for AZO films from 0.4  $\times$  10 $^3$   $\Omega^{-1}$  to 0.8  $\times$  10 $^3$   $\Omega^{-1}$ .

In conclusion, while AZO and GZO films both possess favorable characteristics for TCOs, their electronic behaviors diverge markedly under APPJ processing. Al doping tends to introduce complex defects that limit carrier mobility and concentration, making AZO less suitable where high conductivity is essential. In contrast, GZO films achieve higher carrier concentration and mobility, making them more appropriate for applications where efficient charge transport is critical. The findings also emphasize the significance of the APPJ working distance parameter and underscore the importance of selecting appropriate dopants and understanding defect dynamics to optimize ZnO-based TCO performance.

3:40pm MB1-WeA-6 Unveiling the Interplay of Structural, Optical, and Hydrophobic Properties of Sputtered Grown PTFE@AlSiN Thin Films, Raman Devi, Somdatta Singh, Ramesh Chandra [ramesh.chandra@ic.iitr.ac.in], IIT Roorkee, India

Radio frequency (RF) magnetron sputtering technique was used to develop PTFE@AlSiN thin films on glass substrates at temperatures ranging from 250°C to 450°C. Methods like X-ray diffraction (XRD), field emission scanning electron microscopy (FE-SEM), UV-Vis Spectroscopy, water contact angle (CA) measurements, and nanoindentation were used to examine the structural, morphological, optical, hydrophobic, and mechanical properties of PTFE@AlSiN at various substrate temperatures (250°C-450°C). XRD studies showed that the coating deposited with an Ar:N2 ratio of 20:6 at various substrate temperatures formed a hexagonal phase, demonstrating its polycrystalline nature. A nanocomposite with microstructure has been formed by embedding AIN nanocrystallites in a soft amorphous matrix of Si₃N₄ provides better mechanical properties. The contact angle measurement method displayed an excellent contact angle of around ~118° (good hydrophobicity). According to optical transparency measurements, all coatings exhibited > 90% transparency in the visible spectrum. The PTFE@AlSiN coated at 450°C had the highest hardness value greater than 25 GPa.

**Keywords:** optical transparency, magnetron sputtering, thin film, hydrophobicity; nanoindentation, hardness

4:00pm MB1-WeA-7 Diffusion of Ni Within Polycrystalline Zinc Oxide Layer: An Approach Combining Different Techniques for a Nanoscale Analytical Response, Hervé Montigaud [herve.montigaud@saint-gobain.com], SVI, Joint Unit CNRS/ Saint Gobain, 41 quai Lucien Lefranc, Aubervilliers, France; Justine Voronkoff, Saint Gobain Research Paris, 41 quai Lucien Lefranc, Aubervilliers, France; Ludovic Largeau, C2N-CNRS/Université Paris-Saclay, France; jacques Perrin - Toinin, RWTH Aachen University, Germany; Thierry Cretin, Saint Gobain Research Paris, 41 quai Lucien Lefranc, Aubervilliers, France; Ekaterina Burov, SVI Joint Unit CNRS / Saint Gobain Aubervilliers, France

In the context of global climate change, the low emissivity glazing developed by glass makers contributes to tackle the thermal losses of the buildings. Within these systems for windows, the radiative part is reduced by a thin metallic silver layer included in a stack that reflects especially far-infrared. This 12nm-thick Ag layer is embedded between other nanometric layers such as nitride (SiNx), oxide (ZnO, SiOx, SnZnOx) and sub-nanometric metallic layer (NiCr), all deposited by magnetron sputtering. The structure and mainly the composition of each layer are influenced by the deposition conditions and also post-annealing step in the case of tempered glasses. Different interactions occurred at the interface between the substrate and the stack and between the layers such as inter-diffusion phenomena <sup>1,2,3</sup>. It is crucial to follow the consequences onto the local composition of the layers to control the final performances of the glazing.

The present work focuses on the system composed by nickel chromium and zinc oxide layers, from its deposition to its annealing until 600°C. NiCr/ZnO layer stack was deposited on an Si wafer by magnetron sputtering and then annealed<sup>4</sup>. The diffusion of the nickel from the nanometric NiCr layer within the polycrystalline zinc oxide layer and Ni precipitation at the interfaces had been characterized. The local composition within the polycrystalline zinc oxide was addressed until the nanometer scale thanks to the combination of techniques such as Time of Flight Secondary Ion Mass Spectrometry (ToF-SIMS), AtomProbe Tomography (APT), Scanning Transmission Electron Microscopy (STEM), and by exploiting the added value of each one. For

2:00 PM

instance, we have studied the contribution of grain boundaries compared to nanocrystals on the Ni diffusion.

#### keywords

NiCr, ZnO, sputtering, diffusion, polycrystalline layer, ToF-SIMS, APT, STEM references

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4:40pm MB1-WeA-9 Influence of SHI irradiation on the Photoluminescence and Dielectric properties of bilayer structured Au/GeO2 thin films for Optoelectronics applications, Mahendra Singh Rathore [mahendra.rathore8944@paruluniversity.ac.in], Anand Y. Joshi, Parul University, India; Srinivasa Rao N., MNIT Jaipur, India

#### **Abstract**

In the present work, the effects of swift heavy ion beam irradiation on the engineering the physical, optical, photoluminescence and dielectric properties of bilayer structured Au/GeO2 thin films have been investigated. GeO<sub>2</sub> and Au thin films have been grown onto silicon substrate using electron beam evaporation. Eventually the prepared Au/GeO2/Si thin films were irradiated with 100 MeV Ag ions at different ion fluences ranging from 1×10<sup>12</sup> to 1×10<sup>13</sup> ions/cm<sup>2</sup>. The pristine and irradiated samples were characterized using XRD, RBS, SEM, AFM, UV-Vis reflectance and photoluminescence Spectroscopy. The dielectric properties, conductivity, dielectric and tangent loss were analyzed of the pristine and irradiated samples. The results reveal that the nucleation of Au NCs was observed with increase in fluence. The elemental composition and film thickness observed using RBS measurements. The surface morphology and topography results reveal that the nucleation of particles with increase in ion fluences. Broad PL band observed in visible region which corresponding to the green light emission due to the presence of Au NCs. The CIE curve plotted from the PL data. The oxygen vacancy related defect states as well as surface Plasmon resonance (SPR) induced absorption and subsequent electron injection from Au NPs to conduction band of GeO2. The dielectric properties varied with irradiation. The variation in electronic transition of wide band gap GeO<sub>2</sub> NC's by nucleation of gold NP's are considered to practical application in optoelectronics devices such as wavelength detection and optical switching devices and have been discussed in details.

**Keywords:**  $Au/GeO_2$  thin films, ion beam irradiation, XRD, RBS, Photoluminescence, Dielectric properties.

5:00pm MB1-WeA-10 Influence of Post-Heat Treatment on Structural, Photocatalytic, Dielectric, and Tribological Properties of TiO<sub>2</sub>/Al/TiO<sub>2</sub> Multilayer Thin Films, Anand Joshi [anandyjoshi@gmail.com], Mahendra Singh Rathore, Unnati Joshi, Parul University, India

The purpose of this study was to evaluate the impact that post-heat treatment has on the structural, physical, photo-catalytic, and dielectric properties of multilayer structures of thin films composed of TiO2/AI/TiO2. Radiofrequency (RF) magnetron sputtering and direct current (DC) magnetron sputtering were used to deposit a multilayer of titanium dioxide and aluminum on glass and silicon substrates at room temperature. The flow rate of argon gas was kept constant. After that, the films that had been deposited were annealed in air for three hours at temperatures ranging from 200 degrees Celsius to 500 degrees Celsius. After that, samples that had been deposited and annealed were characterised by employing techniques such as X-ray diffractometer, scanning electron microscopy (SEM), and atomic force microscopy. The purpose of these techniques was to explore the structural and physical properties of the samples that had been deposited and annealed. The technique of energy dispersive spectroscopy was utilised in order to investigate the impact that temperature has on the constituent composition. Experiments were conducted in the presence of ultraviolet (UV) light and sunlight to investigate the catalytic behaviour of samples against MB and RHD dye. Temperature was found to be a significant factor in the improvement of the percentage of dye degradation. Both the unaltered and the annealed samples were subjected to analytical examinations of their dielectric characteristics, AC conductivity, dielectric loss, and tangent loss. Interdiffusion of Al atoms in TiO2 matrix as a result of annealing demonstrates an improvement in the characteristics and potential usefulness of the material as a catalyst and electrode material for applications involving energy storage. In addition, a pin-on-disc tribometer

has been utilised in order to evaluate the tribological characteristics of the coating. An in-depth discussion has been held regarding the potential mechanisms of tweaking the properties, as well as the potential applications of these qualities.

## Tribology and Mechanics of Coatings and Surfaces Room Town & Country C - Session MC3-2-WeA

## Tribology of Coatings and Surfaces for Industrial Applications II

**Moderator: Dominic Stangier**, Oerlikon Balzers Coating Germany GmbH, Germany

2:00pm MC3-2-WeA-1 Effect of Electrical Current Application on the Tribological Properties of Soft and Hard ta-C Coatings on HSS Substrates, Amir Masoud Khodadadi Behtash, University of Windsor, Canada; Woo-Jin Choi, Jongkuk Kim, Korea Institute of Materials Science, Korea (Democratic People's Republic of); Ahmet T. Alpas [aalpas@uwindsor.ca], University of Windsor. Canada

As electric vehicles (EVs) become more widespread, managing electrical current effects on friction and wear in moving components is crucial for enhancing durability and efficiency. Diamond-like carbon (DLC) coatings, known for their low friction and insulating properties, show potential in these applications. This study investigates the tribological characteristics of two types of tetrahedral amorphous carbon (ta-C) coatings -soft (51 GPa) and hard (69 GPa)- on high-speed steel (HSS) substrates under the electrical current application. The soft ta-C coating was deposited at 150 °C, while the hard ta-C coating was deposited at room temperature with a -100 V substrate bias, both using filtered cathodic vacuum arc (FCVA) with a Ti interlayer deposited by magnetron sputtering. The average surface roughness (Ra) values were 17.1 ± 0.3 nm for the soft ta-C coating and 20.3 ± 0.9 nm for the hard ta-C coating. Friction and wear resistance were evaluated using a modified ball-on-disk tribometer with an AISI 52100 steel counterface, under electrical currents from 0 to 1500 mA. Under nonelectrified conditions, both hard and soft ta-C coatings displayed low wear rates of 4.5 and 5.27  $\times$  10<sup>-7</sup> mm<sup>3</sup>/m.N, respectively. With applied electrical currents, however, notable differences emerged. The hard ta-C coating demonstrated coefficient of friction (COF) values ranging from 0.11 to 0.44 under electrical currents between 0 and 500 mA. In comparison, the soft ta-C coating exhibited lower COF values, ranging from 0.11 to 0.29, across a broader current range of up to 1500 mA. The wear rate of the hard ta-C coating increased significantly to 1.6 × 10<sup>-5</sup> mm<sup>3</sup>/m⋅N at 300 mA, whereas the soft ta-C coating maintained a much lower wear rate of 1.05  $\times$  10<sup>-6</sup> mm³/m·N at the same current and reached only 6.17 ×  $10^{-6}$  mm³/m·N at 1200 mA. These results indicate that the electrical current carrying tribological performance of ta-C coatings on HSS substrates can be tailored by heat treatment to enhance their response. Raman spectroscopy and electron microscopy are utilized to delineate the mechanisms underlying these structural changes and will be presented at the conference.

2:20pm MC3-2-WeA-2 Impact of Electrification on the Tribological Performance of Metal Doped a-C Coatings, Miguel Rubira Danelon [miguel.danelon@usp.br], Newton Kiyoshi Fukumasu, Roberto Martins de Souza, André Paulo Tschiptschin, University of São Paulo, Brazil

Amorphous carbon (a-C) coatings, composed of sp<sup>2</sup> and sp<sup>3</sup> hybridizations of carbon, may enhance the surface properties of materials. These coatings are commonly used as solid lubricants, improving tribological performance by forming a tribolayer that reduces the coefficient of friction by graphitization. In many systems, a-C coatings offer the potential to lower frictional energy losses and wear, improving efficiency and durability. Specific phenomena are anticipated for electric vehicles (EVs), since, from one side, electric current can affect surface wear in electrified systems by promoting accelerated oxidation or arc formation. On the other hand, electrical current flowing through an a-C coated contact can induce carbon crystallization, benefiting EV engine performance. Pure a-C lacks the conductivity needed for this crystallization effect, which can be improved by doping the a-C with metallic elements. Using copper or nickel as dopants can reduce electrical resistivity and catalyze carbon nanostructure formation, further reducing friction. This study investigates the tribological behavior of metal-doped a-C coatings under electrified ball-on-plane tests. Me:a-C coatings were deposited on glass substrates using pulsed DC balanced magnetron sputtering. Ni and Cu were used as dopants, with different concentrations, to improve electrical conductivity. Tribological tests involved a ball-on-plane setup with a 10 N normal load, 5 mm stroke,

and 0.28 Hz frequency, applying 30 V in four current flow modes: current flowing from ball to plane, from plane to ball, no current, and intermittent on-off cycling every minute. The coatings' microstructure and composition were analyzed using Scanning Electron Microscopy with Energy-dispersive X-ray spectroscopy (EDS). Raman spectroscopy was used to evaluate carbon structure, while instrumented indentation tests allowed characterization of mechanical properties. Results showed that doping a-C is essential to promote a direct response to electrical stimulation. Increasing the metal content of the amorphous-carbon coating increases the conductivity but decreases the wear resistance, due to a higher metal content. In contrast, reducing the metal content leads to insufficient conductivity, hindering the electrical current's effect on carbon graphitization. Current flow promoted friction coefficient variations, which were not influenced by thermal effect, since no significant temperature increase was observed. Instead, COF variations were related to instant changes in current flow during contact. The wear resistance has also been influenced by the current, with different outcomes depending on the current direction.

2:40pm MC3-2-WeA-3 Graphene-Related Materials: Bridging Fundamental Tribology and Industrial Applications Across Multifarious Environments, Mingi Choi [ds602847@gmail.com], Ji-Woong Jang, Pusan National University, Republic of Korea; Anirudha Sumant, Argonne National Laboratory, USA, India; Ivan Vlassiouk, Oak Ridge National Laboratory, USA, Russian Federation; Jae-II Kim, Korea Institute of Materials Science, Republic of Korea; Young-Jun Jang, Korea Institute of Material Science, Republic of Korea; Songkil Kim, Pusan National University, Republic of Korea Solid lubricants play a crucial role as alternatives to liquid lubricants in extreme environments and as solutions for enhancing mechanical system performance under ambient conditions at the macroscale. Among these, graphene, a representative two-dimensional nanomaterial, has attracted significant attention due to its exceptional nanoscale tribological properties. However, its application as a solid lubricant for macroscale industrial systems remains a challenge. Recent studies have highlighted that tailoring graphene's properties through functionalization, oxidation, can significantly enhance its performance. This underscores the strong correlation between the tribological behavior of graphene-based materials and their elemental and compositional properties.

In this work, we demonstrate the versatility of graphene-related materials as solid lubricants by engineering their structural and compositional properties. Under ambient conditions, we developed a heterogeneous structure of graphene oxide layered on pristine graphene, achieving over 100 times greater durability (>10 km) compared to pristine graphene (~100 m) while maintaining its low COF. In contrast, under humidity- and oxygenfree environments, pure graphene oxide exhibited a super low coefficient of friction (COF). Remarkably, in an argon environment, the COF approached the superlubric regime (COF < 0.01), while in vacuum, the COF gradually increased to 0.07. By unveiling the intrinsic lubrication mechanisms of graphene oxide in these environments, we highlight the potential of graphene-based materials as solid lubricants for diverse engineering applications, bridging fundamental understanding with industrial relevance.

4:00pm MC3-2-WeA-7 Structure and Tribo-Mechanical Properties of Si-Containing ta–C Thin Films Grown by Cathodic Arc Evaporation, Nelson Filipe Lopes Dias [filipe.dias@tu-dortmund.de], TU Dortmund University, Germany; Domic Stangier, Oerlikon Balzers Coating Germany GmbH, Germany; Julia Urbanczyk, Gabriel Brune, Jörg Debus, Wolfgang Tillmann, TU Dortmund University, Germany

Among various types of diamond-like carbon, tetrahedral amorphous carbon (ta-C) thin films have attracted considerable attention due to their high hardness of up to 70 GPa, low friction, and high wear resistance. This property profile makes ta-C a particularly promising thin film system with broader application potential compared to the well-established hydrogenfree amorphous carbon (a-C) and hydrogenated amorphous carbon (a-C:H). For both a-C and a-C:H, thin film properties are typically tailored by incorporating modification elements to meet specific application requirements. In this context, silicon (Si) is widely used to improve the thermal stability and reduce friction under dry sliding conditions. As a result, the modification of ta-C by Si lies within the focus of recent research to tailor its thin film properties.

A key challenge in the synthesis of ta-C:Si is the precise incorporation of low Si concentrations into the thin film without significantly reducing the high fraction of sp<sup>3</sup>-coordinated carbon (C) bonds, which would compromise the superior hardness of ta-C. To overcome this, Si-containing graphite with 2.5

and 5 at.% Si as well as pure graphite were used as cathode materials and were mounted on an array of cathodic arc evaporators arranged vertically. By positioning AISI M2 steel substrates at different heights in front of the evaporators, Si-containing ta-C thin films with low Si concentrations were successfully deposited.

This vertical cathode arrangement allows for tailoring the Si content gradually decreases from top to bottom and for reaching a Si-free ta-C thin film at the lowest height. The hardness of ta-C:Si decreases with increasing Si content but remains above 40 GPa even at the highest Si concentrations. Notably, a high hardness exceeding 60 GPa is achieved at the lowest Si content. To correlate the mechanical properties with the structural characteristics, Raman spectroscopy with UV laser excitation was performed for precise structural analysis of the sp³-coordinated C bonds. Additionally, tribometer tests were conducted to evaluate the influence of Si content on the friction and wear behavior at room temperature. The results highlight the potential of depositing low Si-containing ta-C:Si thin films with superior tribo-mechanical properties using cathodic arc evaporation and low Si-containing graphite cathode materials.

### Plasma and Vapor Deposition Processes Room Town & Country B - Session PP2-2-WeA

### HiPIMS, Pulsed Plasmas and Energetic Deposition II

Moderators: Tetsushide Shimizu, Tokyo Metropolitan University, Japan, Martin Rudolph, Leibniz Inst. of Surface Eng. (IOM), Germany

2:00pm PP2-2-WeA-1 Introducing an Ionization Region Model for Reactive High-Power Impulse Magnetron Sputtering, Daniel Lundin [daniel.lundin@liu.se], Joel Fischer, Linköping University, Sweden; Martin Rudolph, Leibniz Institute of Surface Engineering (IOM), Germany; Jon Tomas Gudmundsson, University of Iceland INVITED

High-power impulse magnetron sputtering (HiPIMS) is a physical vapor deposition (PVD) technique in which short pulses of high instantaneous power are applied to a magnetron cathode to significantly increase the degree of ionization of the film-forming material. This generally results in an improved coating quality including reduced surface roughness, increased density, and increased coverage on complex 3D geometries. In addition, reactive HiPIMS has also been shown to display a reduced hysteresis behavior compared to reactive DC magnetron sputtering. If we can properly control the internal process parameters, this will likely have a great impact on the way compound coatings are being deposited, since it allows for stable operation in the desired transition zone and consequently a dramatically increased deposition rate, while still preserving other inherent advantages of HiPIMS.

In this work we take the first steps towards a more detailed understanding of the reactive HiPIMS process by introducing a novel reactive ionization region model (R-IRM). The R-IRM is based on the established ionization region model (IRM), which has been extended to incorporate an extensive nitrogen reaction set together with all the additional complexities arising from the addition of a reactive gas. We use the R-IRM to study the internal process parameters of reactive HiPIMS discharges that are difficult to investigate experimentally by applying the model to a set of HiPIMS discharges of Ti in various Ar/N2 mixtures and with different external process parameters. The temporal evolution of the densities of the different plasma species, their fluxes towards the substrate, as well as the ionization and back-attraction probabilities obtained from the model give valuable insights into how key properties influencing film growth, such as the material flux composition and charge state of film-forming ions, are affected by the choice of the external process parameters. We furthermore observe, that with the small relative flow rates of N2 typically needed to obtain stoichiometric coatings, nitrogen only plays a minor role in the plasma chemistry.

2:40pm PP2-2-WeA-3 Energy Contributions in the Reactive Sputter Deposition of TiO2 Thin Films, Daniel Fernandes [daniel.f.fernandes@angstrom.uu.se], Lars Österlund, Tomas Kubart, Uppsala University, Angstrom Laboratory, Sweden

The growth of crystalline TiO<sub>2</sub> thin films by physical vapor deposition techniques typically requires a deposition temperature well above 200°C. Energetic flux of film forming species can reduce the growth temperature. To this end, the use of HiPIMS has already been proposed. Moreover, the growth in a reactive oxygen atmosphere opens the parameter space even further by introducing excited and ionized oxygen species to the process.

The goal of this study is to decouple the contributions of the deposition temperature and species generated in the reactive HiPIMS discharge to the growth of crystalline TiO<sub>2</sub>. Deposition systems with different chamber geometries were used, where the main difference was the distance between the sputtering target and the substrate holder. The crystallinity of resulting films was investigated by conventional GIXRD and compared to pDCMS (pulsed direct current magnetron sputtering) reference processes. To evaluate the energetic input in each process, a simple heating model based on radiative heat exchange between elements at different temperatures, was implemented. Based on the measured substrate temperature evolution and assuming an effective chamber wall cooling, the steady-state temperature and the energy per deposited particle during deposition were estimated.

The film crystallinity was strongly affected by the target-to-substrate distance. All films deposited with a long distance were amorphous while short distance resulted in crystalline films. Short deposition distance resulted in pronounced heating of the growing film since the substrate is in the denser plasma. Even so, identical growth conditions resulted in a better crystalline quality for HiPIMS films. The difference in steady-state temperature does not fully justify the contrast in crystallinity, where 480 K and 464 K were estimated for HiPIMS and pDCMS, respectively. The estimated energy input per deposited Ti atom was higher for HiPIMS, predicted to be approximately 1.5 keV, while for pDCMS it was 1.25 keV. These values are considerably higher than the kinetic energy of ions generated by HiPIMS. Therefore, other energetic components to the process need to be considered to understand the mechanisms behind crystallization, e.g. the contribution of hot electrons in HiPIMS discharges.

3:20pm PP2-2-WeA-5 Influences of Target Poisoning on the Phase, Microstructure, and Mechanical Properties of Crmonbtiwc High Entropy Alloy Carbide Thin Films Grown by a Superimposed Highpower Impulse and Medium-Frequency Magnetron Sputtering System, *Tse Wei Chen [gagamodo@gmail.com]*, Chia-Lin Li, Ming Chi University of Technology, Taiwan; Bih Show Lou, Chemistry Division, Center for General Education, Chang Gung University, Taoyuan, Taiwan; Jyh Wei Lee, Ming Chi University of Technology, Taiwan

Since the high entropy alloy (HEA) materials were proposed by Prof. Yeh in 2004, they have been widely studied due to their outstanding mechanical and physical properties. HEAs refer to alloys consisting of at least five elements, with each element's content not exceeding 35 at.%. This compositional constraint prevents any single element from dominating the material's behavior, resulting in unique characteristics arising from the collective contribution of multiple elements. Compared with traditional binary or ternary alloy carbide coatings, HEA carbide coatings have superior performances, such as high hardness, good wear, and corrosion resistance. In this study, an equimolar CrMoNbTiW target was employed to deposit CrMoNbTiWC carbide thin films on 420 stainless steel, 304 stainless steel, and silicon wafer substrates via different target poisoning ratios by a superimposed highpower impulse magnetron sputtering (HiPIMS-MF) system. During the sputtering process, the CrMoNbTiW target poisoning ratios were controlled from 10% to 90% by the feedback control of acetylene gas flow ratios and the optical emission signal intensity of Cr species using a plasma emission monitoring feedback control system. The film thickness and cross-section morphologies were analyzed using field emission scanning electron microscopy and transmission electron microscopy. The crystal structure of the thin film was evaluated by X-ray diffraction. The chemical composition analysis revealed that the carbon content increased from 20.0 at.% to 88.3 at.% as the target poisoning ratio increased from 10 to 90%. The HEA carbide film exhibited an FCC phase. A maximum hardness of 25.1 GPa was obtained for the HEA carbide film containing 53.0 at.% carbon. The friction coefficient of thin film decreased with increasing carbon contents. The impact of target poisoning ratio and carbon content on the phase, microstructure, and mechanical properties of CrMoNbTiWCHEA carbon thin films were discussed in this work.

3:40pm PP2-2-WeA-6 Novel Superimposed HiPIMS/RF Sputtering Process on a Single Magnetron, Mark Günter, Melec GmbH, Germany; Caroline Adam [c.adam@physik.uni-kiel.de], Melec GmbH, Kiel University, Germany Reactive sputtering of dielectric films poses significant challenges, primarily due to target poisoning, which can lead to arcing, hysteresis, and generally lower deposition rates [1]. RF (radio-frequency, 13.56 MHz) is a stable option for arc-free processes, even though the films can be porous and grow at lower rates than in DC or MF (mid-frequency) mode. HiPIMS (high power impulse magnetron sputtering) is known to deposit dense films, however the tendency for arcing is higher due to the high peak voltages [1].

To provide stable deposition conditions, a hybrid sputtering process is investigated where HiPIMS and RF are simultaneously applied to the same cathode. For this purpose, a Melec SPIK3000A HiPIMS generator is connected alongside an RF generator and a conventional matchbox to the magnetron. An additional filter (Aurion Anlagentechnik GmbH) is necessary to avoid RF reflection into the HiPIMS generator. The radio-frequency can be either applied continuously or by superposition in the on or off-time of the HiPIMS pulses. The film deposition experiments are complemented by plasma diagnostics with energy-resolved mass spectrometry [2] and so-called non-conventional diagnostics as the passive thermal probe [3].

The addition of an RF plasma provides pre-ionization for the HiPIMS pulses, yields to a faster HiPIMS current rise and allows to reduce the process pressure. This phenomenon was already investigated for the superposition of HiPIMS with DC [4] or MF [5]. During reactive sputtering of  $Al_2O_3$  and  $SiO_2$ , the addition of RF substantially mitigates arcing, as evidenced by the resulting films, which show a remarkable decrease in droplet density. The deposition rates of the HiPIMS and RF power add up in the superimposed process achieving a higher overall deposition rate.

Proof of principle for a combination of RF and HiPIMS excitation on one magnetron has been established and opens up a new route for arc-free deposition of  $\text{Al}_2\text{O}_3$  and other oxidic layers. Further investigations will include the influence and optimization of pulse parameters as well as the effect of the ratio between the average HiPIMS and RF power.

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4:00pm PP2-2-WeA-7 Towards Ti-Si-C MAX-based coatings via reactive cathodic arc evaporation: Advanced Characterization and Process Optimization, Arno Gitschthaler [arno.gitschthaler@tuwien.ac.at], Rainer Hahn, TU Wien, Institute of Materials Science and Technology, Austria; Jürgen Ramm, Carmen Jerg, Oerlikon Balzers, Oerlikon Surface Solutions AG, Liechtenstein; Szilárd Kolozsvári, Peter Polcik, Plansee Composite Materials GmbH, Germany; Eleni Ntemou, Daniel Primetzhofer, Uppsala University, Sweden; Helmut Riedl, TU Wien, Institute of Materials Science and Technology. Austria

MAX phases are a unique class of nanolaminated compounds that combine properties of metals and ceramics, offering electrical and thermal conductivity alongside creep, oxidation, and corrosion resistance. Consequently, there is growing interest in synthesizing relatively phase pure MAX phase PVD coatings for a broad range of applications. However, the successful development of MAX-based coatings for next-generation technologies requires a comprehensive understanding of the relationships between the deposition processes, chemical composition and phase formation. Among the various MAX phases, the thin film synthesis of the Ti-Si-C system has been the focus of research for quite some time [1], [2]. Yet, reducing the synthesis temperature to below 650 °C remains a major challenge, as it limits compatibility with metallic substrates and therefore practical use. Regarding this issue, cathodic arc evaporation has great potential due to its elevated ionization degree.

Thus, a variety of Ti-Si-C coatings have been grown by arc evaporating metallic targets (Ti or TiSi) in reactive plasma atmospheres (Ar, SiH<sub>4</sub> & C<sub>2</sub>H<sub>2</sub> or Ar & C<sub>2</sub>H<sub>2</sub>) at 550 °C in an industrial coating plant. To improve adhesion to metallic substrates and to prevent element diffusion between the coating and the substrate, a thin Ti interlayer was applied. The first challenge was the adjustment of the reactive gas flow rates in order to maintain the narrow stoichiometric window during film growth and ensure the formation of Ti-C and Si nanolayers. Final confirmation of the selected deposition approaches was provided by the precise determination of elemental composition using elastic recoil detection analysis (ERDA) and Rutherford backscattering spectrometry (RBS). Subsequently, the focus was on the phase characterization of the Ti-Si-C MAX phases and its most competitive phases (e.g. TiC and Ti₅Si₃C) through various laboratory and synchrotron X-ray diffraction (XRD) techniques, such as BBXRD, GIXRD, HT-GIXRD and CSnanoXRD. In particular, the high energy X-rays used for transmission nanodiffraction experiments allowed accurate phase identification and provided valuable insights into preferred growth directions. Overall, it has been successfully demonstrated for the first time that Ti-Si-C MAX-based coatings can be synthesized by reactive CAE at temperatures below 650 °C.

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4:20pm PP2-2-WeA-8 Influence of Pulse Duration on Plasma Chemistry and Thin Film Growth of Plasmonic Titanium Nitride Deposited by Constant Current Regulated HIPIMS, Ethan Muir [e.muir@shu.ac.uk], Arutiun Ehiasarian, Sheffield Hallam University, United Kingdom; Ryan Bower, Imperial College London, UK; Yashodhan Purandare, Sheffield Hallam University, United Kingdom

Plasmonic materials require very high temperatures to manufacture and are not available by conventional methods, this study develops a low temperature process to satisfy this demand.

Typically, plasmonic Titanium Nitride thin films produced via PVD methods are deposited at temperatures between 600-800 °C. The Titanium Nitride films produced for this study were deposited at room temperature, ensuring they are CMOS-compatible and consequently, reducing the energy consumption of the process. Titanium Nitride thin films are ideal for real-world applications, due to their high hardness and corrosive resistance, extending the lifetime of components the films are applied to. This study aims to produce films for photocatalytic applications with longer lifetimes than currently produced photocatalytic materials such as nanoparticles.

This study documents the results of an investigation into the effect of pulse duration within constant-current HIPIMS discharges, specifically investigating the effects on plasma chemistry, temporal evolution and on the changes to thin film texture of films produced from these discharges. Pulse durations ranging from  $40\text{-}200\mu s$  were studied. Time-Averaged Optical Emission Spectroscopy (OES) and Time-resolved OES have been conducted on a series of discharges with different pulse durations. The data obtained from the Time-Resolved OES shows three stages that can be used to characterise the generation of the discharge: Gas Rarefaction, Pumping and Steady State. Time-resolved and Time-averaged mass spectrometry studies were also conducted which verify the data obtained via OES. There is proof of an increase in electron temperature within the discharge whilst current and voltage remain constant. Titanium Nitride films were produced from the different discharges studied to investigate the role that pulse duration and plasma chemistry plays on the texture of the produced films via x-ray diffraction (XRD). Bragg-Brentano scans and pole figures show how the crystallographic structure of the film changes with the changing pulse duration and the effects it has on the grain sizes and stress within the film on micro and macro scales. Nanohardness and toughness were measured for each of the produced samples showing how the mechanical properties of the film are affected by the pulse duration. These films optical properties have also been studied using ellipsometry to determine their real and imaginary permittivity, to assess their plasmonic capabilities within the visible spectrum.

4:40pm PP2-2-WeA-9 Monitoring Vanadium Nitride Thin Film Deposited by Reactive Hipims: From Microstructure to Properties, Julien Neyrat [julien.neyrat@safrangroup.com], Marjorie Cavarroc, Safran, France; Angeline Poulon, CNRS, Université de Bordeaux - ICMCB, France

Among hard coatings materials, transition metal nitrides proved to be valuable candidates with excellent mechanical properties and both chemical and thermal stabilities. This study proposes to show the interest of Reactive High-Power Impulse Magnetron Sputtering (R-HiPIMS) process to produce Vanadium nitride thin films. Thanks to a high ionization degree of the sputtered metal and to high peak power densities applied to the target during few tens of microseconds pulse, deposited films are dense and homogeneous. The influence of several process parameters (target peak power density, N2 partial pressure, total gas (Ar + N2) pressure and pulse parameters) on film microstructure are reported. The obtained structures were investigated by X-ray diffraction (GIXRD and  $\theta$ -2 $\theta$ ) and both scanning and transmission electron microscopy. Discharge composition and electrical characteristics according to processing parameters were studied by optical emission spectroscopy and Langmuir probe measurements. The VN obtained microstructure depends strongly on processing parameters especially pulse parameters and gas parameters which affect the incoming species energy at the substrate. The VN microstructure formation is discussed with respect to conditions promoting both adatoms mobility on the substrate surface and ionized species into the plasma. Comparison of mechanisms involved during the formation of the microstructure depending on the process parameters is presented as well as

characterization of mechanical properties (mechanical and electrical) of deposited layers.

### Plasma and Vapor Deposition Processes Room Palm 3-4 - Session PP3-WeA

### **ALD, CVD Coating Technologies**

**Moderators: Hiroki Kondo**, Kyushu University, Japan, **Frederic Mercier**, University of Grenoble Alpes, France

2:00pm PP3-WeA-1 Electrical Conductivity as a New Parameter for SAMs-Free Area-Selective Atomic Layer Deposition, from Principles to Photoconversion Devices, David Horwat [david.horwat@univ-lorraine.fr], Institut Jean Lamour/Université de Lorraine, France INVITED

Area-selective atomic layer deposition (AS-ALD) has gained a lot of attention in recent years due to the possibility of achieving accurate patterns in nanoscales features, especially for complex 2D or 3D nanostructures [1], which makes this technique compatible with the continuous downscaling in electronics devices. AS-ALD is usually achieved by deactivation of part of the surface by self-assembly monolayer (SAMs) of certain molecules [2]. Here we propose a different approach that consists in modulating a property of the substrate to achieve localized growth of different materials, its electrical conductivity. This concept is demonstrated by selective growth of high quality metallic Cu, and semiconducting Cu<sub>2</sub>O or absence of deposition, depending on the value of the electrical conductivity and substrate temperature. We will present our understanding of the process and will highlight some of its potentials. It is for instance possible to interface n and p semiconductors or semiconductors and metals with local control in order to fabricate demonstrator devices [3-5] of potential interest for photoconversion purposes.

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# 2:40pm PP3-WeA-3 Direct ALD Deposition by µDALP™. Precision Coatings for Next Gen Devices, *Mira Baraket [mira.baraket@mail.com]*, ATLANT 3D Nanosystems, Denmark

Advancements in the microelectronics sector demand the ability to create high-quality films with nanoscale accuracy to pattern complex features on substrates. Area-selective deposition (ASD) meets this demand by enabling the selective formation of films on specific surface regions while preventing deposition elsewhere<sup>1</sup>. Atomic Layer Deposition (ALD), a well-established technique in the semiconductor field has been widely investigated for ASD applications. However, this method often requires initial surface treatments, surface functionalization, or alterations to the process<sup>2</sup>.

ATLANT 3D has introduced an innovative technology named microreactor Direct Atomic Layer Processing -  $\mu$ DALP $^{\text{IM}}$ , enabling precise localized thin film deposition with accuracy down to a few hundred microns, incorporating all conventional ALD advantages (Fig. 1 (a)). This technology leverages a specialized design of micronozzles to spatially separate precursors and reactants, facilitating rapid film deposition at atmospheric conditions (Fig. 1(b))³. The  $\mu$ DALP $^{\text{IM}}$  technology stands out for its vertical atomic monolayer precision, achieving an accuracy of 0.2 nm. It is especially effective for selective patterning across diverse surfaces, including microfluidic channels, optical gratings, and nanostructured interfaces, showcasing its versatility and precision. Moreover, this technology enables fast and cost-effective prototyping of devices, facilitating a level of design creativity and optimization that is challenging by traditional thin film deposition approaches.

ATLANT 3D's technology has been successfully utilized to innovate in fields such as optics and photonics, quantum devices, microelectromechanical systems (MEMS), RF electronics, cutting-edge memory technologies, advanced packaging, and energy storage, showcasing its wide-ranging application potential. In this talk we will explain the significant contributions of our µDALP™ technology to the evolution and expansion of

thin-film manufacturing and discuss the wide array of opportunities it presents across different sectors.

**Fig. 1.** (a)Top view of aligned Si trenches (aligned horizontally) coated with a perpendicular line of TiO<sub>2</sub> (low magnification SEM). (b) Microfluidic precursor delivery concept: Schematic view of the delivery nozzle in frontal view (top) and in cross-section (lower panel).

#### References

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3:00pm PP3-WeA-4 Selective Generation of Nanoparticles in Plasma-Enhanced CVD and Deposition of Carbon Films with Low Compressive Stress, Kazunori Koga [koga@ed.kyushu-u.ac.jp], Kyushu University, Japan

The stress of diamond-like carbon (DLC) films has been a significant issue in enhancing the performance of protective coatings used in dry etching masks, automotive parts, and battery electrodes. Traditionally, metal nanoparticles have been incorporated into the films to reduce stress. However, this approach often leads to metal contamination, which deteriorates the performance of semiconductor devices. In this study, inspired by the incorporation of metal nanoparticles, we aimed to alleviate stress by incorporating carbon nanoparticles (CNPs) into DLC films. As a first step, we successfully controlled the size of the nanoparticles using plasma chemical vapor deposition (CVD). Subsequently, we managed to control the amount of CNPs deposited on substrates using capacitively coupled plasma CVD, a technique widely employed for large-area deposition. Transmission electron microscopy (TEM) images revealed that the deposited CNPs could be classified into two size groups: the smaller group with a mean size of approximately 2.9 nm, and the larger group with a mean size of around 16 nm. We successfully controlled the amount of CNPs on the films with discharge duration. We shortened the discharge time to prevent the nanoparticles from piling up on the substrate, resulting in sparse deposition on the film surface. The amount of nanoparticles deposited was expressed as a percentage of nanoparticles per unit area of the film, defined as the coverage (Cp) of CNPs. Based on these results, we fabricated a-C:H/CNP/a-C:H sandwich-like films using the plasma CVD. A mixture of Ar and CH<sub>4</sub> gases was introduced from the top of the chamber at flow rates of 19 sccm and 2.6 sccm, respectively, maintaining a total pressure of 0.3 Torr. These conditions were consistent with those used for CNP deposition. The mass density of the deposited a-C:H films was 1.88 g/cm<sup>3</sup>. We observed that the film stress decreased with increasing Cp, from 1.59 GPa at Cp = 0% to 1.02 GPa at Cp = 8.9%, with a similar value at Cp = 15.9%. This represents a reduction rate of 35.8%. These results indicate that incorporating a small amount of CNPs can effectively reduce film stress. Moreover, we successfully expressed the stress reduction rate in terms of Cp using experimental results for different sandwich film thicknesses.

3:40pm PP3-WeA-6 Temperature Influence on the Chemical Vapor Deposition of Nitrogen-Doped SiC Polycrystalline Films for Brain-Implantable Devices, Michalis Gavalas, SIMaP, CNRS, University Grenoble Alpes, France; Konstantinos Zekentes, Microelectronics Group/IESL-FORTH, University of Crete, Hellas, Greece; Frederic Mercier [frederic.mercier@grenoble-inp.fr], SIMaP, CNRS, University Grenoble Alpes, France

Silicon carbide (SiC) is a wide-gap semiconductor, with high chemical stability, that is proposed as a functional material for biomedical applications [1,2]. Epitaxial and polycrystalline SiC has been proposed for neural recording and stimulation electrode devices [3,4]. Unlike the epitaxial case, polycrystalline 3C-SiC is advantageous as it can grow on various substrates (silicon, silica, diamond, sapphire etc) and at lower temperatures. However, the state of the art for the polycrystalline SiC based neural interfaces is still poor. Dense layers of poly-SiC with low resistivity and low stress combined with the good chemical stability of SiC are required for the fabrication of neural interfaces [3,4]. Towards this aim, polycrystalline nitrogen doped 3C-SiC thin films, are grown on 2 inches Si wafers by low-pressure chemical vapor deposition (LPCVD) technique with the aim to be used as support and active material in microelectronic devices and for neural interfaces. The effect of deposition temperature on the structural, mechanical and electrical properties is investigated. Growth rate is varying from 1 µm/h to 14 µm/h, along with the deposition

temperature. We show that we can control simultaneously the structural and electrical properties of polycrystalline SiC by changing the deposition temperature. Films with resistivity as low as (10.0  $\pm$  0.5) m $\Omega\cdot$ cm, low residual stress of (245  $\pm$  13) MPa and RMS surface roughness of (159  $\pm$  54) nm are achieved. Furthermore, the chemical stability of SiC in physiological fluids is investigated and we show that polycrystalline SiC can be a suitable material for neural interfaces applications.

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## Topical Symposium on Sustainable Surface Engineering Room Palm 5-6 - Session TS2-WeA

(Photo)electrocatalysis and Solar/Thermal Conversion Moderator: Arnaud Le Febvrier, Uppsala University, Sweden

2:00pm TS2-WeA-1 Flexible Thermoelectrics: Transforming Wearables, Space Exploration, and IoT, André Pereira [ampereira@fc.up.pt], University of Porto, Portugal INVITED

Flexible thermoelectric (TE) materials are at the forefront of advancing wearable electronics, space exploration, and the Internet of Things (IoT), offering a sustainable and efficient means of converting thermal gradients into electrical energy. Recent research has explored innovative designs and materials to overcome challenges in flexibility, efficiency, and scalability. A pivotal development is the radial flexible thermoelectric device powered by high-power laser beams, showcasing photo-thermoelectric conversion for wireless energy transfer. This approach provides a transformative solution for applications in space exploration, particularly for powering CubeSats and remote sensing systems.

Advances in hybrid thermoelectric materials have also driven significant progress. Nanostructured  $\mathrm{Bi}_2\mathrm{Te}_3$  composites, integrated with polymer matrices like PVA, have demonstrated enhanced thermoelectric performance and printability. Devices fabricated with these materials achieve excellent mechanical flexibility and are well-suited for low-power wearable devices and printed electronics. The optimization of hybrid materials and ink formulations has enabled the realization of scalable, printable thermoelectric generators (TEGs) with customizable geometries.

