Plasma Science and Technology Division
Room On Demand - Session PS-Contributed On Demand
Plasma Science and Technology Contributed On Demand Session

PS-Contributed On Demand-1 Forming Protection Layers Using SiCl4
Plasma for Highly Selective Etching. Miyako Matsui, Hitachi Ltd., Japan; K. Kuwahara, Hitachi High-Tech Corp., Japan

Three-dimensional patterning for fabricating fin-based field-effect transistors (Fin-FET) has increasingly necessitated etching processes with a higher selectivity in three dimensional and fine-pattern structures. Highly selective etching techniques require advanced processes to form protective layers on unetched materials, such as masks, sidewalls, and etch-stop materials.

In this study, we investigated a deposition process for forming protective layers on top of masks by using SiCl4 plasma generated by a microwave-ECR etching system. This process is effective for achieving extremely highly selective etching by depositing protective layers on unetched materials in the etching chamber before the etching procedure. In our experiments, a protective layer was formed only on the top of a line-and-space pattern as a mask before the bottoms of the line-and-space patterns were etched.

We suggested a process for forming uniform protective layers on the top of a pattern by using a deposition step followed by a uniformization step. During the deposition step, SiCl4/Ar plasma was used to form a SiO2 protective layer only on the top of the pattern. Duty cycle of the pulsed microwave affected the cross-sectional profile of the protective layer by controlling the plasma dissociation. During the re-etching step, the protective layer was re-etched using NF3/Ar plasma to clean the deposited layers formed at the bottoms of the pattern and improve the thickness distribution on the top of the pattern. The cross-sectional profile of the protective layer formed on the top of the pattern was also improved to the one desired by the re-etching step. According to XPS analysis of the protective layer, we found that etching rate of the protective layer during the re-etching step depended on the atomic concentration of C contained in the protective layer. This was because the C atoms contained in the SiO2 protective layer caused a SiO2 etching reaction by forming CO and SiF2.

We also developed a cyclic process, in which deposition and re-etching were carried out alternatively to etch the bottoms of the pattern using the protective layer as a mask. Using the cyclic process to form a SiO2 protective layer on a line-and-space sample, we demonstrated that the uniform etching of SiO2 layer formed at the bottom of the patterns without etching over the top of the original pattern.

PS-Contributed On Demand-4 Focus Ring Erosion During Plasma Etching: Consequences of Dielectric Constant*, Kjefg Wang, University of Michigan; H. Lee, S. Shim, S. Nam, Samsung Electronics Ltd., Korea (Republic of); M. Kushner, University of Michigan

In plasma etching reactors, the structure surrounding the wafer, the so-called focus ring (FR), plays an important role in maintaining uniform fluxes of reactants across the wafer. The FR is typically made of dielectric materials. During etching, the sheath that forms over the wafer to accelerate ions into the wafer extends over the FR. Electrical charging of the FR modifies the sheath relative to that over the wafer. One wants the sheath uniform across the wafer-FR boundary to maintain etched critical dimensions (CDs) to the edge of the wafer. On the other hand, maintaining a high voltage sheath over the FR will erode the FR. A compromise is often made to maintain uniform fluxes and ion energy and angular distributions (IEADs) to the edge of the wafer while not significantly eroding the FR.

In this work, we computationally investigated the consequences of dielectric constant ε of the FR materials on FR erosion and uniformity of fluxes to the wafer. Reactor scale modeling was performed using the Hybrid Plasma Equipment Model (HPEM). The etching of the wafer and erosion of the FR was simulated by the Monte Carlo Feature Profile Model (MCFPFM). A dual-frequency capacitively coupled plasma reactor was used with a 60 MHz high frequency (HF) source applied to the top electrode and a 5 MHz low frequency (LF) bias applied to the bottom electrode. The powers of the HF and LF in the base case were 600 W and 1000 W. The gas mixture was Ar/CF3/02 at a flow rate of 600 sccm and pressure of 30 mTorr. The top surface of the FR was quartz.