Furthermore, the development of functional thermoelectric inks has opened avenues for high-throughput manufacturing of flexible  $\mu\text{-TEGs}.$  These devices exhibit improved thermoelectric properties, mechanical stability, and adaptability to various substrates, ensuring seamless integration into IoT sensor networks and wearable platforms. The interplay of material innovations, device architecture, and advanced manufacturing techniques underscores the potential of flexible thermoelectrics in addressing global energy challenges while enabling novel functionalities in emerging technologies.

This work highlights the role of multidisciplinary approaches in transforming the capabilities of thermoelectric devices, paving the way for their adoption in dynamic environments and applications demanding autonomy and efficiency.

### Acknowledge:

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Printed Flexible  $\mu$ -Thermoelectric Device Based on Hybrid Bi2Te3/PVA Composites

AL Pires, et al. ACS applied materials & interfaces 11 (9), 8969-8981

A Photo-Thermoelectric Twist to Wireless Energy Transfer: Radial Flexible Thermoelectric Device Powered by a High-Power Laser Beam

2:40pm TS2-WeA-3 Alloy/Phosphate Heterostructure as High-Performance Hydrogen Evolution Reaction Electrocatalyst, *Yung Hsun Yen [N56124210@gs.ncku.edu.tw]*, National Cheng Kung University (NCKU), Taiwan; *Thi Xuyen Nguyen*, National Cheng Kung University (NCKU), Taiwan; *Jyh Ming Ting*, National Cheng Kung University (NCKU), Taiwan

With the rising demand for sustainable energy, the development of efficient electrocatalysts for the hydrogen evolution reaction (HER) has become increasingly important. Also, achieving cost-effective water electrolysis in industrial scale is crucial for large-scale green hydrogen production. In this study, we have investigated metal alloy/phosphate heterostructure HER electrocatalysts. Alloy is first synthesized using a two-step hydrothermal process, followed by thermal annealing. Phosphate is then electrodeposited on the surface of as-prepared alloy. The obtained catalyst demonstrates excellent catalytic activity toward HER with a low overpotential of 28.4 mV at 10 mA cm<sup>-2</sup> and small Tafel slope of 42.1 mV dec<sup>-1</sup>. Under a high current density of 500 mA cm<sup>-2</sup>, the catalyst requires an only ultra-low overpotential of 186.3 mV.Stability tests using AEMWE having the heterostructure OER electrocatalyst are performed under 1 M KOH electrolyte and 1 M KOH + 0.3 M NaCl electrolytes. After 1000-h of test at 500 mA cm<sup>-2</sup>, negligible voltage drops are demonstrated under both electrolyte conditions. The excellent HER performance and cost-effective of the synthesized catalyst is highly desirable for real water splitting for sustainable hydrogen production.

3:00pm TS2-WeA-4 Ni-Co Based Catalysts for the Upcycling of Polyethylene Terephthalate, Ruei Chi Lin [a0979116476@gmail.com], National Cheng Kung University (NCKU), Taiwan; Thi Xuyen Nguyen, National Cheng Kung University (NCKU), Taiwan; Jyh Ming Ting, National Cheng Kung University (NCKU), Taiwan

Plastic waste management represents a critical environmental issue. Electrochemical upcycling of polyethylene terephthalate PET waste into high-value chemicals has received great attention recently. However, the development of highly active and selective catalysts remains challenging. In this study, we have developed a noble metal-free Ni-Co based electrocatalyst, synthesized via a hydrothermal method, for ethylene glycol oxidation reaction (EGOR). The EG is derived from PET. With its high surface area and tunable electronic structure, the obtained catalyst exhibits an excellent potentials of 1.25 V and 1.31 V at current densities of 10 mA cm<sup>-2</sup> and 100 mA cm<sup>-2</sup>, respectively. PET is effectively transformed into potassium terephthalate with excellent Faradaic efficiency and selectivity under high current density. Meanwhile, zero-gap membrane electrode assembly closed-loop flow reactor has been used to achieve outstanding stability of PET upcycling in PET hydrolysis at 100 mA cm<sup>-2</sup>. This work highlights the excellent potential for electro-reforming PET plastic waste into valuable chemicals with simultaneous reduced-cost hydrogen production.

3:20pm TS2-WeA-5 Single Atom Ag Bonding between PF3T nanocluster and TiO<sub>2</sub> leads the Ultra-stable Visible-Light-Driven Photocatalytic H<sub>2</sub> Production, Tsan-Yao Chen, Fan-Gang Tseng, National Tsing Hua University, Taiwan; Jyh-Pin Chou, National Taiwan University, Taiwan; Sun Wei Tse, National Tsing Hua University, Taiwan; Jia-Yu [ym1015139@gmail.com], National Taipei University of Technology, Taiwan Atomic Ag cluster bonding is utilized to enhance the interface between PF3T nanoclusters and TiO2 nanoparticles. At an optimized Ag loading of 0.5 wt% (Ag/TiO2), the Ag atoms are uniformly dispersed on the TiO2 surface, generating a high density of intermediate states within the bandgap. This forms an efficient electron channel between the terthiophene groups of PF3T and TiO2 in the hybrid composite (denoted as T@Ag05-P). The enhanced interface broadens the photon absorption bandwidth and facilitates core-hole splitting by enabling photon-excited electrons (from excitons in PF3T) to inject into the conduction band (CB) of TiO2. These features enable a remarkable H2 production efficiency of 16,580  $\mu mol\ h^{-1}\ g^{-1}$  and exceptional photocatalytic stability, with no degradation observed under visible light exposure for 96 hours. Compared to the hybrid material without Ag bonding (TiO2@PF3T), the H2 production yield and stability improve by 4.1-fold and 18.2-fold, respectively, representing the best performance among similar materials with comparable component combinations and interfacial reinforcement strategies. This innovative bonding approach opens new opportunities for advancing photocatalytic hydrogen production technologies.

3:40pm TS2-WeA-6 Transition Metal-Based Electrocatalysts for Sustainable Oxygen Reactions in Green Energy Applications, Emma Björk [emma.bjork@liu.se], Linköping University, IFM, Sweden INVITED

Water splitting and recombination are pivotal processes in the transition toward green, renewable, and fossil-free energy production. These reactions are limited by the kinetics of the oxygen reactions—the Oxygen Evolution Reaction (OER) and the Oxygen Reduction Reaction (ORR)—which creates a significant demand for efficient electrocatalysts. Efforts are focused on developing abundant, cost-effective alternatives to the noble metal catalysts currently in use. In this presentation, the possibility to use transition metal oxides, e.g. Co, Ni, and Mn oxides, as oxygen catalysts will be discussed.

The first part will cover multicomponent films, e.g. CoCrFeNi and MnCrFeNi, as catalytically active, corrosion-resistant coatings. The films were synthesized via magnetron sputtering and subsequently subjected to electrochemical activation through anodization, enhancing their catalytic activity towards both ORR and OER. Anodization also altered the ORR mechanism in CoCrFeNi and MnCrFeNi films, shifting it from a (2+1) electron pathway in as-deposited films to either a 4- or 2-electron pathway in anodized films. These changes are attributed to modifications in active sites and film structure. Substituting Co with Mn slightly improved OER performance but did not affect the ORR activity significantly.

The films also demonstrated excellent corrosion resistance in alkaline and neutral chloride environments, attributed to the formation of a protective oxide layer. The corrosion performance was influenced by film composition and structure, particularly grain size. For example, lattice distortion in CoCrFeNi enhanced resistance in NaCl, while smaller grain sizes improved the corrosion resistance in KOH.

The second part of the talk focuses on increasing catalytic activity of transition metal oxides by introducing nanoporosity to enhance the number of active sites. Nanoporous materials, which often have specific surface areas exceeding 100 m²/g, were synthesized via hydrothermal treatment methods to create nanoporous MOx (M = Cr, Fe, Co, Ni, Ce) and NiCo<sub>2</sub>O<sub>4</sub> oxygen electrocatalysts. Optimizing pore size in nanoporous NiO revealed a critical balance between the number of active sites and the diffusion of reactants and products. NiO with a pore size of 3.3 nm achieved the lowest overpotential (335 mV at 10 mA/cm²), outperforming a commercial Ir/C catalyst under similar conditions.

The different ORR pathways on the various catalysts enable product selectivity, and we have designed electrochemical cells for an oxygen pump, hydroxyl radical generation, and H2O2 production using nanoporous transition metal oxides, air, water, and KOH.

4:20pm TS2-WeA-8 Bi-Based Photocatalysts Obtained by Reactive Sputtering for the CO₂ Photoreduction – from Thin Films and Composites to Nanoparticles, Angélique Bousquet [angelique.bousquet@uca.fr], Sara Ibrahim, Jean-Michel Andanson, Pierre Bonnet, Institut de Chimie de Clermont-Ferrand, France; Mireille Richard-Plouet, Institut des Matériaux, France; Maryline Le Granvalet, Institut des Matériaux de Nantes, France; Sébastien Roth, Audrey Bonduelle, Institut Français du Pétrole, Energies Nouvelles, France

To reduce the  $CO_2$  emission into atmosphere is a major issue to mitigate the current climate change. Moreover, be able to photo-convert  $CO_2$  into more valuable species and form clean solar fuels and molecules would be a step forward to the industry decarbonation. Among the photocatalysts investigated to photoreduce  $CO_2$ , Bi-based materials have demonstrated their interest to selectively form CO, a molecular building block which can further be used to obtain methanol, acetic acid, aldehyde and even fuels...

In this study, we investigated the deposition of Bismuth oxyfluoride thin films by reactive radiofrequency magnetron sputtering of a pure Bi target in Ar/O<sub>2</sub>/CF<sub>4</sub> atmosphere. We demonstrated, that it is possible to obtain coatings of various crystallized compounds (Bi<sub>7</sub>O<sub>5</sub>F<sub>11</sub>, BiO<sub>0.5</sub>F<sub>2</sub>, BiF<sub>3</sub>...) depending on the injected flow rates of O2 and CF4 reactive gases. More interesting, is the possibility to form composites of these compounds with a controlled content of metallic Bismuth nanodomains by reducing the reactive gas flow rates. Hence, we obtained in one step heterojonctions that presents enhanced photocatalytic activities thanks to potential plasmonic effect. The composition, structure and morphology of these coatings were studied by XRD, Raman spectroscopy, XPS, TEM and SEM. Their optical properties, especially their band gap, were determined from UV-visible spectroscopy and ellipsometry. Experiments of photodegration of pollutants into water shows that an optimum of metallic content has to be found to enhance the photocatalytic properties of the Bi-based materials<sup>[1]</sup>. The CO<sub>2</sub> photoconversion measurements, perfomed at IFPEN,

on these materials demonstrate a photon conversion efficiency close to the one of  $TiO_2$  P25 from Degussa, but with a high selectivity to form CO (= 90% and 10% of  $H_2$ ).

To go further, we now working on nanostructuration of these materials in order to increase the contact surface with  $CO_2$  gas using an original method: the reactive sputtering onto liquid. If this technique was already investigated to form dispersion of metallic nanoparticles into liquid, we succeeded for the first time to use it in reactive mode to obtain dispersion of sperical, well-crystallized oxyfluoride nanoparticles with a mean size ranging from 6 to 8 nm and presenting a photocatalytic response<sup>[2]</sup>. These particules may be dispersed on porous support paving the way of high surface specific aera system for  $CO_2$  photoreduction.

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Awards Ceremony and Honorary Lecture Room Town & Country A - Session HL-WeHL

### **Bunshah Award Honorary Lecture**

6:05pm HL-WeHL-2 R.F. Bunshah Award and ICMCTF Lecture Invited Talk: Life with Optical Coatings and ICMCTF: from Design to Manufacture, and the Multifunctional, Multisectoral and Holistic Approaches, Ludvik Martinu [ludvik.martinu@polymtl.ca]<sup>1</sup>, Polytechnique Montréal, Canada INVITED

Optical coatings (OC) represent a fascinating area of study and applications which focuses on the interaction of light with matter: One can rigorously design the optical performance of optical filters and optical devices based on the knowledge of the optical constants to obtain appropriate optical transmission, reflection, color and related effects. In practice, however, successful fabrication and performance of the optical filters depend on the control of coatings' microstructure, which closely depends on the fabrication conditions and the detailed effect of specific processes on the film growth.

In my presentation, I will discuss the progress in the field of OC while highlighting both encouraging successes but also ongoing challenges. The latter ones include issues related to manufacturability, as well as tribomechanical and environmental durability in frequently harsh environments. Additionally, I will review developments in OC, such as energetic plasmand ion-surface interactions and their effect on the interfaces, prospects of inhomogeneous (graded) OC, process monitoring based on time-, spaceand specie-resolved diagnostics, nanostructured OC including plasmonic effects and porous media, dynamic OC (e.g., electrochromic and thermochromic), and the increasingly important multifunctional characteristics.

Throughout this presentation, I will highlight the significance of collaboration between academic and industrial laboratories, as well as the broader multisectoral implications that arise from such partnerships. In addition, I will emphasize the importance of adopting a global (holistic) approach when developing appropriate surface engineering solutions. These points will be further illustrated through several stories from my involvement in the ICMCTF over the past few decades.

Advanced Characterization, Modelling and Data Science for Coatings and Thin Films

Room Palm 1-2 - Session CM1-1-ThM

Spatially-resolved and in situ Characterization of Thin Films, Coating and Engineered Surfaces I

Moderator: Barbara Putz, Empa Thun, Switzerland

8:40am CM1-1-ThM-3 Analysis of Deuterium by Atom Probe Tomography (Apt) - D in V Films and Fe/V Multi-Layered Films, Ryota Gemma [goettingenma@googlemail.com], Tokai University, Japan; Talaat Al-Kassab, Astrid Pundt, University of Göttingen, Germany INVITED

In this presentation, we will present the results of deuterium distribution and quantitative analysis by atom probe tomography (APT) in single-layered V or Fe/V multi-layered films. While V is a hydrogen-absorbing metal, Fe hardly dissolves hydrogen (H). Therefore, in Fe/V multi-layered films, almost all of the H atoms are supposed to be in the V layer, and the H distribution should show a clear contrast at the Fe/V interface. This is also the case for deuterium (D). D has a lower diffusion coefficient than H. Hence, a surface segregation of D during the APT analysis can be suppressed, enabling to visualize original D position in the host metal lattice. Furthermore, D can be distinguished from background hydrogen in the APT analysis chamber. By using a portable chamber to prevent the sample from being exposed to oxygen, we were able to measure the D concentration in V or Fe/V films over a wide concentration range. We compared the D concentration measured by APT with the compared with the results of measurements of the hydrogen concentration dependence of electromotive force (EMF) for similar samples, it was found that the average D concentration in the sample could be correctly evaluated using APT.

9:20am CM1-1-ThM-5 Monitoring Thin Film Battery Electrodes via in-Situ/in-Operando Ellipsometry, Máté Füredi [mate.furedi@semilab.hu], Semilab Semiconductor Physics Laboratory Co. Ltd., Hungary; Jialin Gu, Adam Lovett, University College London, UK; Bálint Fodor, András Marton, Semilab Semiconductor Physics Laboratory Co. Ltd., Hungary; Stefan Guldin, Technical University of Munich, Germany; Thomas Miller, University College London, UK

The electrochemical energy storage behavior of nano- and microscale (thin- and thick-film) electrodes displays unique characteristics that provide crucial insights into various charge storage mechanisms, essential for the optimal design of commercial battery applications. Additionally, these films are applicable for constructing microbatteries for miniature electronic devices (such as sensors). Critically, material chemistry, crystallinity, and nanostructure significantly influence active charge transfer mechanisms in these systems, generally classified as electrochemical double layer capacitive, pseudocapacitive, or battery-type behaviors. In lithium-ion batteries specifically, the charge storage mechanism involves the (de)/intercalation of lithium ions in active electrode materials, such as silicon, graphite, or transition-metal oxides.

By optically monitoring thin-film electrodes under electrochemical charge/discharge, a range of time-resolved structural data can be obtained. This work elaborates on this by integrating operando spectroscopic ellipsometric data acquisition. Ellipsometry, highly sensitive to thin films, offers an advantage by effectively excluding any electrolyte side-reactions from measurement, thus providing accurate, real-time data on the evolving structure of lithiated electrodes across charging states. Additionally, ellipsometry tracks thickness changes, enabling precise monitoring of degradation mechanisms.

This work demonstrates (on the example of transition-metal oxide thin-film electrodes) how ellipsometry can reveal intercalation processes, diffusion limitations, and pseudocapacitive contributions. This is further correlated with the complementing electrochemical data. The considerations of this work are furthermore broadly applicable to other thin-film electrode materials.

9:40am CM1-1-ThM-6 Exploring the Benefits of Automated, Redox Reactions in XPS Analysis, James Lallo [james.lallo@thermofisher.com], Thermo Fisher Scientific, UK, USA; Robin Simpson, Paul Mack, Tim Nunney, Thermo Fisher Scientific, UK

This presentation investigates the benefits of automated, in-situ redox reactions for the purpose of producing well controlled oxide growth on the surface of various sample types. The driving force behind using such a procedure is in the potential for generating a sequence of spectra from a progressively chemically-modified surface to remove ambiguities that can lead to misinterpretation, thus aiding in faster understanding of the

unmodified surface. Our study presents XPS results from coupled stepwise oxidation/reduction of surfaces, to aid in resolving such ambiguities across a wide array of materials. We use gas-phase oxidation agents to control the redox states of a specimen, leveraging the logarithmic growth of oxide thickness. This oxidation is implemented using vacuum ultraviolet light (VUV) and the generation of ozone and gas-phase hydroxide free radicals close to the surface of the specimens within the entry-lock of the Thermo Scientific Nexsa surface analysis instrument. This work focusses on the benefits of automating this process to ascertain the potential merits of including it into a standard operating procedure for XPS analysis.

Advanced Characterization, Modelling and Data Science for Coatings and Thin Films

Room Town & Country C - Session CM2-1-ThM

Advanced Mechanical Testing of Surfaces, Thin Films, Coatings and Small Volumes I

**Moderators: Matteo Ghidelli**, CNRS, France, **David Holec**, Montanuniversität Leoben, Austria

8:00am CM2-1-ThM-1 Nano-Mechanical Characterization and Modeling of Ohmura Plasticity in Metallic Materials. Takahito [ohmura.takahito@nims.go.jp], Kyushu University/NIMS, Japan INVITED Plastic deformation behavior is characterized through nano-mechanical testing in a small scale associated with microstructures including interphase and grain boundary in metallic materials. Deformation behavior was evaluated for Fe-Si bicrystal with different grain boundary plane with S9{221} and S9{114}1). The resistance to a slip transfer at the grain boundary depends on a combination of crystallographic orientation and dislocation character. Plasticity initiation behavior was characterized for ferrite-cementite interface with different coherency in a pearlitic steel2). The critical stress for the plasticity initiation is lower for a semi-coherent interface than that for an incoherent one, suggesting a potential reason for the continuous yielding phenomenon in macroscopic stress-strain curve of the steel with semi-coherent interface. Transmission Electron Microscope (TEM) in-situ straining was applied to reveal dislocation-grain boundary interactions. In the case of ultra-fine grain steel, dislocations in grain interior can sink at the grain boundary with no remarkable pile-up3). This behavior indicates a dislocation density dominancy for the extra-hardening in the UFG steel. Dislocation-Dislocation interaction was also captured through TEM in-situ straining4). The dislocation reaction forms a stable grain boundary, which could be an elementally step of grain refining during severe plastic deformation. The critical stress for a slip transfer was estimated for 23 boundary of pure Al5). The mechanism of the slip transfer can be modeled in a simple dislocation reaction generating a grain boundary dislocation. Deformation mechanisms of plasticity initiation and subsequent behavior were modeled through stochastic analysis based on a pop-in phenomenon on a loading segment obtained from nanoindentation measurement6). The critical stress for the plasticity initiation shows Gaussian like distribution function, indicating a thermally-activated process including a nucleation of shear loop dislocation at defect-free region. In the subsequent stage, the loading curve shows intermittent plasticity, and the probability function for the event magnitude shows power-law type, suggesting a catastrophic phenomenon with a fractal dimension such as dislocation avalanche.

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8:40am CM2-1-ThM-3 Accelerating Workflows for High-Throughput Nanoindentation, Eric Hintsala [eric.hintsala@bruker.com], Kevin Schmalbach, Douglas Stauffer, Bruker Nano Surfaces, USA

Heterogenous microstructures are commonly employed across a wide range of applications as a tool for materials scientist to engineer the bulk properties, which can be seen in composite materials, multi-phase alloys or even surface treatments and coatings. Sometimes, property distributions can also arise due to processing history, with laser-based techniques with

micro-scale heat affected zones being of particular interest recently.In most cases these structures are nano- to microscale in size, so to isolate mechanical properties from individual regions high-throughput nanoindentation-based techniqueshave become increasingly popular.

Two recent advances in nanoindentation mapping are highlighted here. First, the indentation depth controls the finest spacing that can be utilized without affectingthe subsequent nearby indentations and thereby defines the resolution of a nanoindentation map. Displacement control is particularly important when mapping samples with highly variable hardness. To address this, recent enhancements for the HysitronTI 990 Tribolndenter(Bruker, USA) allows for trigger points to be used to switch segments and feedback control all within one test.This enables ahigh-throughput workflow where translation between positions is followed by approach, surface detection, and a displacement-controlled indent. This mode workswith both high load and low load transducers for addressing a large range of depths and spacings.

Secondly, relating the measured mechanical properties to local structure and composition is also essential for materials development. This can be done by switching instruments from the nanoindenter to the SEM, but this is time consuming and generally necessitatesuse of fiducial markers. To facilitate this process, the Hysitron PI 89 Auto (Bruker, USA) in situ SEM indenter utilizes a rotation-tilt stage to move the sample between 3 distinct positions: Indentation position, top-down SEM and EDS position, and 70°tilted EBSD position. The accompanying software enables the same sample region to be co-located in all 3 positions easily, such that regions of interest from an EBSD or EDS map can be directly targeted for indentation testing.

9:00am CM2-1-ThM-4 Understanding the Fracture Behavior, Interface Characteristics of Micro and Nanocrystalline Diamond Laminates Through Flexural Studies, Krishna Sarath Kumar Busi [sarath.busi@tu-darmstadt.de], Technical University Darmstadt, Germany; Tim Fuggerer, University of Erlangen-Nuremberg, Germany; Sebastian Bruns, Technical University Darmstadt, Germany; Timo Fromm, Stefan M Rosiwal, University of Erlangen-Nuremberg, Germany; Karsten Durst, Technical University Darmstadt, Germany

Diamond metallic laminates (DML) have been demonstrated to exhibit an improved toughening mechanism by modifying the crack driving force with alternate hard and ductile layers [1]. These laminates were produced from free-standing diamond foils using HFCVD, exhibiting distinct crystalline morphologies microcrystalline (conventional) and nanocrystalline integrated with metallic layers deposited through PVD. A systematic investigation was set up to understand the mechanical behavior, interface characteristics of these multilayer system through macro 3PB and micro cantilever flexural studies. Nanocrystalline diamond foils exhibited better toughness, and their fracture sensitivity was analyzed by recording continuous stiffness change with respect to crack propagation for notched cantilevers using nanoindentation. Significant delamination was observed in nanocrystalline laminate (nDML) exhibiting weak interfacial strength between diamond and metal layers. Suitable analytical laminate models were effectively applied to investigate shear stress distribution, critical cracking events and the extent of delamination. Additionally, A 2D model of FEM with cohesive interactions was designed in same experimental scenarios (3-point bending, micro cantilever bending) to understand the diamond-metal interfacial properties, showed strong alignment with the analytical models and offered valuable insights to optimize the overall design of the laminate.

 $\textit{Keywords:} \ Laminates, nanoindentation, toughness, fracture, bending, FEM.$ 

#### References:

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10:20am CM2-1-ThM-8 Mechanical Properties of Thin Films Studied using 4D-STEM, Christoph Gammer [christoph.gammer@oeaw.ac.at], Erich Schmid Institute of Materials Science, Austrian Academy of Sciences, Leoben, Austria; Alice Lassnig, Montanuniversität Leoben, Leoben, Austria; Lukas Schretter, Simon Fellner, Erich Schmid Institute of Materials Science, Austrian Academy of Sciences, Leoben, Austria; Jürgen Eckert, Montanuniversität Leoben, Leoben, Austria

The mechanical behavior of thin films is highly dependent on their microstructure Micromechanical testing can be used to study small-scale

mechanical properties. Modern thin film systems are becoming increasingly complex and their overall mechanical properties are influenced by strong variations in the local elastic and plastic response. Therefore, to understand their deformation behavior the local nanoscale stress distribution during loading has to be considered. The overall load-displacement curve is not sufficient. Recently, we have demonstrated that 4D-STEM allows to perform strain mapping at the nanometer scale during continuous *in situ* deformation in the TEM. In the present talk we will present recent advances demonstrating how 4D-STEM can be used to understand the deformation mechanisms in single-crystalline, nanocrystalline and amorphous thin films.

11:00am CM2-1-ThM-10 Investigating the Interplay between Biaxial Multicracking of Nanometric Thin Films and Their Magnetic Properties: A Nuanced Separation of Magnetoelastic and Magnetostatic Effects, Hatem Ben Mahmoud, Damien Faurie [faurie@univ-paris13.fr], Laboratoire des Sciences des Procédés et des Matériaux (LSPM) – CNRS, France; Pierre-Olivier Renault, Pierre Godard, Institut Pprime - CNRS - ENSMA - Université de Poitiers, France; Dominique Thiaudière, Philippe Joly, Christian Mocuta, Soleil Synchrotron, France; Eloi Haltz, Noël Girodon-Boulandet, Fatih Zighem, Laboratoire des Sciences des Procédés et des Matériaux (LSPM) – CNRS. France

The magnetoelectronic systems of the future will be designed to adapt to complex geometries. Flexible electronics have seen rapid growth, offering promising applications in areas such as confined environments and flexible displays [1]. These systems rely on polymers, which are lighter and more cost-effective than silicon. Understanding the interplay between mechanical strain and magnetic properties is essential [2]: at low strains, magnetic anisotropy is key, while at higher strains, microscopic damage (e.g., fragmentation and decohesion) becomes critical [3].

However, the relationship between thin film fragmentation and magnetic properties [4], especially under biaxial tension, remains poorly studied. This thesis aims to fill this gap through novel experimental techniques and studies on model systems. We investigated flexible magnetic systems using in situ methods, applying significant mechanical strain (up to 10%) while simultaneously probing their magnetic properties. A magneto-optical Kerr effect (MOKE) magnetometer was developed at the DiffAbs beamline of the Soleil synchrotron. Our research focuses on the distribution of stress (before and during cracking) and its impact on the magnetic response, along with the underlying mechanisms.

Two main mechanisms are identified: first, stresses generated during mechanical loading can induce a strong magnetoelastic field that alters the magnetic response; second, magnetostatic fields between fragments separated by cracks can contribute to coercivity during magnetization cycles. However, these effects have yet to be fully quantified. To address this, we studied magnetization cycles under large deformations in Ni80Fe20 films (with negligible magnetoelastic contribution), of varying thickness, with or without W layers of different thicknesses to modify fragment size. We demonstrate that the magnetostatic contribution is closely linked to the aspect ratio (diameter/thickness) of the fragments. This study, compared with research on Co layers, clearly distinguishes between geometric (magnetostatic) effects and stress-induced (magnetoelastic) effects.

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11:20am CM2-1-ThM-11 Cross-Sectional Nanoindentation Mapping of Sputtered Inconel 725 Films, *Ikponmwosa Iyinbor [iyinbor@usc.edu]*, Mork Family Department of Chemical Engineering and Materials Science, University of Southern California., USA; *Jin Wang*, Institute of Energy Materials and Devices, Microstructure and Properties of Materials (IMD-1), Forschungszentrum Jülich GmbH., Germany; *Ruth Schwaiger*, Institute of Energy Materials and Devices, Microstructure and Properties of Materials (IMD-1), Forschungszentrum Juelich GmbH., Germany; *Andrea Hodge*, Mork Family Department of Chemical Engineering and Materials Science, University of Southern California., USA

Heterogeneous nanostructured materials (HNMs) offer significant potential for overcoming the strength-ductility trade-off observed in conventional homogeneous nanostructured materials. Recently, we have demonstrated

the influence of heterogeneous stress distribution on the development of unique nanostructured features in sputtered nanotwinned Inconel 725 thick films after undergoing heat treatment. A gradient microstructure with three distinct nanodomains featuring a nanocrystalline equiaxed region, a nanotwinned region with carbides, and a region featuring abnormal recrystallization wherein abnormally large grains, delta-phase precipitates, and rafted structures were observed. This unique combination of nanodomains is expected to contribute distinct responses to mechanical deformation behavior.

In this work, two different HNMs of 8  $\mu$ m and 20  $\mu$ m film thicknesses were synthesized and heat treated. A nanoindentation mapping technique using a Femto-Tool NMT04 in-situ SEM nanoindenter was performed in order to generate high-spatial resolution hardness and elastic modulus property maps. The nanoindentation maps show a good correlation to the observed heterogeneous microstructure, revealing trends that provide an understanding of the local deformation behavior of these HNMs. Understanding the contribution of each nanodomain to the overall deformation behavior of the films enables the optimization of design and fabrication strategies to provide a superior combination of properties.

11:40am CM2-1-ThM-12 Fracture Behaviour of Crystalline Metal/Amorphous Oxide Nanolaminates, Thomas Edwards [thomas.edwards@nims.go.jp], NIMS (National Institute for Materials Science), Japan; Hendrik Jansen, Empa, Swiss Federal Laboratories for Materials Science and Technology, Thun, Switzerland; Seiichiro II, NIMS (National Institute for Materials Science), Japan; Barbara Putz, Johann Michler, Empa, Swiss Federal Laboratories for Materials Science and Technology, Thun, Switzerland

The extent of the embrittlement in ductile-brittle multilayers often depends on the modulation period (t<sub>brittle</sub> + t<sub>ductile</sub>) as well as on the modulation ratio (tbrittle/tductile) [1]. Ductile-brittle thin film multilayers of crystalline Al and amorphous AlOx are studied by our group, produced by atomic layer (AlOx) and physical vapour deposition (AI) uniquely-combined within a single deposition system. Such multilayer films are thermally stable up to 0.85 T/T<sub>m</sub>. Using this ALD/PVD combination, neighbouring layer thicknesses can easily differ by one order of magnitude or more, and both the amorphous oxide layer thickness and that of the metal have been optimised previously for strength (over 1 GPa) and ductility. Here, the effect of this divergence between layers in chemistry, crystallinity and length scale on the fracture behaviour of these thin films was studied by notched microcantilever fracture testing, including at high temperature, and in situ TEM tensile loading of notched testpieces to evaluate the role of the amorphouscrystalline interfaces on crack propagation at the nanoscale. The outcomes are compared to those of alternative materials and using other measurement methods.

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Advanced Characterization, Modelling and Data Science for Coatings and Thin Films

Room Town & Country D - Session CM3-1-ThM

Accelerated Thin Film Development: High-throughput Synthesis, Automated Characterization and Data Analysis I Moderators: Davi Marcelo Febba, NREL, USA, Sebastian Siol, Empa, Switzerland

8:00am CM3-1-ThM-1 Combinatorial Screening of Quaternary Piezoelectric Nitrides, Enabled by HiPIMS, Nathan Rodkey [nathan.rodkey@empa.ch], Jyotish Patidar, Federica Messi, Sebastian Siol, EMPA (Swiss Federal Laboratories for Materials Science and Technology), Switzerland

Increasing demand for data and the surge of AI technologies is escalating the needs of telecommunication devices. RF filters are a limiting factor in this regard, where improvements in bandwidth and selectivity are needed. Advanced RF filters rely on piezo thin films like AIN, valued for its linear response and strong electromechanical coupling, with Sc doping enhancing its  $d_{33}$  and overall performance. While AIScN is considered state-of-the-art, many proposed dopants (e.g. B, Y, La) could further improve its properties. High-throughput experiments are instrumental in exploring quaternary or multinary materials, but few studies examine combinatorial screening of piezoelectric materials. This is because piezoelectric materials are difficult

to screen effectively, as their device properties strongly depend on the caxis texture of the film. During combinatorial gradient deposition the shallow deposition angles and static substrate can cause significant grain tilt towards the dominant source, convoluting composition and texture gradients. In high-power impulse magnetron sputtering (HiPIMS), additional energy can be introduced to arriving species by synchronizing a substrate bias to arriving metal ions. This improves the adatom mobility of species, removing grain tilt, and resulting in highly textured films without substrate rotation. In this work, we use AlScYN as an example material to demonstrate how HiPIMS enables effective device screening of piezoelectric properties.

Before the use of HiPIMS, DCMS screening is used to assess solubility limits in the quaternary phase space. This is typically done using X-ray diffraction mapping while applying the disappearing phase method. However, in combinatorial screening of materials this method loses effectiveness, as precipitates can be tilted out of the diffraction plane. Consequently, we use the peak shift of the (0001) plane to track discontinuities from Vegard's law and identify precipitation. Despite the larger ionic radius of Y, the combined solubility of Y and Sc increases, reaching a maximum of ~50%. For context, the solubility limit of AlscN is ~40%. Materials libraries were then made using metal-ion synchronized HiPIMS. The libraries are highly textured, with rocking curve FWHMs of <2°. Following this, the coupling (k) and clamped d<sub>33</sub> coefficients of these libraries were mapped, showing their dependence on the combined Sc and Y contents. Importantly, d<sub>33</sub> coefficients were mapped using a double beam laser interferometer (DBLI) for improved accuracy.

8:20am CM3-1-ThM-2 High-Throughput Experiments Informed by High-Throughput Theory Reveal Zintl Phosphides as a New Family of High-Performance Semiconductors, Sage Bauers [sage.bauers@nrel.gov], 15013 Denver West parkway, USA INVITED

The discovery of a new structural class of semiconductor is a rare occurrence. For example, in the case of solar absorption, nearly all relevant semiconductors can broadly be described as materials derived from the tetrahedrally-coordinated diamond structure (e.g., Si, III-Vs, II-VIs, chalcopyrites, kesterites). This is part of the reason that new highperforming materials, such as perovskites, which are made up of octahedral bonding motifs, garner so much interest and help generate new materials design concepts. Using high-throughput computational workflows, we recently discovered that several  $AM_2P_2$  (A = Ca, Ba, Sr and M = Cd, Zn) compounds possess the requisite intrinsic materials properties for high optoelectronic performance, including solar-spectrum matched band gaps, strong optical absorption, and benign intrinsic defects, leading to long photoexcited carrier lifetimes. This family of compounds, which exhibits a mixed octahedral + tetrahedral bonding motif, has been known for several decades but the optoelectronic properties had been almost entirely unexplored.

Using a combinatorial synthesis approach based on a hybrid PVD/CVD method, we recently prepared the first thin films of Zintl phosphides CaZn<sub>2</sub>P<sub>2</sub> and SrZn<sub>2</sub>P<sub>2</sub>. By combinatorial sputtering from metallic targets in the presence of PH<sub>3</sub> at low temperature, we prepare films across the ternary composition space. Growths at higher temperatures result in much narrower compositional spreads pinned around the AM2P2 composition, indicating an adsorption-controlled growth regime can be realized. Mapping measurements including x-ray fluorescence, x-ray diffraction, UVvis spectroscopy, photoluminescence, and Raman spectroscopy are used to probe the properties of the zintl phosphide films. To establish the photoactivity and semiconducting nature of the AM2P2materials, minority carrier lifetimes and electronic properties were measured via time resolved microwave conductivity, transient absorption, and van der Pauw/Hall effect. To summarize the characterization of CaZn<sub>2</sub>P<sub>2</sub> as an example, we observe high optical absorption of ~104 cm<sup>-1</sup> at the ~1.95 eV direct transition, near band edge optical emission, and a photoexcited carrier lifetime of up to 30 ns at a fluence of 2 1013 cm-2. Films are intrinsic, but p-dopable with +1 elements such as Na (A site dopant) or Cu (M site dopant). Such performance metrics are usually not observed in inorganic solar absorber materials until well into their development, highlighting the value of coupling high-throughput theory, high-throughput experiments, and targeted experiments toward new functional materials.

9:00am CM3-1-ThM-4 High-Throughput Nanoindentation Methodology for Combinatorial Thin Film Material Libraries, Andre Bohn [bohndama@usc.edu], University Of Southern California, USA; Adie Alwen, Andrea Maria Hodge, University of Southern California, USA

Combinatorial and high-throughput (CHT) methods offer an accelerated pathway for the discovery and development of novel materials with wide ranging biological, electronic, and structural applications. One common approach to accelerate synthesis is the deposition of large compositionally graded thin film arrays with hundreds of distinct samples, often referred to as thin film material libraries. High-throughput characterization techniques are then employed to quickly assess processing-structure-property relationships, which generates large datasets for machine learning models and screens for promising next-generation materials. For assessing mechanical behavior in these libraries, nanoindentation is particularly suitable due to the ease of automation, minimal sample preparation requirements, and compatibility with thin films. However, despite the widespread use of this technique in CHT research, many inconsistencies between reported methodologies in literature can be identified. This work presents a CuNi alloy library to identify how to improve data reliability while minimizing experimental times and costs. Emphasis is given to optimizing the number of indents per sample and the distribution of samples tested. By improving method standardization, both efficiency and reproducibility of combinatorial studies can be enhanced, thus expanding the value of material libraries to the scientific community.

9:40am CM3-1-ThM-6 Streamlining Inorganic Thin-Film Data Management with the High-Throughput Experimental Materials Database (HTEM), Davi Febba [dfebba@nrel.gov], Nicholas Wunder, Hilary Egan, Max Gallant, Andriy Zakutayev, National Renewable Energy Laboratory, USA

Artificial intelligence (AI) is ushering in a new era of progress in materials science, where self-driving laboratories and autonomous instruments are performing experimental research that was once the exclusive domain of humans. Central to this paradigm shift is effective data management, as Aldriven laboratories make decisions based on the data they collect. Ensuring that materials science data is Findable, Accessible, Interoperable, and Reusable (FAIR) is crucial for accelerating materials discovery, as it facilitates seamless integration of diverse datasets and enhances collaboration across research teams.

In this presentation, we will discuss NREL's Research Data Infrastructure (RDI) [1], which catalogs experimental data from inorganic thin-film experiments at NREL and underpins the High-Throughput Experimental Materials Database (HTEM-DB) (https://htem.nrel.gov/) [2]. The HTEM-DB stores comprehensive information about synthesis conditions, chemical composition, crystal structure, and optoelectronic properties of materials, making the data readily accessible and reusable for the research community.

Will also present recent advancements in the HTEM's extract-transform-load (ETL) pipeline. These advancements not only allow for large-scale Al analysis of X-ray diffraction (XRD) patterns [3] but also enable the containerization of applications and instruments, making the database more modular and maintainable. Enabled by the recently developed Hybrid Environment Resources and Operations (HERO), these improvements help to lower the barriers to accessing NREL's computational resources, data analysis, and visualization capabilities. By facilitating both Al integration and modular design, HERO empowers scientists to share their research and collaborate with external partners through interactive applications.

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10:20am CM3-1-ThM-8 A Python-Based Approach to Sputter Deposition Simulations in Combinatorial Materials Science, Felix Thelen [felix.thelen@ruhr-uni-bochum.de], Rico Zehl, Jan Lukas Bürgel, Ruhr University Bochum, Germany; Diederik Depla, Ghent University, Belgium; Alfred Ludwig, Ruhr University Bochum, Germany

In combinatorial materials science, magnetron sputtering plays a key role for the exploration of future high-performance materials due to its capability to produce well-defined, continuous compositional gradients in form of thin-film libraries. Its scalability from laboratory settings to industrial applications, relatively high deposition rates, and compatibility with a wide range of materials make it an effective choice for combinatorial synthesis [1]. However, achieving precise control over the deposition profile and compositional distribution often requires multiple preliminary

experiments to optimize process parameters - an approach that can be time- and resource-intensive.

Aiming to predict those properties, several analytical and numerical simulations were reported in literature over the past decade [2-4]. However, only the magnetron sputter deposition model SIMTRA [4] was made publicly available. Based on the Monte Carlo approach, it allows to simulate the deposition profile of a single magnetron source, while taking into account the dimensions of the components through a graphical user interface.

In order to make this tool more suitable for the application in combinatorial materials science, the command line version of the SIMTRA application was wrapped in a Python environment, enabling the definition of sputter chambers through code and executing the time-consuming Monte Carlo calculations through user defined scripts. This approach also enables parallel simulation of multiple magnetrons by using multi-threading, decreasing simulation times significantly, especially when simulating cosputtering systems with 5-8 cathodes. The accuracy of SIMTRA and the capabilities of the Python wrapper are demonstrated by comparing the compositions predicted by simulation and measured by energy-dispersive X-ray spectroscopy of seven materials libraries in the system Ni-Pd-Pt-Ru.

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10:40am CM3-1-ThM-9 Discovery and Development of Transition Metal Nitride Semiconductors for Photoelectrochemical Energy Conversion, Ian Sharp [sharp@wsi.tum.de], Walter Schottky Institut, Technische Universität München, Germany INVITED

Transition metal nitride semiconductors are rapidly emerging as a promising class of materials for advanced optoelectronic and energy conversion applications. Compared to oxides, nitrides offer narrower bandgaps, stronger bond covalency, and improved carrier transport properties that make them well suited for harvesting sunlight in photovoltaic and photoelectrochemical systems. Despite this considerable promise, far fewer nitrides than oxides have been experimentally investigated due to their synthetic complexity and a broad range of new compounds remain to be explored. Furthermore, synthesis challenges have led to poorly controlled defect and impurity properties within this class of materials. In this work, we overcome these limitations using reactive cosputtering to synthesize thin film nitride semiconductors with controlled compositions, exploring both dopants and new compounds in the Ti-Ta-N, Zr-Ta-N, and Hf-Ta-N composition spaces. Starting with orthorhombic Ta<sub>3</sub>N<sub>5</sub>, which stands as the best performing photoanode material within this class, we investigate the critical roles of native and impurity defects on carrier transport and recombination, showing that substitutional Ti and Zr doping with rationally optimized concentrations can be used to improve photoconversion efficiencies. While high Ti contents in Ta₃N₅ lead to the precipitation of a secondary TiN phase, different behavior is observed for the case of Hf and Zr. In particular, solid solutions with broadly tunable compositions across the Hf-Ta-N-(O) and Zr-Ta-N-(O) composition spaces are investigated, leading to bandgap-tunable compounds that exhibit remarkably large refractive indices suitable for photonics applications. Moreover, deposition of a stoichiometric 1:1 Zr:Ta ratio leads to formation of a new ternary nitride compound, bixbyite-type ZrTaN<sub>3</sub>, that it is a strong visible light absorber, functioning as an active photoanode material. Complementary DFT calculations indicate a direct bandgap that is tunable based on cation site occupancy. Thus, this material offers exciting prospects not only for solar energy conversion but also for optoelectronics applications. Overall, these results highlight the promise of both established and new transition metal nitride semiconductors for solar energy harvesting, as well as the importance of precise composition engineering to tune optoelectronic and charge transport characteristics. Considering the compositional complexities of these compounds,

exploration and optimization can be dramatically accelerated through use of gradient sputtering and rapid characterization approaches.

11:20am CM3-1-ThM-11 XRD and STEM Analysis of Structural Variation in Nanocrystalline Cu-Ag Thin Films, Kyle Dorman [krdorma@sandia.gov], Sadhvikas Addamane, Sandia National Labs, USA; Mark Rodriguez, Sandia National Labs, USA; Paul Kotula, Alejandro Hinojos, Luis Jauregui, Suzanne Vitale, Catherine Sobzcak, Sandia National Labs, USA; Finley Haines, Sandia National Lab, USA; David Adams, Sandia National Labs, USA

Nanocrystalline thin films are a topic of interest in applications such as sliding metal contacts for their potential to enhance mechanical performance beyond that of their bulk polycrystalline counterparts. During analysis of the results of wide-ranging combinatorial Cu-Ag survey, STEM imaging and XRD diffractogram analysis reveal intragranular compositional modulation at intermediate compositions and grain boundary segregation of solute species at extremal compositions. These microstructural variations are influenced by processing parameters during film growth and provide further avenues for improvement in Cu-Ag performance. Furthermore, while EDS composition maps provide stark, visually clear evidence, it is shown that the high-throughput and automation-viable XRD measurements capably reveal the structure across the full dataset without the practical limitations of STEM in such a large-scale survey. XRD is particularly able to noninvasively identify preferential collection of solute at grain boundaries from below 15 at.% Cu and above 75 at.% Cu. A complimentary annealing study employing STEM demonstrates that the grain boundary segregation in nanocrystalline Cu/Ag is thermally stable up to at least 100°C, before undergoing secondary phase formation by 300°C.

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## Protective and High-temperature Coatings Room Palm 5-6 - Session MA5-2-ThM

#### **Boron-containing Coatings II**

**Moderators: Anna Hirle**, TU Wien, Austria, **Martin Dahlqvist**, Linköping University, Sweden

8:40am MA5-2-ThM-3 Influence of Boriding Treatment on the Tribological Performance of Tool Teel Repaired by Wire and Arc Additive Manufacturing, Cesar Resendiz [resendiz.cesar@tec.mx], Tecnologico de Monterrey, Mexico

Interest in reconditioning metallic components has grown as a means to reduce industrial waste. Electric arc-based repair methods, including additive manufacturing techniques like Wire and Arc Additive Manufacturing (WAAM), impact microstructure and mechanical properties due to high heat input. Moreover, data on the reliability of components repaired through these methods under demanding tribological conditions remain limited. This study presents a tribological characterization of borided WAAM-repaired tool steel. To simulate tool damage, a groove measuring 6.35 mm in width and 3 mm in depth was created in AISI D2 steel samples using a spherical milling cutter. Material deposition was then manually performed by a certified technician using Gas Tungsten Arc Welding (GTAW) with ER308L wire, chosen for its availability and costeffectiveness. Samples were subjected to three different conditioning treatments: (a) quenching and tempering followed by welding restoration (QTR treatment), (b) welding restoration followed by quenching and tempering (RQT treatment), and (c) welding restoration followed by boriding treatment (RB treatment). The physico-chemical characteristics of all samples were analyzed through optical microscopy and Raman spectroscopy. Mechanical surface properties were evaluated using instrumented indentation tests, while the adhesion of the boride coating on BT samples was assessed with VDI testing. Wear resistance and coefficient of friction (CoF) in both repaired and unrepaired regions of all sample types were measured using a micro-abrasion machine rig equipped with a load sensor. Wear scars were analyzed through scanning electron microscopy to identify dominant wear mechanisms. The results revealed that wear resistance in the repaired regions of QTR and RQT samples was significantly lower than that in their corresponding unrepaired regions. However, RB-treated samples exhibited consistent mechanical properties and tribological behavior, with improved wear resistance in both the repaired and unrepaired regions compared to QTR and RQT samples.

9:00am MA5-2-ThM-4 Micromechanical Properties of Ti<sub>1-X</sub>Mo<sub>X</sub>B<sub>2±Z</sub> by DCMS Deposited and HIPIMS. [anna.hirle@tuwien.ac.at], Christian Doppler Laboratory for Surface Engineering of High-performance Components, TU Wien, Austria; Philipp Dörflinger, Rainer Hahn, Christian Doppler Laboratory for Surface Engineering of High-performance Components, TU Wien, Austria; Christian Gutschka, Tomasz Wojcik, Christian Doppler Laboratory for Surface Engineering of High-performance Components, TU Wien,, Austria; Maximilian Podsednik, Institute of Chemical Technologies and Analytics, TU Wien, Austria; Szilard Kolozsvári, Peter Polcik, Plansee Composite Materials GmbH, Germany; Carmen Jerg, Oerlikon Surface Solutions AG, Liechtenstein; Helmut Riedl, Christian Doppler Laboratory for Surface Engineering of High-performance Components, Austria

A promising strategy for enhancing the limited fracture characteristics of sputtered transition metal diboride (TMB<sub>2</sub>) thin films, including hardness and fracture toughness, is the formation of ternary diborides. Theoretical predictions based on density functional theory (DFT) indicate that Mo alloying in  $\text{TiB}_{2^{+2}}$  may prove beneficial in reducing the inherent brittleness of such diboride coatings. The present study aims to provide experimental investigations of ternary  $\text{Ti}_{1:x}\text{Mo}_x\text{B}_{2*z}$  coatings prepared by direct current magnetron sputtering (DCMS) and high-power impulse magnetron sputtering (HiPIMS) to validate the predictions and to investigate the influence of different deposition techniques.

A series of coatings was deposited using target compositions of TiB $_2$ /C 99/1 wt. %, TiB $_2$ /MoB 95/5 mol %, and TiB $_2$ /MoB 90/10 mol %, resulting in coating compositions ranging from 0 at. % Mo to 4.7 at. % Mo. A variety of analytical techniques, including transmission electron microscopy (TEM), scanning electron microscopy (SEM), and X-ray diffraction analysis (XRD), were employed to characterize the microstructural properties. The chemical composition was determined by inductively coupled plasma optical emission spectroscopy (ICP-OES). To investigate the micromechanical properties of the ternary Ti $_{1-x}$ Mo $_x$ B $_2$ \* $_z$ 2 coatings, including hardness, fracture toughness, and fracture strength, nanoindentation, insitu cantilever bending tests, and micropillar compression tests were employed.

The present study demonstrates that HiPIMS processes result in a considerable enhancement of hardness, fracture toughness, and fracture strength compared to DCMS. Specifically, the hardness of the HiPIMS coatings was enhanced from 38.8  $\pm$  1.7 GPa to 43.7  $\pm$  1.2 GPa, while the fracture toughness increased by 0.4 MPa $\sqrt{m}$  and the  $R_{p0.2}$  value rose by approximately 2 GPa. In comparison, the DCMS coatings exhibited a consistent decline in mechanical properties with increasing Mo content. Our findings highlight the significance of the energetics of growth conditions for novel ternary diboride systems.

9:20am MA5-2-ThM-5 Tuning Properties of Diborides by Transition Metal Alloying Deposited by Combination of Magnetron Sputtering and Cathodic ARC Evaporation, Daniel Karpinski, Keith Thomas [k.thomas@platit.com], Pavla Karvankova, PLATIT AG, Switzerland; Hannes Joost, Heiko Frank, GFE-Schmalkalden e.V., Germany; Pavel Soucek, Petr Vasina, Institute of Physics and Plasma Technology, Masaryk University, Czechia; Fedor Klimashin, Johann Michler, Empa, Swiss Federal Laboratories for Materials Science and Technology, Switzerland; Jan Kluson, PLATIT a.s., Czechia; Christian Krieg, Andreas Lümkemann, Hamid Bolvardi, PLATIT AG, Switzerland

Titanium diboride is currently themost widespreadmetal boride (MeBx) coating used in industry due to itsoutstanding properties such as high hardness >40 GPa, high melting point >3000 °C, and low propensity for sticking to soft metals. The main drawbacks of diborides are their generally low oxidation resistance (<800°C for TiB2) and brittleness. This study investigates the effect of alloying MeBxwithtransition metalson the structure, mechanical and tribological properties, and oxidation resistance of the coating. The coating deposition was performed in a Platit Pi411 machinewith LACS® technology which includes simultaneous magnetron sputtering from a central cylindrical cathode (SCiL®) and a cathodic arc evaporation from cylindrical cathode located in the chamber door (LARC®). Here, the MeBx target was sputtered, and cathodic arc evaporation of Ti or Cr target was used for alloying thecoating. XRD, HRTEM structure study, nanoindentation and isothermal annealing in air at Ta=600-900°C revealed that by alloying of MeBxwe can form the nanolaminate microstructure, tune the hardness and modulus, and enhance oxidation resistance of the coating, respectively.

10:20am MA5-2-ThM-8 Effect of Duty Cycle on the Microstructure and Mechanical Properties of Titanium Diboride Thin Films Deposited by High-Power Pulsed Magnetron Sputtering, Jian-Fu Tang, National Kaohsiung University of Science and Technology, Taiwan Jian-Fu Tang, Taiwan; Min-Yi Lin [M12188007@0365.mcut.edu.tw], Department of Materials Engineering, Ming Chi University of Technology, Taiwan, ROC; Fu-Sen Yang, Department of Mechanical Engineering, National Taiwan University of Science and Technology, Taiwan, ROC; Chi-Lung Chang, Department of Materials Engineering, Ming Chi University of Technology, Taiwan, ROC

With the rapid advancement of modern technology, the growing development of 5G and artificial intelligence (AI) has led to a substantial increase in demand for printed circuit boards (PCB). Enhancing the performance of cutting tools used in PCB drilling has become essential to meet supply and application needs, especially in the application of nonferrous metal materials. Surface treatments aimed at improving tool wear resistance, high-temperature durability, anti-adhesion properties, hardness, and overall lifespan are common strategies in the industry. Titanium diboride (TiB<sub>2</sub>) and titanium diboride-based nitride films, known for their high hardness, excellent wear resistance, high-temperature stability, and thermal conductivity, are ideal coating materials for cutting tools.

This study used high-power impulse magnetron sputtering (HiPIMS) to investigate the microstructure and mechanical properties of  ${\rm TiB_2}$  films deposited under various duty cycles. Five samples were prepared under identical target power output (3.5 kW) and frequency (200 Hz), using different duty cycle settings: 3%, 5%, 10%, 25%, and DC.The analysis results indicate that the peak power density increases with the duty cycle decrease. Energy dispersive spectroscopy (EDS) analysis also confirmed that the film composition was consistent with the alloy target proportions. Nanoindenter analysis shows that as the duty cycle decreases, the hardness increases significantly, from 28.3GPa to 43.2GPa, and the residual stress increases, from -0.21GPa to -6.18 GPa. This can be attributed to the higher peak power density effect. In addition, all samples showed good adhesion (HF1~HF2), excellent wear resistance (< 8.4×10-7 mm³N-1m-1), and lower friction coefficient (0.39 to 0.55), indicating that TiB2 films have potential in PCB drilling application.

Keywords: TiB<sub>2</sub>, duty cycle, high power impulse magnetron sputtering, hardness, residual stress

10:40am MA5-2-ThM-9 TiB<sub>2</sub>/Hf Superlattices: Exploring Mechanical Strength, Fracture Toughness, and Stress-Strain Behavior, Naureen Ghafoor [naureen.ghafoor@liu.se], Firat Angay, Marcus Lorentzon, Linköping University, IFM, Sweden; Rainer Hahn, TU Wien, Austria, Sweden; Michael Meindlhumer, University of Leoben, Austria; Lars Hultman, Jens Birch, Linköping University, IFM, Sweden

We present experimental investigations on iso-structural TiB<sub>2</sub>/Hf superlattices, exploring the impact of layer thickness on hardness, toughness, and fracture resistance. Ab initio calculations, which guided material selection, reveal a basal-plane lattice and shear modulus mismatch of 0.16 Å (5.4%) and 200 GPa between TiB<sub>2</sub> and Hf, hindering dislocation glide at interfaces. Superlattices were deposited with periods ranging from 2 to 10 nm and were characterized by XTEM, XRD, and ERDA for structural and compositional details. High hardness (40 GPa) and structural integrity were achieved at lower Hf thicknesses and higher growth temperatures, with boron diffusion from TiB2 into Hf forming single-crystal TiB2 and understoichiometric HfB2. No strain buildup or epitaxial breakdown was observed in films with up to 375 periods, attributed to self-diffusion. Pillar compression tests were performed to measure stress-strain curves and determine fracture values of the superlattices. A strong correlation between the metallic Hf layer and ceramic TiB<sub>2</sub> was observed, with fracture stress varying across samples—some exhibited values up to 22 GPa, while others showed localized plasticity. Fracture stress showed minimal dependence on the superlattice period, suggesting that morphology did not significantly influence mechanical behavior, as all coatings exhibited similar column diameters. Plasticity was attributed to localized slip, which was visible in SEM and analyzed in TEM. Boron and oxygen diffusion in Hf layers impedes flow, increasing strength but reducing elongation, consistent with the Hall-Petch effect. The high strength of Hf restricts dislocation motion, particularly in thin superlattices, while TiB2's single-crystal structure eliminates easy fracture paths, enhancing toughness. Assuming similar trends to nitrides, TiB<sub>2</sub>/Hf superlattices are expected to exhibit significantly higher fracture stresses. Based on the Young's moduli of TiB<sub>2</sub> (~400 GPa) and Hf (~80 GPa), a maximum outer fiber strain of 4% in bending would result in ~16 GPa in TiB2 and ~3.2 GPa in Hf. The Hall-Petch effect further strengthens the material, with flow stress estimates between 4.7-6.7 GPa in tension, while bending primarily affects the outer layers. The difference in Young's moduli between  ${\rm TiB_2}$  and Hf contributes to high fracture toughness, highlighting  ${\rm TiB_2/Hf}$  superlattices as promising materials for applications requiring high hardness, toughness, and fracture resistance.

11:00am MA5-2-ThM-10 Production of Thin Films of Cubic Boron Nitride with Almost No Residual Stresses by Pulsed Laser Deposition and Laser Stress Relaxation, Falko Jahn [jahn@hs-mittweida.de], Mittweida University of Applied Sciences, Germany; Thomas Lampke, University of Technology Chemnitz, Germany; Steffen Weissmantel, Mittweida University of Applied Sciences, Germany

For decades boron nitride has been researched as a coating material due to its outstanding mechanical, thermal and chemical properties. Especially the cubic phase (c-BN) as second hardest material known so far with high thermal and chemical resistance has driven the desire to make this material usable for industrial applications. Pulsed Laser Deposition has been one of the few deposition techniques with deposition rates of several tens nm per minute, high enough for industrial needs [1]. However, like other deposition techniques, PLD produced c-BN-coatings show very high compressive intrinsic stresses which limits the film thickness to a few hundred nm.

We present a method to produce thin films of cubic boron nitride which contain almost no residual stresses. These thin films were deposited using Ion Beam Assisted Pulsed Laser Deposition as sublayers of 100 nm film thickness on silicon substrates. Applying a modified laser stress relaxation technique [2], we were able to reduce the intrinsic compressive stresses in these sublayers from 10 GPa to less than 1 GPa.

Alternating deposition and stress relaxation of such sublayers successively enables film thicknesses relevant for industrial applications, such as wear resistant coatings. One possibility is to stack relaxed pure phase c-BN-sublayers in order to obtain applicable cubic boron nitride coatings. Another possibility is the combination of c-BN-sublayers with hard h-BN-sublayers to form multilayer system with increased mechanical properties. These h-BN-sublayers produced by PLD show indentation hardnesses in the range of 15 – 25 GPa, which allows the whole multilayer system to be superhard with indentation hardness above 40 GPa.

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11:20am MA5-2-ThM-11 Influence of Deposition Parameters on the Microstructure, Mechanical and Anti-Corrosion Characteristics of (Hfvtizrw)B2 High Entropy Alloy Boride Thin Films, Jun-Xing Wang [wangxing1470@gmail.com], Ming Chi University of Technology, Taiwan; Bih-Show Lou, Chang Gung University, Taoyuan, Taiwan; Riedl-Tragenreif Helmut, Technische Universität Wien, Austria; Jyh-Wei Lee, Ming Chi University of Technology, Taiwan

In recent years, the exceptional mechanical and physical properties of highentropy alloy (HEA) boride thin films have garnered significant attention, sparking interest among the global industrial community, academia, and researchers. This study selected (HfVTiZrW)B<sub>2</sub> HEA boride as the target material to sputter (HfVTiZrW)B<sub>2</sub> HEA boride films under different substrate temperatures by high power impulse magnetron sputtering.

The results indicated that as the deposition temperature increases from 200°C to 500°C, the HEA boride films consistently exhibited a hexagonal close-packed (HCP) structure. Composition analysis and bonding energy assessments revealed that all films were metallic diborides. The highest hardness of 38.0 GPa was obtained for the film deposited at 500°C. The wear coefficient of friction was from 0.33 to 0.57. An excellent wear rate of  $2.98\times10^{-6}~\text{mm/N·m}$  was achieved.

Observations from high-resolution transmission electron microscopy revealed that the grain size of the films increased from 11.39  $\pm$  0.84 nm to 27.25  $\pm$  3.59nm as deposition temperature increased from 200 to 500°C. The corrosion resistance of the HEA boride films in the 0.5 M H<sub>2</sub>SO<sub>4</sub> aqueous solution was 38.89 times greater than that of 304 stainless steel. Furthermore, good oxidation resistance of HEA films in dry air atmospherebelow 600°C was observed. In summary, this study demonstratedthe (HfVTiZrW)B<sub>2</sub> HEA boride films exhibitedpromising applications as protective coatings for cutting tools and forming dies.

11:40am MA5-2-ThM-12 Comparative Analysis of Oxidation Behavior and Mechanical Properties of Hf<sub>0.24</sub>Al<sub>0.06</sub>B<sub>0.70</sub> vs. Hf<sub>0.35</sub>B<sub>0.65</sub> Thin Films, Eva B. Mayer [mayer@mch.rwth-aachen.de], Janani D. Ramesh, RWTH Aachen University, Germany; Zsolt Czigány, Centre for Energy Research, Hungary; Marcus Hans, RWTH Aachen University, Germany; Daniel Primetzhofer, Uppsala University, Sweden; Lukas Löfler, Jochen M. Schneider, RWTH Aachen University, Germany

To improve the oxidation behavior of HfB $_2$  films for applications at high temperatures the addition of Al is a promising approach, however, at the expense of the mechanical properties, as the addition of 20 at.% Al causes a reduction in E-modulus by ~27 %. Therefore, the oxidation behavior and mechanical properties of magnetron sputtered Hf $_{0.35}$ B $_{0.65}$  andHf $_{0.24}$ Al $_{0.06}$ B $_{0.70}$  thin films are compared. ERDA, XRD and STEM data are employed to identify changes in composition, phase and oxide thickness, respectively, before and after isothermal oxidation in ambient air at 700°C for 1, 4 and 8 h each.

The addition of 6 at % of Al leads to the formation of an amorphous passivating oxide scale, exhibiting only 9.7 % of the scale thickness obtained on Hf $_{0.35}B_{0.65}$  after 8 h of oxidation. Nanoindentation measurements indicate that, the hardness and elastic modulus of Hf $_{0.24}Al_{0.06}B_{0.70}$  (35.6 ± 1.5 GPa and 501.4 ± 13.4 GPa) do not significantly differ from Hf $_{0.35}B_{0.65}$  (34.8 ± 1.0 GPa and 494.4 ± 13.8 GPa).

Hence, the incorporation of 6 at. % Al into HfB $_2$  improves the oxidation behavior by an order of magnitude, while the reduction in stiffness remains within the error range of the measurement.

## Functional Thin Films and Surfaces Room Palm 3-4 - Session MB3-ThM

#### **Low-dimensional Materials and Structures**

Moderators: Ufuk Kilic, University of Nebraska - Lincoln, USA, Vladimir Popok, FOM Technologies, Denmark

8:00am MB3-ThM-1 A Novel Platform for Topologically Protected Quantum Computation: Massively Parallel Self-Assembled Pentasilicene Nanoribbons, Guy Le Lay [guy.lelay@univ-amu.fr], Aix-Marseille University, France

The novel platform, which we prose for the emergence of Majorana Zero Modes (MZMs), i.e., non-abelian anyons, which could be possibly braided for realizing topologically protected quantum computation, is based on massively parallel, high aspect ratio, spontaneously self-organized epitaxial nanoribbons (NRs) proximitized by a standard s-wave superconductor [1,2]. These highly perfect NRs are atom-thin pentasilicene nanoribbons (SiNRs) [3,4]. They could host distant MZMs at their extremities allowing for the creation of highly stable qubits preserved against external disturbances and environmental noise, thence, protected from decoherence. Clearly, the self-assembly of these defect-free SiNRs could be a distinct advantage over presently engineered or atom-by-atom constructed nanowires.

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- [2] M. Minissale, P. Bondavalli, M. S. Figueira and G. Le Lay, Journal of Physics: Materials, 7, 031001 (2024)
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- [4] R. C. Bento Ribeiro et al., Phys. Rev. B105, 205115 (2022)

8:20am MB3-ThM-2 Multilayers of Two-Dimensional (2d) Ti2B2CIX, Obtained from Selective Etching of 3DTi2InB2, Rodrigo Ronchi [rodrigo.ronchi@liu.se], Johanna Rosen, Linköping University, IFM, Sweden Authors: Rodrigo M. Ronchi <sup>1</sup>, Emile Defoy <sup>3</sup>, Andrejs Petruhins <sup>1</sup>, Justinas Palisaitis <sup>2</sup>, David Portehault <sup>3</sup>, Jonas Björk <sup>1</sup>, P.O .A Persson <sup>2</sup>, Johanna Rosen <sup>1</sup>

With the rapid expansion of two-dimensional (2D) MXenes  $^1$  efforts have been made to find other families of nanolaminated materials in both 3D and 2D. One such group is boron-containing compounds, known as MAB phases, where metal (M) and boron (B) layers are separated by layers of A-elements (A=AI, In, etc.). Due to their similarities with MAX phases, experimental attempts to etch these 3D materials into its 2D counterpart have primarily involved selective etching of the A-layers using hydrochloric  $^{2-5}$  and hydrofluoric acids  $^{6,7}$  and, more recently, Lewis acids/molten salts  $^{7-}$ 

Despite, the 2D Mo $_{4/3}B_{2\times}$  boridene  $^6$ , the subsequent experimental research has demonstrated that 2D metal borides are significantly more challenging to obtain than MXenes (metal carbides/nitrides)  $^{11}$ .For instance, MoAlB phase was only partially etched to Mo $_2$ AlB $_2^{2,4,8}$ . Molten salt etching of Hf $_2$ InB $_2$  has resulted in complete oxidation to HfBO  $^7$ , rather than forming a halogenated MBene. Additionally, unsuccessful acid etching trials have been reported for Fe $_2$ AlB $_2$   $^6$ , Mo $_3$ SiB $_2$   $^6$ , Ti $_2$ InB $_2$   $^{12}$  and Hf $_2$ InB $_2$   $^7$ . Further, while In atoms from Ti $_2$ InB $_2$  have been removed through a dealloying reaction  $^{12}$ , TEM images and the *Cmcm* space group found suggests that the resulting TiB is a 3D material, instead of a 2D counterpart.

Here, we present the synthesis of 2D multilayer  $Ti_2B_2Cl_x$ , obtained from molten salt (ZnCl<sub>2</sub>) etching of  $Ti_2InB_2$ . Energy Dispersive (EDS) and Electron Energy Loss Spectroscopies (EELS) confirm that indium is fully removed and replaced by chlorine atoms from the salt, leading to an increased c-lattice parameter and a corresponding shift in X-ray diffraction (XRD) peaks to lower angles. Furthermore, transmission electron microscopy (TEM) shows the laminated atomic structure, with chlorine terminations of the stacked 2D sheets. These XRD and TEM results are consistent with density functional theory (DFT) calculations. *In situ* XRD experiments further reveal that the 3D to multilayer (ml) 2D transformation occurs without any intermediate phase. Furthermore, our DFT results provide insights into the reaction mechanism governing this transformation.

This work not only establishes the 3D MAB phases as 2D MBene precursors but also unlocks new possibilities for engineering of 2D multilayer metal borides using molten salt etching, facilitating controlled surface chemistry. This work paves the way for a new class of functional nanomaterials with tunable properties.

Figure, references and authors information: supplemental document

8:40am MB3-ThM-3 Cluster-assembled Computers, Paolo Milani [paolo.milani@mi.infn.it], University of Milan, Italy INVITED

Self-assembled nanoparticle or nanowire networks have recently come under the spotlight as systems able to obtain brain-like data processing performances by exploiting the memristive character and the wiring of the junctions connecting the nanostructured network building blocks [1]. Recently it has been demonstrated that nanostructured Au films, fabricated by the assembling of gold clusters produced in the gas phase, have nonlinear and non-local electric conduction properties caused by the extremely high density of grain boundaries and the resulting complex arrangement of nanojunctions [2,3]. Starting from the characterization of this system, it has been proposed and formalized a generalization of the Perceptron model to describe a classification device based on a network of interacting units where the input weights are non-linearly dependent. This model, called "Receptron", provides substantial advantages compared to the Perceptron as, for example, the solution of non-linearly separable Boolean functions with a single device [4]. Here I will present and discuss the relevant aspects concerning the characterization and implementation of nanostructured networks fabricated by supersonic cluster beam deposition of gold and platinum clusters for neuromorphic computing and data processing applications [5.6].

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10:20am MB3-ThM-8 Analysis and 3D Modelling of Percolated Conductive Networks in Nanoparticle-Based Thin Films, Stanislav Haviar [haviar@kfy.zcu.cz], University of West Bohemia, Czechia; Benedikt Prifling, Ulm University, Germany; Tomáš Kozák, Kalyani Shaji, University of West Bohemia, Czechia; Tereza Košutová, Charles University, Czechia; Šimon Kos, University of West Bohemia, Czechia; Volker Schmidt, Ulm University, Germany; Jiří Čapek, University of West Bohemia, Czechia

Thin films composed of copper oxide nanoparticles (NP) were synthesized using a magnetron-based gas aggregation source (MGA), with nanoparticle

sizes controlled by varying the exit orifice diameter. The 3D model of the synthesized NP-based was constructed and assessed.

- (i) Comprehensive characterization of the nanoparticle-based thin films was performed using SEM, TEM, SAXS, and XRD to determine particle morphology, size distribution, porosity and others.
- (ii) The obtained experimental data served as inputs for generating virtual 3D microstructure models through a data-driven stochastic hard sphere packing algorithm, incorporating factors such as particle size distribution, porosity, and vertical density profiles.
- (iii) These virtual structures were refined to account for oxidation-induced swelling and film roughness, enabling the simulation of realistic conductive networks.
- (iv) A computational model incorporating a simplified adsorption mechanism was developed to simulate oxygen adsorption effects on surface conductivity, and finite element method (FEM) simulations were conducted to calculate the electrical resistivity of the modelled networks under varying oxygen partial pressures.
- (v) The simulated resistivity values were validated against experimental measurements obtained via four-point probe resistivity techniques at 150°C under different oxygen concentrations, demonstrating both qualitative and quantitative agreement.
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10:40am MB3-ThM-9 Tayloring of Nanoparticle Deposition Rate and Film Structure Through Substrate Biasing: Enabling Sputtering-Based Synthesis Novel Catalyst Materials. Dominik Gutnik of [dominik.gutnik@unileoben.ac.at], Theodor Florian Montanuniversitat Leoben, Austria; Prathamesh Patil, CEST GmbH, Austria; Christine Bandl, Montanuniversitat Leoben, Austria; Tijmen Vermeij, Daniele Casari, Empa, Swiss Federal Laboratories for Materials Science and Technology, Thun, Switzerland; Michael Burtscher, Christian Mitterer, Montanuniversitat Leoben, Austria; Christian M Pichler, CEST GmbH, Austria; Barbara Putz, Montanuniversitat Leoben, Austria

Metallic nanoparticles (NPs) exhibit intriguing properties as a consequence of their spatial confinement and their high surface-to-volume ratio. A topic rising in importance is the utilization of NPs as catalysts for energy conversion and storage. To facilitate more advanced use of NPs, a thorough understanding of their synthesis-structure-property relations is crucial.

In this study, the effect of different substrate biases on the deposition of size-selected Cu NPs, fabricated via Magnetron Sputtering Inert Gas Condensation (MS-IGC) in a so-called Haberland system,is analyzed. NPs nucleate and grow within the aggregation zone (usually pressures of 10 to 100 Pa), collect charge through plasma interactions and are accelerated by adiabatic expansion upon exiting the aggregation zone through an orifice. The charge they collect enables analysis and manipulation of nanoparticles through a Quadrupole Mass Spectrometer (QMS) before deposition on the substrate.

With this approach, Cu NPs with a diameter of 1.8 nm and 8 nm were filtered and accelerated towards the substrate with positive bias voltages of 0, 300 and 1000 V. In-situ QMS data reveals a significant increase of the NP-flux with higher biases, especially for smaller NP-diameters. Furthermore, changes in the morphology of the resulting thin films which were deposited for up to 45 minutes are observed with Scanning Electron Microscopy and changes in surface coverage and porosity are studied with X-ray Photoelectron Spectroscopy and Low-Energy Ion Scattering Spectroscopy.

Our results show that with rising bias voltages, the NP deposition rate estimated through QMS increases by 32% for the 8 nm diameter NPs, and the morphology of the resulting thin film shifts towards more densely packed structures, attributed to the higher energy of the NPs on impact [1]. An alternative method of NP synthesis in the form of hollow cathode sputtering will also be presented as a high throughput technique. With this technique, orders of magnitude higher NP deposition rates with position-dependent morphology can be obtained. These findings could facilitate the deposition of NP-based films with higher efficiency and with tailored morphology, making this technique more attractive for e.g. the synthesis of catalysts.

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11:00am MB3-ThM-10 Tailoring Microstructure and Composition of Composite CuO/WO₃ Nanoparticle-Based Thin Films for Enhanced H₂ Gas Sensing, Kalyani Shaji [kalyanis@kfy.zcu.cz], Stanislav Haviar, Petr Zeman, Michal Procházka, Radomír Čerstvý, Jiří Čapek, University of West Bohemia - NTIS. Czechia

The conductometric gas sensors operate by modulating the electrical conductivity of the sensing material through adsorption-desorption reactions between the target gas and the sensor surface. Metal oxide semiconductors (MOS) are conductometric materials highly sensitive to oxidizing and reducing gases. In addition, composite MOS-based materials may further benefit from formed heterojunctions potentially significantly improving the sensitivity. Our focus is to develop advanced hydrogen-gas sensing materials composed of a mixture of p-type CuO and n-type WO<sub>3</sub> nanoparticles (NPs) with optimized microstructure of the film and volumetric ratio of CuO to WO<sub>3</sub> NPs in the film for enhanced H<sub>2</sub> gas sensing.

The NP-based thin films were synthesized using a magnetron-based gas aggregation source in Ar+O2 gas mixture. First, effect of thermal annealing on the microstructure (i.e., NPs diameter, formed necks, porosity) of the films was studied since gas sensing materials are usually operated at elevated temperatures (up to 400°C). The CuO, WO<sub>3</sub> and their composite (1:1 volumetric ratio) samples were annealed at temperatures in the range 200 - 400°C in synthetic air and subsequently thoroughly investigated using various characterisation techniques such as SEM, XRD, XPS, and Raman spectroscopy. Significant changes in particle size were observed in the case of CuO-based material, while WO<sub>3</sub>-based and composite materials exhibited minor microstructural changes, even at elevated temperatures. Notably, at 400°C, the composite crystallized into a novel phase. Second, the volumetric ratio of CuO to WO3 NPs in the films was optimized to maximize the response of the material. We demonstrate that a synergetic effect is reached when an optimum number of p-n heterojunctions is established in the material providing enhanced response of the composite film compared to the films formed by single-material NPs.

This study highlights the crucial role of thermal treatment in influencing NP microstructure, offering insights into stabilizing and tuning NP-based thin films for enhanced gas sensing. Additionally, the optimized ratio of CuO and WO $_3$  NPs within the composite improved H $_2$  sensing performance by promoting optimal p—n heterojunction formation, demonstrating that precise compositional control can significantly boost the sensitivity of nanostructured systems.

11:20am MB3-ThM-11 Influence of Pretreatment and Deposition Parameters on Carbon Nanotubes Synthesized Directly on Oxidized Steel Substrates via Pulsed DC PACVD, Manuel C. J. Schachinger [manuel.schachinger@fh-wels.at], Francisco A. Delfin, University of Applied Sciences Upper Austria; Bernhard Fickl, Bernhard C. Bayer, Vienna University of Technology, Austria; Andreas Karner, Johannes Preiner, Christian Forsich, Daniel Heim, University of Applied Sciences Upper Austria; Bernd Rübig, Christian Dipolt, Thomas Müller, RÜBIG GmbH & Co KG, Austria

Carbon nanotubes have recently attracted considerable attention due to their distinct qualities such as elevated strength-to-weight ratio, excellent thermal conductivity, high aspect ratio and special electronic and optical properties. However, the widespread use of CNTs is limited by their costly production, partly due to the laborious substrate-catalyst preparation involving expensive transition metals like Ni or Co, which must be sputtered and sintered to form sufficient growth sites on the substrate material. To avoid the costly and time-consuming pretreatment, it was shown that direct growth of carbon nanotubes on steel substrates is possible by application of a simple surface oxidation step prior to the synthesis process. The aim of this work is to optimize the oxidation pretreatment of the steel in a way that specific tailoring of the nanotube properties such as diameter, length and morphology becomes possible. To achieve this, cylindrical EN 1.4301 (AISI 304) steel samples were subjected to an oxidation step in air at atmospheric pressure for 15 s, 3 minutes and 15 minutes at 300, 400 and 500 °C, respectively. Subsequently, the synthesis process was carried out in the PACVD 40/60 system (RÜBIG, Austria) utilizing a unipolar pulsed DC discharge. Power density was varied between 50 and 100 W/m<sup>2</sup>. Ar, H<sub>2</sub> and C<sub>2</sub>H<sub>2</sub> gas concentrations were 67 vol.-% 32 vol.-% and 1 vol.-%, respectively. The pressure was 200 Pa and synthesis time was 1 h. The obtained CNTs as

well as the oxidized steel surfaces after pretreatment were then analysed using SEM, EDS, TEM, AFM, XPS and Raman spectroscopy. SEM images showed the formation of a high-density forest of CNTs fully covering the steel surface for substrate-oxidation times greater than 15 s. Tube diameter increased with increasing oxidation times and temperatures from 20 to 200 nm. TEM revealed the formation of bamboo-like CNTs involving a tip growth-mechanism. Raman spectroscopy showed the characteristic D, G and D' peaks, with a large I(D)/I(G) ratio, indicating an elevated degree of disorder. AFM revealed significant RMS roughness and morphology variations of the oxidized steel surfaces dependent upon oxidation time and temperature, which were correlated with the nanotube length and diameter. In summary, it was possible to achieve CNTs with tailored properties only via the variation of the surface oxidation step prior to the synthesis, achieving a cost-effective production process that can easily be adapted to the specific requirements of the applicator.

11:40am MB3-ThM-12 The Influence of Magnetic Field on the Cluster Growth in a Magnetron Sputtering Gas Aggregation Source, Joao Coroa, Teer Coatings Ltd, UK; Giuseppe Sanzone [giuseppe.sanzone@teercoatings.co.uk], Teer Coatings Ltd., UK; Tibor Höltzl, Furukawa Electric Institute of Technology, Hungary; Hailin Sun, Teer Coatings Ltd., UK; Ewald Janssens, KU Leuven, Belgium; Jinlong Yin, Teer Coatings Ltd., UK

Clusters produced by physical methods in gas phase have yet to see widespread adoption due to low deposition rates, despite the benefits that they could bring to many applications. It's demonstrated in this study that unbalancing the magnetic field configuration of a magnetron within a sputtering gas aggregation source significantly enhances dimer formation and subsequent cluster growth. Based on experimental results obtained with four magnetic field configurations, along with ab initio simulations, we discussed various scenarios for dimer formation and proposed that the contribution of ArPd+ is essential for an increase in cluster throughput. We analysed the resulting plasma spatial distribution and demonstrated that the selected magnetic field configuration significantly influences the lifetime of ArPd+ particles. When their lifetime is long, more ArPd+ can react with another metal atom (Pd) and form other stable complexes (Pd2, Pd2+ or ArPd2+), a critical first step in cluster growth, increasing cluster throughput by a factor of 150-fold. The proposed mechanism might be material-independent as other metal-argon dimers (ArCu+, ArTi+ and ArCo+) have also been reported in the literature.

12:00pm MB3-ThM-13 Tracking the Evolution of Ag Nanoparticle Solutions Upon Atmospheric Exposure Using a Combined Spectroscopic Approach, Héloïse Lasfargues [lasfargues@mch.rwth-aachen.de], Lilli Charlotte Freymann, Jochen M. Schneider, Clio Azina, RWTH Aachen University, Germany

With nanoparticles (NPs) finding increasing use in various fields such as the biomedical industry or catalysis, sputtering onto liquids (SoL) has attracted interest over the last decade as a single step method for NP production, requiring only a target and a host liquid. Beyond NP properties like size and shape, colloidal stability is of high importance and is primarily determined by the combination of NP material and liquid. The current understanding of NP stability in solutions produced by SoL being limited, further research is needed to thoroughly describe the complex interactions occurring between NP and liquid host. In this context, silver (Ag) NP solutions were produced by magnetron sputtering onto canola oil and their stability under atmospheric exposure was investigated by combining infrared (IR), UVvisible (UV-vis) and X-Ray photoelectron spectroscopy (XPS) measurements, with transmission electron microscopy observations (TEM). A color change from dark brown to light orange was observed within 35 days of atmospheric exposure of the as-synthesized solutions. This color change was accompanied by the formation of hydroperoxides, as revealed by IR spectroscopy and XPS. The observation of peroxides signals oil oxidation, suggesting that the latter was promoted by the presence of Ag NPs upon oxygen incorporation. In terms of size, more than 90% of the NPs were < 5 nm in diameter in the as-synthesized solutions, with an average size of 2.9 ± 2.3 nm. Upon atmospheric exposure the proportion of NPs > 5 nm in diameter increased by ~100% after 35 days, indicating that the NPs continue to grow in the solution. In addition to size variations, TEM analysis suggests the formation of Ag-Ag<sub>x</sub>O Janus-type NPs in large proportion and therefore partial oxidation of the produced NPs upon atmospheric exposure. These observations were correlated with UV-vis measurements, where a red shift of ~ 15 nm of the localized surface plasmon resonance and an absorbance decay of the solutions after 35 days was detected. Finally, the comparison of XPS spectra of the pure oil with assynthesized and aged NP solutions revealed the formation of carboxylate

### Plasma and Vapor Deposition Processes Room Town & Country B - Session PP8-1-ThM

### **Commemorative Session for Papken Hovsepian I**

Moderators: Arutiun P. Ehiasarian, Sheffield Hallam University, UK, Philipp Immich, IHI Hauzer Techno Coating B.V., Netherlands

8:00am PP8-1-ThM-1 How Industry and Research Are Connected to Accelerate Development, *Philipp Immich [pimmich@hauzer.nl]*, IHI Hauzer Techno Coating B.V., Netherlands INVITED

The relationship between Sheffield Hallam University and Hauzer has been long-standing, beginning with Dieter Münz, former CEO of Hauzer, becoming a professor at Sheffield in 1993. When Papken Hovsepian joined Hallam, the collaboration between become even more intense. Papken played a crucial role in the early discussions for the first EU projects focused on HIPIMS development.

In 2003, Hauzer conducted initial tests with Advanced Energy's power supply and AC Converters, marking the first empirical steps in HIPIMS alongside Papken. The EU INNOVATIAL project, which started in 2004, focused on HIPIMS development in collaboration with Sheffield Hallam University (SHU), which later licensed HIPIMS etching to Hauzer. Hauzer's involvement in significant European projects like Alticut and Nanocoat further strengthened this partnership. The first HIPIMS trials at Hauzer led to groundbreaking research and publications, particularly on superlattice coatings, a major focus of Papken's work.

The collaboration with Papken and later Arutiun Ehiasarian (Harry) was instrumental in Hauzer's success, resulting in numerous patents, including HIPIMS Bias and ARC handling. The initial impulse for the ABS conference days in 1995 was triggered by Dieter Münz, later involving strong participation from Papken in the HIPIMS Conference. Papken's motto was always about bringing industry and research together to learn from each other and accelerate developments.

In 2023, Hauzer celebrated its 40th anniversary by hosting the HIPIMS community for the 13th HIPIMS conference in Venlo for the second time since 2008, unfortunately a testament to the enduring relationship with Papken and his contributions.

Papken's legacy is deeply cherished by the Hauzer family, and his impact on the HIPIMS community and beyond will always be remembered. Thank you, Papken, for your invaluable contributions and for being an integral part of our history.

8:40am PP8-1-ThM-3 Advances in PVD Technology: Metal-Ion Etch of Substrates Using Cathodic Arc and HiPIMS and Nanostructured Protective Coatings, Ivan Petrov [petrov@illinois.edu], University of Illinois at Urbana-Champaign, USA

INVITED

The presentation will review the use of metal-ion irradiation to etch the substrates prior to sputter deposition of protective coatings. This technology was a major advance in the PVD field which led to substantially enhanced adhesion and as a result significantly extended tool life. In the 1990s metal ion etch was carried out using cathodic arc discharge, while in the 2000s HiPIMS metal-ion etched was introduced. Sheffield Hallam University has been the lead in these developments which can be traced back to the pioneering work of Professor Papken Hovsepian in the 1980s at the Ruse Technical University, Bulgaria.

Professor Hovsepian has been the leader also in the development and large-scale production of a family of nanoscale multilayer/superlattice hard coatings each optimized for machining specific metal alloys. I will highlight two examples on which we have collaborated.Nanoscale multilayer C/Cr coatings have been deposited by the combined steered cathodic arc/unbalanced magnetron sputtering technique. Depending on the bias voltage  $U_b$  applied to the substrate during growth the nanostructure evolved from columnar with carbon accumulated at the column boundaries ( $U_b$ = -65 V,-95 V) to a structure dominated by onion-like C–Cr clusters ( $U_b$ = -120 V), which then converts to a distinct nanoscale layered structure ( $U_b$ = -350 V, -450 V), finally transforming to a uniform fine-grain structure ( $U_b$ = -550 V). In drilling tests using solution treated AISI 304 stainless steel as the work piece material, the C/Cr coated HSS drills outperformed the uncoated tools by x9, and commercially available PVD coatings such as TiCN, TiAlCrN by a factor x2.

A series of TiAlCN/VCN nanolayer coatings suitable for machining of Al and Ti alloys deposited by combined high power impulse magnetron sputtering/unbalanced magnetron sputtering have been developed. High resolution transmission electron microscopy and electron energy loss spectroscopy revealed that in TiAlCN/VCN, carbon forms a lateral phase between the nanolayers, producing low shear strength interfaces. This results in a well-defined nanometer scale layer by layer wear mechanism, which is the key for prevention of tribofilm and consequently thick built-up layer formation.