The series capacitance of the FR and its underlying structure is typically smaller than that of the wafer and its underlying structure. As a result, the FR charges quickly during the radio frequency cycle, which reduces the voltage drop across the sheath on top of the FR. The IEADs striking the FR are therefore generally lower energy with broader angular distributions. At the transition between the wafer and the FR, there is an angular skew in the IEADs that results from the difference in sheath thickness above the wafer and below the FR. This skew, as well as the erosion rate across the FR, are functions of the dielectric constant of the FR base material. With low ε, the FR charges quickly, less plasma is produced above the FR and there is less voltage across the sheath which results in less FR erosion. Increasing ε of the FR produces a higher sheath voltage as well as higher ion fluxes over the FR which increases erosion, while the skew at the edge of the wafer is less severe.

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PS-Contributed On Demand-7 Improving Estimation Accuracy of Film Thickness Using Machine Learning for End Point Detection in Dry Etching. T. Okamoto, Soichiro Eto, Hitachi Ltd., Japan; S. Nakamoto, K. Fukuchi, R. Asakura, Hitachi High-Tech Corp., Japan

Precise control of fabrication in each process is required in semiconductor manufacturing because the device structure is now three-dimensional and miniaturized. In a dry etching process, a point detection (EPD) system that adjusts the etching time for each process is widely used to suppress the variation in the etched film thickness between wafers. In this system, the film thickness of the etching-target material is estimated based on the light spectrum reflected from a wafer during etching, and the etching process is stopped when the estimated thickness reaches a target value.

The accuracy of the film thickness estimation using EPD decreases when the wafer structure, such as the mask or sublayer film, differs for each wafer. For example, in an etching process after forming an etching mask, wafer-to-wafer variation in the mask thickness could occur. In this case, even though the film thickness of the etching-target material is the same, the accuracy of the film thickness estimation using EPD decreases because the light spectrum of the wafer has a different shape depending on the mask thickness. Therefore, high robustness against the variation in the device structure is required to estimate the film thickness accurately.

This study proposes a new method of film thickness estimation using a regression model of the relationship between the light spectrum and film thickness. A regression model based on the light spectra of wafers was created using machine learning to estimate the film thickness of wafers with various device structures precisely. Kernel ridge regression, which can create a nonlinear regression model, was used as the algorithm because the light spectrum changes nonlinearly with film thickness.

The accuracy of the film thickness estimation using the regression model for the wafers with various device structures using simulated light spectrum was examined. The light spectrum of the wafers in the SiNx film etching process was generated using an optical simulation, and the thickness of the SiO2 mask on the SiNx film was changed as a parameter in the model created in the device structure. The model was created based on the calculated light spectra of the wafers with various mask thicknesses. Then, the SiNx film thickness of the wafers with each mask thickness was estimated using the regression model. As a result, the SiNx film thickness of the wafers could be estimated with an error of 1 nm or less. High accuracy was possible in the film thickness estimation for the wafers with mask thickness that the regression model had not learned. Therefore, our proposed method has high robustness against variation in the device structure.

PS-Contributed On Demand-10 Floating Wire Assisted Plasma With Vapor Injection of Liquid Mixtures for Etching Titanium Compounds, Thi-Thuy-Nga Nguyen, Nagoya University, Japan; K. Shinoada, H. Hamamura, Hitachi, Japan; K. Maeda, K. Yokogawa, M. Izawa, Hitachi High-Tech, Japan, Japan; K. Ishikawa, M. Hori, Nagoya University, Japan

Advanced fabrication of three-dimensional (3D) devices in semiconductor industry required to control isotropic etching of multiple metal gates made of titanium containing compounds. A wet etching of the Ti compounds is conventionally used with H2O2 mixtures; however, there are only few reports for success of wet etching of these compounds. In this study, we have been developing a new dry etching method, called atmospheric pressure plasma enhanced atomic layer etching (APP-ALE) for isotropic etching of the Ti compounds by using a floating wire-assisted atmospheric pressure (FW-APP) with vapor injection of liquid sources.
In surface modification processes, fluxes and energies of ions, radicals, electronically excited particles, and photons in the visible and UV range should be controlled. Here radicals are more stable and are able to travel long distances in flowing gases or by diffusion in our setup. The FW-APP source consists of a 200-mm-high discharge quartz tube with a three-turn Cu coil connected with a VHF power of 100 MHz and a long floating metal wire placed inside to improve the plasma generation at a remote region. The FW-APP has a high electron density (10^5 cm^-3), and a high radical density is produced. Vapors generated from liquid sources were injected into the plasma contact region on the sample surface for surface modifications of the Ti compound film. Radicals were detected from optical emission spectra of the plasma. Surface modification of the Ti compound surface was analyzed by X-ray photoelectron spectroscopy.