9:20am PP8-1-ThM-5 Research and Innovation in Surface Engineering with Prof. Hovsepian in EU Projects - following Papken's Research Legacy, Francisco Javier Perez Trujillo [fjperez@quim.ucm.es], Universidad Complutense de Madrid, Spain INVITED

For many years, there have been collaborative projects financed by the European Union, where a strong collaboration between Sheffield Hallam University and Universidad Complutense de Madrid has been established.

The first of these projects was InnovaTiAl with nanoscale multilayer coatings to protect lightweight gamma-TiAl alloys from static and cyclic oxidation and enhance the operational temperature of the engine while reducing weight. Important goals were achieved jointly with the industry who performed extensive testing and validation of real components in aerospace and automotive engines and manufacturing. In those times, many interesting HIPIMS conferences were held in Sheffield.

Meanwhile, another EU project came, working together again, and it was the POEMA project focused on power generation steam turbines. In this, many important nanoscale multilayer coatings were developed by Papken's research team. He put forward the idea of using the HIPIMS technology and a combination of materials to enhance the density of the coatings and protect against superheated steam attack. These were amongst the strongest contributions to the project.

Not only scientific and technical overview will be given, but also the personal skills of Prof. Hovsepian will be highlighted.

Thus, at the end, teaching Prof. Hovsepian's research legacy will be an honour for me.

10:20am PP8-1-ThM-8 Recent Progress in Coating Materials Design: Thermal Stability vs Chemical Stability, Amir Navidi, Deborah Neuss, Soheil Karimi, Marcus Hans, Materials Chemistry, RWTH Aachen University, Germany; Daniel Primetzhofer, Materials Physics, Dep. of Physics and Astronomy, Uppsala University, Sweden; Jochen M. Schneider [schneider@mch.rwth-aachen.de], Materials Chemistry, RWTH Aachen University, Germany

The roles of chemical, structural and interfacial complexity for the design of thermally stable and chemically stable protective coating materials is discussed. In this talk the thermal stability of nitride thin films of varying chemical complexity is compared. Furthermore, the oxidation behavior of monolithic transition metal diboride based coating systems are compared to coating architectures containing multiple interfaces. The role of thermal stability for the oxidation behaviour of the above mentioned coating systems will be discussed.

# 11:00am PP8-1-ThM-10 HiPIMS and Magnetron Sputtered Carbon-Based Nanocomposites, Sven Ulrich [sven.ulrich@imf.fzk.de], Forschungszentrum Karlsruhe. Germany INVITED

Carbon-based nanocomposites with adjustable multifunctional properties are suitable candidates for both tribological applications and energy technologies. Reactive DC magnetron sputtering and HiPIMS are selected as coating processes, using a metallic transition metal target, argon as the working gas and methane as the reactive gas. As shown in plasma diagnostic investigations, in contrast to DC magnetron sputtering, HiPIMS exhibits a high ion content of the film-forming particles and the energy deposited by ion bombardment during film growth can be precisely adjusted. The constitution and microstructure were determined by a combination of several analytical methods: EPMA, ERDA, Raman spectroscopy at four different wavelengths, XRD, TEM and HRTEM were used to determine the composition and correlate it with the mechanical properties. It is shown that by varying the methane reactive gas flow, single-phase transition metal carbide coatings as well as nanocomposites consisting of nanocrystalline transition metal carbide grains in a hydrogenated amorphous carbon network can be produced. Thus, by choosing the optimized process parameters (switching function), multilayers can be produced from these two components.

Keywords: HiPIMS, Magnetron sputtering, carbon-based nanocomposites

11:40am PP8-1-ThM-12 Superlattice Coatings: Unleashing Superior Properties Through Architected Nanolayers, Paul Mayrhofer [paul.mayrhofer@tuwien.ac.at], TU Wien, Institute of Materials Science and Technology, Austria INVITED

Inspired by Helmersson, Hovsepian, and Münz's pioneering work on transition metal nitride superlattices, this concept has been a part of my research since 2003, particularly influenced by Papken Hovsepian's application-driven advancements. Here, we explore how nanolamellar microstructures can simultaneously enhance the hardness and fracture toughness of hard coatings. Superlattices, formed by alternating nanometer-thick layers, present opportunities to engineer mechanical properties superior to their individual constituents.

Careful interface design enables superlattices to achieve exceptional hardness, toughness, and thermal stability, essential for extreme environments. This concept applies effectively to nitrides, carbides, borides, and their mixtures. Mechanisms like dislocation blocking, coherent interface strengthening, and stress modulation contribute to this superior performance. The "epitaxial stabilization effect" further plays a key role, where pseudomorphic forces of the stabilizing layer act on the surface of the other layer during nucleation and growth, causing it to crystallize in its metastable but more similar structure rather than its thermodynamically stable but different structure. As a result, in addition to coherency stresses (due to lattice mismatches) and modulus mismatches, phases as well as stoichiometries that may exhibit higher inherent ductility, according to their decreased *G/B* ratio and increased Cauchy pressure, become accessible (like shown for superlattices containing MoN<sub>z</sub>, VNz, SiNz, or AlN layers).

Upon loading, dislocation nucleation and interface-triggered phase transformations dissipate energy, enhancing fracture toughness. For instance, TiN/WN superlattices achieve hardness (36.7±0.8 GPa) and fracture toughness (4.6±0.2 MPa·m $^{0.5}$ ) with optimized layer thicknesses ( $\Lambda$  = 8.1–10.2 nm). This work examines the influence of layer thickness, interface quality, and architecture on mechanical behavior, emphasizing the critical balance between toughness and hardness, alongside high-temperature stability. The findings underscore the potential of superlattice designs for protective coatings, high-performance tools, and structural components under severe thermal and mechanical loads.

In memorial of Papken Hosepian.

## Thursday Lunch, May 15, 2025

Focused Topic Session
Room Town & Country B - Session FTS-ThL

### **Focused Topic Session II**

12:20pm FTS-ThL-1 Elsevier Focused Topic Session: The World of Scientific Publishing: Perspective from an Author, Reviewer and Editor, Marcus Hans [hans@mch.rwth-aachen.de], RWTH Aachen University, Germany

Many people tend to associate scientists with fancy experiments, complicated equations as well as abstract computer models. However, also communication of the gained knowledge is of pivotal importance for researchers to create professional networks with academia as well as industry, acquire funding and attract talented staff for new projects. Publishing research articles in peer-reviewed, international journals is a cornerstone of effective communication.

Within this workshop, addressed to early career researchers, an introduction of the publication workflow will be provided and the different stages will be explained. Important aspects for authors will be covered such as storytelling, artwork and journal-specific guidelines. Different models of scientific publishing, including subscription and open access, will be presented and best practices for having a manuscript accepted will be provided. In addition, publishing ethics and the usage of artificial intelligence in publishing will be discussed.

Having an article published in a scientific journal, sooner or later authors become reviewers. Reviewing for a journal has multiple advantages such as being one of the first readers of innovative approaches, contributing to quality control of scientific publishing as well as thinking outside the box. The expectations of journal editors for high quality reviews will be discussed and in turn this knowledge helps authors to critically revisit their manuscript towards increasing the chances for acceptance in a scientific journal.

Advanced Characterization, Modelling and Data Science for Coatings and Thin Films

Room Palm 1-2 - Session CM1-2-ThA

Spatially-resolved and in situ Characterization of Thin Films, Coating and Engineered Surfaces II

Moderators: Damien Faurie, Université Sorbonne Paris Nord, France, Barbara Putz, Empa Thun, Switzerland

1:20pm CM1-2-ThA-1 Crystalline-Amorphous Interface Fracture Explored Across Different Length Scales, Alice Lassnig [alice.lassnig@unileoben.ac.at], Montanuniversitat Leoben, Austria; Michael Meindlhumer, Montanuniversität Leoben, Austria; Stanislav Zak, Erich Schmid Institute of Materials Science, Austrian Academy of Sciences, Leoben, Austria; Megan Cordill, Christoph Gammer, Austrian Academy of Sciences, Austria; Andrew Minor, Lawrence Berkeley Lab, USA INVITED Interfaces separating bi- and multilayered thin film structures, are susceptible to premature failure due to the challenge of bridging distinct physical properties of adjacent materials. Thus, the reliability of these interfaces significantly influences the overall lifespan of such structures. Consequently, a thorough investigation of their reliability and a comprehensive understanding of the underlying failure mechanisms are essential for enhancing novel material composites and combinations.

In this study, we investigate the fracture behavior of model crystalline-amorphous interfaces, specifically focusing on Cu thin films delaminating from bulk glass substrates and nanocrystalline Cu – amorphous CuZr multilayers. Utilizing advanced characterization techniques, we aim to study the delamination behavior, interface adhesion, and fracture under static and cyclic loading of such structures using advanced experimental techniques spanning both the meso-scale and nanoscale, incorporating in situ transmission electron microscopy for a detailed exploration of these phenomena.

2:00pm CM1-2-ThA-3 Tailoring Structure and Mechanical Properties of TiZrHfTa Refractory Alloy Thin Films, Gregory Abadias [gregory.abadias@univ-poitiers.fr], Hocine Slimani, Institut Pprime - CNRS - ENSMA - Université de Poitiers, France; Pietro Vecchietti, Politecnico Milano, Italy; Meriadeg Chalopin, Institut Pprime - CNRS - ENSMA - Université de Poitiers, France; Ferenc Tasnádi, Linköping University, IFM, Sweden; Matteo Ghidelli, Philippe Djemia, Laboratoire des Sciences des Procédés et des Matériaux (LSPM) – CNRS, France

Complex concentrated alloys (CCAs), including medium- and high-entropy alloys, offer attractive thermomechanical properties which make them promising candidates for various technologies such as corrosion resistant or radiation tolerant structural materials. Among the various CCAs, alloys with multi-principal refractory elements (RCCA) have drawn significant attention for hydrogen (H) storage applications [1-3] due to their ability to reversibly absorb H in the form of metal hydrides. However, up to now, studies on RCCA for H storage have only focused on bulk materials, with limited attention to thin film counterparts, which could be considered as model materials enabling an easy tailor of composition, phase and microstructural features (grain size, porosity or texture), providing valuable insights on the mechanisms of hydride formation and dissolution in RCCAs.

In this work, (TiZrHf)<sub>100-x</sub>Ta<sub>x</sub> thin films, with thickness up to 700 nm and Ta content ranging from 0 to 60 at.%, were synthesized by co-sputtering deposition. The phase composition, crystal structure, morphology and elemental composition was determined using a combination of analytical techniques (XRD, SEM/TEM, EDS), while the intrinsic stress was measured in situ during deposition by wafer curvature method. The mechanical properties of the films were assessed by nanoindentation and optoacoustics (Brillouin light scattering and picosecond laser ultrasonics) methods. By tuning the Ta content, different phases were stabilized in these quaternary alloys, from hcp to bcc and amorphous. These structural changes are accompanied by variation in growth morphology (evolving from nanocolumns to vein-like patterns), stress reduction and a progressive softening of hardness, shear and elastic modulus with increasing Ta content. The experimental findings are discussed and compared with results obtained from atomistic models of random alloys and amorphous phases, using ab initio molecular dynamics simulations combined with machine-learned interatomic potentials, as well as relevant data from the existing literature [4].

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2:20pm CM1-2-ThA-4 Exploring Mechanical Properties of Thin Films Through Synchrotron X-Ray Diffraction, Digital Image Correlation and Electrical Resistivity Measurements, Pierre-Olivier Renault [pierre.olivier.renault@univ-poitiers.fr], University of Poitiers, France INVITED

Mechanical behavior of thin films deposited on polymeric substrates was investigated under in-situ controlled tensile biaxial loading conditions. The study employed synchrotron X-ray diffraction (XRD), digital image correlation (DIC) techniques, and electrical resistivity measurements. The combination of X-ray diffraction and digital image correlation provides classical stress-strain curves.

The three complementary measurement techniques allow for a comprehensive analysis of the deformation characteristics of each component of the thin film. This approach helps to identify and distinguish the various deformation regimes that arise during mechanical loading. Beyond the yield stress, distinct mechanical behaviors are observed in the stress-strain curves, which can be attributed to plasticity and fracture phenomena. These behaviors are identified as characteristic signatures of material failure modes.

Additionally, the experimental setup offers the capability to assess whether deformations are fully transmitted through the interfaces between the thin film and the substrate, providing also insight into the interaction between different layers in a multilayer coating.

After describing the experimental setup, examples of the mechanical behaviors observed in metallic bilayer or trilayer systems and, oxide-metal films deposited on polyimide substrates will be presented. These examples illustrate the range of deformation responses that can arise in such multilayer systems. Differences in the mechanical behavior of films are shown to be influenced by factors such as type of interface or the presence of residual stresses in the as-deposited films, as well as variations in film thickness and grain size. These factors play a key role in determining the overall mechanical performance of the thin film systems.

3:00pm CM1-2-ThA-6 A Combined X-ray Microdiffraction and Micromechanical Testing Approach for Direct Measurement of Thin Film Elastic Constants, Rainer Hahn [rainer.hahn@tuwien.ac.at], CDL-SEC, TU Wien, Austria; Rebecca Janknecht, Empa, Swiss Federal Laboratories for Materials Science and Technology, Thun, Switzerland; Nikola Koutná, Institute of Materials Science and Technology, TU Wien, Austria; Anna Hirle, CDL-SEC, TU Wien, Austria; Anton Davydok, Helmholtz-Zentrum Hereon, Germany; Klaus Boebel, Oerlikon Balzers, Oerlikon Surface Solutions AG, Liechtenstein; Szilárd Kolozsvári, Peter Polcik, Plansee Composite Materials GmbH, Germany; Christina Krywka, Helmholtz-Zentrum Hereon, Germany; Paul H. Mayrhofer, Institute of Materials Science and Technology, TU Wien, Austria; Helmut Riedl, CDL-SEC, TU Wien, Austria

The direct measurement of elastic constants for thin films is not yet a routine procedure and presents a number of significant technical and analytical challenges when compared to the analysis of bulk materials. Ab initio density functional theory calculations can provide a theoretical basis for understanding the properties of materials. However, discrepancies between model systems and real-world properties persist, primarily due to a lack of available experimental data for newly emerging material systems. Furthermore, computationally affordable models are typically constrained to defect-free single crystals, thereby excluding microstructural effects that exert a pronounced influence on the material's behavior.

This study addresses this gap by proposing a novel experimental approach to measure direction-dependent elastic constants, combining synchrotron microdiffraction and micropillar compression. The approach was tested on a polycrystalline face-centered cubic TiN thin film, where linear elastic failure prevails. An advanced in-situ testing environment has been established to enable the continuous recording of the load-displacement of the indenter, while simultaneously collecting the material's deformation response to uniform uniaxial compression. This dynamic approach permits the evaluation of the orientation-dependent elastic strain components and the macroscopic uniaxial compressive stresses, each over time, thereby

enabling a differential analysis to assess the elastic and X-ray elastic constants.

The excellent agreement between experimental and ab initio data serves to corroborate the here-proposed robust method for direct elastic constant measurements, which is of crucial importance for advancements in thin film material testing.

3:20pm CM1-2-ThA-7 Real-Time Particle Detection for Enhanced Coating Deposition Processes, Constant Boris Rieille [constant.rieille@bfh.ch], Sylvain Le Coultre, Berner Fachhochschule BFH, Switzerland

Industries in photonics, optics, and semiconductors are increasingly challenged by particles emitted during PVD/ALD deposition processes. As device miniaturization advances, stricter requirements on defect size and particles inclusions make effective control essential to ensure product conformity.

Currently, these industries rely on preventive maintenance schedules that do not account for unexpected particle emissions or variations in machine usage. When particles appear, repeated maintenance is required due to the lack of a system to detect or locate their source. Integrating a real-time particles sensor into machines would transform this approach by enabling predictive monitoring, reducing downtime, and improving operational efficiency.

This session will deliver key insights into particle emissions during PVD deposition, explore market trends, and present business cases for the PVD/ALD market.

4:00pm CM1-2-ThA-9 Real-Time Monitoring of Sputter Deposition Process: Application in the Context of Ag-Based Low-Emissive Coatings, Rémi Lazzari [remi.lazzari@insp.jussieu.fr], CNRS/Sorbonne Université, France INVITED

The challenge of green-house gas reduction pushes towards a better thermal insulation of housing. In this context, glass industry strives to decrease the infra-red radiative transfer across windows while keeping transparency. In the so-called low-E or solar control glazings, the functionality is provided by a complex stack of layers deposited by magnetron sputtering in which the active component is a ~10 nm thick Ag film encapsulated in between ZnO dielectric layers. Because of its noble character, Ag follows intrinsically a Volmer-Weber growth mode and is prone to dewetting upon thermal treatments such as windows tempering. Thus, there is tremendous need of understanding and control of its out-of-equilibrium growth process.

In this context, this presentation will illustrate the interest of combining real-time measurements (UV-vis spectroscopy<sup>1,2</sup>; stress measurement via wafer curvature and digital image correlation<sup>3</sup>; film resistivity) with *in situ* photoemission spectroscopy to have a full overview on the Ag growth mechanism. Among others, the impact of sputtering deposition parameters and of gas additives on stress build-up and relaxation, on film percolation and on Ag chemistry will be discussed<sup>4-6</sup>. The second part of the talk will show the contribution of model experiments in understanding the epitaxy at Ag/ZnO interface<sup>7</sup>, the reactivity<sup>8</sup> and band alignment<sup>9</sup> at metal/ZnO interfaces as seen by *in situ* hard x-ray photoemission and the various contributions to Ag film resistivity<sup>7</sup>.

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4:40pm CM1-2-ThA-11 A Combination of Real-Time Diagnostics Probing the Impact of № on Ag Thin Film Growth, Michal Kaminski [michal.kaminski@kit.edu], KIT, Germany; Gregory Abadias, David Babonneau, Institute Pprime, France; Alessandro Coati, Yves Garreau, Synchrotron SOLEIL, France; Anny Michel, Institute Pprime, France; Anton Plech, KIT, Germany; Andrea Resta, Synchrotron SOLEIL, France; Karan Solanki, Institute Pprime, France; Alina Vlad, Synchrotron SOLEIL, France; Baerbel Krause, KIT, Germany

Silver thin films are used in a number of applications (e.g., transparent and conductive electrodes and plasmonic devices) which require a continuous layer with thickness below a few nanometers. However, Ag films grown by magnetron sputtering have the tendency to form 3D-structures on weakly interacting substrates, what prevents their application as transparent and conductive layers. It is reported that the use of gas additives (particularly  $N_2$  [1]) allows for obtaining a continuous layer at earlier deposition stage.

A thorough understanding of the nanoscale mechanisms of thin film formation requires real-time techniques [2]. In particular the widely used ex situ diagnostics can provide misleading information, as the structure of the thin film can evolve even under high vacuum conditions. We employ a simultaneous combination of real-time grazing incidence small-angle x-ray scattering (GISAXS), grazing incidence diffraction (GID), and substrate curvature measurements to get information about polycrystalline thin film evolution during growth. In particular, GISAXS reveals changes in nanoscale morphology, GID gives insight into the crystallinity of thin films, and substrate curvature measurements provide information about the average intrinsic stress. With our methodology we can study the interdependence between stress state, thin film structure and morphology, using the quantitative information obtained from the scattering techniques. Since the influence of the substrate curvature can be crucial for grazing incidence condition x-ray techniques, we show that in the curvature regime encountered in our experiment the effect on GISAXS is negligible.

Using the information from all three techniques, we will discuss the impact of nitrogen additive on all growth stages (from initial stages of island nucleation, growth, and coalescence, up to formation of percolated and continuous films), including the relaxation of the film during growth interruptions.

**Acknowledgements**: The work is performed within the frame of the ANR-DFG project IRMA (491224986).

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## Advanced Characterization, Modelling and Data Science for Coatings and Thin Films

Room Town & Country C - Session CM2-2-ThA

## Advanced Mechanical Testing of Surfaces, Thin Films, Coatings and Small Volumes II

Moderators: Thomas Edwards, NIMS, Japan, Matteo Ghidelli, CNRS, France

1:20pm CM2-2-ThA-1 Influence of Applied Deformation on Magnetic Properties of Ferromagnetic Ni<sub>60</sub>Fe<sub>40</sub> Thin Films Deposited on Polymeric Substrate, Alejandro Toledano Povedano [alejandro.toledano.povedano@univ-poitiers.fr], Institut Pprime - CNRS - ENSMA - Université de Poitiers, France; Dominique Thiaudière, Synchrotron SOLEIL, France; Pierre Godard, Institut Pprime - CNRS - ENSMA - Université de Poitiers, France; Eloi Haltz, Laboratoire des Sciences des Procédés et des Matériaux (LSPM) − CNRS, France; Damien Faurie, Fatih Zighem, Laboratoire des Sciences des Procédés et des Matériaux (LSPM) − CNRS, France; Anny Michel, Pierre-Olivier Renault, Institut Pprime - CNRS - ENSMA - Université de Poitiers, France

Metallic ferromagnetic thin films are key components in devices including sensors, data storage, and signal processing systems. With the rise of flexible electronics, understanding the relationship between magnetic properties and mechanical deformations in the low and high strain regimes is critical. These deformations induce homogeneous elastic strains as well as strain heterogeneities due to crystalline defects and cracks, impacting the magnetic properties of films through magneto-striction and dipolar interactions. This study focuses on how mechanical strain and controlled crack propagation affect the magnetic properties of thin films on polymer substrates. The research aims to reveal the relationship between controlled microstructural changes (residual stress, film thickness) and magnetic

properties, from initial strain to crack onset and subsequent propagation. These insights are critical for developing flexible magnetic devices that maintain performance under mechanical stress. To investigate these effects, a multi-scale approach has been caried out thanks to a unique setup developed at Synchrotron SOLEIL (DiffAbs beamline). It combines four techniques to study, in situ, the crystalline and magnetic properties of the sample subjected to equibiaxial or sequenced uniaxial tensile testing: X-ray diffraction to monitor the local lattice strain, digital image correlation to measure macroscopic distortions, electrical resistivity to reveal the crack onset and Magneto-Optical Kerr Effect to track the evolution of magnetic reversal. Ni60Fe40 thin films with varying thicknesses (20 and 200nm) have been deposited by ion beam sputtering on flexible polymer substrates and characterised under strain with this setup. Deformation tests of Kapton/Mo/Ni60Fe40 systems highlight the important role of the magnetoelastic field, induced by the difference of the in-plane stress components, for multi-cracking dynamics. The study also examined different film thicknesses to determine whether these variations were linked to fragmentation effects or magnetoplasticity. These findings show how crack density (which varies with thickness) influences the material's magneto-mechanical properties. The hysteresis loops initially show a square shape. As applied deformation increases, the loops change and exhibit features typical of a direction that resists magnetization, attributed to the negative magnetostrictive coefficient of Ni60Fe40. Beyond the maximum of the lattice strain, the loops appear to return to a square shape.

1:40pm CM2-2-ThA-2 The Local Electrical Fingerprint of Deformation and Growth -Induced Defects in Alloys, Hanna Bishara [hbishara@tauex.tau.ac.il], Tel Aviv University, Israel INVITED

A microstructural defect, whether spontaneously or intentionally induced, impacts the electrical properties of its surroundings. Defects dominate the electrical behavior of materials only when they become sufficiently dense. Therefore, capturing the defect's electrical characteristics is usually performed on a macroscopic scale, leading to averaging over multiple defect's types. This prevents studying the structure-properties relations in defects. This talk provides advanced electrical characterization methods of individual defects on surface and within the volume of bulk and thin film alloys.

The presentation initially introduces an experimental procedure to measure the local electrical resistivity of defect segments - with high sensitivity and spatial resolution *in-situ* scanning electron microscopy (SEM). The studied defects, i.e. pure and segregated grain boundaries (GBs), dislocations, stacking faults, and phase boundaries are either growth-controlled or deformation-induced. The segments are chemically and structurally characterized by electron backscatter diffraction (EBSD), transmission electron microscopy (TEM), energy dispersive spectroscopy (EDS), and atom probe tomography (APT), in addition to molecular dynamics (MD) simulations.

In the context of grain boundaries (GBs), we report that the GB resistivity spans over a spectrum of values, depending on the boundary's excess volume. The resistivity values might increase by an order of magnitude due to segregation effects in metallic systems. However, segregation-influenced complexions are found to boost the electrical conductivity of semi-metallic materials. Additionally, the talk relates to the formation and electrical characterization of near-surface dislocations in brittle Heusler alloys.

Revealing the contribution of different GB types to electrical resistivity would pave the path for predicting the electrical degradation of materials upon controlled mechanical deformation. In addition, it allows a novel defect engineering to optimize the performance of conductors and functional alloys.

2:20pm CM2-2-ThA-4 On the Effect of Thin Film Residual Stress on the Crack Propagation Resistance of ALD Coated Nano-Ceramics, Edoardo Rossi, Università degli studi Roma tre, Dipartimento di ingegneria Civile, Informatica e delle Tecnologie Aeronautiche., Italy; Marco Sebastiani [seba@uniroma3.it], Università degli studi Roma Tre, Dipartimento di Ingegneria Civile, Informatica e delle Tecnologie Aeronautiche, Italy

The present work aims at investigating the effects of Atomic Layer Deposition (ALD) coatings on 3D printed ceramic micro-pillars, which were produced by Two-photon polymerization-direct Laser Writing (TPP-DLW). With a uniform 50 nm layer of Al $_2$ O $_3$  under varying processing conditions (Plasma Enhanced-ALD at 200 °C, Thermal ALD at 200 °C, and 350 °C), the study first evaluated how these coatings influenced the retainment of fracture toughness through the splitting of glassy carbon (GC) pillars across a spectrum of Relative Humidity levels (below 5% and above 60%). Then, incorporating spatially resolved stress measurements through Focused Ion

Beam (FIB) ring-core analysis, the specific interface effects of the coatings on the crack propagation process were investigated. A corresponding investigation of lithographically produced fused quartz micro-pillars treated with the same ALD parameters provided a comparative foundation to gauge the coatings' effectiveness in enhancing the composite fracture toughness.

Additionally, the research detailed how the found residual stresses within the ALD coatings, significantly varying depending on the deposition temperature, are critical for the understanding of crack initiation and propagation mechanisms, suggesting that the observed reduction in fracture toughness, when compared to undefective, uncoated pillars under similar humid conditions, might be attributed to premature crack tip opening.

The research clarified these interplayed dynamics with the coating's stresses through the silica system's response to ALD coatings, significantly improving the baseline crack resistance. Indeed, uncoated silica experience an approximate 134% increase in fracture toughness for a 50 nm deposition at 200 °C, while a 100 nm coating at 300 °C resulted in around a 165% enhancement. This illustrates how interface engineering (deposition temperature and induced stresses from ALD coatings) can fine-tune fracture toughness in 3D TPP micro-ceramics, depending highly on the substrate material and surface defects (likely missing in lithography silica structures).

2:40pm CM2-2-ThA-5 Micromechanical Testing of Ceramic Coatings for Nuclear Applications up to 1000°C, Dong (Lilly) Liu [dong.liu@eng.ox.ac.uk], University of Oxford, UK INVITED

Multi-layered ceramic coatings, such as SiC and PyC, have been used to encapsulate spherical nuclear fuel kernels for use in the next generation of nuclear fission reactors. These coatings are typically between 30 um to 100 µm thick and will subject to harsh environments such as elevated operation temperatures and neutron radiation during service. It is important to acquire local mechanical properties of these individual coating lavers as well as the interfacial strength between the coatings for better understanding of their structural integrity and to support performance modelling. In this work, nanoindentation tests were carried out on SiC and PyC coatings over a range of temperatures from ambient to 1000°C with and without in-situ SEM imaging. The change of modulus and hardness as a function of temperature will be presented and the challenges associated with the high-temperature tests will be discussed. In addition, microcantilever bending method was utilized to evaluate the interfacial strength between the SiC and PyC coatings. During the coating deposition process (chemical vapour deposition), residual stresses were generated in the coatings and affected the local properties. Therefore, the residual stresses in each coating layer were characterised by focussed-ion-beam digital image correlation (FIB-DIC) method on unirradiated and neutron irradiated coatings where the magnitude of residual stresses are further modified due to radiation induced dimensional changes. The local mechanical properties and residual stresses measured are correlated with the coating deposition process, radiation damage and 3D microstructure generated using FIB tomography based on conventional Ga+ FIB and Plasms FIB.

Advanced Characterization, Modelling and Data Science for Coatings and Thin Films

Room Town & Country D - Session CM3-2-ThA

Accelerated Thin Film Development: High-throughput Synthesis, Automated Characterization and Data Analysis II Moderators: Davi Marcelo Febba, NREL, USA, Sebastian Siol, Empa, Switzerland

1:20pm CM3-2-ThA-1 Feature Selection and High-Throughput Synthesis: Can They Be Used to Predict Adsorption Energies on Multinary Materials?, Hannah-Noa Barad [hannah-noa.barad@biu.ac.il], Bar-Ilan University, Israel

Electro-reduction of  $CO_2$  to sustainable fuels and value-added chemicals is one of the most promising paths for closing the anthropogenic  $CO_2$  cycle. The catalyst, the main component of the electrochemical  $CO_2$  reduction reaction ( $CO_2RR$ ), is used to reduce  $CO_2$  dissociation activation energy. Metal and metal oxide catalysts have been studied as catalysts for  $CO_2RR$ , yet selectivity towards desired products remains elusive. To overcome this issue, discovery of new materials with more components (e.g., ternary, or quaternary materials), is paramount. These multinary materials, have the potential to improve the selectivity and activity toward a desired product, due to synergistic effects between the elements. However, the exploration

space is enormous and needs to be decreased. An important descriptor for realizing the reaction mechanism leading to a specific product by a given catalyst is the adsorption energy of the rection intermediates, like \*CO. Yet, adsorption energies on these new and complex materials have not been studied systematically.

Here, we present the development of a machine learning model for the prediction of adsorption energies of materials. Our model is based on a simple description of the adsorption environment by choosing very basic features, and more intricate structural features, like orbital field matrix.  $^{[1]}$  We also apply the moments theorem for the density of states (DOS) $^{[2]}$  to depict our materials in terms of closed paths in their lattices, from which we obtain features relating to the adsorption site. We also use high-throughput synthesis and characterization methods to try and obtain more experimental data points on new multinary materials to enhance out dataset. These methods will support prediction of adsorption energies of multinary materials to discover new highly active and selective  $CO_2RR$  catalysts.

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## 2:00pm CM3-2-ThA-3 Autonomous Experiments for Thin Films and Solid Materials, *Taro Hitosugi [hitosugi@g.ecc.u-tokyo.ac.jp]*, The University of Tokyo, Japan INVITED

Integrating machine learning, robotics, and big data analysis into established research methodologies can significantly accelerate materials science research. Many studies have already demonstrated the potential of autonomous (self-driving) experiments in materials science [1, 2]. The rapid advancement of digital technologies is changing the way we conduct research.

Here, we discuss the status and prospects of data- and robot-driven materials research using autonomous experiments. We have developed an autonomous experimental system for thin-film materials. We constructed a system that automates sample handling, thin-film deposition, optimization of growth conditions, and data management. By using Bayesian optimization in conjunction with robots, our approach facilitates high-throughput experiments and generates comprehensive datasets that cover many aspects of materials (X-ray diffraction, Raman spectroscopy, scanning electron microscopy, optical transmittance measurement, electronic conductivity measurement). We tuned the hyperparameter for Bayesian optimization using the domain knowledge of chemistry; the number of trials to reach the global optimum is reduced.

The system demonstrated the synthesis and optimization of the electrical resistance in Nb-doped  $TiO_2$  thin films [5]. Moreover, this autonomous approach has enabled the discovery of new ionic conductors [6]. We discuss the potential impact of this technology in accelerating materials science research, particularly in solid materials.

- [1] Autonomous experimental systems in materials science, N. Ishizuki, R. Shimizu, and T. Hitosugi, STAM Methods 3, 2197519 (2023).
- [2] The rise of self-driving labs in chemical and materials sciences, M. Abolhasani and E. Kumacheva, Nature Synthesis 2, 483–492 (2023).
- [3] Tuning of Bayesian optimization for materials synthesis: simulation of the one-dimensional case, R. Nakayama, T. Hitosugi *et al.*, STAM Methods 2, 119-128 (2022).
- [4] Tuning Bayesian optimization for materials synthesis: simulating twoand three-dimensional cases, H. Xu, R. Nakayama, T. Hitosugi *et al.*, STAM Methods 3, 2210251 (2023).
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## Tribology and Mechanics of Coatings and Surfaces Room Palm 3-4 - Session MC1-1-ThA

Friction, Wear, Lubrication Effects, & Modeling I

Moderator: Michael Chandross, Sandia National Laboratories, USA

1:20pm MC1-1-ThA-1 Solid Lubrication in Thin Films: Mechanisms, Materials, and Performance, Daniel Pölzlberger, Institute of Materials Science and Technology, TU Wien, Austria; Rainer Hahn, Tomasz Wojcik, Philip Kutrowatz, Christian Doppler Laboratory for Surface Engineering of High-performance Components, TU Wien, Austria; Klaus Böbel, Julien Keraudy, Oerlikon Balzers, Oerlikon Surface Solutions AG, Liechtenstein; Szilard Kolozsvári, Peter Polcik, Plansee Composite Materials GmbH, Germany; Philipp G. Grützmacher, Carsten Gachot, Institute of Design Engineering and Product Development, Research Unit Tribology, TU Wien, Austria; Helmut Riedl [helmut.riedl@tuwien.ac.at], TU Wien, Institute of Materials Science and Technology, Austria

Tribological contacts play an essential role in the prevalent and required endeavor for increased sustainability and efficient use of resources. Considering the energy losses related to friction and wear, a huge possibility of saving resources, energy, and CO2 is often overlooked. Here, solid lubricants are an attractive option, especially for applications pushing their conventional liquid counterparts to their thermal and chemical stability limits – typically at elevated temperatures above 200 °C or under extreme conditions excluding liquids (i.e. space industry, semiconductors, or life science). Therefore, this study examines different solid lubrication concepts in thin film materials, classifying them concerning predominant mechanisms, application ranges, and performance.

As a starting point, carbon-containing thin film materials will be discussed comprising diamond-like carbon (DLC) coatings and non-reactively sputter deposited transition metal (TM) carbide thin films (i.e., HfC, TaC, or WC). Here, advances in PVD growth techniques (i.e., HiPIMS) and their impact on tribological performance are in focus. Furthermore, insights on the limits of carbon as the source for solid lubrication will be given by a set of highresolution characterization techniques (i.e., HR-TEM, APT, etc.). The second part presents an alternative class of TM dichalcogenide coating materials (compared to MoS<sub>2</sub>) and their in-situ formation. In detail, in an innovative approach, selenium nanopowders are converted in-situ into lubricious 2D selenides on sliding W and Mo films, achieving a coefficient of friction (COF) down to 0.1 in ambient air. This in-situ formation is an exciting concept, especially for extreme environmental conditions. Nevertheless, further advances in solid lubricants are required to overcome the limitations for high-temperature applications (above 450 °C). Here, a concept on B2O3 formation in TM borides (i.e., TiB2+z or WB2+z) leads to a drastic reduction of COF from 0.6 to 0.2 at 500 °C (and higher temperatures), highlighting the capabilities of boron-containing thin films in high-temperature tribological contacts.

In summary, the different concepts of solid lubrication in thin film materials emphasize the potential of exploring new materials and the need for an indepth understanding to push these materials in potential applications.

2:00pm MC1-1-ThA-3 Study of Transparent Coatings for the Preservation of Colored Titanium Surfaces, Sarah Marion, Renée Charrière, Mines Saint-Etienne, France; Clotilde Minfray, Ecole Centrale de Lyon - LTDS, France; Laurent Dubost, HEF - IREIS, France; Jenny Faucheu, Mines Saint-Etienne, France; Vincent Fridrici [vincent.fridrici@ec-lyon.fr], Ecole Centrale de Lyon - LTDS, France

Although titanium is not a noble metal, it is increasingly attracting interest from the luxury industry (jewelry, watches, packaging) due to its lightweight, hypoallergenic properties, and especially the wide range of colors it can display when coated with a thin layer of TiO<sub>2</sub>. However, its application in luxury products remains limited because these colors tend to lack durability. Improving the wear resistance of these colored TiO<sub>2</sub> layers, and in particular preserving the original color, is a critical challenge for luxury jewelry.

The interference-based nature of titanium's color makes it highly sensitive to changes in oxide layer thickness, as well as to variations in the oxide layer's chemical composition and internal structure, which can alter its refractive index. Tribological tests conducted on thin titanium oxide layers, using a100Cr6 steel ball in both dry conditions and with artificial sweat, demonstrated a clear correlation between color changes due to friction and a reduction in oxide layer thickness in both environments.

An experimental study of the wear resistance of several potential protective coatings deposited on oxidized titanium samples is carried out in order to

preserve the color of the samples. Three coatings—SialON,  $Si_3N_4$ , and a commercial hydrophobic coating—were examined for their wear resistance in both dry and artificial sweat conditions, as well as for their transparency and surface wettability. The challenge is to have a coating that is not only transparent but also resistant to wear in both dry and sweat-exposed conditions and insensitive to fingerprints.

Thus, the color variation before and after coating, the surface wettability of the coating with water and sebum, as well as its resistance to dry friction and friction in the presence of artificial sweat against a 100Cr6 steel ball, will be analyzed and compared to those of uncoated  $TiO_2$  to assess the performance of the coatings.

2:20pm MC1-1-ThA-4 Beyond Graphene: A ML-Assisted High-Throughput Molecular Dynamics Framework for Screening 2D Materials for Tribological Applications, Matteo Valderrama [m.valderrama23@imperial.ac.uk], Daniele Dini, James Ewen, Imperial College London, UK; Nicolas Fillot, INSA de Lyon, France

2D materials, with their unique atomic structures and tunable properties. have shown immense potential for achieving superlubricity (COF < 0.01) in sliding contacts. However, the vast design space of these materials presents a significant challenge in identifying optimal candidates for specific tribological applications. To date, only around ten 2D materials have been extensively studied for their tribological properties. This work explores a framework for applying machine learning (ML) assisted high-throughput molecular dynamics (MD) simulations to accelerate the discovery of highperformance 2D materials for tribological applications. 2D materials exhibit fundamentally different frictional behavior compared to their bulk counterparts, a phenomenon that can be observed at the atomic scale. To study this, our framework will computationally screen the tribological performance of thousands of 2D materials. By streamlining simulation cell generation and optimization, this framework facilitates the processing of tens of thousands of MD simulations. Combined with the recent advancements in GPU-powered simulations, this project could transform high-throughput MD, especially through the hybridization of both the computational approaches (CPU vs. GPU) and the implementation of interatomic potentials. The extracted tribological data will be used to train ML models, such as regressive random forests, LSTMS, and LLMs, to predict the performance of new materials. Our goal is to establish correlations between specific material properties and atomic friction mechanisms, gaining deeper insights into the underlying causes of atomic friction. We anticipate that this project will revolutionize the field of 2D materials by accelerating the design, prototyping, and experimental validation of materials that demonstrate robust superlubricity, making research more accessible and reproducible, and ultimately paving the way for their widespread adoption in various applications. In this presentation, I will delve into the details of our framework, demonstrate its validity, and present preliminary results on predicting friction in 2D materials based on their intrinsic properties.

2:40pm MC1-1-ThA-5 Nanoscale Wear of Metallic Multilayers - the Effect of Interface, *Tomas Polcar [polcar@fel.cvut.cz]*, *Ahmed AlMotasem*, Czech Technical University in Prague, Czech Republic

Extensive large molecular dynamics simulations (MD) were conducted to investigate the impact of different Zr/Nb interface orientations on the friction/wear behavior of Zr/Nb multilayers. The primary cause of plastic deformation of the Nb layer was dislocations and BCC twinning, while Zr layers deformed via dislocations and intrinsic stacking faults. The Zr/Nb exhibited better tribological properties, such as lower COF, higher scratch hardness, and improved wear resistance compared to their single-crystal counterparts. The interface structure was analyzed, and its blocking strength was discussed. tailoring them to achieve desired properties for specific applications.

The simulations of friction and wear were compared with experimentally obtained nanoscratches on Zr/Nb multilayers with a periodicity of 6 nm prepared by magnetron sputtering. The wear was evaluated by AFM, structure by STEM and XRD. Qualitative agreement with experiments demonstrates predictive power of MD simulations in tribology.

3:00pm MC1-1-ThA-6 Electrification of Ti:MoS<sub>2</sub> Coatings for Tribological Applications, Newton K. Fukumasu [newton.fukumasu@gmail.com], Institute for Technological Research of Sao Paulo State, Brazil; Miguel R. Danelon, André P. Tschiptschin, Izabel F. Machado, Roberto M. Souza, University of São Paulo, Brazil

Next-generation adaptive coatings for heavy-loaded mechanical transmission systems enhance durability and efficiency by coupling external

parameters, such as electrical conditions, with tribological performance, particularly relevant for electric vehicle powertrains and energy generation systems, where controlling friction and wear is crucial for improving operational efficiency. Also, in those systems, stray currents could be used for improving tribological aspects of mechanical systems. Coatings of transition metal dichalcogenides, such as molybdenum disulfide, promote excellent solid lubrication under high contact stresses and pure sliding conditions, but higher wear rates compromise coating durability. Metaldoping MoS<sub>2</sub> coatings allows the optimization of mechanical properties, including hardness and elastic modulus, promoting an amorphous coating structure and engineered coating bandgap. In this work, Ti:MoS<sub>2</sub> coatings were deposited using a pulsed D.C. magnetron sputtering, with doping levels controlled by varying the power applied to Ti target. Tribological tests under electrified reciprocating conditions were conducted with uncoated AISI 52100 balls against Ti:MoS2 coated glass plates. Ti concentration was varied between 10 at% and 20 at% and electrified tests conditions considered positive, negative, and non-electrified contact with, when applied, a constant electric current of 100 mA. Ball movement frequency was set at 0.375 Hz with 4 mm stroke. Results indicated that friction was reduced under electrified conditions, particularly for coatings with lower Ti concentrations. Raman spectroscopy revealed recrystallized MoS2 inside wear tracks, suggesting tribo-induced structural adaptation. Wider wear tracks and greater surface damage were observed when ball was positively charged. Results suggest that the electric field may promote differential migration of Mo, S, and Ti species, altering the tribofilm composition and morphology formed at the ball surface. This selective adsorption on the ball further enhances the formation of MoS2-rich regions, in which tribochemical reactions, enhanced by the electric current, may favor MoS<sub>2</sub> retention and regeneration at lower Ti concentrations, while higher Ti concentrations disrupt the lubricating behavior. The integration of tribology and electrification may lead to enhanced efficiency and durability of critical mechanical systems with selective surface chemistry and adaptive tribological performance.

3:20pm MC1-1-ThA-7 Wear Protection and Corrosion Resistance of Dlc Top-Layered Coatings with Nano-Multilayer Interlayer Structure, Adrián Claver, Institute for Advanced Materials and Mathematics (INAMAT2), Universidad Pública de Navarra (UPNA), Spain; Iván Fernández-Martínez, Nano4Energy, Spain; Pierre Collignon, Pd2i, France; Pablo Díaz-Rodríguez, Ambiörn Wennberg [Ambiorn.wennberg@nano4energy.eu], Nano4energy, Spain

Several industrial components are subjected to wear and corrosion, and they face significant challenges due to increased performance demands and the acidic and salty environments of industry. In this context, DLC coatings are presented as a great option to protect these components from corrosion as well as improving their performance by providing properties such as improved mechanical properties (higher hardness and wear resistance), chemical stability or low friction coefficient. In this study, different DLC top-layered coatings have been deposited by hybrid Physical Vapor Deposition and Plasma Enhanced Chemical Vapor Deposition (PVD-PECVD). The corrosion and wear resistance, as well as the mechanical properties and structure of coatings with different interlayer structures have been evaluated. In order to improve the protective properties of the coatings, different nano-multilayer structures based on TiNCrN, CrNOx or Cr/CrN have been deposited prior to the DLC top layer. In addition, the influence of the deposition parameters and the Si-addition to the DLC top layer have been studied and optimized. Chemical and structural properties of the coatings were evaluated by Raman spectroscopy, Glow Discharge Optical Emission Spectroscopy and scanning electron microscopy. Surface and mechanical characterization was performed by nano-indentation, confocal, Rockwell adhesion test and calotest. Wear resistance was evaluated using pin-on-disc tests with an applied load of 50 N, and wear tracks were analyzed by confocal. Finally, electrochemical and salt spray tests were used to study the corrosion resistance of the coating structures. Nano-multilayer structures showed improved wear and corrosion resistance, allowing the inhibition of localized corrosion in the DLC toplayer. Clear differences were observed in the DLC top layer due to the addition of Si. Although it would be necessary to test them in real demanding working conditions, DLC coatings with nano-multilayers have shown to be a very interesting option to be used as an anti-corrosion and wear barrier coating.

### Plasma and Vapor Deposition Processes Room Town & Country B - Session PP8-2-ThA

#### **Commemorative Session for Papken Hovsepian II**

Moderators: Arutiun P. Ehiasarian, Sheffield Hallam University, UK, Philipp Immich, IHI Hauzer Techno Coating B.V., Netherlands

1:20pm PP8-2-ThA-1 PVD Based Solutions for Mankind Through Applied Research, Ton Hurkmans [ton.hurkmans@ionbond.com], IHI lonbond Group, Germany INVITED

During our Ph.D. studies as well as early work at Bodycote and then later at lonbond, we had a lot of positive interaction with Prof. Dr. Papken Hovsepian. Our shared passion was a desire to use thin film vacuum coating technology to improve products that are being used by people on a daily basis

Applied research requires insight on both the technology and the market opportunities. It's basically a reversed engineering from macro-scale back to atomic levels, i.e. to translate desired product properties into coating properties and finding a way to synthesize such coating properties. Sheffield Hallam University and Ionbond have worked on many such examples and during the presentation we will elaborate on some of them.

At Bodycote commercial products were introduced and sold, known under tradenames like Supercote 11 (TiAlCrYN), Supercote 30 (TiAlN/VN), and Supercote 55 (CrN/NbN). In parallel we collaborated on multiple EU funded development projects, with names like Newchrome (replacement of electroplating), HIDAM (cutting tools), NitraCote (duplex treatments), ALTICUT (high end machining operations), Colour PVD (PVD coating with post-anodizing), HIPIMS (first EU project on HIPIMS), INNOVATIAL (coatings for jet engines), CORRAL (atomic layers against corrosion), and Monaco (the use of OEM within industry 4.0). There were also joined developments where the manufacturer or end user participated as well.

All the hard work also resulted in joined scientific papers and patents.

## 2:00pm PP8-2-ThA-3 Managing Relative Abundance of Ions and Neutrals: A New Plasma Performance Metric in Modern Surface Engineering, Ganesh Kamath [ganesh.kamath@asml.com], ASML, USA INVITED

Modern engineering components made up of metals/alloys, plastics and glass have to meet very stringent multifunctional quality specifications and must survive under longer operating conditions. The advent of innovative industrial scale ionized plasma technologies have successfully demonstrated the ability to process those components and fullfill such demands. More specifically, these plasma technologies are being used to produce and control desired amount of ions (Metal+/Gas+) and neutrals to bombard onto engineering component surfaces to create new multifunctional homogeneous/inhomogeneous nanostructured surface. The metal ions have the most important influence on coating properties and structure. The modification of the surface of the substrate-to-be-coated by metal ion etching is important for improvement of coating adhesion, while assistance of the coating deposition process by metal ion bombardment plays the leading role in formation of nanostructured coatings with unique properties, usually outside of the thermodynamic equilibrium. Thus relative abundance of ions and neutrals are considered as new plasma performance metric in today's advanced surface engineering application.

One of the first technologies which used metal ion bombardment as a tool for improvement of magnetron sputtering coatings was arc-bond sputtering (ABS) technology introduced by W.D. Munz in 1991 and later perfected in the collaborative works by W.D. Munz and P. Hovsepian and their coworkers. In this technology the initial coating sublayer was deposited by cathodic arc followed by magnetron sputtering deposition which dramatically improved adhesion of the magnetron sputtering coating to the substrate. The ABS technology was utilized in large industrial-scale coating machines by Hauzer company. The filtered cathodic arc technology developed in 1980s-1990s allows to get rid of macroparticles and produce 100% ionized metal vapor plasma flow. It was developed to industrial scale applications by Large Area Filtered Arc Deposition (LAFAD) systems. The LAFAD process is capable of deposition the thermodynamically nonequilibrium coatings such as hydrogen-free diamond-like carbon (DLC) coatings and DLC-based nanocomposite coatings, both as a single layer and as nano-multilayers with high adhesion and cohesion properties. The productivity of the LAFAD process allowed its application for deposition of multilayer erosion resistant coatings for turbomachinery with coating thickness >100 µm.

2:40pm PP8-2-ThA-5 Carbon Based Surface Solutions – from a Glorious Legacy to Recent Advances, Vishal Khetan [Vishal.Khetan@oerlikon.com],
Oerlikon Surface Solution AG, Switzerland INVITED

Tribology and Surface Engineering, as enabling technologies, have been continuously advancing global manufacturing sectors in terms of fuel economy (reduced friction and wear), improved productivities and product reliability, functionalisation of machine components, providing alternative manufacturing processes due to environment legislations, and electrification of vehicles, etc. Along with the new generation manufacturing and climate change energy challenges surface engineering will phase in a new era of research and innovation. Carbon based surface solutions using technologies such as physical/chemical vapour deposition (PVD, CVD) deliver new and sustainable pathway in multiple manufacturing industries such as automotive, medical, packaging and aerospace can be addressed and introduced to a broader industry perspective.

While developing new carbon coatings and bringing them to industry as solutions, we always stand on the shoulders of giants like Prof. Papken Hovsepian. His work in the field of thin film technology was an inspiration for many and through this talk we illustrate how his work has channelled beautiful scientific ideas which turn into products serving various industrial application especially in the field of carbon-based surface coatings. Further, in co-relation to his work, we would be discussing scientific background, tribological and industrial relevance various carbon based surface solutions offered by Oerlikon Surface Solutions AG ranging from amorphous hydrogenated carbon to hydrogen free carbon coatings via PACVD,S3p (Scalable pulsed power plasma), Cathodic Arc evaporation and PICVD(Plasma induced chemical vapour deposition) with special focus on new upcoming carbon based solutions such as BALINIT® MAYURA, upcoming nanocrystalline diamond coating using PICVD technology.

3:20pm PP8-2-ThA-7 Materials and Technology Design Guided by the Thirst for Knowledge and Thirst for Life -the Story of Prof. Papken Hovsepian, Arutiun P. Ehiasarian [a.ehiasarian@shu.ac.uk], National HIPIMS Technology Centre, Sheffield Hallam University, UK INVITED

The amazing ability of functional coatings produced by Physical Vapour Deposition (PVD) to perform in a host of applications has been a source of fascination for many decades for many scientists. None more so than Professor Papken Ehiasar Hovsepian. His pioneering research led to the discovery and deployment of many fundamental concepts that are widely used in contemporary PVD research, including cathodic arc evaporation, high power impulse magnetron sputtering, metal ion etching to enhance adhesion, and nanoscale multilayer coatings. Papken's scientific path coincided with a period of rich development in PVD technologies. From humble beginnings in designing plasma cleaning and thermal evaporation technology and systems for reel-to-reel wire coating, it went on to the first planar and rectangular arc evaporators, the introduction of the metal ion implantation concept to enhance adhesion, the nanoscale multilayer coating systems, implementing HIPIMS for coating deposition and on industrial scale bringing real value to the technology.

A firm believer in the importance of utility in science (and in life), Papken used the final application as a guiding principle in the three steps at the heart of any coating development process, namely: material selection, structure selection and finally coating deposition method consideration. The nanoscale multilayer microstructure was used extensively as its multitude of interfaces increased the toughness of the coatings, whilst enabling flexibility in material selection.CrN/NbN was developed to combine wear and corrosion resistance in applications including biomedical implants to enhance lifetime and barrier to ion release, and power generation turbines to protect from superheated steam. The ability of CrAlYN / CrN to form dense oxides was exploited as oxidation and hot corrosion protection of gamma TiAl alloys in jet propulsion turbines and against water droplet erosion.The TiAIN / VN ± C system, which produced self-segregated lowshear interfaces and solid lubricant Magneli oxide phases, was developed for cutting and friction stir welding sticky alloys such as Ti and Al.Mo- and Cr- containing DLC achieved ultra-low friction and stable wear in lubricated conditions.All of these systems benefited from the pioneering use of HIPIMS technology to enhance density, tailor crystallographic texture and improve adhesion. Papken was instrumental in industrialising the HIPIMS technology through designing large-scale power generators and automated

The application-informed innovative design approaches employed in Prof. Hovsepian's research have made it one of the definitive bodies of work in PVD.

Advanced Characterization, Modelling and Data Science for Coatings and Thin Films

Room Golden State Ballroom - Session CM-ThP

Advanced Characterization, Modelling and Data Science for Coatings and Thin Films Poster Session

CM-ThP-3 Temperature-Dependent Oxidation Mechanisms of Binary Nitride Compounds: A Molecular Dynamics Approach, Sara Fazeli, MS4ALL, France; Edern Menou, Marjorie Cavarroc [marjorie.cavarroc@safrangroup.com], SAFRAN, France; Pascal Brault, MS4ALL / GREMI. France

Binary nitride (XN) compounds represent an important class of advanced ceramic materials, increasingly recognized for their suitability in hightemperature applications such as aerospace components, turbine blades, and protective coatings. Transition metal nitrides such as titanium nitride (TiN) and zirconium nitride (ZrN) are especially noted for their outstanding hardness and resistance to corrosion. In addition, nitrides of non-transition metals, including carbon nitride (CN), silicon nitride (SiN), and boron nitride (BN), function as essential refractory materials due to their high stability under extreme temperatures and durability in harsh environments. The oxidation behavior of binary nitride materials is often a crucial factor in selecting materials for high-temperature use, as the oxidation resistance of a given XN phase depends on its capacity to form a stable, passivating oxide layer. It is worth noting that a distinct change in the oxidation mechanism is observed at high temperatures, which is attributed to phase transformations in the oxidation products. The insights gained from the oxidation behavior will facilitate the more efficient design and rapid discovery of XN phases that maintain optimal performance in oxidizing environments at elevated temperatures. In this study, we perform ReaxFF and COMB3-molecular dynamics (MD) simulations of the oxidation of binary nitride compounds XN (X = B, C, Si, Ti, and Zr) at four different temperatures (900 K, 1300 K, 1500 K, and 1700 K) to elucidate the mechanism of the oxidation states in the oxide laver.

At the lowest temperature, oxygen chemisorption occurred on the binary compounds without significant surface oxidation. In contrast, at higher temperatures, the amount of  $\rm O_2$  adsorbed increased steadily, particularly for transition metal nitrides. High oxygen coverage at elevated temperatures may lead to structural reconstructions of the surface. This study provides valuable insights into the oxidation mechanisms, helping researchers identify strategies to form stable, protective oxide layers, which enhance corrosion resistance and broaden the industrial applications of high-temperature materials, paving the way for the development of other binary nitride compounds.

CM-ThP-4 Simulating Mode-I Crack Opening Process in Transition Metal Diborides via Machine-Learning Interatomic Potentials, Shuyao Lin [shuyao.lin@tuwien.ac.at], TU Wien, Institute of Materials Science and Technology, Austria; Zhuo Chen, Zaoli Zhang, Erich Schmid Institute of Materials Science, Austrian Academy of Sciences, Leoben, Austria; Lars Hultman, Linköping Univ., IFM, Thin Film Physics Div., Sweden; Paul Mayrhofer, Nikola Koutna, TU Wien, Institute of Materials Science and Technology, Austria; Davide Sangiovanni, Linköping Univ., IFM, Thin Film Physics Div., Sweden

The critical stress-intensity factor  $K_{IC}$  and fracture strength  $\sigma_f$  define the fracture resistance of brittle ceramics. However, their experimental measurement is challenging and provides limited atomic-scale insight into crack tip behavior. In this work, we overcome these limitations by offering atomic-scale information on crack growth while evaluating fracture toughnesses and fracture strengths via machine-learning-assisted simulations. Transition metal diborides (TMB2:s) serve as a case study, with a focus on understanding the Mode-I crack opening response across six distinct orientations within 2 different phases ( $\alpha$  and  $\omega$ ). Molecular statics and dynamics calculations were used to systematically test model sizes and thicknesses, ensuring efficient simulations and accurate extrapolation of macroscale mechanical properties via constitutive scaling laws. By incorporating the phase-dependent and anisotropic mechanical properties of the α-phase TMB<sub>2</sub>:s, the observed phenomena, as revealed through strain distribution and bond distances, align closely with those well-studied ceramics such as nitrides, offering insights into the fracture mechanisms within realistic deformation environments via atomistic level perspective. Furthermore, while q- and (u-WB<sub>2</sub> exhibits minimal phase dependence in deformation plasticity strength, as supported by both theoretical and experimental results, the fracture strength, as determined through the defective model, demonstrates a significant variation. The results show that the  $K_{IC}$  varies across different orientations and phases within the group IV, V, and VII TMB<sub>2</sub>:s, correlating with their respective tensile and shear strengths.

CM-ThP-6 Correlative XPS & SEM Analysis for NMC and Na-Ion Battery Cathode Material Surface Composition, James Lallo [james.lallo@thermofisher.com], Thermo Fisher Scientific, UK, USA; Nannan Shi, Albert Ge, Thermo Fisher Scientific, UK, China; Tim Nunney, Thermo Fisher Scientific, UK

Advanced energy storage has become increasingly vital in many fields, from transportation, to defence, to everyday connectivity. This has led to a growing market demand and development for lithium-ion battery storage solutions. High-tech products such as smartphones, tablets, drones, and electric vehicles all rely on compact, powerful energy storage, with lithiumion batteries being an essential component. Lithium battery primarily consist of cathode, anode, electrolyte, and separator materials. In lithium battery material research, how to comprehensively characterize and analyse battery materials, and how to use this characterization information to further improve battery material performance has become the focus of current researchers. This poster uses LiNixCoyMn(1-x-y)O2 (NCM)/LiCoO2 [NMC] composite cathode and Sodium Ion Fe/Mg cathode materials as examples. We employee a combination of Scanning Electron Microscopy (SEM) and X-ray Photoelectron Spectroscopy (XPS) characterization techniques to conduct a comprehensive analysis of the composite cathode materials. This approach yields rich sample information, helping researchers quickly evaluate and study any battery cathode materials.

The workflow combines scanning electron microscopy (SEM) [Thermo Scientific AXIA Chemisem] and X-ray photoelectron spectroscopy (XPS) [Thermo Scientific Nexsa G2 & ESCALAB QXi] into a correlated process, enabling the same regions of interest to be investigated; providing both high-resolution imaging and surface analysis from the same positions, even when collected using separate tools.

While SEM can easily visualize 2D materials, these layers are typically too thin to be easily characterized with the analytics commonly present on the microscope such as energy dispersive X-ray (EDX) analysis. XPS, meanwhile, cannot easily resolve surface structures at the required resolution, but can clearly detect what material is present at the surface, and quantify any chemical changes that might have occurred. XPS instrumentation typically also incorporates additional analytical techniques, such as an in-situ Raman spectrometer that is coincident with the XPS analysis position, which can be used to obtain further information.

CM-ThP-7 Optimizing Combinatorial Materials Discovery with Active Learning: A Case Study in the Quaternary System Ni-Pd-Pt-Ru for the Oxygen Evolution Reaction, Felix Thelen [felix.thelen@ruhr-uni-bochum.de], Rico Zehl, Ridha Zerdoumi, Jan Lukas Bürgel, Wolfgang Schuhmann, Alfred Ludwig, Ruhr University Bochum, Germany

Steering through the multidimensional search space of compositionally complex solid solutions towards desired materials properties makes the use of efficient research methods mandatory [1]. Combinatorial materials science offers rapid fabrication, e.g. magnetron sputtering, and high-throughput characterization methods. Still, improvements to materials exploration cycles are necessary, since combinatorial methods are also suffering from the curse of dimensionality. At the scale of multinary systems, planning follow-up experiments based on already acquired data is economically feasible only through the use of machine learning techniques [2].

In this study, we comprehensively explored the quaternary composition space of Ni-Pd-Pt-Ru for electrocatalytic applications with a streamlined discovery workflow. Enabling a fast synthesis, the fabrication of the materials libraries was performed by magnetron co-sputtering, and all libraries were subsequently characterized by energy-dispersive X-ray spectroscopy and X-ray diffraction. Guiding through the composition space, an active learning algorithm was used in an optimization cycle, which balances exploration and exploitation through the expected improvement acquisition function. The libraries were characterized electrochemically by an automated electrochemical scanning droplet cell setup [3] for the oxygen evolution reaction.

Six materials libraries were enough to find the global activity optimum in the system. The findings of six additional libraries are used to validate the activity trend. Our approach illustrates the potential of ML-driven optimization frameworks in accelerating the identification of promising multinary materials and underscors the value of integrating ML with high-throughput synthesis and characterization techniques in modern materials science.

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CM-ThP-8 High-Throughput Aging Studies of Vapor-Deposited Perovskite Thin-Films Using Precise Automated Characterization and Machine Learning-Assisted Analysis, Alexander Wieczorek, Sebastian Siol [sebastian.siol@empa.ch], Empa, Swiss Federal Laboratories for Materials Science and Technology, Switzerland

High-throughput experimentation (HTE) is increasingly being employed to accelerate metal halide perovskite (MHP) semiconductor thin-film development. [1] As of now, most approaches focus on solution-based deposition methods. To address the need for scalable and fabrication approaches, vapor-based deposition methods are gaining popularity. [2] However, durability concerns remain a major obstacle for large-scale deployment. [3] This motivates high-throughput stability studies of vapor-deposited MHP thin films. Combinatorial materials science is perfectly suited to address this challenge, specifically for time-consuming degradation studies where parallelization of experiments is key. [4] Using vapor deposition techniques, large parameter spaces can be covered on single substrates, whereas automated characterization and data analysis facilitate rapid properties screening. [5]

In this work, we present a comprehensive workflow for the aging of thinfilm MHPs which includes structural, optical and chemical  $characterization. \cite{Monthletig} Io\ mitigate\ ambient\ degradation\ during\ characterization$ or transfers, we employ a complete inert-gas workflow. Furthermore, we perform a rapid in-situ screening of the transmission and reflectance under accelerated aging conditions. The samples are exposed to 85 °C and 1 kW m<sup>-2</sup> white light bias, probing intrinsic material degradation in an accelerated fashion. With a temperature variation of ±1 °C and light intensity variation of <2% across combinatorial libraries, meaningful combinatorial stability screening is enabled. Automated characterizations of the structural properties yield deep insights into the aging process, extending and validating insights from changes in the optical transmission. We further demonstrate how these data sets can be used to better understand changes in the optical properties for highly scattering thin-films using machine learning assisted analysis. Furthermore, the workflow can be combined with high-throughput surface characterization techniques that our group previously demonstrated as a novel tool for accelerated materials discovery and optimization.

As a case study, we investigate the effect of residual precursors on the stability of two-step deposited MHP thin films grown on vapor-deposited templates. This workflow further allows to screen compositional spaces of libraries grown from completely vapor-based deposition methods.

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CM-ThP-9 Advanced Depth Profiling of Thin Films Using Angle-Resolved XPS/HAXPES, Jennifer Mann, Norb Biderman, Kateryna Artyushkova, Anthony Graziano [agraziano@phi.com], Physical Electronics, USA

X-ray photoelectron spectroscopy (XPS) is a powerful technique for non-destructive analysis of the chemical composition of thin layers and interfaces. Angle-resolved XPS (AR-XPS) has traditionally been used with Al K $\alpha$  (1486.6 eV) X-ray beams to determine non-destructively determine layer thicknesses up to 5-10 nm below the surface. Recent advancements in AR-XPS, including the integration of Cr K $\alpha$  (5414.8 eV) hard X-ray photoelectron

spectroscopy (HAXPES), have extended capability to 15-30 nm below the surface.

PHI's *Strata*PHI analysis software has been developed to reconstruct quantitative, non-destructive depth profiles from angle-dependent and single-angle photoelectron spectra. The latest version of *Strata*PHI combines Al K $\alpha$  and Cr K $\alpha$  XPS and HAXPES data within a single depth profile, enhancing the analytical information extracted from various depths.

Modern microelectronics devices contain thin films with different properties and purposes. Chips are often comprised of conducting films that form the interconnect layers as well as dielectric films that provide electrical insulation. In multilayer stacks, buried interfaces and subsurface layers are often beyond the analysis depth of traditional XPS. The information depth enabled by combined XPS and Cr K $\alpha$  HAXPES is particularly useful for analyzing these types of materials.

This poster will discuss the principles behind AR-XPS and HAXPES, the new features of *Strata*PHI, and show some recent applications of the combination of these advanced methods to non-destructively probe thin films relevant to microelectronics.

CM-ThP-14 Thickness Quantification of Coatings as Part of the Rietveld Analysis of X-Ray Diffraction Data, Thomas Degen [thomas.degen@panalytical.com], Detlef Beckers, Mustapha Sadki, Nicholas Norberg, Malvern Panalytical B.V., Netherlands; Namsoo Shin, Deep Solution Inc., Republic of Korea

For the in-line absolute thickness analysis of FeZn layers on galvanized steel we developed a Rietveld [1] based, full-pattern fitting method that fits a general layered structural model to a measured XRD Scan. The fitted model then delivers both the absolute layer thicknesses as well as the chemical composition of the layers and other key information like unit cell sizes, size/strain, and texture related information for all phases of the model. The method is implemented in the Malvern Panalytical software package HighScore Plus [2] V5.2.

The layer thickness modelling is based on the variable and increasing absorption of X-rays in the layers with different chemistry and thickness. Basically, by integrating over all beam paths, we accumulate the reduction in intensity of the total beam. Each layer adds a new absorption term with its own linear absorption coefficient. The method is theoretically correct, still in practice we need to know the packing factor and density of each layer. To solve that, we introduced an instrument dependent (alignment, tube aging etc) calibration factor for each layer. These calibration factors are determined from a dedicated data set, where many samples are characterized using multiple methods like SEM, wet analysis etc. In this presentation we show some data and analysis of about one year of continuous online analysis.

The initial fit model comprises:

- Initial/expected thickness values, for all the phases
- Calibration factors for all phases determined based on analyzed knowns
- Intensity calibration factor to counteract tube aging
- Atomic phase models, typically taken from structural databases
  Output after fit:
- Absolute thickness for all as layer marked phases
- All other fit model parameters, like unit cells, size/strain information, texture index and more
- Quality of fit indicators, Chi-Square, R<sub>wp</sub> etc.

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## CM-ThP-17 Finding Optimal Catalysts for Methane Pyrolysis: DFT and AIMD Modelling and Simulation, Martin Matas, David Holec [david.holec@unileoben.ac.at], Montanuniversität Leoben, Austria

Methane pyrolysis is its heat decomposition into carbon and hydrogen without emitting carbon dioxide. However, the operating temperatures are too high for large-scale hydrogen production by catalyst-free methane pyrolysis. Therefore, finding catalysts, lowering the operating temperatures and making methane pyrolysis economically and environmentally viable, is an important goal. We employ two theoretical approaches to the search for suitable catalysts. First, we combine the Sabatier principle and microkinetic modelling with density-functional theory to describe the adsorption of C and H atoms and intermediate methane-pyrolysis molecules on single-element metal catalyst surfaces. The results show, e.g., that the adsorption

gets stronger with decreasing the catalyst d-block group number. Notably, various operating temperatures and methane/hydrogen partial pressures require various optimal catalysts. Second, we use ab-initio molecular dynamics to observe the molecule reactions in the vicinity of metals relevant to the liquid-metal bubble-column reactors. We examine the effect of element choice and alloying on the reaction rates and trajectories. Again, their dependence on the combination of temperature and catalyst material was proven. Collectively, our results show that the reaction parameters and catalyst choice have to be carefully matched. Therefore, our contribution establishes the foundation for large-scale studies of catalyst surfaces, alloy compositions, or material classes.

CM-ThP-18 Transverse and Longitudinal Elastic Characterization of Thin-Films Using Picosecond Acoustics, Asma Chargui, CNRS-IEMN, France; Nicolas Martin, IEMN-FEMTO, France; Gabriel Ferro, Université de Lyon, France; Arnaud Devos [arnaud.devos@iemn.fr], CNRS-IEMN, France

Picosecond acoustics refers to ultra-high-frequency acoustics that produce hypersound (far beyond ultrasound), which is of course no longer heard, but which is very useful for measuring the properties of thin films and other nanostructures. The technique first saw the light of day in the 1980s[1], and since then has become as popular in the academic world as it is in industry, where it is used to control microprocessors on production lines. To access the world of hyper or "nanosounds", there are no microphones or transducers, just laser light delivered in extremely brief flashes, femtosecond pulses. A femtosecond optical pulse excites a short acoustic pulse inside the sample and another optical pulse is used to monitor acoustic propagation and reflections. But this technique has an intrinsic limitation: only certain acoustic waves are accessible, namely longitudinal waves. And this is a problem, because elasticity is governed by several constants which require the measurement of speed of sound of several types of wave. Previous work has shown that it is sometimes possible to get around this limitation[2], but always in specific sample configurations. In particular, it was impossible to generalize to thin-film samples on silicon, THE basic geometry for applications, so these attempts were in vain. In this work, by using a thin metallic layer deposited in inclined columns, we have shown that any transparent layer on silicon can be characterized in terms of longitudinal and transverse waves. The inclined layer acts as a mixed longitudinal/transverse emitter when subjected to the laser, and the picosecond acoustic technique gain a new dimension. Although the study was initially dedicated to transparent thin films, such as silica, aluminum nitride and silicon carbide[3], the process is now being extended to nontransparent layers, such as metallic layers.

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Surface Engineering - Applied Research and Industrial Applications

Room Golden State Ballroom - Session IA-ThP

Surface Engineering – Applied Research and Industrial Applications Poster Session

IA-ThP-1 Metallurgical Coating by Laser Metal Deposition of H13 Steel Powder for Die Repairs, Sheila Carvalho [sheila.m.carvalho@ufes.br], Federal University of Espirito Santo, Brazil; Vagner Braga, Bruning Tecnolometal Co., Brazil; Rafael Siqueira, Kahl Zilnyk, Technological Institute of Aeronautics, Brazil; Johan Nuñes, University of Sao Paulo, Colombia; Reginaldo Coelho, University of Sao Paulo, Brazil; Milton Lima, Institute for Advanced Studies, Brazil

The H13 tool steel is a typical hot-work material that exhibits superior thermal resistance, excellent hardness, and exceptional resistance to high-temperature fatigue and wear. This steel is also characterized by its high resistance to softening at temperatures below 540 °C and is extensively used to produce hot forging dies, hot extrusion channels, and high-pressure dies for low-melting-point metals such as aluminum and magnesium. Components made of H13 steel wear out over time and must be replaced, generating high costs and considerable environmental impact. One way to mitigate these problems is through repair using metallurgical coatings, which involve machining the worn area of the tool and depositing one or more layers of H13 steel using thermal means, notably with a laser beam. In this study, the microstructural and mechanical properties of H13 powder deposited via laser metal deposition (LMD) on H13 hot-work tool steel

substrates were examined before and after heat treatment. Scanning electron microscopy (SEM), energy-dispersive X-ray spectroscopy (EDS), and electron backscatter diffraction (EBSD) were used to analyze the grain distribution, layer development, and carbide incidence. The mechanical properties were evaluated by Vickers hardness indentation tests. An αferrite matrix consisting of α'-martensite was identified along with a crackfree interface containing Mo- and Cr-rich precipitates between the cladded H13 steel and substrate. The EBSD results showed a highly consistent combination between the deposition and substrate, along with a structure consisting of columnar and equiaxial grains resulting from the directional solidification process. Wear resistance tests demonstrated that the H13deposited region was in a better condition than the substrate because of the presence of martensite and carbides in the matrix, and the average wear decreased from  $3.8 \times 10^{-4}$  mm<sup>3</sup>/Nm to  $0.5 \times 10^{-4}$  mm<sup>3</sup>/Nm from the substrate to the laser cladding. The measured coefficient of friction for the die-repaired H13 rods did not undergo significant changes after laser cladding, with a COF of ~ 0.8. The average hardness levels of the substrate and deposition regions were determined to be 213 HV ( $\alpha$ -Fe) and 671 HV (a'), respectively. The smooth transition in terms of hardness between the regions also indicates a tendency for lower stress concentrations. The results indicate that metallurgically coated H13 steel could be used to repair hot forming tools that extend the lifetime and decrease the discard of high-value components.

IA-ThP-2 Effects of Cathodic Current Density on the Growth Mechanism and Corrosion Resistance of Micro-Arc Oxidation Coatings on AZ31 Magnesium Alloy, Shih-Yen Huang, Chi-Hua Chiu [qiuqihua90@gmail.com], Yu-Ren Chu, Yueh-Lien Lee, National Taiwan University, Taiwan

Despite decades of development, many growth mechanisms and properties of the micro-arc oxidation (MAO) process remain unclear, limiting further advancements in this surface treatment. Numerous studies have identified trends in MAO process parameters under specific conditions; however, altering these conditions often leads to varied results, highlighting the need for in-depth mechanistic studies. In this study, we address aspects of the formation mechanism of MAO under cathodic bias control. Preliminary results show that, while maintaining the electric current at a constant value, varying the cathodic current density significantly affects the microstructure and anti-corrosion properties of MAO coatings on AZ31B Mg alloy. Specifically, when the cathodic current density exceeds the anodic current density, a distinct cross-sectional microstructure develops, leading to a significant decrease in corrosion resistance. These findings demonstrate that the instantaneous cathodic current density critically influences the growth path of MAO coatings, altering their microstructure and, ultimately, their corrosion resistance.

IA-ThP-3 Suppression of Ionizing Radiation-Induced Degradation in Gate-All-Around Field Effect Transistor by Structural Surface Engineering, Kuei-Shu Chang-Liao [Ikschang@ess.nthu.edu.tw], National Tsing Hua University, Taiwan; Dun-Bao Ruan, Fuzhou University, China; Shang-Hua Hsu, National Tsing Hua University, Taiwan

Nowadays, Fin field effect transistors (FinFETs) were widely applied to follow the Moore's law at 14 nm technology node, and it is also believed that the gate all around field effect transistor (GAAFET) will become primary device architecture eventually. With the continuous scaling trend, the high-energy extremely ultraviolet has become the most promising light source for next-generation lithography. Hence, the radiation exposure on FinFET or GAAFET devices might be regarded as one of reliability issues in terms of lifetime and stability. In this work, an abnormal ionizing radiation damage suppression effect, which is related to the multi-gate structure, has been discussed in detail. It may provide an important theoretical foundation for the future device design and fabrication.

IA-ThP-4 Investigating the Impact of Rapid Thermal Annealing on the Interface between Sputtered Tellurium Capping Layer and Tin Monoxide Thin Films, Kai-Jhih Gan [jameswsalebron@gmail.com], Jialong Xiang, Fuzhou University, China; Bo-Syun Syu, National Tsing Hua University, Taiwan; Dun-Bao Ruan, Fuzhou University, China; Kuei-Shu Chang-Liao, National Tsing Hua University, Taiwan

Herein, rapid thermal annealing (RTA) was employed to modulate the interface between the tellurium (Te) capping layer and tin monoxide (SnO) thin films, followed by a comprehensive structural and material characterization. X-ray diffraction was used to investigate the crystallographic evolution of Te-capped SnO films before and after RTA treatment, while interfacial chemical composition changes were characterized via X-ray photoelectron spectroscopy. Furthermore, the

structural characteristics of field-effect transistors (FETs) fabricated with Tecapped SnO films were examined using transmission electron microscopy and energy-dispersive spectroscopy. The results reveal that RTA facilitates Te diffusion into the SnO layer and effectively modulates interfacial defect concentration. Under optimized conditions, the carrier concentration of the Te-capped SnO films exhibited a significant increase, highlighting the critical role of interface engineering in enhancing material properties.

IA-ThP-5 Surface Engineering Induced Improved Resistive Switching Characteristics of Wide Bandgap Amorphous Oxide Semiconductor Thin Films with Plasma Enhanced Rapid Thermal Annealing, Jialong Xiang [jialongxiang8@gmail.com], Dun-Bao Ruan, Kai-Jhih Gan, Fuzhou University, China; Bo-Syun Syu, Kuei-Shu Chang-Liao, National Tsing Hua University, Taiwan; Qiancheng Yang, Fuzhou University, China

In this study, surface engineering of a wide bandgap amorphous oxide semiconductorgallium oxide ( $Ga_2O_3$ ) thin film was carried out by using rapid thermal annealing, plasma treatment, and plasma enhanced rapid thermal annealing treatment, followed by a systematic analysis of material analysis and resistive switching characteristics. The surface morphology and structure of  $Ga_2O_3$  thin films with different surface treatments were characterized by using scanning electron microscopy, X-ray diffraction, and atomic force microscopy. Furthermore, X-ray photoelectron spectroscopy was employed to analyze the oxygen vacancy concentration in the films under different treatment conditions. The influence of oxygen vacancy concentration on the resistive switching characteristics of  $Ga_2O_3$  was also thoroughly investigated. Thanks to the precise control of oxygen vacancy enhanced rapid thermal annealing treatment is promising for memristor application.

IA-ThP-7 Greybox Models for Wear and Service Life Predictions of Coated Cutting Tools, Kirsten Bobzin, Christian Kalscheuer [Kalscheuer@iot.rwth-aachen.de], Muhammad Tayyab, Surface Engineering Institute - RWTH Aachen University, Germany

Due to the complex correlations involving coating properties, cutting tool materials, workpiece materials and process parameters, accurate wear prediction of the coated cutting tools remains a significant challenge. Analytical or simulation-based whitebox models mostly overlook the influence of coatings on tool wear and struggle to predict the instationary tool geometry changes during the cutting processes because of simplified boundary conditions. On the other hand, data-driven blackbox models may characterize the complex correlations but lack physical interpretability and robustness under varying conditions. As a result, imprecise tool life prediction models hinder the cost-effective qualification of coated cutting tools. To overcome these limitations, the combined strengths of whitebox and blackbox models can be leveraged with greybox modelling for accurate tool wear predictions. Greybox models require comprehensive datasets consisting of coating properties, realistic thermomechanical tool loading, cutting process data and tool wear behavior.

The priority research program SPP 2402, funded by German Research Foundation (DFG), is focused on development of such greybox models with holistic solution approach summarized in figure 1. Moreover, existing whitebox models will be improved for realistic calculations of coated tool thermomechanical loading. In addition to tool qualification, the greybox models may also advance the understanding of transient system behavior of coated cutting tools for knowledge-based development of coatings and cutting processes.The SPP 2402 consortium comprises of 11 research projects with interdisciplinary institutional collaborations in the fields of tool coatings, cutting processes, material technology and data processing. Moreover, 13 companies from the cutting tools business form the industrial advisory board. Five working groups with specific focus on residual stress measurement, cutting simulation, tool modeling, thermal conductivity and machine learning are supporting synergetic development of greybox models within the SPP 2402.

IA-ThP-8 Comparative Study of Nanometric Interface layers (NiCr, Ti) used in Stacks of Low-Emissivity Glazing, Hervé Montigaud [herve.montigaud@saint-gobain.com], SVI joint Unit CNRS/ Saint Gobain, 41 quai Lucien Lefranc, Aubervilliers, France; Justine Voronkoff, Saint Gobain Research Paris, 41 quai Lucien Lefranc, Aubervilliers, France; Ekaterina Chernyscheva, SVI joint unit CNRS/Saint Gobain Aubervilliers, France; Rémi Lazzari, Institut des NanoSciences de Paris, CNRS/Sorbonne Université, Paris, France, France; Ludovic Largeau, Centre de Nanosciences et de Nanotechnologies, CNRS/U. Paris-Saclay, Palaiseau France; Denis Guimard, Xavier Caillet, Saint-Gobain Research Paris, 41 quai Lucien Lefranc, F-93303 Aubervilliers, France

Functionalized glazing for reinforced thermal insulation such as low-emissivity products comprise a stack of thin layers (from 1 to a few tens of nanometers) of dielectrics, semiconductors and metals including a 10nm thick layer of silver. The performances of the glazing involve optimized reflection of far infrared radiation while maintaining high transmission of visible light. Sub-nanometric layers of titanium or nickel-chromium alloy are commonly used at the interfaces of the Ag layer. These layers improve the adhesion properties (rather poor [1]) of silver with the adjacent layers (mainly zinc oxide) but above all protect, the silver from oxidizing species during the magnetron sputtering deposition process and during thermal post-treatments.

This work presents the characteristics (*ie* the nanostructure and the oxidation state) of these nanometric layers commonly called "blocker layers" which are not the same whether it is located at the upper or the lower interface. The consequences on the properties of the silver layer also differ. Furthermore, their behavior during thermal post-treatment varies according to the nature of the blocker layer. Due to the complexity of the systems [ZnO/blocker/Ag], we have started to focus on simplified ones such as blocker/ZnO. In contact with ZnO, nickel oxidizes and diffuses into the adjacent layers [2] while titanium oxidizes to form metallic zinc which then diffuses [3]

IA-ThP-9 PVD Duplex Treatment of AISI M2 high speed steel additively manufactured by metal binder jetting, Julia Urbanczyk [julia.urbanczyk@tu-dortmund.de], Nelson Filipe Lopes Dias, Tim Schäfer, TU Dortmund University, Germany; Patrick Köhnen, Simon Höges, GKN Powder Metallurgy, Germany; Wolfgang Tillmann, TU Dortmund University, Germany; Dominic Stangier, Oerlikon Balzers Coating Germany GmbH, Germany

In tool manufacturing, additive manufacturing (AM) enables the production of tool steels with complex geometries, integrated cooling channels, and reduced machining post-processing. Among AM techniques, metal binder jetting (MBJ) stands out due to its high build rate and lower production costs. MBJ is a two-step process involving the printing of green bodies followed by sintering. Recent advancements have allowed the production of high-speed steel AISI M2 by MBJ. To enhance wear resistance and service life, tool steels typically undergo a duplex treatment comprising plasma nitriding and the deposition of a PVD thin film. Since MBJ-produced AISI M2 exhibits a distinct microstructure compared to conventionally manufactured AISI M2, its impact on the nitrided layer and subsequent PVD thin film properties has not yet been investigated. For this reason, both MBJ and conventionally manufactured AISI M2 with comparable hardness underwent duplex treatment, consisting of 2 h of plasma nitriding followed by cathodic arc-evaporated TiAIN thin film deposition.

For both steel types, plasma nitriding generated a diffusion layer of 20 µm without a compound layer and increased the surface hardness to 20 GPa due to N solubility in the  $\alpha$ -Fe lattice. The similar nitrided layer properties are attributed to the nearly identical chemical composition and lattice parameter of the steels, promoting comparable interstitial diffusion of N in martensite. Regardless of the manufacturing method, the TiAIN thin film exhibited consistent hardness of 33-34 GPa. However, adhesion behavior of TiAIN was influenced by both plasma nitriding and the manufacturing method. Plasma nitriding improved adhesion strength by increasing the mechanical support, though slightly lower critical loads were observed for TiAIN on MBJ-produced AISI M2. This could be attributed to the larger grains and open porosity, which may promote adhesive failure and crack propagation. Nevertheless, adhesion strength was very high on both steel substrates. In tribological tests, the TiAIN thin film significantly enhanced wear resistance by preventing abrasive wear against the 100Cr6 counterpart. Overall, duplex treatment proves to be an effective method for improving the wear resistance of AM tool steels, though the influence of microstructural differences on thin film adhesion should be considered. Based on these results, MBJ shows great potential for additive manufacturing of tool steels suitable for subsequent PVD duplex treatment

with thin film properties comparable to thin films grown on conventionally manufactured tool steels.

IA-ThP-10 Electrolytic Plasma Polishing of Ti 6Al-4V in Aqueous and Deep Eutectic Solvents, Nicolas Laugel [nicolas.laugel@manchester.ac.uk], Aleksey Yerokhin, Allan Matthews, The University of Manchester, UK

Electrolytic plasma polishing (EPPo) is a promising technique for refining metal surfaces, particularly in post-processing additive-manufactured components. However, the sustainability of EPPo depends heavily on electrolyte longevity and environmental impact. We present two key approaches to improving electrolyte efficiency in Ti 6Al-4V EPPo.

First, we investigate strategies to extend the useful lifespan of a proprietary fluoride-based aqueous electrolyte, in order to reduce waste production and process downtime. Second, we explore a deep eutectic solvent as electrolyte, which eliminates fluorides while enabling pulsed electrical inputs—an approach typically unstable in aqueous systems. These innovations aim to enhance the viability of EPPo for industrial adoption, minimizing resource consumption while maintaining high-quality surface finishing. The findings offer insights into electrolyte ageing mechanisms and the potential of DES electrolytes for sustainable, high-precision polishing of titanium and other valve metals.

IA-ThP-11 Microstructure and Properties of Oxide Coatings Produced on Aluminum Tape, Aleksander Iwaniak [aleksander.iwaniak@polsl.pl], Andrzej Posmyk, Adrian Krysiak, Silesian University of Technology, Poland

The windings of electric motors are made of copper wires. Due to the high density of this element, work is underway to replace copper wires with aluminum tapes. The windings of the valve timing actuators of combustion engines and the windings of electric motors of individual electric vehicle drives can be made of insulated aluminum tapes, which will reduce their weight.

The aim of the work was to determine the effect of the conditions of electrolytic oxide coating production on their insulating properties in terms of the application of aluminum tapes to electrical windings. As part of the research work performed, the possibility of shaping the insulating properties of oxide coatings by selecting the chemical composition of the electrolyte and oxidation parameters was determined.

As part of the conducted research, the microstructure and surface topography of the produced oxide layers were determined using electron microscopy (SEM, EDS) and 3D profilometry. The breakdown voltage of the anodized oxide layers was measured to determine the dielectric strength. In the case of selected process parameters, it was possible to obtain oxide layers with a continuous structure, without cracks. Breakdown tests showed that for some of the produced coatings the breakdown voltage Up was over 300V. The produced coatings could be conditionally used for electrical insulation.

# Protective and High-temperature Coatings Room Golden State Ballroom - Session MA-ThP Protective and High-temperature Coatings Poster Session

MA-ThP-1 High Temperature Fracture Characteristics of Si Containing Ternary and Quaternary Transition Metal Diborides, Anna Hirle [anna.hirle@tuwien.ac.at], Ahmed Bahr, Rainer Hahn, Tomasz Wojcik, Christian Doppler Laboratory for Surface Engineering of High-performance Components, TU Wien, Austria; Szilard Kolozsvári, Peter Polcik, Plansee Composite Materials GmbH, Germany; Jürgen Ramm, Carmen Jerg, Oerlikon Surface Solutions AG, Liechtenstein; Helmut Riedl, Christian Doppler Laboratory for Surface Engineering of High-performance Components, TU Wien, Austria

To enhance the restricted oxidation resistance of transition metal diboride (TMB) ceramics, alloying with Si and disilicide phases is an effective method, resulting in the formation of highly dense and protective SiO $_2$  scales. This phenomenon has been well documented in the context of bulk ceramics [1, 2], and recent studies have also corroborated its occurrence in thin-film TMBs, including CrB $_2$ , HfB $_2$ , and TiB $_2$  [3, 4]. The incorporation of Si, TaSi $_2$  or MoSi $_2$  into TiB $_2$  results in a significant reduction in oxidation kinetics, while exhibiting only minor effects on the mechanical properties. In the case of quaternary TiB $_2$ -based coatings, hardness values of 36 GPa (TaSi $_2$ ) and 27 GPa (MoSi $_2$ ) have been achieved, in comparison to approximately 38 GPa for the binary system. All of the aforementioned coatings exhibited  $\alpha$ -AlB $_2$  crystal structure, with a preferred (0001)

orientation being a key factor in achieving the highest hardness. Nevertheless, the fracture characteristics of these Si-alloyed TMBs remain largely unexplored.

The objective of the present study is to elucidate the fracture characteristics, particularly  $K_{IC}$ , of these Si-containing TMBs at elevated temperatures up to 850 °C through the application of in-situ micromechanical testing techniques. Accordingly, a series of Ti-TM-Si-B<sub>2\*2</sub> coatings was deposited via non-reactive DC magnetron sputtering using a variety of composite targets, including TiB<sub>2</sub>, TiB<sub>2</sub>/TiSi<sub>2</sub> (90/10 & 80/20 mol%), TiB<sub>2</sub>/TaSi<sub>2</sub> (90/10 & 80/20 mol%), and TiB<sub>2</sub>/MoSi<sub>2</sub> (85/15 & 80/20 mol%). To gain a deeper understanding, additional detailed structural investigations were conducted using X-ray diffraction (XRD), scanning electron microscopy (SEM), transmission electron microscopy (TEM), and elastic recoil detection analysis (ERDA).

In comparison to the binary  $TiB_{2+z}$  and the quaternary  $Ti-Ta-Si-B_{2+z}$ , the Si and  $MoSi_2$ -containing coatings exhibited a distinct onset of plastic deformation at approximately 600 °C. This phenomenon can be attributed to the precipitation of silicon-containing phases, which underlines the significance of conducting material testing at temperatures relevant to their intended applications.

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MA-ThP-3 Spinodal Decomposition and Nano-precipitate Formation in Agmodified High-Entropy Alloys, Salah-eddine Benrazzouq [salah-eddine.benrazzouq@univ-lorraine.fr], Abdelkrim Redjaimia, Jaafar Ghanbaja, Sylvie Migot, Valentin A. Milichko, Jean-François Pierson, Institut Jean Lamour - Université de Lorraine, France

Phase separation in multi-component alloys presents both challenges and opportunities for material design. While traditionally viewed as a limitation, controlled phase separation could enable unique microstructural features and enhanced properties. High-entropy alloys (HEAs) have garnered significant attention across various research fields owing to their exceptional properties. This study investigates the distinctive behavior of silver addition to the CrMnFeCoNi Cantor alloy, where silver's higher mixing enthalpy creates an interesting case of spinodal decomposition and nanoprecipitate formation.

Using DC magnetron co-sputtering, we synthesized CrMnFeCoNiAg thin films with systematically varied silver content. X-ray diffraction (XRD) patterns reveal distinct non-mixing behavior with the emergence of pronounced peaks corresponding to both silver and Cantor alloy phases. Cross-section bright-field TEM micrograph and SAED patterns revealed a dense structure with Ag precipitates dispersed throughout the 900-nm-thick film. HRTEM micrographs showed a nanoprecipitate morphology with fine-scale linear precipitates, while STEM-HAADF imaging highlighted the internal structure, revealing characteristic modulated patterns with striations parallel to the basal plane, indicative of spinodal decomposition with cuboidal particles and tweed-like contrast patterns.

The controlled formation of these nano-precipitates and their unique distribution pattern suggests potential for mechanical property enhancement through precipitation strengthening mechanisms. Our findings demonstrate how controlled phase separation can be used to engineer microstructure in HEA thin films. This understanding provides new strategies for designing multi-functional materials through deliberate exploitation of immiscibility effects, advancing our knowledge of phase evolution in complex alloy systems and offering pathways for property optimization in advanced coating applications.

MA-ThP-4 Influence of Si Content on Cracking Behavior of CrAISIN Coatings, Kirsten Bobzin, Christian Kalscheuer, Max Philip Möbius [moebius@iot.rwth-aachen.de], Jessica Borowy, Surface Engineering Institute - RWTH Aachen University, Germany

The increasing demands for workpiece quality and cost-effectiveness in machining processes necessitate a comprehensive consideration of all relevant factors, including cutting parameters, materials, tool coatings, and geometry. Physical Vapor Deposition (PVD) manufactured CrAlSiN nanocomposite coatings, composed of CrAlN grains in a SiN $_{\rm x}$  matrix, represent a promising solution for improved tool life of milling tools. The elastic-plastic properties of the coating and the deformation behavior of the material composite thereby can be deliberately influenced by varying the silicon content.

CrAlSiN coatings with silicon contents of  $x_{Si} = 10$ , 15, 20, and 25 at.-% in the metal portion were fabricated on cemented carbide WC-Co substrates. The indentation hardness  $H_{IT}$  and indentation modulus  $E_{IT}$  of the coatings were measured through nanoindentation (NI) with a force of  $F_{NI}$  = 10 mN, using a Berkovich indenter. Additionally, crack resistance was evaluated using quasi-static high load (HL) nanoindentation tests under forces ranging from  $F_{HL}$  = 750 to 1,750 mN, with increments of  $\Delta F_{HL}$  = 250 mN. A conical diamond indenter was used for the high load nanoindentation tests. The resulting indents were subsequently analyzed using scanning electron microscopy (SEM). The findings reveal that the indentation hardness  $H_{IT}$ remains unchanged at  $H_{IT}$  = (25.48 ± 1.59) GPa, while the indentation modulus increases with higher silicon content, ranging from  $E_{IT}$  = (222.64 ± 10.45) GPa for  $x_{Si} = 10$  at.-% up to  $E_{IT} = (239.89 \pm 7.78)$  GPa for  $x_{Si} = 20$  at.-%. After high load nanoindentation all coatings exhibit no cracks at  $F_{HL} = 750$ mN. With  $F_{HL} \ge 1,000$  mN on the other hand cracks can be observed in all coatings. Nevertheless, with rising silicon content, the maximum indentation depth  $h_{max}$  decreases, while the residual indentation depth  $h_0$ remains constant. Furthermore, the proportion of plastic work shows a slight reduction as silicon content xsi increases. These results indicate that the resistance against plastic deformation of the CrAlSiN coating increases with higher silicon content.

Coatings with high silicon content demonstrate promising resistance against plastic deformation at room temperature, highlighting their potential for further investigation. This initial test qualifies these coatings for additional studies under high-temperature conditions, aiming to enhance their applicability in machining processes. The insights gained from this research could lead to the development of more durable and efficient cutting tools, ultimately improving productivity in industrial applications.

MA-ThP-5 Relationship between Optical and Electrical Properties and the Microstructure of High Entropy Nitride (TiVZrNbTa)N<sub>x</sub> Thin Films, Miguel Piñeiro [miguel.pineiro-sales@univ-lorraine.fr], Institut Jean Lamour - Université de Lorraine, France, Peru; Salah-Eddine Benrazzouq, Institut Jean Lamour - Université de Lorraine, France, Morocco; Alexandre Bouché, Valentin Milichko, David Pilloud, Thomas Easwarakhanthan, Institut Jean Lamour - Université de Lorraine, France; Frank Mücklich, Saarland University, Germany; Jean-François Pierson, Institut Jean Lamour - Université de Lorraine, France

In this study, high entropy nitride TiVZrNbTa thin films were prepared by DC reactive magnetron sputtering on silicon substrates at room temperature. The impact of varying nitrogen flow rateson the structural, microstructural, optical and electrical properties were investigated. X-ray diffraction technique revealed that all the deposited films exhibited a polycrystalline structure with fcc phase. However, the pure metallic samples displayed an amorphous structure [1]. Optical properties analysis showed a decrement of the reflectance compared with free-nitrogen sample in the infrared region, as determined by UV-VIS spectroscopy [2]. Hall-effect measurements indicate that the electrical resistivity for all samples remained within the range between 100 and 300  $\mu\Omega$  cm. Interestingly, samples deposited with applied substrate bias power during the deposition process did not show a significant change in resistivity. This suggests that substrate biasing has minimal effect on the electrical transport properties of the latter films. On the other hand, applying adjustable substrate bias led to a blueshift in the epsilon-near-zero (ENZ) wavelength. Furthermore, Xray photoelectron spectroscopy (XPS) shows the effect of the nitrogen flow rate on the residual stress [3] and plasmon frequency. The impact of varying nitrogen flow rates on the microstructural properties were further investigated and explained.

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MA-ThP-6 Microstructure Evolution and Oxidation Behavior of Diffusion Pt-y/y' and Pt-aluminide Coatings at 1200 °C, Radoslaw Swadzba [radoslaw.swadzba@git.lukasiewicz.gov.pl], Agnieszka Lukasiewicz Research Network - Uppersilesian Institute of Technology, Poland; Boguslaw Mendala, Lucjan Swadzba, Silesian University of Technology, Poland; Lukasz Pyclik, Michal Gut, Avio Polska sp. z o. o., Poland This study examines the microstructural evolution and oxide scale growth of  $Pt-\gamma/\gamma'$  and Pt-aluminide diffusion coatings applied to a secondgeneration single-crystal Ni-based superalloy at 1200 °C. The Pt-γ/γ' coatings were produced through platinum electroplating followed by a 2hour diffusion heat treatment at 1079 °C. Subsequently, Vapor Phase Aluminizing (VPA) at 1079 °C for 6 hours generated Pt-modified aluminide coatings. Both coating types were subjected to Thermogravimetric Analysis (TGA) in air for 20 hours as well as cyclic oxidation test up to 300 1-hour cycles at 1200 °C.

The initial and oxidized coatings were characterized using Electron Backscatter Diffraction (EBSD) to analyze phase transformations, grain size evolution, and interdiffusion between the coatings and the substrate alloy. In the as-deposited state, the Pt- $\gamma/\gamma'$  coating consisted of  $\gamma$  and  $\gamma'$  grains enriched in Pt, with a thickness of approximately 27  $\mu$ m, while the Pt-aluminide coating exhibited an outer zone of PtAl $_2$  and  $\beta$ -NiAl phases. During the high temperature oxidation testing, the Pt- $\gamma/\gamma'$  coating showed grain growth and Pt diffusion to a depth of approximately 70  $\mu$ m after 20 hours. The Pt-aluminide coating underwent martensitic transformation in its outer layer, with Al-depleted  $\beta$ -NiAl in its middle region and an interdiffusion zone containing Cr-rich precipitates.

High-resolution Scanning Transmission Electron Microscopy (STEM) provided detailed characterization of the alumina oxide scales formed on both coatings, revealing information on oxide grain size and the segregation of reactive elements (RE) to grain boundaries.

MA-ThP-7 Unprecedented B Solubility in Cubic (Hf,Ta,Ti,V,Zr)B-C-N Coatings, Andreas Kretschmer, TU Wien, Austria; Marcus Hans, Jochen Schneider, RWTH Aachen University, Germany; Paul Mayrhofer [paul.mayrhofer@tuwien.ac.at], TU Wien, Institute of Materials Science and Technology, Austria

We investigate the influence of compositional complexity in Ti-B-C-N-based coatings by depositing Ti-rich (Hf,Ta,Ti,V,Zr)B-C-N coatings with varying B/C ratios (from 0/21 to 32/0 at%). Despite the high B content of 32 at% in the C-free material, this  $(Hf_{0.1}Ta_{0.1}Ti_{0.6}V_{0.1}Zr_{0.1})B_{0.6}N_{0.4}$  forms a single-phase fcc solid solution without a boride tissue phase. All coatings- $(Hf_{0.1}Ta_{0.1}Ti_{0.6}V_{0.1}Zr_{0.1})B_xC_{0.5-x}N_{0.5}$ with x = 0.2, 0.3,  $(Hf_{0.1}Ta_{0.1}Ti_{0.6}V_{0.1}Zr_{0.1})B_{0.6}N_{0.4}, \quad \text{ and } \quad (Hf_{0.1}Ta_{0.1}Ti_{0.6}V_{0.1}Zr_{0.1})C_{0.4}N_{0.6} - exhibit$ similar hardness values of 37-38 GPa, but increasing B content leads to a decreasing indentation modulus. This trend is supported by ab initio calculations of fcc-( $Hf_{0.1}Ta_{0.1}Ti_{0.6}V_{0.1}Zr_{0.1}$ ) $B_xC_{0.5-x}N_{0.5}$  (for x=0, 0.125, 0.25, 0.375, 0.5), which also confirm the stability of these solid solutions over a wide compositional range. Despite increasing chemical complexity, the addition of B and C has little effect on lattice distortion.

Among the investigated coatings,  $(Hf_{0.1}Ta_{0.1}Ti_{0.6}V_{0.1}Zr_{0.1})B_{0.4}C_{0.1}N_{0.5}$  provides the best balance between high hardness  $(37.7\pm1.0~GPa)$  and fracture toughness  $(K_{IC}=4.0\pm0.5~MPa\cdot m^{0.5})$ . This compositionally complex, single-phase, fcc-structured Ti-rich  $(Hf_{.}Ta_{.}Ti_{.}V_{.}Zr)B$ -C-N retains its hardness—which even slightly increases to  $38.3\pm1.3~GPa$ —upon vacuum annealing up to 1200~C. X-ray diffraction and atom probe tomography confirm its high-temperature phase stability, as an hcp-TiB<sub>2</sub>-based phase forms only upon annealing beyond 1200~C. More generally, all  $(Hf_{0.1}Ta_{0.1}Ti_{0.6}V_{0.1}Zr_{0.1})B_{x}C_{0.5-x}N_{0.5}$  coatings with x=0.2, 0.3, and 0.4 exhibit a total configurational entropy of  $^{\sim}1.1\cdot R$  ( $^{\sim}1.25\cdot R$  at the metal sublattice and  $0.95\cdot R$  at the non-metal sublattice) and maintain a hardness of 36-38~GPa even when annealed at 1200~C, contrary to compositionally simpler coatings, which soften to below 29~GPa.

These findings highlight the advantages of compositionally complex mixed ceramic coatings, which outperform simpler Ti-B-N or Ti-C-N coatings with similar structure and composition. Furthermore, they demonstrate how solubility limits can be extended beyond currently known boundaries through advanced materials science, enabling outstanding properties.

MA-ThP-8 Ab Initio Assessed Influence of Si on the Structural Integrity of Group IV Transition Metal Diborides, Christian Gutschka, Lukas Zauner, Thomas Glechner, Christian Doppler Laboratory for Surface Engineering of high-performance Components, TU Wien, Austria; David Holec, Department of Materials Science, Montanuniversität Leoben, Austria; Helmut Riedl [helmut.riedl@tuwien.ac.at], Institute of Materials Science and Technology, TU Wien, Austria

Transition metal diborides, a class of refractory ceramics, have been shown to exhibit remarkable high-temperature stability and mechanical properties, encouraging research on their bulk and thin film forms. Scientific interest has been directed towards the formation of meta-stable solid solutions with silicon, with the aim of enhance oxidative properties and fracture characteristics. However, theoretical investigations of such ternary compounds remain rare. Therefore, in this study the structural, energetical, and mechanical properties of the Ti-Si-B<sub>2</sub>, Zr-Si-B<sub>2</sub>, and Hf-Si-B<sub>2</sub>, as well as their vacancy dynamics, were explored with the help of Density Functional Theory (DFT). In all three systems, silicon is observed to prefer the boron sublattice. Through structural analysis, solubility limits of 24 at. %, 27 at. %, and 25 at. % of Si in Ti(Si,B)<sub>2</sub> Zr(Si,B)<sub>2</sub>, and Hf(Si,B)<sub>2</sub>, could be established, respectively. An analysis of simulated XRD patterns, Radial Distribution Functions (RDFs), and Crystal Orbital Hamilton Populations (COHPs), revealed that the loss of AlB2-type symmetry could be attributed to the formation of Si clusters. Simulations of elastic properties demonstrated a reduction of Young's moduli but enhancing ductility criteria, both with increasing silicon contents, which was in line with experimental values up to 15 at. % Si. Concerning defects, the study revealed a structural instability of ternary AlB2-type compounds with respect to metal vacancies. Furthermore, it was observed that both metal and boron vacancies showed a decreasing influence on the formation energies as the Si content increased.

MA-ThP-9 Fabrication and High-Temperature Test of Light-Weight Insulation Materials and Coatings for Reusable Thermal Protection Materials, Seongwon Kim [woods3@kicet.re.kr], Korea Institute of Ceramic Engineering and Technology, Republic of Korea

Light-weight ceramic insulation materials and high-emissivity coatings were fabricated for reusable thermal protection systems (TPS). Alumina-silica fibers and boric acid were used to fabricate the insurlation, which was heat treated at 1250°C. High-emissivity coating of borosilicate glass modified with TaSi2, MoSi2, and SiB6 was applied via dip-and-spray coating methods and heat-treated at 1100°C. Testing in a high-velocity oxygen fuel environment at temperatures over 1100°C for 120 seconds showed that the rigid structures withstood the flame robustly. The coating effectively infiltrated into the fibers, confirmed by scanning electron microscopy, energy-dispersive X-ray spectroscopy, and X-ray diffraction analyses. Although some oxidation of TaSi2 occurred, thereby increasing the Ta2O5 and SiO2 phases, no significant phase changes or performance degradation were observed. These results demonstrate the potential of these materials for reusable TPS applications in extreme thermal environments.

# Functional Thin Films and Surfaces Room Golden State Ballroom - Session MB-ThP Functional Thin Films and Surfaces Poster Session

MB-ThP-1 Two-Dimensional Vacancy Confinement in Anatase TiO<sub>2</sub> Thin Films for Enhanced Photocatalytic Activities, Junwoo Son [junuson@snu.ac.kr], Seoul National University, Republic of Korea

Light-driven energy conversion devices call for the atomic-level manipulation of defects associated with electronic states in solids. However, previous approaches to producing oxygen vacancy  $(V_O)$  as a source of sub-bandgap energy levels have hampered the precise control of distribution and concentration in  $V_O$ .

Here, a new strategy to spatially confine  $V_O$  at the homo-interfaces is presented by exploiting the sequential growth of anatase  $TiO_2$  under dissimilar thermodynamic conditions. Remarkably, metallic behavior with high carrier density and electron mobility is observed after sequential growth of the  $TiO_2$  films under low pressure and temperature (L- $TiO_2$ ) on top of high-quality anatase  $TiO_2$  epitaxial films (H- $TiO_2$ ), despite the insulating properties of L- $TiO_2$  and H- $TiO_2$  single layers. Multiple characterizations elucidate that the  $V_O$  layer is geometrically confined within 4 unit cells at the interface, along with low-temperature crystallization of upper L- $TiO_2$  films; this two-dimensional  $V_O$ layer is responsible for the formation of in-gap state, promoting photocarrier

lifetime ( $^{\sim}$  300 %) and light absorption. These results suggest a synthetic strategy to locally confine functional defects and emphasize how subbandgap energy levels in the confined imperfections influence the kinetics of light-driven catalytic reactions.

This work is performed by the collaboration with Mr. Minwook Yoon,Dr. Yunkyu Park, Ms. Hyeji Sim, Ms. Hee Ryeung Kwon, Dr. Yujeong Lee, Prof. Ho Won Jang, Prof. Si-Young Choi.

MB-ThP-3 Synthesis and Characterization of Zn Doped CsPbl<sub>3</sub> Perovskite Quantum Dots, *Ya-Fen Wu [yfwu@mail.mcut.edu.tw]*, *Hao-Yu Jhai*, Ming Chi University of Technology, Taiwan

The increasing focus on sustainable energy has driven advancements in renewable technologies, with quantum dot solar cells gaining particular interest in photovoltaics for their ability to efficiently convert sunlight into electricity. Early cells used II-VI semiconductors with high crystallinity and luminescence but were limited by toxicity and complex synthesis.In contrast, all-inorganic perovskite quantum dots such as CsPbX3 (X=CI, Br, I) have gained prominence due to their excellent photoelectric properties, low cost, and easy to be manufactured. Moreover, compared to organic-inorganic perovskites, all-inorganic perovskites are more stable under high temperature and with extremely high quantum yield.Consequently, they are gradually becoming mainstream in research and development.

Metal ion doping is widely recognized as one of the most effective strategies to enhance the efficiency of perovskite light-emitting devices. In this study, CsPbI<sub>3</sub> all-inorganic perovskite QD thin films were prepared with various concentrations of zinc acetate (0%, 3%, 5%, and 7.5%) added as dopants. Temperature-dependent photoluminescence was carried out from 20 K to 300 K. To investigate the thermal behaviors of peak energy, full width at half maximum, and intensity of the PL spectra measured from our samples, the carrier emission mechanism, electron-phonon scattering, electron-phonon interaction and thermal expansion effect on the band-gap are discussed. As the increasing of the Zn doping concentration from 0% to 7.5%, the PL peaks were shifted from 1.74 eV to 1.73 eV at 20 K. In addition, a noticeable blueshift of emission peaks was observed with increasing temperature for all the samples, which attributed to the effects of lattice thermal expansion and electron-phonon interactions. The PL intensity increases as the Zn doping concentration increases from 0% to 5% and then decreases as the doping concentration is 7.5%. It implies that Zn doping lowers the defect density in QDs by reducing lattice distortion and enhancing crystal quality; but under higher doping concentration, the dopants may not have enough time to move into the right positions of the structure, result in the degradation the thin film quality. Furthermore, the PL intensity decreases with increasing temperature for all the samples; however, the sample with 5% Zn doping concentration exhibited the highest intensity at 300 K. It reveals that the optical properties of CsPbl. OD thin films was improved by an appropriately increasing Zn doping.

## MB-ThP-5 Top-Emitting QLEDs with a Thin Stabilizing Layer to Prevent Ag Agglomeration, *Jaehyung Park [parkja0404@kyonggi.ac.kr]*, *Kangsuk Yun, Jaehwi Choi, Jiwan Kim*, Kyonggi University, Republic of Korea

Colloidal quantum dots (QDs) are semiconductor nanoparticles composed of a core, shell, and organic ligands. They have unique optical and electrical properties due to quantum confinement effects, which enable the bandgap to vary with particle size. This characteristic allows easy modification of emission wavelengths, producing various colors of light. QDs are compatible with solution process and notable for their narrow full-width at half-maximum for the high color purity. Due to these advantages, quantum dot light emitting diodes (QLEDs) that use QDs as light emitting layers are being recognized as a promising next-generation display technology. In the field of AR/VR devices, Organic Light Emitting Diode on Silicon (OLEDoS) has received significant attention recently. This technology uses silicon as a substrate and emits light from the top with micropatterned structure, thus research on top-emitting devices is essential. However, there is still limited research on QLEDs in this area.

In top-emitting quantum dot light emitting diodes (TQLEDs), a transparent metal such as Ag is commonly used as the top electrode due to its high transparency and electrical conductivity. However, the deposition of thin Ag layer to achieve high transparency leads to agglomeration, which prevents the formation of a uniform layer, and results decreased conductivity. In this study, we used 2,2',2"-(1,3,5-Benzinetriyl)-tris(1-phenyl-1-H-benzimidazole) (TPBi) as a stabilizing layer to suppress the agglomeration of Ag in TQLEDs. TPBi has high electron affinity, which makes it effective in interacting with Ag to inhibit agglomeration. Various thickness of TPBi was applied to investigate the change of Ag agglomeration. As a result, the transmittance of transparent top electrode was over 50%, and TQLEDs incorporating TPBi

as a stabilizing layer successfully achieved a maximum luminance exceeding  $100,000 \, \text{cd/m}^2$ . Enhanced top electrode can provide another approach to improve the performance of top-emitting devices.

MB-ThP-8 Highly efficient of QLEDs Using SnO<sub>2</sub> Electron Transport Layers Deposited by RF Sputtering, *Jaehwi Choi [jksix@kyonggi.ac.kr]*, *Jaehyung Park, Kanqsuk Yun, Jiwan Kim*, Kyonggi University, Republic of Korea

Colloidal quantum dots (QDs) are semiconductor nanoparticles with unique optical and electrical properties. By controlling particle size, QDs can exhibit various colors and provide excellent color reproducibility. Due to these advantages, quantum dot light-emitting diodes (QLEDs) using QDs as the emissive layer are studied actively. In QLEDs, the electron transport layer (ETL) is essential for electron transport and charge balance, and optimizing ETL can enhance device stability and efficiency. In general, ZnO nanoparticles (NPs) are commonly used as ETL for their high electron mobility and transmittance. However, ZnO NPs aggregate easily at room temperature, leading to reduce stability. Therefore, SnO<sub>2</sub>, which offers high electron mobility, transmittance, and excellent stability, is gaining attention as an ETL material. Typically, the ETL is deposited via solution processes like spin coating, but this method has challenges such as difficulty in thickness control, poor crystallinity and uniformity of the thin films. In this study, we deposited SnO2 as the ETL using RF sputtering process for high reproducibility and excellent crystallinity. It is well known that crystallinity of inorganic materials are directly related to their electrical properties. To adjust the physical and chemical properties of SnO2thin film, we controlled the substrate temperature and Ar/O2ratio during RF sputtering while fabricating inverted devices with the structure ITO/SnO<sub>2</sub>/QDs/CBP/MoO<sub>3</sub>/Al. As the substrate temperature increased, the crystallinity of sputtered SnO<sub>2</sub> thin film improved, which leaded the enhancement of electron mobility and improvement of electrical properties of devices. QLEDs employing the optimized SnO<sub>2</sub> ETL exhibited more than 120,000 cd/m<sup>2</sup> and a current efficiency of 15 cd/A which showed comparative performance with QLEDs using soluble SnO2NPs as an ETL. Additionally QLEDs with sputtered ETL showed better stability due to the uniform SnO<sub>2</sub> layer, which is advantage for practical display mass production.

MB-ThP-9 Optimizing Y<sub>2</sub>O<sub>3</sub> Coating for Improving Plasma Resistance in Dry Etching Process, Sunil Kim [sunil725.kim@semes.com], Sunghwan CHO, Ja Myung Gu, Seungpil Chung, Gil Heyun Choi, SEMES Co., Ltd., Republic of Korea

Plasma-resistant Y<sub>2</sub>O<sub>3</sub> coating is essential for extending the durability and replacement cycles of semiconductor components that face intense etching conditions. Plasma etching typically involves both physical ion bombardment and chemical reactions with surface. To counter these effects, recent advancements in Y2O3 coating focus on enhancing etch resistance and film density through physical vapor deposition (PVD) methods. While several studies have aimed to further improve the plasma resistance of PVD Y<sub>2</sub>O<sub>3</sub> coatings by increasing hardness, our observations suggest that beyond a certain hardness threshold (>900 HV), the relationship between hardness and plasma resistance became weak. Consequently, this study focuses on the characteristics of residual surface stress as a primary factor influencing plasma resistance. The residual stress in the coating was measured using X-ray diffraction (XRD) equipment and calculated based on the peak shift observed with varying psi angles. Comparing residual stress and plasma resistance in PVD Y2O3 coatings manufactured under identical conditions, we found that coatings with tensile surface stress exhibited approximately 25% better plasma etch resistance than those with compressive stress. Although both coatings displayed similar grain size and hardness, the superior plasma-resistant coating demonstrated a tensile surface stress of around 600 MPa, whereas the less resistant sample had a compressive stress of approximately 300 MPa. This enhanced resistance in tensile-stressed coatings can be attributed to channeling effects, where the increased atomic spacing prevents accelerated plasma ions from interacting directly with atoms, allowing them to pass through specific crystallographic directions without obstruction. This study aims to establish a better understanding of the correlation between surface residual stress and plasma etch resistance in PVD Y<sub>2</sub>O<sub>3</sub> coatings and to propose new criteria for evaluating such coatings, ultimately contributing to enhanced performance in etching equipment.

MB-ThP-10 Electrical and Morphological Properties of Alloyed Al<sub>2</sub>O<sub>3</sub> Thin Films at High Temperatures, Norma Salvadores Farran [norma.salvadores@tuwien.ac.at], Florentine Scholz, Tomasz Wojcik, Christian Doppler Laboratory for Surface Engineering of high-performance Components, TU Wien, Austria; Carmen Jerg, Astrid Gies, Jürgen Ramm, Oerlikon Balzers, Oerlikon Surface Solutions AG, Liechtenstein; Szilard Kolozsvári, Peter Polcik, Plansee Composite Materials GmbH, Germany; Jürgen Fleig, Tobias Huber, Institute of Chemical Technologies and Analytics, TU Wien, Austria; Balint Hajas, Institute of Materials Science and Technology, TU Wien, Austria; Helmut Riedl, Christian Doppler Laboratory for Surface Engineering of high-performance Components, TU Wien, Austria

Aluminium oxide ( $Al_2O_3$ ) is a well-known insulating material employed in a wide range of applications, both as structural component as well as in thin film form.  $Al_2O_3$  can be stabilized in several polymorphs, in addition to an amorphous modification. Especially the amorphous state of  $Al_2O_3$  exhibits interesting features, considering the absence of crystalline defects for diffusion of charge carriers paired with the difficulties in stabilizing crystalline  $Al_2O_3$  during physical vapor deposition (PVD). Furthermore, amorphous materials are free of pinholes, which is favourable for a number of applications. Consequently, it is crucial to investigate economically and sustainably viable deposition techniques to grow insulating  $Al_2O_3$  thin films.

Therefore, this study focuses on the effect of alloying elements such as silicon and yttrium-zirconium (YZr) on the thermal stability of amorphous Al<sub>2</sub>O<sub>3</sub> based thin film materials up to 1200°C. The amorphous Al<sub>2</sub>O<sub>3</sub> thin films have been synthesised via a reactive Modulate Pulse Power (MPP) sputtering processes. In all depositions, an in-house developed sputter system, equipped with a 3" Al target, was used in a mixed Ar/O<sub>2</sub> atmosphere. To this end, two types of targets were employed: an Al-Si target and Al-YZr target. The impact of the deposition parameters on the structure, morphology, and electrical resistivity at high temperatures was investigated using high-resolution characterization methods such as XRD, SEM. HR-TEM or in-situ set-ups for annealing treatments. The insulating behaviour of the coatings was analysed using in-situ impedance spectroscopy across a temperature range. Ti/Pt electrode pads were deposited on the thin films using a lithography process for the purpose of electrical characterization. In addition, the bonding type was investigated via XPS, which was also employed to determine the chemical composition across the thickness of the coating.

MB-ThP-11 Analysis of Four-Point Bending Test for Nb, Ta, and V-Doped CrYN Thin Films Deposited by Closed-Field Unbalanced Magnetron Sputtering, Banu YAYLALI, Gokhan Gulten, Mustafa YESILYURT, Yasar TOTIK, Atatürk University, Turkey; Justyna Kulczyk Malecka, Peter Kelly, Manchester Metropolitan University, U.K.; Ihsan Efeoglu [iefeoglu@atauni.edu.tr], Atatürk University, Turkey

The increasing expectations and requirements for engineering materials are steadily compelling researchers to evolve and innovate further. Adding transition metals to coating architectures is becoming increasingly attractive as it improves structural and mechanical properties. In this work, CrYN thin films incorporating transition metals Nb, Ta, and V were deposited on a 316L stainless steel substrate using Closed Field Unbalanced Magnetron Sputtering (CFUBMS) with a DC and pulsed-DC power supply. The microstructural properties of the thin films were analyzed using scanning electron microscopy (SEM), while X-ray diffraction (XRD) and X-ray photoelectron spectroscopy (XPS) provided a comprehensive understanding of the coating structure by providing information on crystallographic and surface chemical properties. Mechanical properties were evaluated using nanoindentation testing, which provided accurate measurements of hardness and elasticity, while scratch testing assessed critical load values. In addition, four-point bending tests were performed at room temperature to characterize the CrYN:Nb/Ta/V transition metal nitrides (TMNs), providing a more comprehensive analysis of the mechanical behavior (flexural strength and elastic modulus) and adhesion properties of the coating. The mechanisms of coating damage (crack formation and density, spalling, flaking, and separated coating particles) were analyzed as a result of four-point bending tests. The Taguchi approach was employed to investigate how deposition parameters—such as target current, duty cycle, and pulse frequency—affect elastic modulus and bending strength. Superior structural (homogeneous and dense film) and mechanical properties (CrYN:Nb/Ta/V high hardness values of 21.4, 18.2, 16.1 GPa, and bending strengths of 707, 711, and 697 MPa, respectively) were obtained. The positive correlation between hardness and bending strength points to an enhancement in the overall durability of the thin film.

MB-ThP-12 Halide-Treated ZnMgO Nanoparticles for Improving Stability of InP Based Quantum-Dot Light-Emitting Diodes, Kangsuk Yun [riverstone@kyonggi.ac.kr], Jaehyung Park, Jaehwi Choi, Jiwan Kim, Kyonggi University, Republic of Korea

Quantum dots (QDs) are nanometer-sized semiconductor particles, and Quantum Dot Light Emitting Diodes (QLEDs) are electroluminescent devices that use QDs as an emitting layer. As QD size decreases, the quantum confinement effect enhances the discreteness of energy levels, leading to an increased bandgap. Consequently, by manipulating the size of QDs, it is possible to produce various colors of light and enhance color purity by narrow full width at half maximum. ZnMgO NPs, which are currently used as the electron transport layer (ETL) in QLEDs, are actively researched due to their high electron mobility and chemical stability. However, there are inevitable oxygen vacancies in thin films using ZnMgO NPs, which reduce the performance of QLEDs by exciton quenching. In this study, we used ZnMgO NPs as the ETL to fabricate InP QD-based QLEDs, which consisted of multilayers: ITO/ZnMgO/red InP QDs/CBP/MoO<sub>3</sub>/Al. First, we formed ZnMgO NPs film on ITO glass and passivate halides on ZnMgO NPs to reduce oxygen vacancies. New Zn-halide and Mg-halide peaks were observed in the x-ray photoelectron spectroscopy. Additionally, photoluminescence (PL) measurements showed that halide-treated ZnMgO NPs exhibited a higher PL intensity compared to untreated ZnMgO NPs. These results indicate that the halide treatment effectively reduces oxygen vacancies in ZnMgO NPs, and its effect was verified with the inverted structured OLEDs. The maximum luminance of OLEDs with halide-treated ZnMgO NPs (h-QLEDs) showed 1,134 cd/m<sup>2</sup>, compared to 696 cd/m<sup>2</sup> for the QLEDs with pristine ZnMgO NPs (p-QLEDs). After aging for 48 hours in a nitrogen atmosphere, h-QLEDs showed 1,290 cd/m<sup>2</sup>, but the performance of p-QLEDs decreased dramatically to 64.67 cd/m2. The experimental results indicated that the halide-treated ZnMgO NPs enhance the optical properties and stability of QLEDs, which can contribute QDs display commercialization.

MB-ThP-13 Inkjet Printing of Silver Film on Polydimethylsiloxane for Soft Electronics, Hsuan-Ling Kao [snoopy@mail.cgu.edu.tw], Chang Gung University, Taiwan; Li-Chun Chang, Mingchi University of Technology, Taiwan; Min-Hsuan Lu, Chang Gung University, Taiwan

As the development of fifth-generation mobile communication technology expands into medical intelligence, the demand for flexible and wearable devices has increased significantly. The flexible polymer substrates are very promising for expansion into millimeter wave band applications. Among these polymers, Polydimethylsiloxane (PDMS) has recently gained much attention for the development of wearable antennas, sensors, and RF switch. PDMS is a transparent and colorless high molecular polymer with biocompatibility. Its mechanical properties are similar to human skin (elastic modulus ~2 MPa) and can be smoothly attached to the surface of object. Therefore, PDMS is like human skin and can be attached to various parts of the human body, making it an electronic skin for biological monitoring. In order to fabricate electronic devices on these flexible plastic materials, the interconnection using metal layers are essential. However, PDMS is softer than other flexible substrates, and its surface has poor wettability, making it difficult for the metal layer to adhere. Therefore, traditional production methods such as transfer printing or screen printing cannot be used to produce electrodes. Inkjet printing technology is used to deposit metal films on PDMS using non-contact material deposition and digital patterning. The inkjet printing technology can produce highly conductive films at a lower process temperature, without the need for etching steps and the process is simple. In this work, Inkjet-printed silver thin film on PDMS substrate process was established. First, the PDMS surface uses plasma technology to control its energy and time to convert hydrophobicity into hydrophilicity. Then, silver films were printed onto PDMS substrate, followed by curing in an oven to remove excess solvent and material impurities. Multi-pass printing is required to achieve good conductivity and enough thickness. The conditions for plasma treatment of PDMS were examined by water contact angle to optimize surface wettability. The conductivity, thickness and surface morphology of the printed metal film depend on the printing thickness and sintering temperature. The conductivity and surface morphology were measured using the four-probe method and SEM photos. The optimization of inkiet printing process and surface treatment study of inkjet-printed silver film were presented with details. Based on optimal conditions, inkjet-printed silver lines on PDMS substrate were implemented to study the RF performance. The results demonstrate that inkjet printing of metals on PDMS substrates offers the feasibility of soft electronics.

MB-ThP-19 Microstructural Evolution of Co-Sputtered Nanocrystalline Cu-Ag Alloy Thin Films During Annealing Process, Yu-Lin Liao [20193eileen@gmail.com], College of Semiconductor Research, National Tsing Hua University, Taiwan; Tsai-Shuan Kuo, Fan-Yi Ouyana, Department of Engineering and System Science, National Tsing Hua University, Taiwan Copper and silver films, known for excellent conductivity, are widely used as conductive layers in semiconductors. In 3D IC technology, direct bonding replaces solder balls to reduce RC delay and power consumption. To understand the potential of copper-silver alloys for direct bonding, it is very important to understand the properties and structure of copper-silver films. In the study, we investigate the microstructural evolution of the two-phase Cu-Ag alloy films during the annealing process with different doping concentrations and annealing temperatures for 1, 24 and 48 hours respectively. Oversaturated fine crystalline Cu-Ag alloy films with doping levels of 20 at.% and 40 at.% of Ag were fabricated using a magnetron sputtering system. The films were then annealed at four temperatures, i.e. 200°C, 250°C, 300°C, and 400°C to understand their thermal stability and property evolution. The results show that Cu concentration on the surface slightly increases with rising annealing temperature after annealing for 1 and 24 hours. But when the annealing temperature increased to 400°C, the rich Ag, instead of Cu, was accumulated to the surface of the films. In addition, Oversaturated solid solution films were annealed at 3 different vacuum levels( $1 \times 10^{-6}$  torr,  $5 \times 10^{-3}$  torr, and 760 torr). The microstructural and property evolution during annealing and the corresponding mechanism

MB-ThP-21 Fabrication and Properties of Zinc Oxide Thin Film Prepared by Thermal Evaporation Method, Bassel Abdel Samad [bassel.abdel.samad@umoncton.ca], Zackaria Kabore, Université de Moncton, Canada

will be discussed in detail.

Thin films of ZnO were deposited with a thickness of 50 nm using the thermal evaporation technique at different substrate temperatures during the deposition process. Optical measurements of transmittance and reflectance were performed using a spectrophotometer, and the film thickness was characterized using spectroscopic ellipsometry. Based on these measurements, the bandgap was calculated: it is 3.68 eV for the sample at room temperature and 4 eV for the other temperatures. Additionally, the electrical properties were characterized using an electrometer and a four-point probe. The resistivity values for the sample were found to be in the order of gigohms (G $\Omega$ ), and conductivity increased with rising temperature. Finally, the activation energy was calculated for a metallic sample with a Zn phase.

MB-ThP-22 High-Performance Methyl Mercaptan Gas Sensor based on Tellurene Nanowires for Breath Analysis Application, Yeonjin Je [jejinjin7@gmail.com], Sang-Soo Chee, Korea Institute of Ceramic Engineering & Technology, Republic of Korea

Tellurene, 2D semimetallic material composed of tellurium atoms, exhibits exceptional sulfur compound gas sensing capabilities due to its strong affinity and a high hole mobility of 2000 cm<sup>2</sup>/Vs. These distinct properties enable a rapid gas response time even at room temperature, in contrast to metal oxide-based gas sensors operating above 300 °C. Among sulfur compound gas molecules, methyl mercaptan (CH<sub>2</sub>SH) is a representative odor gas molecule and a biomarker for diagnosing halitosis disease. However, its sensing detection properties have not yet reported. Here, we investigated CH<sub>3</sub>SH sensing characteristics of the tellurene nanowire-based sensor at room temperature. These gas responses increased from 52% (RH 0%) to 179% (RH 80%), with a faster response time of 24.5 s even under humid conditions. Furthermore, a superior limit of detection (LOD) of 18 ppb was achieved even at RH 80% for the first time. These noticeable detection performances are attributed to the synergistic interaction between water molecules and the surface of tellurene. We finally demonstrated a breath analysis module incorporating our Tellurene-based sensor to prove the feasibility for breath analysis application. This sensing platform represents a significant step toward practical gas sensors for oral health monitoring, combining high sensitivity, fast response, and humidityenhanced performance to ensure reliable operation in real-time breath

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MB-ThP-23 Enhanced Electrochemical Performance and Stability of ZincIon Batteries Using Tellurium Nanowires, Hyun Tae Kim
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Technology (KICET), Republic of Korea; Gyeong Hee Ryu, Gyeongsang
National University, Republic of Korea; Sang-Soo Chee, Korea Institute of
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Aqueous zinc-ion batteries (ZIBs) have attracted significant attention as a promising technology for next-generation energy storage systems due to their safety, environmental friendliness, and high cost-effectiveness. However, practical applications of ZIBs face critical issues including dendrite growth, corrosion, and dissolution of the metallic Zn anode. Additionally,  $\rm MnO_2$ -based cathodes suffer from poor wettability and low electrical conductivity, leading to significant performance degradation.

1D tellurium (Te) nanowires exhibits a good electrical conductivity with a good chemical stability, enhancing ZIB performances. Furthermore, Te atoms can electrochemically interact with Zn ions, leading to improved energy storage performance.

Here, we introduce 1D Te nanowires as a conductive additive for  $MnO_2$  cathodes and as an anode protective coating layer, aiming to enhance the energy storage performance in ZIB.

First, Electrochemical analysis revealed that the integration of Te nanowires into the  $\rm MnO_2$  cathode significantly reduced charge transfer resistance while simultaneously enhancing energy storage performane. This improvement originates from the intrinsic 1D structure of Te nanowires, which facilitates better electron pathways for faster charge transport.

Second, Te nanowire coating on anode surface effectively suppressed dendrite formation and promoted uniform nucleation, resulting in enhanced cycling stability. The modified Zn anode exhibited capacities ranging from 344 to 160 mAh/g at current densities ranging from 0.3 to 2.0 A/g, while maintaining excellent stability over 200 cycles.

This study demonstrates that Te nanowires in both the  $\rm MnO_2$  cathode and Zn anode systems significantly enhance the electrochemical performance of ZIBs. This approach makes it a promising approach for next-generation aqueous ZIBs.

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MB-ThP-24 Development of Functional Insulation and Wear Protection Layers for Coating Sensors, *Martin Welters [welters@kcs-europe.com]*, *Rainer Cremer*, KCS Europe GmbH, Germany

The mobility sector is one of the main emitters of greenhouse gases. Therefore, providers of mobility services and systems in particular are facing a profound transformation process towards climate neutrality. An important driver on the way to emission-free production is circular production. It enables a significantly lower primary resource requirement and thus reduced environmental impact. The overarching aim of the project is to improve the  $\text{CO}_2$  and environmental balance of structural and hybrid components by implementing a consistent increase in efficiency, the use of recyclates and a weight optimized component design.

One sub-project of the association is concerned with the development and design of sensory tool inserts for in-situ temperature measurement during the manufacture of automotive components from recycled materials. The sensory layer system consists of several individual layers (sensor layer, electrical insulation layer and wear protection layer) which are applied on top of each other as a layer stack. KCS Europe is responsible for producing the insulation and wear protection layers. Vacuum coating processes such as physical vapor deposition or plasma-assisted chemical vapor deposition are used for this purpose. An essential requirement is usually that the coatings must meet the durability criteria required for the application in addition to the sensory requirements, especially for the use of polymer melts with recycled material components. In cooperation with the partners, new layer systems are being tested and systems are being provided for large-scale implementation.

MB-ThP-25 Sub-10nm Superlattice HZO on CMP-Planarized Metal Surfaces Achieving High Remanent Polarization and Endurance, Zefu Zhao, Dun-Bao Ruan, FZU-Jinjiang Joint Institute of Microelectronics, College of Physics and Information Engineering, School of Advanced Manufacturing, Fuzhou University, China; Qian Cheng Yang [455783022@qq.com], FZU-Jinjiang Joint Institute of Microelectronics, College of Physics and Information Engineering, School of Advanced Manufacturing, Fuzhou University, Fuzhou University, China; Kai-Jhih Gan, FZU-Jinjiang Joint Institute of Microelectronics, College of Physics and Information Engineering, School of Advanced Manufacturing, Fuzhou University, China; Kuei-Shu Chang-Liao, Department of Engineering and System Science, National Tsing Hua University, Taiwan

This work presents a novel approach to fabricating high-performance ferroelectric capacitors through atomic layer deposition (ALD) of sub-10nm  $Hf_{0.5}Zr_{0.5}O_2$  (HZO) superlattices on chemically-mechanically polished (CMP) metal electrodes. The ultra-flat electrode surface (RMS roughness = 0.3 nm) enables precise control of crystallographic orientation, as confirmed by electron diffraction patterns showing c-axis alignment of orthorhombic-phase HZO along the deposition direction.

The optimized flat electrode system demonstrates superior interface quality with HZO, achieving a high remanent polarization ( $2P_r = 63 \ \mu \text{C/cm}^2$ ) in the sub-10nm thickness regime.

The ALD-grown HZO superlattice architecture, combined with CMP planarization, enables uniform electric field distribution. This interfacial engineering strategy results in outstanding endurance characteristics, maintaining 90% of initial polarization (56  $\mu\text{C/cm}^2)$  through  $1\times10^{12}$  switching cycles.

This study establishes a manufacturable pathway for implementing highperformance ferroelectric memories in advanced nodes, demonstrating the critical role of metal electrode engineering in achieving reliable ferroelectricity in ultrathin HZO films.

MB-ThP-26 The duality of Thermal and Magnetic Properties of Ni-Ta Thin Films: A New Generation of Sensing Devices, Armando Ferreira [armando.f@fisica.uminho.pt], Filipe Vaz, Cláudia Lopes, University of Minho, Portugal

Nickel-Tantalum (Ni-Ta) thin films have emerged as promising candidates for multi-sensing applications, combining electrical, magnetic, and thermoelectric functionalities. In this study, Ni-Ta nanostructures were synthesized via DC magnetron sputtering and integrated into a prototype to evaluate their dual capability: sensing temperature variations and generating an electrical potential under a constant magnetic field. By tuning the Ta content, three compositional groups were identified, significantly affecting their structural and functional properties. Ni-rich films exhibited he lowest sheet resistance (~14  $\Omega$ /sq), while increasing Ta content induced higher magnetic disorder and enhanced the temperature coefficient of resistance (TCR), reaching 5.43×10<sup>-1</sup> K<sup>-1</sup> for a Ta/Ni ratio of 0.48. These results highlight the potential of Ni-Ta thin films as functional surfaces for thermoelectric energy harvesting and multi-sensing applications, making them promising materials for next-generation sensor technologies.

MB-ThP-27 Electrical and Physical Properties of Dual-Active Channel TFTs Composed of Controlled Hf Doped InGaSnO Layer and an InGaSnO-Only Layer, Seungjin Kim [epicus87@naver.com], Byoungdeog Choi, Sungkyunkwan University (SKKU), Republic of Korea

In this study, a dual-channel layer TFT was fabricated using HfO2 and IGTO co-sputtering, and its electrical and physical properties were analyzed. Enhancing the reliability of amorphous metal oxide(AOS) based TFTs by strengthening metal-oxygen bonds through doping to reduce oxygen vacancies has been extensively studied. However, some reliability improvements achieved through doping have also been observed to cause side effects, such as reduced mobility and decreased on-current. To address these issues, this study fabricated TFTs with a dual-layer structure consisting of a pure InGaSnO layer and a Hf-doped layer, and examined their electrical and physical properties. Through the dual-layer channel structure, we were able to achieve both the high mobility characteristics of IGTO-only TFTs and the reliability improvement effects of the Hf-doped layer. The reliability changes were evaluated by measuring bias stress (PBS, NBS, PBIS, NBIS) according to the Hf doping concentration in the doped layer, and physical property changes were analyzed through optical transmittance, XPS, UV-vis, and AFM measurements. This study suggests an optimal device fabrication method that can improve the reliability issues caused by stress, a persistent problem in oxide semiconductors, without performance degradation.

MB-ThP-32 Optical and Protective Coatings Synthesized by Magnetron Sputtering, Eric Aubry, Pascal Briois [pascal.briois@utbm.fr], FEMTO-ST, France

The consortium of Opti-Reve project is composed by Surcotec and HE-Arc for the Swiss part and Gaggionne and UTBM for the French part. This project aims to develop a new technological solution (optical and protective coatings) in order to improve the quality of optical polymer components thanks to new functionalities brought to the surface by PVD technology, notably the corrosion resistance and the wear, as well as the brightness.

As part of this study, we first theoretically defined the material presenting the best reflection for the application and its thickness. The aluminium offers the best compromise between optical performance and cost production. With a thickness of about 50 nm, its reflection is only lowered by a few percent compared to that obtained with a silver mirror. In order to protect it from external environmental aggressions, a transparent layer such as aluminum oxide or nitride and also silicon oxide or nitride is implemented. Optical modeling reveals that the a\* and b\* components are lowest for thicknesses of about 125 nm and 350 nm. The importance of thickness will be studied in terms of its protective properties and corrosion resistance.

From the experimental point of view, the films were sputtered by magnetron sputtering from metallic targets in a neutral argon atmosphere for the reflective layer, then in a reactive atmosphere for the protective layer. First, the stability of the Al-O, AL-N, Si-O and Si-N systems is studied for fixed conditions of plasma gas flow rate and current dissipated on the target. Once the reactive gas flow rates are determined for the synthesis of ceramics, the bilayer thin films is synthesized under specific substrate. The thin films are characterized by scanning electron microscopy, X-ray diffractionfor the morphological and structural properties, by spectrophotometry for the optical properties , and with a nanohardness test for the mechanical properties.

#### Funding:

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MB-ThP-33 Influence of Substrate Temperature on the Structural and Mechanical Properties of Ti-Zr Oxynitride Thin Films, Rogelio Ospina [rospinao@uis.edu.co], Sergio Andres Rincon, Jorge Hernan Quintero, Universidad Industrial de Santander, Colombia

Titanium and zirconium oxynitrides have garnered significant attention due to their unique physicochemical properties. Titanium oxynitrides are extensively utilized in the medical and chemical industries owing to their exceptional combination of mechanical strength and chemical stability. Meanwhile, zirconium oxynitrides have attracted considerable interest in the electronics industry due to their promising electrical properties. These materials exhibit the advantageous characteristics of nitrides, such as high hardness and wear resistance, as well as those of metallic oxides, including tunable optical properties, chemical stability, and coloration effects. Given these attributes, the development of Ti-Zr oxynitride thin films is of particular scientific interest, especially in understanding how substrate temperature influences their structural and mechanical properties.

This study aims to investigate the effect of substrate temperature on the structural and mechanical characteristics of Ti-Zr-O-N thin films deposited via pulsed laser deposition (PLD). The deposition process was performed on commercial titanium substrates using an Nd:YAG excimer laser with a wavelength of 355 nm, a pulse duration of 8 ns, and a source energy of 150 mJ. The samples were subjected to controlled temperature variations in an oxidative atmosphere within a high-pressure chamber integrated with the X-ray Photoelectron Spectroscopy (XPS) system to assess surface chemical modifications. Furthermore, variations in the hardness of the substrate-coating system were evaluated using microindentation testing before and after oxidative treatment.

The microstructural evolution of the coatings was characterized using X-ray diffraction (XRD), while the surface morphology of the processed films was analyzed via Atomic Force Microscopy (AFM). The findings of this study provide valuable insights into the correlation between deposition parameters and the physicomechanical properties of Ti-Zr oxynitride coatings, contributing to the optimization of their applications in advanced engineering fields.

MB-ThP-34 Functionalization of SnO2 Electron Transport Layer with Phosphonic Acid Derivative for Enhanced Perovskite Solar Cell Performance, Biplav Dahal [biplav.dahal@udc.edu], Akhil Prio Chakma, Hongmei Dang, University of the District of Columbia, USA

Interfacial engineering is critical in optimizing charge transport, mitigating recombination losses, and improving the long-term stability of perovskite solar cells (PSCs). In this work, we explore the functionalization of the SnO<sub>2</sub> electron transport layer (ETL) with (2-chloro-2-phenyl-vinyl)-phosphonic acid (CPVPA), a phosphonic acid derivative, to enhance interfacial properties and device performance. CPVPA contains key functional groups that contribute to interface engineering: the -PO<sub>3</sub>H<sub>2</sub> group facilitates strong chemical bonding with SnO<sub>2</sub>, potentially passivating defect sites and tuning energy levels; the phenyl group may aid in charge transport and surface energy alignment; and the chlorine atom could introduce dipole effects or modulate the electronic environment, thereby improving band alignment with the perovskite absorber. Additionally, the structural stability provided by the phenyl group may further contribute to enhanced device stability. The impact of CPVPA modification was examined through structural and morphological characterization using X-ray diffraction (XRD), scanning electron microscopy (SEM), and atomic force microscopy (AFM), which revealed improved perovskite crystallinity, enlarged grain sizes, and a more uniform film morphology with reduced surface roughness and pinholes. To further probe the chemical interactions and electronic structure changes at the SnO<sub>2</sub> interface, X-ray photoelectron spectroscopy (XPS) and Fouriertransform infrared spectroscopy (FTIR) are planned. Photovoltaic performance evaluations have demonstrated improved power conversion efficiency (PCE) for CPVPA-modified devices compared to unmodified controls. Additionally, preliminary stability studies suggest that CPVPAmodified perovskite film exhibits enhanced moisture resistance. This study highlights the potential of phosphonic acid-based interfacial engineering to improve efficiency and enhance the stability of PSCs. The findings contribute to ongoing efforts toward developing more reliable and scalable perovskite photovoltaics.

# Tribology and Mechanics of Coatings and Surfaces Room Golden State Ballroom - Session MC-ThP

## Tribology and Mechanics of Coatings and Surfaces Poster Session

MC-ThP-1 Role of Layer Position During Thermo-Mechanical Loading of Trilayers, *Megan J. Cordill [megan.cordill@oeaw.ac.at]*, Claus O.W. Trost, Erich Schmid Institute of Materials Science, Austrian Academy of Sciences, Austria

Thermo-mechanical loading of thin films on rigid substrates is common method to assess film stresses as a function of temperature. However, these experiments have historically only been performed on single layer films even though multilayers are used in all advanced thin film technology. To illustrate the feasibility of measuring the thermo-mechanically induced stresses of multiple layers simultaneously, different architectures of brittle-ductile-brittle and ductile-brittle-ductile trilayers on silicon were heated with in-situ X-ray diffraction (XRD). The use of XRD provides individual film stress evolution simultaneously to understand delamination mechanisms of the trilayer architecture. The main aspects presented will be the strain evolution under thermo-mechanical loading as a function of layer position. Following Mo and Cu films from next to the substrate, to the middle position, and as the top surface film found that position in the trilayer architecture significantly influences the stress-temperature curve, thus the deformation mechanism due to thermo-mechanical loading.

## MC-ThP-4 Nanoindentation and Micropillar Compression at Cryogenic Temperatures, Eric Hintsala [eric.hintsala@bruker.com], Kevin Schmalbach, Douglas Stauffer, Bruker Nano Surfaces, USA

Mechanical reliability at low temperatures is required for environments in energy and aerospace applications. Due to its highly localized measurement capabilities, nanomechanical approaches can be useful for isolating individual regions within a more complex microstructure or component or testing of thin films. In general, both modulus and yield strength gradually increase with decreasing temperature, but more sudden shifts in behavior can also be observed, such as phase transformations or ductile-to-brittle transitions. In situSEM testing enables visualization of the deformation mechanisms coupled with the measured mechanical properties helping complete the interpretation of the behavior. Alow temperature control system has been developed for the Hysitron PI89PicoIndenter (Bruker, USA)

for in situ SEM testing that enables continuous temperature control from -130°C to 50°C. Independent temperature control on the tip and sample to enable proper temperature matching in vacuum and minimizes drift. The temperature dependent mechanical response of two metallic samples, Nitronic 50 and Tungsten, both by nanoindentation and micro-pillar compression.

MC-ThP-7 Investigating Arctic Environmental Effects on Dry Sliding Wear Behavior of Protective Coatings, Elyse Jensen [elyse.jensen@mines.sdsmt.edu], Austin McCracken, South Dakota School of Mines and Technology, USA; Emily Asenath-Smith, Cold Regions Research and Engineering Laboratory, USA; Grant Crawford, Forest Thompson, South Dakota School of Mines and Technology, USA

Understanding the tribological response of protective coatings to environmental conditions is required in order to tailor their functionality for extreme service conditions. This study establishes a methodology for evaluating the sliding wear performance of protective coatings in conditions representative of Arctic environments. A low temperature ballon-flat tribometer was modified to enable control over dewpoint within the testing enclosure. CrN-coated high strength stainless steel flats and alumina ball bearings were used as model wear couples. Dry sliding wear tests were performed on various CrN architectures at cold (-20 °C) and warm (30 °C) surface temperatures in low dew point air (<-20 °C). The repeatability of the testing approach was established by replicating environmental test conditions across multiple tests on the same flat sample. Wear scars were analyzed using laser scanning confocal microscopy and optical microscopy. Comparisons of coefficient of friction behavior as a function of sliding distance revealed that specific protective coating architectures respond differently to Arctic conditions.

MC-ThP-10 Validity of the 10% Rule of Thumb in Coatings Nanoindentation, Esteban Broitman [ebroitm@hotmail.com], EDB Engineering Consulting, France

When an indenter penetrates the surface of a film deposited onto a substrate, the mechanical response of the coating will be influenced by the mechanical properties of the substrate, according to its penetration depth h and the film thickness t. As the depth of penetration h increases, more of the mechanical contribution will come from the substrate.

The first who tried to separate the contribution of the substrate from the total measured hardness at the microscale was Bückle, who suggested a 10% rule of thumb: to indent no more than 1/10 of the film thickness to avoid the influence from the substrate. The rule has been adopted later by many researchers for nanoindentation experiments and extended also as valid for the elastic modulus. However, there are many experimental studies and numerical simulations showing that this rule is too strict for a hard coating on a very soft substrate and too loose for a soft coating on a hard substrate [1].

In this presentation, we will review the issue, and will discuss all factors that affect the maximum penetration depth for independent coating measurements. We will also present a simple experimental methodology that, in most of cases, gives the correct values for hardness and elastic modulus, independently of the coating/substrate system.

[1] E. Broitman, Indentation Hardness Measurements at Macro-, Micro-, and Nanoscale: A Critical Overview. Tribol. Lett. 65 (2017) 23.

MC-ThP-11 Enhancing the High-cycle Fatigue Strength of Ti-Al-N Coated Ti-6Al-4V by Residual Stress Design, Arno Gitschthaler [arno.gitschthaler@tuwien.ac.at], Rainer Hahn, Lukas Zauner, Tomasz Wojcik, TU Wien, Institute of Materials Science and Technology, Austria; Florian Fahrnberger, Herbert Hutter, TU Wien, Austria; Anton Davydok, Christina Krywka, Helmholtz Zentrum Hereon, Institute of Materials Physics, Germany; Jürgen Ramm, Anders Eriksson, Oerlikon Balzers, Oerlikon Surface Solution AG, Liechtenstein; Szilard Kolozsvari, Peter Polcik, Plansee Composite Materials, Germany; Helmut Riedl, TU Wien, Institute of Materials Science and Technology, Austria

Physical vapor deposited ceramic coatings are widely utilized to protect components operating in harsh environments, yet their influence on the high-cycle fatigue behavior of metallic substrates remains a subject of debate. In this study, the residual stress-dependent effect of arc evaporated TiAIN-based thin films on the fatigue life of Ti-6Al-4V was investigated. By employing various stress-modifying strategies — (i) including a substrate bias variation, (ii) a tantalum-based alloying approach, (iii) and a tailored interlayer design — we systematically modified the residual stress profiles within the coating and interface near substrate region. High-cycle fatigue tests, performed in a single cantilever configuration using a dynamic

mechanical analyzer, revealed that a sufficiently pronounced residual compressive stress state within the TiAlN layer is critical to preventing premature failure. Once the residual compressive stress field effectively shifts fatigue crack nucleation into the bulk material, an improvement in the high-cycle fatigue limitof over 50% was achieved compared to the uncoated titanium alloy (from 420 MPa to 628 MPa at 107 cycles).

To clarify the underlying mechanisms, a combination of high-resolution characterization techniques — namely high-resolution transmission electron microscopy (HR-TEM), transmission electron backscatter diffraction (t-EBSD), time-of-flight secondary ion mass spectrometry (ToF-SIMS), transmission X-ray nanodiffraction (CSnanoXRD), and micromechanical synchrotron-based experiments at DESY's PETRA-III — was employed. These experimental insights were integrated into a simple linear-elastic stress-failure model, providing an analytical framework to support the experimentally observed fatigue enhancements. The study not only resolves previous contradictory findings regarding the detrimental versus beneficial effects of hard ceramic coatings on fatigue performance but also establishes clear criteria for optimizing coating design. In particular, our results demonstrate that an optimized residual stress distribution is key to deploying the full potential of HCF-resistant TiAlN-based coatings. Adjusting process parameters and designing the interlayer helps maximize TiAIN coatings' effectiveness for extending the lifespan of Ti-6AI-4V parts.

## Surface Engineering of Biomaterials, Medical Devices and Regenerative Materials

Room Golden State Ballroom - Session MD-ThP

Surface Engineering of Biomaterials, Medical Devices and Regenerative Materials Poster Session

MD-ThP-4 Effects of Electrical Stimulation with Iridium Oxide Plasma Hvbrid Film on Nerve Cells, Po-Chun [cpc@mail.ntut.edu.tw], National Taipei University of Technology, Taiwan Iridium oxide (IrOx) is a well-known material for neural stimulation, but its rigidity and lack of bioactivity limit its biomedical application. To address this, an IrOx film incorporating plasma proteins (IrOx-PP) was developed to enhance biocompatibility and promote neuronal growth. The addition of plasma proteins created bioactive sites that improved cell adhesion and differentiation while maintaining the electrochemical properties needed for neural stimulation. The IrOx-PP hybrid films showed significantly higher cell viability and metabolic activity, with electrical stimulation further enhancing cell growth and bioactivity. Neurite length increased significantly under electrical stimulation, with the IrOx-PP hybrid films showing the greatest enhancement. In addition, cells on IrOx-PP hybridfilms expressed higher levels of the neuronal markers, indicating their superior potential for promoting neuronal differentiation and neurite outgrowth compared to pure IrOx films. This result demonstrated that the IrOx-PP hybrid film can potentially serve as a platform for advanced neural interfaces, providing improved tissue integration.

## MD-ThP-5 Antibacterial Coating of Additively Manufactured Biodegradable Implants , Jan-Ole Achenbach [achenbach@kcseurope.com], Rainer Cremer, KCS Europe GmbH, Germany

Presently used metallic bioimplants are non-degradable and remain permanently inside the body, in some cases require a secondary surgery for removal. To overcome such problems, biodegradable metallic implants (Fe-Mn, Mg, Zn) are being developed around the world. Mg based alloys are recently being commercialized for dental, trauma and orthopedic applications. However, due to higher degradation rates and hydrogen evolution, their use is not being extended to applications that require implants to remain in the body for longer periods of time. The degradation rates of Mg based alloys can be reduced by incorporating fine grain structure and also with suitable coating.

The proposed study envisages the design of soft tissue anchors, the development of Mg and Fe-Mn alloy powders with suitable composition, and demonstration of additive manufacturing process for the manufacture of prototypes. The proposed work also involves detailed characterization (microstructural, mechanical and biological) of additive built and surface modified coupons as well as components.

The project is being worked on as part of the "Additive Manufacturing" call for proposals of the Indo-German Science and Technology Centre. In the sub-project "Antibacterial and corrosion-inhibiting coatings for soft tissue anchors" of the joint project, KCS Europe is working on the surface

refinement of the anchors. Here, silver-based layers are to be developed using Physical Vapour Deposition and applied to biodegradable implants which, in addition to an antibacterial effect, can also guarantee the integrity of the anchors for a defined period of time.

MD-ThP-6 Copper-Based Biocidal Thin Film Characterised by X-Ray Photoelectron Spectroscopy, Jonathan Counsell, Kratos Analytical Limited, UK; David Surman [dsurman@kratos.com], Kratos Analytical Inc., USA; Heather Yates, University of Salford, UK

The presence of pathogenic microbes on surfaces is a problem in healthcare environments, especially with the increasing prevalence of antibiotic-resistant bacteria. One solution is to develop anti-microbial surface coatings which for clinical and high traffic areas. Here we investigate the surface properties of anti-microbial copper oxides and photocatalytic titania on different substrates formed via chemical vapour deposition (CVD). The deposition is sequential with copper oxide deposited before the titania mimicking the industrial inline process. The surface properties were investigated using X-ray photoelectron spectroscopy (XPS). XPS was used to determine both lateral and depth information from the copper-titainia composite thin film. Despite titania deposition occurring after the copper oxide process, copper was observed at relatively high concentration, suggesting mobility through the titania and segregation to the near-surface region. Ion sputter profiling shows a significant depth distribution of the copper and titanium through the film. Herein, we highlight the insight provided by XPS and how the technique exposes the oxidation states of copper, the presence of contaminants, and the chemical bonding at both the surface and into the bulk.

MD-ThP-8 Microfluidic Engineered Surface Modified Liposomes Encapsulating Mitochondria for Enhanced Cellular Uptake and Bioavailability in Cell Therapy, Yen-Chin Hsu [yenchin18758@gmail.com], Yu-Jui Fan, Taipei Medical University, Taiwan

Mitochondrial dysfunction plays a crucial role in the development of degenerative diseases such as neurodegenerative diseases, cardiovascular diseases, and metabolic syndrome. Although mitochondrial transplantation offers a potential therapeutic solution, its clinical implementation is limited by obstacles such as mitochondrial degradation, poor cellular uptake, and immune system recognition. To overcome these challenges, this study introduced a microfluidic-engineered liposome encapsulation technology to enhance mitochondrial stability, bioavailability, and intracellular delivery efficiency for cell therapy applications.

The microfluidic system is used to manufacture liposome-encapsulated mitochondria, which can precisely control liposome size, charge, and encapsulation efficiency. By combining zwitterionic phospholipids (1,2-dioleoyl-sn-glycero-3-phosphocholine, DOPC) and cationic quaternary ammonium lipids, surface charge modulation is achieved, optimizing the electrostatic interaction between liposomes and mitochondrial membranes, and promoting efficient cellular uptake via the endocytic pathway. Dynamic light scattering (DLS), zeta potential analysis, fluorescence microscopy, and flow cytometry were used to characterize the structural integrity, surface charge distribution, and encapsulation efficiency of the engineered liposomes.

Cellular uptake and viability studies in AC16 cardiomyocytes and fibroblasts showed that liposome-encapsulated mitochondria exhibited improved viability compared with unencapsulated mitochondria after delivery into cells. The appropriate level of cationic charge facilitates membrane fusion and uptake, enhancing biocompatibility, which has been confirmed by ROS testing and live/dead staining assays.

The results indicate that microfluidics-based liposome engineering enhances mitochondrial transplantation by improving mitochondrial delivery efficiency and cellular bioavailability through surface charge tuning. Future research will focus on optimizing lipid composition, evaluating long-term mitochondrial stability, and performing in vivo validation to establish the translational potential of microfluidic-engineered liposome-encapsulated mitochondria in regenerative medicine.

MD-ThP-11 Corrosion Stability and Electrical Performance of Ti-Au Thin Film Electrodes for Biosignal Acquisition, Sara Inácio [saramsinacio@gmail.com], Carolina Durães, Ana Camarinha, Armando Ferreira, Cláudia Lopes, Filipe Vaz, University of Minho, Portugal

Biosignal sensing plays a crucial role in research and healthcare, especially in e-health applications, as it provides extensive information about the health and emotional condition of individuals. In the same way, the existence of reliable and high-performing biopotential electrodes capable

of monitoring for long periodsis vital since they enable reliable diagnosis of vital physiological functions. Traditionally, standard silver/silver chloride (Ag/AgCl) electrodes are valued for their low impedance and stable performance, ensuring high signal to noise ratios. However, their use in ehealth applications and prolonged monitoring is severely hindered by several factors, such as gel dehydration and the occurrence of skin allergic reactions, highlighting the importance of novel dry electrodes.

In this study, the performance of Ti-Au thin films deposited onto flexible polymeric substrates as dry electrodes was investigated. Ti and Au are biocompatible metals, making them ideal for biomedical applications. Additionally, Au has excellent mechanical properties and high electrical conductivity, which are essential for low-amplitudebiopotential recordings. This work aimed to investigate the influence of the Au content and the growth geometries on the corrosion behavior and the overall performance of the electrodes. The Ti-Au thin films were deposited using the magnetron sputtering technique withGLancing Angle Deposition (GLAD) to produce different geometries/architectures.

Results showed that the Au/Ti ratios varied between 0.07 and 0.80, with the films exhibiting crystalline structures for Au contents lower than 0.08 and amorphous structures for higher contents. Also, the morphology was highly influenced by the Au content,with the films evolving from columnar growth (Ti-rich films) to a dense and featureless microstructure for Au contents (Au/Ti  $\geq$  0.12), with a high impact on the surface roughness of the final electrodes. The electrical properties showed that regardless the Au content, the films prepared in a conventional geometry exhibit an electrical resistivity around  $5\mu\Omega.m.$  However, for the films prepared by GLAD, with tilted angles higher than  $60^{\rm o},$  the electrical resistivity increases one magnitude order (25.33  $\mu\Omega\cdot m$ ). The assessment of the electrode's long evity was carried out by testing the Ti-Au thin films' corrosion behaviour in artificial sweat through open-circuit potential (OCP), electrochemical impedance spectroscopy (EIS), and potentiodynamic polarization (PD) tests.

MD-ThP-13 Surface Modification of AISI 316L Steel by Anodic Oxidation and Its Effect on the Viability of HFOb Cells, Luz Alejandra Linares Duarte [alejandra.linaresp@gmail.com], Enrique Hernández Sánchez, Cintia Proa Coronado, Ángel Ernesto Bañuelos Hernández, Nury Pérez Hernández, Instituto Politécnico Nacional, Mexico; Raúl Tadeo Rosas, Universidad Autónoma de Coahuila, Unidad Torreón, Mexico; Yesenia Sánchez Fuentes, Instituto Politécnico Nacional, Mexico

AISI 316L stainless steel is one of the low-cost materials that is suitable for medical applications. That condition is because of its high corrosion resistance and low response to human fluids. This study is on the surface modification of the austenitic stainless steel AISI 316L by the anodic oxidation technique and the effect of that on the biocompatibility of the steel. Three conditions of steel were evaluated: 1) non-treated material. 2) anodized samples, and 3) annealed-anodized samples, in which the samples were exposed to a thermal treatment at 600 °C for 8 min to promote the formation of a passive layer. The steel samples were exposed to the anodic oxidation technique with a constant work potential of 60 V and 30 min exposure time. Ethylene glycol, distilled water, and ammonium fluoride (NH4F) were used as the electrolytic fluid. Likewise, the effect of the analyzed surfaces on the cellular viability of human fetal osteoblast (HFOb) cells was evaluated using a resazurin reduction (Cell Titer Blue) assay. Scanning electron microscopy (SEM) and energy dispersive scanning (EDS) were applied to determine the morphology and nature of the microporous surface, showing a well-defined matrix of nanoporous on the AISI 316L steel, with diameter in the range of 100 to 140 nm. On the other hand, in-vitro assays indicated that after 72 h of culture, the best cellular viability was found with annealed-anodized samples. These results open the possibility of generating materials with better capability to promote cellular proliferation in the metallic materials.

Keywords: anodic oxidation, cell proliferation, biomaterials, nanoporous

MD-ThP-14 Electrochemical and Antimicrobial Coating: Increasing the Ionic Charge on Titanium Surfaces as a Preventive Strategy for Titanium Implants, João Pedro dos Santos Silva, École des mines de Saint-Étienne, France; Daniela Buenos Ayres de Castro, Mariana Mireski, Catia Sufia Alves Freire de Andrade, Maria Helena Rossy Borges, Universidade Estadual de Campinas, Brazil; Jean Geringer [geringer@emse.fr], École des mines de Saint-Étienne, France; Valentim Adelino Ricardo Barão, Universidade Estadual de Campinas, Brazil

Peri-implant conditions and the electrochemical degradation of titanium (Ti) are critical factors in the failure of biomedical implant treatments. Developing functional surfaces to address these challenges is essential.

Cationic coatings have proven to be an effective strategy for reducing biofilm formation and enhancing corrosion resistance. This treatment focuses on increasing the surface charge of implants and provides antimicrobial properties without the use of pharmaceutical agents, making the approach safer, more cost-effective, and sustainable. Thus, this coating was developed in two stages: (1) functionalization with hydroxyl groups (-OH) using plasma electrolytic oxidation (PEO), incorporating bioactive elements and enhancing surface functionalization; (2) silanization with tetraethylorthosilicate (TEOS) or 3-glycidyloxypropyltrimethoxysilane (GPTMS), which bind to alkaline surfaces and promote proton release through chemical reactions. Four groups (untreated Ti, PEO, PEO + TEOS, and PEO + GPTMS) were evaluated for surface characterization, electrochemical performance, and antimicrobial activity. Micrographs showed distinct morphologies in the silanized groups, with the alkalinization step generating pores that enhanced topography and roughness. The superhydrophilic affinity created by alkalinization evolved into hydrophobic (TEOS) and superhydrophobic (GPTMS) characteristics after silanization. The presence of amine groups, detected by X-ray photoelectron spectroscopy (XPS), indicated an increase in surface charge, confirmed by zeta potential measurements. Positively charged surfaces demonstrated superior electrochemical performance and greater antimicrobial potential against Streptococcus mitis biofilm formation (24 h). In conclusion, cationic coatings show promise for implantable devices, offering improved resistance in adverse environments and antimicrobial properties.

### Plasma and Vapor Deposition Processes Room Golden State Ballroom - Session PP-ThP

### **Plasma and Vapor Deposition Processes Poster Session**

PP-ThP-2 Influence of the Substrate on the Growth of Aluminium Oxide Films by Atomic Layer Deposition for Food Packaging Applications, Hugo Patureau, SIMaP, CNRS, University Grenoble Alpes, France; Thierry Encinas, CMTC, Grenoble INP, University Grenoble Alpes, France; Alexandre Crisci, Frederic Mercier [frederic.mercier@grenoble-inp.fr], SIMaP, CNRS, University Grenoble Alpes, France; Erwan Gicquel, CILKOA, France; Arnaud Mantoux, Elisabeth Blanquet, SIMAP, CNRS, University Grenoble Alpes, France

With the gradual ban on single use plastics, cellulosic products have emerged as suitable candidates to replace plastics in the packaging industry. Cellulose is biodegradable, recyclable and possesses good mechanical properties. To be viable for packaging, especially in the food industry, cellulose surfaces need to be functionalised to obtain additional properties, such as wettability, oxygen/water barriers and mechanical resistance in humid conditions.

In this context, we have investigated the synthesis of aluminium oxide films by an industrial Atomic Layer Deposition (ALD) process on cellulosic substrates using the precursors trimethylaluminium (TMA) and water. While the reactivity of these precursors on silicon are well established, the same cannot be said of cellulosic substrates due to their complex structure and their affinity with water. In this presentation, a study on the growth of ALD Al2O3 on silicon and cellulose is conducted. X-ray fluorescence (XRF) and Inductively coupled plasma mass spectrometry (ICP-MS) on cellulose is developed and implemented to quantify the amount of aluminium deposited. The saturation curves are established on silicon and cellulose, as well as the effect of the synthesis temperature. A comparison of both substrates is made and specific growth mechanisms of aluminium oxide by ALD on cellulosic substrates is discussed.

PP-ThP-3 Minimizing Secondary Electron Yield in Amorphous Carbon Thin Films: A Study on Power Density, Discharge Modes, and Hydrogen Incorporation, Valentine Petit [valentine.petit@cern.ch], Yorick Delaup, Alessia Pascali, Pedro Costa Pinto, Marcel Himmerlich, Christos Kouzios, European Organization for Nuclear Research, Switzerland

Amorphous carbon thin films with low Secondary Electron Yield (SEY) are critical for applications where electron multipacting limits achievable performance. Such films are effective to mitigate electron cloud formation within the vacuum beam lines of particle accelerators such as the Large Hadron Collider and Super Proton Synchrotron at CERN. They are now also being implemented in the new Electron Ion Collider under construction at Brookhaven National Laboratory.

Research over the last decade has highlighted the significant role of hydrogen presence in the plasma discharge during deposition. Hydrogen incorporation in the films has been shown to increase the SEY, posing a key challenge in coating the extensive beam pipes for particle accelerators.

In this study, we examine the effects of power density and discharge mode, i.e. Direct Current (DC) and High-Power Impulse Magnetron Sputtering (HiPIMS), on the SEY of amorphous carbon films. These films were produced by sputtering in an Ar atmosphere with 1.3%  $D_2$  to simulate hydrogen-like impurities typically arising from outgassing in the beam pipes and the deposition system. The  $D_2$  consumption during the coating process was monitored by mass spectrometry and is correlated with the SEY, while X-ray Photoelectron Spectroscopy was used to characterize the films. Our findings indicate that higher deposition powers result in films with reduced deuterium incorporation and lower SEY. Additionally, for the same average power density, films deposited in HiPIMS mode exhibit lower SEY compared to those deposited in DC mode. The results are discussed in the context of hydrogen incorporation mechanisms in carbon films, with a view toward optimizing coating system design and process parameters

PP-ThP-4 Accurate Reporting of Time-of-Flight Measurements with Gated Mass Spectrometry, Nathan Rodkey [nathan.rodkey@empa.ch], Jyotish Patidar, Sebastian Siol, EMPA (Swiss Federal Laboratories for Materials Science and Technology), Switzerland

The quality of high-power impulse magnetron sputtering (HiPIMS) deposited films can often be improved through the effective use of metalion synchronization (MIS). However, effective synchronization requires precise measurements of the time-of-flight (ToF) of ions, such that an accelerating bias can be properly synchronized. These measurements are commonly done using time- and energy- resolved mass spectrometry but require calibrations of the transit time of ions inside of the mass spectrometer to accurately report the ToF. The transit time can be calculated by estimating the travel length in varying parts of the spectrometer (e.g. from orifice to detector) and accounting for the interactions of ions with varying electrostatic optics (such as the extractor, energy filter, mass filter, and dynode). The errors associated with these estimations can lead to nonphysical values in a HiPIMS process, such as negative ToFs, or metal ions arriving to the substrate before process gas ions. As a result, many groups emphasize that their calibrations are estimations, or relevant only at sufficiently large time steps. Here we report a practical approach to determine the transit time in the spectrometer experimentally, which was already successfully employed for multiple projects in our group. We use a bipolar HiPIMS power supply to synchronize a gating pulse to the front end of a HiDEN Analytical EQP-300 mass spectrometer. The orifice of the mass-spec (50 µm) was placed at a 12 cm working distance. ToF was then measured by applying a +70 V bias to repel ions, and a 5 µs gating pulse of -30 V to accept them. To prevent interference of the driven front end (kept at +70 V) with the HiPIMS plasma, a grounded shield is placed in front of the mass-spec head with a 1-2 mm opening. The gate was synchronized to the HiPIMS pulse by providing a trigger signal, and data was collected at 5 µs intervals by adjusting the time delay of this pulse. The time-of-flights of Ar+, N+, Al+, Cu+ and W+ ions measured in this way are compared to those calculated using mass spectrometry flight tube equations.

PP-ThP-5 Focused Magnetron Sputtering: A Comprehensive Study of Magnetron Power Effects on AlCrN Coatings Under Industrial Conditions, Martin Ucik [m.ucik@platit.com], Masaryk University, Czechia Introduction

Traditional coating methods, such as Cathodic Arc Evaporation (CAE), face challenges due to microscopic defects and other limitations. Focused Magnetic Field Magnetron Sputtering (F-MS) has emerged as a transformative solution, achieving a high ionized metal flux fraction even for large-scale targets [1]. Compared to conventional magnetron sputtering (DCMS), F-MS demonstrates a six-fold increase in power density [2]. This advantage, combined with effective cooling and prolonged duty cycles, establishes F-MS as a groundbreaking technology. Its integration into PLATIT's PVD coating unit, Pi411, represents a significant advancement in hard protective coatings for industrial applications.

#### Methods

F-MS operates by moving a reduced-size magnetron longitudinally inside a tubular target (Ø110  $\times$  510 mm). This design enables high-power sputtering of up to 30 kW and allows the deposition of dense coatings at a growth rate of 2  $\mu m/h$  using a 3-fold carousel rotation system.

### Results

Coatings of (Al,Cr)N deposited via F-MS exhibited stoichiometric composition, smooth surfaces, and controlled defect levels. Mechanical

property tests, plasma diagnostics, and cutting tests demonstrated strong interrelationships and benefits associated with higher power levels. Notably, cutting tests confirmed the superior performance of (Al,Cr)N coatings compared to state-of-the-art CAE coatings.

#### Conclusion

F-MS technology represents a significant breakthrough in the coating industry, addressing the limitations of traditional methods. Its ability to achieve high plasma power densities and a high degree of ionization for large-scale targets holds immense potential to advance industrial coating practices by enhancing efficiency and enabling new applications.

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PP-ThP-7 Energy Flux Diagnostics in High Power Impulse Magnetron Sputtering, Caroline Adam [c.adam@physik.uni-kiel.de], Kiel University, Germany; Holger Kersten, Kiel University, Kiel Nano, Germany

High power impulse magnetron sputtering (HiPIMS) has shown significant potential for thin film deposition. This potential is evident through the enhancement of film quality, specifically in terms of increased density [1] and adhesion [2] along with the diminished requirement for high substrate temperatures [3]. To achieve the optimal deposition process, it is crucial to develop a comprehensive understanding of the plasma-surface interaction at the substrate. This includes, in particular, analyzing the energy flux (transferred power from the plasma to the surface) and its composition.

The energy flux is investigated by using a passive thermal probe (PTP) [4], a so-called non-conventional diagnostic, measuring the integral energy flux to the substrate. Insights into the composition of the energy flux are gained by applying a bias voltage to the thermal probe [4] and using a novel combination of PTP with a retarding field analyzer (RFA) [5]. This allows to measure simultaneously the ion energy distribution (IED) and to perform energy-resolved energy flux measurements. In addition, the neutral energy flux component can be quantified by repelling all charge carriers by the grid potentials. Since the energy resolution and sensitivity of the RFA is limited, the measurements of the IED are completed by energy-resolved mass spectrometry, both time-averaged and time-resolved [6].

These diagnostics have been applied to compare HiPIMS and DC magnetron sputtering processes with same gas pressure and average power sputtering a planar copper target in argon atmosphere. In total, the mean energy flux to the substrate is lower in HIPIMS operation. Hence, temperature sensitive substrates are better protected. Normalizing the energy flux to the deposition rate, which is lower in HiPIMS as well, gives a higher value for the energy flux per adatom in HiPIMS, which can be attributed to the higher kinetic energy of sputtered particles. The dependence of the energy flux on the excitation mode (DC, HiPIMS), the HiPIMS pulse parameters, as well as on power and pressure is investigated. The advantages and limitations of the diagnostics used are discussed.

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PP-ThP-8 Enhancement of Barrier Properties of Aluminum Oxide Layer by Optimization of Plasma-Enhanced Atomic Layer Deposition Process, *Hyun Gi Kim [opti\_people@khu.ac.kr]*, KyungHee University, Republic of Korea

Aluminum oxide (AlxOy) layers were deposited on polyethylene naphthalate substrates by low frequency plasma-enhanced atomic layer deposition process for barrier property enhancement. Trimethylaluminum and oxygen plasmawere used as precursor and reactantmaterials, respectively. In order to enhance the barrier properties several process parameters were examined such as plasma power, working pressure and electrode–substrate distance. Increase of plasma power enhanced the reactivity of activated atomic and molecular oxygen to reduce the carbon contents in  $Al_xO_y$  layer, which appeared to enhance the barrier properties. But too high power caused generation of byproducts which were reincorporated in AlxOy layer to reduce the barrier properties. Plasma

generated at lowerworking pressure was providedwith an additional energy for reactions and hadmore diffusion of the plasma. The O/Al ratio of the layer approached the stoichiometric value by increasing the electrode–substrate distance. At the following conditions: 300 W of plasma power, 26.7 Pa of working pressure and 50 mm of electrode–substrate distance, water vapor transmission rates of the AlxOy layer reached  $8.85 \times 10^{-4} \, \text{g/m}^2$  day.

PP-ThP-9 Development of DC Magnetron Sputtered Ni-Fe Bimetallic Thin Film Anodes for Oer in Water Electrolysis for Hydrogen Production and Optimization of Composition for Ni-Fe Bimetallic System, Daniyal Hasan, Sandra Carvalho [sandra.carvalho@dem.uc.pt], Albano Cavaleiro, Diogo Cavaleiro, University of Coimbra, Portugal

Hydrogen production through water electrolysis provides clean source of energy and the process has a zero-carbon emission cycle. Different performance parameters have been focused to improve the hydrogen production but equally important is the oxygen evolution reaction at the anode side which is the other half cell reaction in the process. Half-cell reaction at anode side has the problems of slower reaction kinetics, complex chlorine oxidation, cost and the degradation of electrode materials like RuO2/IrO2, and low current densities which do not meet industrial requirements.

We have used DC magnetron sputtering to deposit Ni-Fe bimetallic thin films over SS-316 substrate as efficient and cost-effective anode material. Ni-Fe bimetallic compounds have been reported to be used in this type of process but unlike the traditionally reported wet chemical synthesis techniques, we have used PVD technique to have superior control over micro structure for better performance and to avoid chemical waste.

In a novel effort we have deposited thin films with 200 nm thickness through DC magnetron sputtering. Thin targets of Invar and Ni with 1 mm thickness were sputtered under 10 bar Argon pressure to minimize the paramagnetic behavior of Ni and Fe. Different compositions were achieved by varying the sputtering power on Ni target from 500-1200 W while Invar was sputtered at fixed power of 1000 W. Compositions were achieved in the range of 20-40 atomic % Fe in Ni which were analyzed through Energy Dispersive Spectroscopy (EDS). Scanning Electron Microscopy (SEM) analysis showed that films had columnar structure which increases the available surface area. Atomic force microscopy (AFM) analysis showed very low roughness of the films in the range of 1-2 nm. X-Ray diffraction (XRD) analysis showed the presence of FeNi<sub>3</sub> phase which has an efficient performance in the reversible oxidation-reduction reactions at anode.

Electrochemical testing techniques including linear sweep voltammetry (LSV), cyclic voltammetry (CV) and electrochemical impedance spectroscopy (EIS) were performed in a three-electrode cell with Ag/AgCl saturated in KCl reference electrode, graphite counter electrode and 1 M KOH electrolyte. Composition of Fe<sub>24</sub>Ni<sub>76</sub> (24 atomic % Fe in Ni) was found to be the optimum composition as it achieved remarkable current density of 335 mAcm<sup>-2</sup> while operating at overpotential of 1.7 mV vs RHE which is the benchmark overpotential beyond which Chloride oxidation becomes thermodynamically possible. EIS analysis showed that Fe<sub>24</sub>Ni<sub>76</sub> composition has the least charge transfer resistance (R<sub>CT</sub>) with uniformity and behavior close to an ideal capacitor.

PP-ThP-10 Exploring Green Alternatives for Plasma Etching of Silicon Carbide, Chang-Koo Kim [changkoo@ajou.ac.kr], Sanghyun You, Ajou University, Republic of Korea

Silicon carbide (SiC) has a wider band gap enrgy than silicon (Si), enabling efficient operation in high-temperature environments. Its strong Si-C bonds also provide exceptional physical and chemical stability. These properties make SiC an ideal material for power semiconductor devices, including insulated gate bipolar transistors (IGBTs), Schottky barrier diodes, and metal-oxide-semiconductor field-effect transistors (MOSFETs).

SiC etching is traditionally performed using  $SF_6$ ,  $CF_4$ , and  $CHF_3$  plasmas. However, these gases have stable molecular structures, leading to high global warming potentials (GWPs) and long atmospheric lifetimes. Recognized as greenhouse gases under the Kyoto Protocol, their emissions are subject to reduction targets outlined in the Paris Agreement. To minimize environmental impact, there is a growing need for low-GWP alternatives in SiC etching.

This study explores the use of heptafluoroisopropyl methyl ether (HFE-347mmy) as a plasma etchant for SiC. With a GWP of approximately 530, HFE-347mmy significantly reduces environmental impact compared to  $SF_6$ ,  $CF_4$ , and  $CHF_3$ . The etching characteristics were investigated by varying the HFE-347mmy/ $O_2$  flow-rate ratio and adjusting the bias voltage. Additionally,

radical concentrations, surface composition, and substrate roughness were analyzed to elucidate the etching mechanism.

PP-ThP-11 Optical Emission Spectroscopy Signal Analysis for Predicting Deposition Characteristics of Silicon Nitride in Plasma Enhanced Chemical Vapor Deposition, Youngju Ko [kyj900903@naver.com], Hyeonjin Choi, Jinmyeong Kim, Namgun Kim, Heeyeop Chae, Sungkyunkwan University (SKKU), Republic of Korea

Optical emission spectroscopy (OES) is a non-invasive tool that enables plasma monitoring without affecting the plasma state. It operates by analyzing light emitted from excited atoms or ions within the plasma. This analysis provides information about the chemical composition and energy state of the plasma thus allowing for the prediction of process results. Researchers have conducted studies analyzing OES signals during deposition process to predict deposition characteristics such as growth rate, film thickness, uniformity. However, research on predicting the refractive index, which is one of the important deposition characteristics, has been limited. In this study, the deposition rate and refractive index of silicon nitride (SiNx) were predicted using OES signal analysis in plasma enhanced chemical vapor deposition (PECVD) with trisilylamine (TSA), NH<sub>3</sub>, and  $N_2$  gases. The deposition rate was correlated with the  $I_{N2+}/I_{N2}$  and  $I_{Ha}/I_{Hb}$ line ratio, which can estimate the electron temperature, as the deposition rate is influenced by the electron temperature that activates dissociation and ionization. The refractive index determined by the N/Si ratio in SiN<sub>x</sub> film was correlated with the ratio of SiH and NH radicals in the plasma transferred to the film. The relative radical densities were investigated using the I<sub>SiH</sub>/I<sub>N2</sub> and I<sub>NH</sub>/I<sub>N2</sub> line ratios, known as actinometry, after demonstrating the overlap of electron energy distribution function (EEDF) and excitation cross sections. The deposition rate (R<sup>2</sup> = 0.85, MAPE = 3.66%) and refractive index ( $R^2$  = 0.95, MAPE = 0.27%) investigated in linear regression analysis showed very high prediction accuracy.

PP-ThP-12 Surface Engineering for the Interface between p-Type Germanium and Alloy-Like Hafnium Nitride Buffer Layer with Pre-Hydrogen Plasma Trimming, Bo-Syun Syu [brian20000713@gmail.com], National Tsing Hua University, Taiwan; Dun-Bao Ruan, Fuzhou University, China; Kuei-Shu Chang-Liao, Hsin-I Yeh, National Tsing Hua University, Taiwan

A pre-hydrogen plasma trimming treatment was applied on p-type germanium (Ge) metal oxide semiconductor device as a surface engineering process, which significantly improve the interface quality between p-type Ge and alloy-like hafnium nitride buffer layer. Generally, a post interfacial layer treatment is difficult to affect the defect distribution located at the bottom interface. Therefore, a pre-plasma treatment is well discussed in this work. After detailed analyzing material and electrical characteristics, the sample after the pre-hydrogen plasma trimming treatment exhibits lower equivalent oxide thickness, lower interface trap density, narrower frequency dispersion, better uniformity and reliability. This improvement can be attributed to the reduction of both border traps and surface roughness. It is believed that this research will provide an important reference for the high mobility Ge based device fabrication.

PP-ThP-13 Chamber Design and Capabilities for Nanocalorimetry-Based Plasma Diagnostics, Carles Corbella [carles.corbellaroca@nist.gov], National Institute of Standards and Technology (NIST)/ University of Maryland, College Park, USA; Feng Yi, Andrei Kolmakov, National Institute of Standards and Technology (NIST), USA

There is an urgent need to expand standard plasma diagnostics methods for a faster and comprehensive description of plasma-surface processes associated to nanofabrication routines, such as film deposition and surface etching or cleaning. In a recent study [Diulus et al, J. Vac. Sci. Technol. B 43, 020601 (2025)], the flux of atomic radicals from a hydrogen discharge has been quantified using differential nanocalorimetry in cold plasma environment. This approach is novel and motivates the development of a new plasma probe, based on nanocalorimetry principle, which should go beyond plasma thermometry by effectively interrogating plasma parameters as well as basic plasma-surface interaction processes. Here, we report on the design and capabilities of a research plasma reactor equipped with state-of-the-art plasma diagnostics devices aimed to benchmark the thermal data provided by the nanocalorimeter. The high-vacuum chamber consists of a six-ports cross fed by a remote inductively coupled plasma (ICP) source with adjustable plasma plume position. The nanocalorimeter sensor is installed along with the following instruments: (1) Langmuir probe to provide plasma parameters and electron energy probability function (EEPF); (2) sensor combining a retarding field energy analyzer (RFEA) and a built-in quartz microbalance to evaluate ion energy distributions and ionto-neutral flux ratios, and (3) optical emission spectroscopy (OES) together with (4) quadrupole mass spectrometer to survey the chemical composition of the analyzed plasma. Sensors (1), (3), and (4) provide information from the plasma bulk, while probe (2) collects fluxes of plasma species at the sheath level in contact with substrate/electrode. A few plasma nanocalorimetry platform parameters, such as sensitivity, response time, and stability, will be reported. Once optimized, the nanocalorimetry platform will be expected to monitor surface modification processes by reactive plasmas with unparalleled response times and sensitivities within an extended range of particle fluxes and densities.

PP-ThP-15 Magnetron Sputter Deposition of Corrosion Resistant Thin Films on Al Surfaces, *Tomas Kubart [tomas.kubart@angstrom.uu.se]*, *Yao Yao*, Uppsala University, Solid State Electronics, Sweden; *Karin Törne, Smita Rao, Hannes Nedersted, Live Mölmen, Anders Lundblad, RISE Research Institutes of Sweden* 

Aluminium is an attractive material for bipolar plates for polymer electrolyte membrane fuel cells due to its low weight, excellent thermal and electrical conductivity, as well as good recyclability. However, to achieve the necessary low contact resistance and corrosion stability, a suitable surface coating is needed. We could previously show that the corrosion current can be significantly reduced from 20 to 0.2  $\mu\text{A-cm}^{-2}$  by coating the Al surface with a thin film of Ti. The coated surfaces, however, exhibited limited durability during potentiostatic testing because of a large number of defects in the Ti layer.

This contribution analyses formation of defects in Ti thin films deposited by magnetron sputtering. Cross-sectional analysis revealed that these defects are initiated already at the Al/Ti interface and enhanced during the deposition. Ti growth by High Power Impulse Magnetron Sputtering and by direct current Magnetron Sputtering on different Al surfaces and on Si is investigated to identify the effect of the surface and growth conditions on the film growth.

It is shown that segregated secondary phases in the Al substrate as well as surface imperfections may act as initialization points for the defect formation. Further, mitigation strategies to improve the film quality are discussed.

## Topical Symposium on Sustainable Surface Engineering Room Golden State Ballroom - Session TS1-ThP

Coatings for Batteries and Hydrogen Applications Poster Session

TS1-ThP-2 Y-doped Li<sub>7</sub>La<sub>3</sub>Zr<sub>2</sub>O<sub>12</sub>(Y-LLZO) Based all Solid-State Lithium Ion Battery Prepared by Colloidal Coating Processes, *Yen-Yu Chen [yychen@mail.npust.edu.tw]*, *Guang-Yi Yao*, National Pingtung University of Science and Technology, Taiwan

All solid-state lithium ion batteries (ASSLIBs) were widely investigated due to safety issue and higher electrical performance. In this study, Y-doped Li<sub>7</sub>La<sub>3</sub>Zr<sub>2</sub>O<sub>12</sub> (Y-LLZO)-based solid electrolyte coatings on the LiCoO<sub>2</sub> (LCO)based cathode substrate with C/Si anode printed on the coatings were prepared. The Y-LLZO powders were synthesized by a solid-state reaction method. Several properties were analyzed including microstructures by scanning electron microscopy (SEM) and transmission electron microscopy, crystal phases by the X-ray diffraction (XRD) method, electrical performance by electrochemical impedance spectroscopy (EIS) and battery testing system. The Y-LLZO coatings after sintered at 1100°C for 12 h show highly dense configuration on the LCO-based cathode substrate. The thicknesses of the coating layers are around several to ten more micrometers. Only a few of pores can be found in the coating layers. The crystal phases after sintering were including the major LLZO garnet and a rare of La<sub>2</sub>Zr<sub>2</sub>O<sub>7</sub> phases. The interface between Y-LLZO and LCO grains shows slightly interdiffusion behavior. The electrical conductivity of Y-LLZO is around 10-4~10-5 S·cm<sup>-1</sup>. The more details will be reported in the presentation.

TS1-ThP-5 PVD-Coated Interconnects for Solid Oxide Electrolysers, Giuseppe Sanzone [giuseppe.sanzone@teercoatings.co.uk], Teer Coatings Ltd, UK; Kun Zhang, University of Birmingham, UK; Susan Field, Hailin Sun, Teer Coatings Ltd, UK; Jangwoo Seo, Hyo Ki Hwang, In-sung Lee, E&KOA Co., Republic of Korea; Parnia Navabpour, Teer Coatings Ltd, UK

Achieving net-zero emissions by 2050 continues to be a significant challenge for the global energy sector. Hydrogen, and specifically green hydrogen can play a key role in decarbonisation, as it has the potential to be used as fuel for power and transportation. Green hydrogen can be

produced in several ways using renewable energy sources like solar, wind or nuclear, through high- and low-temperature electrolysis, various thermochemical and photochemical processes. Water electrolysis is the most effective technique which is capturing the market's attention.

Amongst the electrolyser technologies, solid oxide electrolysers (SOE) are the most energy efficient. However, there are challenges related to their performance, lifetime, durability and cost, along with the scale-up from kW to MW level. The interconnect plays an important role as a current collector and a physical barrier that separates the electrodes between cells. It has to meet technical requirements such as matching thermal expansion coefficient to other (ceramic) layers, high thermal and electrical conductivities, formation of a dense low-resistive oxide layer in redox atmospheres, and high thermomechanical strength at elevated temperatures (600 to 900 °C). The metallic interconnects employed in the SOC stack operated usually suffer high temperature corrosion and Crevaporation in the steam-rich environment at high temperature, leading to material failure of interconnects and degradation electrolysis stack. There is a need to control the chromium (VI) diffusion from the metallic interconnects and its poisoning of the air electrode to achieve increased electrolyser durability and performance.

This work presents conducting, protective spinel oxide coatings deposited by PVD method in order to reduce chromium evaporation from the interconnects. These coatings benefit from a dense structure as well as scalability, allowing high performance and making them suitable for commercialisation. The effect of coating thickness and composition on high temperature stability and chromium evaporation rate from ferritic stainless steel has been investigated.

TS1-ThP-6 Porous BiVO<sub>4</sub> Thin Films Deposited by Radiofrequency Co-Sputtering as Photoanode for H<sub>2</sub> Production by Water Splitting, Mathias Goutte, Angélique Bousquet [angelique.bousquet@uca.fr], Eric Tomasella, Institut de Chimie de Clermont-Ferrand, France; Guillaume Monier, Institut Pascal, France; Thierry Sauvage, CEMHTI, France

 $H_2$  is consider as a potential new fuel which will participate to decarbonate the mobility sector. Unfortunately, this molecule is nowadays mainly formed from fossil gases, and so, does not meet criteria for the sustainable development. Efforts are then engaged to developp new clean  $H_2$  synthesis technologies such as water photo(-electro)lysis. However, this latter method still suffers from low global efficiency because of limited properties of photoanode. Thanks to its band gap in the visible range (near 2.4 eV) and its low valence band, Bismuth vanadate  $BiVO_4$  is one of the most promising candidate for this application.

In this paper, we studied the deposition of BiVO<sub>4</sub> thin films by radiofrequency magnetron co-sputtering of Bi and V targets into Ar/O<sub>2</sub> atmospheres. By tailoring the target powers, we were able to deposite coatings with various V/Bi ratios (determined by Rutherford Backscattering Spectroscopy). Since these as-deposited films are amorphous, thermal post-treatments were used to crystallize them. Interestingly, this treatment leads also to the developpement of porosity into the films thickness (observed by SEM), which will be beneficial to increase contact surface aera with water. After 2 hours at 450°C in air, XRD analysis shows that BiVO<sub>4</sub> in monoclinic phase is mainly formed. This phase could be associated to Bismuth or Vanadium oxides ones for non-stoechiometric films. The XPS also confirms these heterojunctions formation following the shift of binding energy positions. Analysis by ellipsometry and UV-visible spectroscopy shows, that the films exhibit direct band gaps between 2.4 and 2.6 eV, while flat band voltages from -0.05 to -0.13 V (vs RHE) are deduced from the Mott-Schottky technique. Hence, diagram with band positions can be drawn for each thin films, indicating that their valance band positions are convenient for O2 production. Stoechimetric film, that exhibits the lower valence band, also presents the higher photo-current density of 0.05 mA/cm<sup>2</sup> at 1.3 V vs RHE and this current density remains high under irradiation for more than one hour, while significant drop of 75% has usually been reported for electrodes made from powder.

To go further, Bismuth metallic nanoparticles were added on the top surface of BiVO<sub>4</sub> thin film by sputtering the Bismuth target in pure Argon during very short times. The presence of metallic nanoparticles, thanks to heterojunction and plasmonic effect, highly enhances the measured photocurrent, keeping a good stability in time.

TS1-ThP-7 HiPIMS Deposition of Ti<sub>x</sub>N Coatings for Oxygen Evolution Reaction Catalysts, *Yi-Cho Tsai [ljesskoghjk@gmail.com]*, National United University, Taiwan; *Ying-Hsiang Lin*, National United University, Taiwan; *Siang-Yun Li*, *Thi Xuyen Nguyen*, *Chia Ying Su*, *Ruei Chi Lin*, *Jyh-Ming Ting*, National Cheng Kung University, Taiwan; *Wan-Yu Wu*, National United University, Taiwan

Electrochemical reactions, particularly hydrogen and oxygen evolution reactions (HER/OER), are crucial for advancing clean energy technologies. However, current OER catalysts primarily rely on noble metals like Ir and Ru, which are expensive and less durable. Transition metal nitrides (e.g., Ti, Fe, Co, Ni) have gained attention due to their high conductivity and costefficiency. TiN, specifically, shows metal-like conductivity (3.34 × 10<sup>-7</sup>  $\Omega$ ·cm), strong resistance to acids/alkalis, oxidation, and chemical inertness, making it ideal for OER.In this study, High Power Impulse Magnetron Sputtering (HiPIMS) was used to deposit TixN films on Ni foam, chosen for its high porosity (98%) and conductivity, to enhance the active surface area. HiPIMS, with its high plasma density and strong film adhesion, is superior to traditional DC sputtering, particularly for complex substrate structures. The TixN film with 63.1 at.% Ti content showed an overpotential of 377 mV at 50 mA/cm<sup>2</sup> (η10), a Tafel slope of 121.4 mV/dec, and a charge transfer resistance (Rct) of 3.05  $\Omega$ , outperforming commercial RuO<sub>2</sub> (421 mV). Further optimization of the Ti/N ratio revealed that a film with 52.6 at.% Ti and 47.4 at.% N had the best performance, achieving an overpotential of 333 mV, a Tafel slope of 97.8 mV/dec, and Rct of 1.95  $\Omega$ . This demonstrates that a near 1:1 stoichiometric ratio in TiN significantly enhances conductivity and electron transfer, thus improving efficiency.Preliminary analyses using EDX, XPS, XRD, TEM, and SEM suggest that adjusting the Ti/N ratio may impact the catalytic activity of the films. Based on the current test results, the optimized TixN films show promising potential in 1 M KOH electrolyte, indicating their prospective application as cost-effective and durable OER catalysts.

TS1-ThP-8 Enzyme-Catalyzed Thin-Film Coatings for Bipolar Plates, Chiara Nenninger [c.nenninger@biotec.rwth-aachen.de], Marisa Sárria Pereira de Passos, Institute of Biotechnology, RWTH Aachen University, Aachen, Germany; Philipp Niemietz, Manufacturing Technology Institute (MTI), RWTH Aachen University, Aachen, Germany; Thomas Bergs, Manufacturing Technology Institute (MTI), RWTH Aachen University, Aachen, Germany; Frauenhofer Institute for Production Technology (IPT), Aachen, Germany; Ulrich Schwaneberg, Institute of Biotechnology, RWTH Aachen University, Aachen, Germany; DWI-Leibniz Institute for Interactive Materials e.V., Aachen, Germany

Thin-film coatings are applied to almost all material surfaces to enhance their physical and chemical properties, as corrosion resistance and conductivity. Protection of metal materials used in batteries and bipolar plates from highly corrosive environments using functional coatings can extend their lifetime and improve performance. Despite advances in coating technologies, challenges remain in achieving uniform surface functionalization, broad material compatibility, control over film thickness, scalability and sustainability.

Within this work, a biological method for the surface-confined polymerization of thin-film coatings using immobilized enzymes was developed. A fusion protein consisting of an enzyme, a linker and an adhesion-promoting peptide (AP) [1] was immobilized via dip- or spray-coating from an aqueous solution at room temperature and atmospheric pressure. The enzyme catalyzes the polymerization reaction, that "automatically" stops at approximately 30 nm. The self-termination of the polymerization reaction occurs when the immobilized enzyme becomes encapsulated by the coating, thereby controlling the film thickness.

The scalability of this method was demonstrated by coating a stainless-steel plate measuring 30  $\times$  100  $\times$  0.02 cm. The performance of the bio-based coatings was assessed on different metal alloys used in bipolar plates for hydrogen fuel cells through an alternating corrosion test, including climate storage and salt spray test, an acid resistance test, a pencil hardness test and a pull-off adhesion test. The coatings exhibited sheet resistance values within the range of 109 – 8250  $k\Omega$  sq<sup>-1</sup>.

This work presents a novel enzymatic coating approach for materials used in bipolar plates and battery applications that need protection from highly corrosive environments. The coating method is resource- and energy-efficient due to the use of enzymes as catalysts in aqueous environment, at room temperature and atmospheric pressure. The method has the potential to be transferred to other materials and coatings by engineering or exchanging the AP, the enzyme, or by selecting alternative monomers.

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TS1-ThP-12 Collaboration between Atomic Clusters of Co, Sn, Ni Hydroxides, Pt Atoms in Pd Nanoparticle Surface Boosts Outstanding Oxygen Reduction Reaction Performance, Jia-Yu Tsai [ym1015139@gmail.com], Po-Chun Chen, National Taipei University of Technology, Taiwan

The rational design and synthesis of heterogeneous interfaces with tailored structural and functional properties are highly sought to realize green energy technologies. In the present study, quaternary metallic heterogeneous nanocatalysts (NCs) consisting of Pt-cluster decorated CoOx-SnOy-NiOz-Pd hierarchical structures (namely CSNPP) are proposed with improved heteroatomic interactions for an oxygen reduction reaction (ORR) in alkaline medium (0.1 M KOH). The CSNPP NCs have been synthesized with different Pt contents (0.334, 0.836, and 1.672 wt%) by using a wet chemical reduction method on an active carbon (AC) support with simultaneous heterogeneous and homogeneous nucleation. Of special relevance, the mass activity (MA) of CSNPP- 0025 (0.836 wt% Pt) and CSNPP-005 (1.672 wt% Pt) NCs is 23826.48 mA mg -1 and 11099.71 mA mg -1, which is 355.619 and 165.667-fold increased, respectively, as compared to that of the commercial J.M.-Pt/C catalyst (20 wt% Pt) at 0.85 V vs. RHE. Through intensive analysis of microscopy and spectroscopy results, we demonstrated that such enhanced ORR activities for the ultra-low dosage of Pt are mainly dominated by incorporation of Pt atoms into the defect sites of the Co–Sn-Ni–Pd surface. These Pt-atoms lower the adsorption strength for oxygenated species via electron confinement from adjacent sites, resulting in enhanced splitting and relocation kinetics of subsequent oxygen molecules on the NC surface and thus ORR performance.

## Topical Symposium on Sustainable Surface Engineering Room Golden State Ballroom - Session TS2-ThP

(Photo)electrocatalysis and Solar/Thermal Conversion Poster Session

TS2-ThP-6 Perovskite Solar Cell with Potassium Chloride Treated SnO2 Electron Transport Layer for Increased Efficiency, Akhil Prio Chakma [akhilprio.chakma@udc.edu], Biplav Dahal, Tewelde Semere, Hongmei Dana, University of The District of Columbia, USA

Perovskite solar cells (PSCs) have emerged as a promising candidate for next-generation photovoltaicsdue to their low fabrication cost and highpower conversion efficiency (PCE). However, recombination losses and charge transport issues at the interface between SnO2 electron transport layer (ETL) and perovskite absorber are hindering the performance improvement. The SnO<sub>2</sub> surface often has oxygen vacancies and other defects that act as trap sites for electrons. These defects can lead to charge recombination, reducing the efficiency of charge extraction. This study demonstrates that potassium chloride (KCI) surface treatment of SnO<sub>2</sub> helps passivate these surface defects. Potassium ions (K+) can fill oxygen vacancies, reducing trap density, mitigating recombination losses, and decreasing hysteresis in the current-voltage (I-V) characteristics. Characterization using scanning electron microscopy (SEM), atomic force microscopy (AFM), kelvin probe force microscopy (KPFM) confirmed better surface morphology and larger grain sizes on KCl treated SnO2 and the corresponding perovskite layer. X-ray diffraction (XRD) analysis further revealed enhanced crystallinity, which is evident by intense diffraction peaks and reduced full-width half maximum (FWHM) compared to control samples. Photovoltaic performance measurements demonstrated improvements in device performance after KCl treatment. The best performing KCl based PSCs showed a PCE of 21%, fill factor of 77%, opencircuit voltage (Voc) of 1.08V, and short-circuit current density (Jsc) of 25 mA/cm<sup>2</sup>. The KCl based PSCs demonstrated that average efficiency is about 25% higher than control samples. These results highlight the effectiveness of KCI surface treatment in enhancing charge extraction, enhancing crystalline, reducing recombination losses, and improving overall device performance, making it a promising strategy for advancing high-efficiency PSCs.

**Keywords:** Perovskite Solar Cells, Electron Transport Layer, Efficiency, Atomic Force Microscopy, Kelvin Probe Force Microscopy, Scanning Electron Microscopy, Forward Width at Half Maximum.

## Friday Morning, May 16, 2025

## Tribology and Mechanics of Coatings and Surfaces Room Palm 3-4 - Session MC1-2-FrM

#### Friction, Wear, Lubrication Effects, & Modeling II

Moderators: Julien Keraudy, Oerlikon Balzers Coating AG, Liechtenstein, Pantcho Stoyanov, Concordia University, Canada

8:00am MC1-2-FrM-1 Linking Atomic-Scale Surface Structure and Friction via Multiscale Modelling: The Case of Carbon-Based Coatings and Tribofilms, Gianpietro Moras [gianpietro.moras@iwm.fraunhofer.de], Fraunhofer IWM, MicroTribology Center 2TC, Germany INVITED

Carbon surfaces play a fundamental role in tribology. There is not only the case of carbon-based coatings, but also the less obvious case of low-friction, carbon-based tribofilms deposited on other materials by liquid or solid lubricants. In all cases, friction in dry and boundary lubrication conditions depends on the atomic structure of the sliding surfaces. A stable chemical passivation of surface dangling bonds is a prerequisite for low friction and wear. However, even subtle changes in surface chemistry can cause the friction coefficient of passivated carbon interfaces to vary significantly. In this talk, I will present the results of multiscale simulation studies that combine quantum mechanics, molecular dynamics and contact mechanics to shed light on the relationships between the chemical structure of carbon surfaces and friction.

I will initially focus on superlubricity (friction coefficient < 0.01) with diamond-like carbon coatings and silicon nitride. Stable superlubricity over a wide range of operation conditions has been recently achieved at Fraunhofer IWM in plain-bearing test rigs using glycerol as a lubricant. Hydrodynamic superlubricity with glycerol is possible at high temperature and facilitated by the presence of water. However, the mechanisms responsible for superlubricity in boundary lubrication with glycerol are still under debate. Our simulations reveal a complex mechanochemical process involving the tribochemical decomposition of glycerol molecules at surface asperity contacts, the plastic deformation of the resulting H-, O- or N-containing amorphous carbon tribofilm and the formation of partially aromatic surface regions. These smooth and unreactive surfaces enable superlubricity even when asperity contacts run dry or are separated by nanometric, highly viscous glycerol films.

In the second part of my talk, I will extend the study to the effects of boron and fluorine. Our simulations suggest that hydroxyl groups that normally passivate carbon surfaces in humid environments can be activated by boron and form B–O dative bonds across the tribological interfaces, leading to a mild friction increase. Surface passivation by C–F bonds, instead, is very stable. This is the basis of the exceptional tribological properties of some perfluorinated carbon materials, but also of their accumulation in the environment and in biological systems. Our simulations provide answers to open questions about their friction mechanisms that may be useful in the search for alternatives: Why are perfluorinated carbon surfaces polar and hydrophobic? Why are they more slippery than their hydrogenated analogues? Why is PTFE non-sticky but forms transfer films on PTFE-lubricated steel surfaces?

8:40am MC1-2-FrM-3 Effects of Graphene Additives on the Mechanical Properties and Corrosion Resistance of Plasma Electrolytic Oxidation Coatings on AZ31B Magnesium Alloy, Guan Zhong Chen [M11188020@0365.mcut.edu.tw], Department of Materials Engineering, Ming Chi University of Technology, Taiwan., Taiwan; Chuan Ming Tseng, Center for Plasma and Thin Film Technologies, Ming Chi University of Technology, Taiwan., Taiwan

Graphene, as a two-dimensional layered material, exhibits excellent electrical conductivity and superior friction and wear resistance, making it widely applicable in various industries. In this study, the ceramic composite coatings on AZ31B magnesium alloy were prepared by using plasma electrolytic oxidation (PEO) in alkaline solutions with sodium phosphate, sodium silicate, potassium fluotitanate and graphene additions. The effect of graphene content on mechanical properties and corrosion resistance of PEO coatings on AZ31B magnesium alloy was investigated. The microstructural characteristics and compositional analysis of the PEO coatings were examined by using field emission scanning electron microscopy (FE-SEM), X-ray energy dispersive spectroscopy (EDS), and X-ray diffraction (XRD). The micro-Vickers hardness tester, nanoindentation instrument and a pin-on-disk tribometer were employed to measure the hardness and wear resistance of PEO coatings. The potentiodynamic polarization measurements and salt spray test were conducted to evaluate the corrosion behaviors of PEO coatings in NaCl containing circumstances. The experimental results revealed that as increasing the graphene content from 25 mg/L to 75 mg/L, the thickness of PEO coating increased from 24.7  $\mu m$  to 37.5  $\mu m$  and the porosity decreased from 13.03% to 7.82%. The results of XRD and SEM-EDS indicated that the PEO composite coatings were mainly composed of MgO and Mg2SiO4. The hardness of PEO coating was increased with increasing the graphene content and the optimal hardness 1696 HV attained on the PEO coating with 75 mg/L of graphene addition. The potentiodynamic polarization curves in a 3.5 wt% NaCl solution showed the corrosion current density decreased with increasing graphene addition and the highest polarization impedance achieved for the PEO coating with 75 mg/L of graphene addition. Furthermore, the results of salt spray test after 14 days-exposure indicated that the PEO coatings with graphene addition exhibited fewer and smaller corrosion pits as compared to the PEO coating without graphene addition. In summary, the mechanical properties and corrosion resistance of PEO coatings were pronouncedly improved by graphene nanosheets incorporation.

9:00am MC1-2-FrM-4 Tribology of Protective CrN Coatings in Arctic Environmental Conditions, Forest Thompson [forest.thompson@sdsmt.edu], Elyse Jensen, Nathan Madden, Grant Crawford, South Dakota School of Mines and Technology, USA

The friction and wear behavior of protective CrN coatings has been shown to be highly sensitive to Arctic environmental conditions, such as the combination of cold temperatures (<20 °C) with low dew points (<-30 °C). To advance the mechanistic understanding of the tribological response of CrN to Arctic environments, the relationships between coating architecture, environmental conditions, coefficient of friction, and wear resistance were investigated. A series of CrN coatings were deposited onto stainless steel substrates with varying adhesion layer compositions (Cr, Ti, CrN) by reactive pulsed DC magnetron sputtering. Microstructural characterization of the asdeposited coatings was conducted via laser scanning confocal microscopy, electron microscopy, energy dispersive x-ray spectroscopy, and x-ray diffraction. Linearly reciprocating sliding wear tests were conducted using a ball-on-flat tribometer. The tribometer was equipped with an active cooling stage and a dry air source to achieve coating surface temperatures and environmental dew points representative of conditions that would be encountered in Arctic service environments. After tribological testing, focused ion beam milling and transmission electron microscopy were utilized to analyze specific sites within wear scars and to characterize wear debris structure. The results from this work contribute to efforts related to the design of protective coatings for extreme environments, such as those encountered at Earth's polar regions.

## 9:20am MC1-2-FrM-5 Impact of Gaseous Environments on the Tribological Performance of Steel and Advantages of DLC Coatings, *Pierre-Francois Cardey [Pierre-Francois.Cardey@cetim.fr]*, Cetim, France INVITED

The tribological performance of materials is strongly influenced by the gaseous environment, where composition and pressure alter wear and friction mechanisms. In particular, the energy and transportation industries are paying increasing attention to hydrogen-related issues due to its potentially embrittling effects and impacts on tribological performance. At CETIM, a pin-on-disc tribometer was developed to analyze these interactions under various gaseous atmospheres across a wide range of temperatures and pressures.

This study focuses on two steel grades (high carbon and chromium steel 52100, and austenitic stainless steel 316L), tested in nitrogen, helium, and hydrogen atmospheres, with variations in contact pressure, temperature, and sliding speed. The results highlight how these environments affect the formation of protective oxide layers, which play a key role on friction and wear. The effects of hydrogen are also specifically studied due to its embrittling and reducing properties.

In this context, Diamond-Like Carbon (DLC) coatings emerge as a promising solution, acting both as a barrier to hydrogen diffusion and as a tribological enhancement in harsh gaseous environments. This study provides a comprehensive approach to optimizing material selection and surface treatments to improve the durability of components exposed to challenging industrial gaseous atmospheres.

10:00am MC1-2-FrM-7 Sliding Wear Behavior of Borided Ti<sub>6</sub>Al<sub>4</sub>v Alloy Under Dry Conditions and Simulated Body Fluids, J. A. Nieto-Sosa [antonio.nieto1094@gmail.com], G. A. Rodríguez-Castro, A. Meneses-Amador, INSTITUTO POLITECNICO NACIONAL, Mexico; E. E. Vera-Cárdenas, INSTITUTO TECNOLOGICO DE PACHUCA, Mexico; R. Pérez-Pasten-Borja, N. A. Hernández-Rosas, INSTITUTO POLITECNICO NACIONAL, Mexico

This study investigated the resistance to wear of borided  $Ti_6AI_4V$  alloy under dry conditions and in calf serum as simulated body fluid. The layers

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formation was conducted by powder-pack boriding at 1100 °C for 5 and 20 h of exposure time. The layer thicknesses less than 11  $\mu m$  and hardness around 25 GPa were determined by optical microscopy and instrumented indentation, respectively. Through X -ray diffraction, TIB2 and TIB phases are identified, and the distribution of the chemical elements of B, Ti, Al and V are analyzed by energy-dispersive spectroscopy (EDS). Reciprocating sliding tests were performed with an ball of 6.35 mm of diameter as counterpart, setting a sliding distance of 100 m and loads of 10 and 20 N for both conditions. The tribological results show that the wear rate decreases in the hardened titanium. In addition, the effects of the SBF are studied on the friction coefficient and wear mechanisms.

### Plasma and Vapor Deposition Processes Room Palm 1-2 - Session PP4-FrM

### **Deposition Technologies for Carbon-based Coatings**

Moderator: Philipp Immich, IHI Hauzer Techno Coating B.V., Netherlands

9:20am PP4-FrM-5 Multifunctional Nanocomposite Coatings: Aerosol Assisted Plasma Deposition, Alexis Aussonne [alexis.aussonne@lcctoulouse.fr], LCC, Laplace, France

Amorphous carbon thin coatings are widely used to protect surfaces due to their high hardness and their chemical resistance<sup>1</sup>. They can be deposited by various PVD methods such as ion beams<sup>2</sup>, magnetron sputtering<sup>3</sup> or by PECVD<sup>4</sup> by injection a gaseous precursor into a plasma. PECVD allow to control the structure of the carbon coating by choosing the precursor. However, the continuous injection of gas does not allow to work with complex precursors such as mixtures, solutions containing reactive molecules or colloidal solutions.

An alternative way to inject the precursor in the plasma chamber would be by directly injecting a liquid as an aerosol in a pulsed manner. This would allow to work with liquids of a much more complex composition and thus reaching interesting coatings. Furthermore, by injecting colloids it is possible to deposit various nanocomposite<sup>5</sup> coating encapsulating various kind of nanoparticles ( metallic, oxide, sulfide).

Herein we report the carbon deposition by a low pressure RF plasma with pulsed injection of a pentane aerosol. Carbon layers were characterized by Raman and Infrared spectroscopies. Additionally, colloidal solutions of MoS2 nanoparticles stabilized in pentane were injected to deposit nanocomposite thin films.

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(3)Sanchez, N. A.; Rincon, C. Characterization of Diamond-like Carbon Ž DLC . Thin Films Prepared by r . f . Magnetron Sputtering. 2000, 7–10.

(4)Nobuki Mutsukura, K. Y. Deposition of DLC Films in CH,/Ar and CH,/Xe r.f. Plasmas. *Diam Relat Mater* 1995.

(5)Carnide, G.; Cacot, L.; Champouret, Y.; Pozsgay, V.; Verdier, T.; Girardeau, A.; Cavarroc, M.; Sarkissian, A.; Mingotaud, A. F.; Vahlas, C.; Kahn, M. L.; Naudé, N.; Stafford, L.; Clergereaux, R. Direct Liquid Reactor-Injector of Nanoparticles: A Safer-by-Design Aerosol Injection for Nanocomposite Thin-Film Deposition Adapted to Various Plasma-Assisted Processes. *Coatings* 2023, *13* (3), 630–648.

9:40am PP4-FrM-6 Amorphous Carbon Thin Films for Electron Multipacting Mitigation in the Large Hadron Collider Vacuum System, Valentine Petit [valentine.petit@cern.ch], Pedro Costa Pinto, Mathias Gegg, Christos Kouzios, Giovanni Marinaro, Andrea Rocchi, Guillaume Rosaz, European Organization for Nuclear Research, Switzerland

In modern particle accelerators with high intensity and positively charged beams, electron multipacting due to the exponential multiplication of electrons in the vacuum beam pipes results in the build-up of so-called electron clouds. In the Large Hadron Collider (LHC) at CERN, electron clouds lead to beam quality degradation, pressure rises and heat loads to the cryogenic sections hosting the superconducting magnets. Electron clouds are recognized as a critical limitation to reach the very high beam intensity required for the High-Luminosity upgrade of the LHC (HL-LHC).

To tackle this phenomenon, several mitigation approaches have been developed in the last decades, including clearing electrodes, confinement of electrons by solenoids or lowering of the Secondary Electron Yield (SEY) of the beam pipe surface, the quantity governing the multiplication of

electrons. This last approach has been successfully implemented by coating the beam pipes with amorphous carbon thin films, which exhibit an SEY close to unity.

This contribution presents the development and prototyping phases towards the implementation of a coating technology to deposit amorphous carbon along several kilometers of narrow beam lines in-situ, i.e., without removing the superconducting magnets from their positions in the LHC tunnel, located 100 meters underground. The films are deposited by sputtering, using a tandem of 4 mobile targets, powered in HiPIMS mode, that are displaced along the beamlines. We report on the design of the coating system, on the characterization of the coatings, particularly under electron irradiation at 15 K, and on the optimization of the process parameters, considering the constraints for upscaling the technology to kilometers of vacuum pipes within the geometrical constraints of the LHC cryo-magnets.

10:00am PP4-FrM-7 With Carbon Coatings towards CO<sub>2</sub> Neutrality - Industrialization in Electrochemical and Tribological Applications, *Martin Kopte [kopte.martin@vonardenne.com]*, VON ARDENNE GmbH, Dresden, Germany INVITED

To date the global mining of fossil fuels continues to increase. As those resources are an integral part of almost any production value chain the CO2-equivalent of products needs to be accounted for in a clean balance sheet in every single production step and all the materials involved. The medium-term self-amortization of the CO2-equivalent of "active" products, that e.g. can replace fossil energy sources, is a desirable goal towards CO2-neutrality. Whereas "passive" products are required to be fabricated in the most efficient and sustainable manner, to keep the footprint as low as possible.

With PVD methods products can be refined to greatly increase in performance efficiency, self-amortization rate and sustainability. Typically, the additional effort of coating is already justified by the functionalization of the product itself. More and more the coating technologies must withstand a thorough review not only for the sake of cost effectiveness but also in terms of its contribution to the CO2-equivalent.

Carbon – inherently a good material choice – comes in wide variety of modifications with adjustable properties (e.g. electrical and mechanical) and hence can not only be used in a wide spectrum of electrochemical and tribological applications and thus targeting the scope of sustainable carbon-dioxide-free energy and energy saving solutions.

Paving the way to CO2-efficient industrialization of PVD-carbon coating equipment involves a careful consideration of many variables. This work touches on the challenges when it comes to the best choice of optimized materials, processes, methods etc. for engineering and scaling of competitive and efficient coating tools.

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