In a similar manner of the atomic layer etching, the modified layer with lower surface binding energy can be removed by an additional step. The reaction chemistry of FW-APP at sample surface brings a large difference in chemical kinetics as compared with low pressure plasma. Rich radical source that can work as a co-reactant or etchant species enhances the reaction rate with the sample surface. Therefore, APP-ALE seeds the prospect of developing a high-performance etching method with low-cost, large-area, and high-speed treatment at relatively low substrate temperatures.


PS-Contributed On Demand-13 Plasma Based ASD for EUV Resist Defectivity Reduction and Process Window Improvement, Jennifer Church, IBM Research Division, Albany, NY; K. Luther-Lee, TEL Technology Center, America, LLC; L. Mei, E. Miller, IBM Research Division, Albany, NY; A. Raley, TEL Technology Center, America, LLC

EUV lithography has overcome significant challenges to become an essential enabler to the logic scaling roadmap [1]. However, it remains limited by stochastically driven defects, such as line breaks and line bridges for aggressive pitches. [2] This is especially relevant for the back end of line (BEOL) which requires the most aggressive scaling [3]. Stochastic defects reduce device yield and may push device manufacturers to move to EUV multi-patterning beyond 36nm pitch single exposure which is a costly option.

While the lithography and patterning stack can be optimized to provide the largest process window with the lowest number of defects, process margins decrease as smaller pitches are required [2]. Currently, for some lithography stacks, especially spin on glass (SOG) based tri-layer stacks, the defect free process window beyond 36nm pitch is limited by line collapse [4]. Reduction in resist thickness may mitigate pattern collapse, but it may also increase the number of line breaks – trading one killer defect for another [5].

In this presentation we expand on an area selective deposition (ASD) process in situ of an etch chamber to selectivity deposit material on the EUV photoresist prior to transferring the pattern downstream. [6,7] We demonstrate mitigation of resist line notching and breaks while maintaining deposition free open areas and clear alignment marks. Due to the inherent chemical selectivity of the deposition process as opposed to a purely aspect ratio driven deposition process, thinner resists that, with a normal etch condition would result in line breaks, can now be considered. This drives down flip-over defect issues seen with thicker EUV resists and enables several underlayers systems that could otherwise not be considered. Finally we demonstrate that defectivity levels measured by e-beam inspection post lithography and post pattern transfer and yield are both improved at 30nm pitch when this ASD process is used.


PS-Contributed On Demand-19 Direct Metal Etch of Molybdenum and Ruthenium: Patterning Challenges for N3 and Beyond, Stefan Decoster, S. Kundra, F. Lazzarino, IMEC, Belgium; E. Camerotto, LAM Research, Belgium

For several decades, the semiconductor industry has been successfully following Moore’s law. This has been achieved by a combination of dimensional scaling, introducing new patterning concepts, innovative device architectures, design–technology co–optimization, tool improvements, and integrating new materials. In the back end of line (BEOL), the Al–based interconnects were eventually replaced in the mid 90’s by Cu–based interconnects. However, in contrast to Al or Al–alloys, direct metal etch of Cu turned out to be too challenging. Therefore, the direct metal etch approach was replaced with damascene patterning, i.e., defining trenches and vias in a dielectric material and then filling these structures with a metal, such as Cu.

Although damascene patterning has many technical challenges, Cu–based interconnects have been around for almost three decades in many consecutive technology nodes. As we are slowly progressing towards metal pitches of 20 nm and smaller in the BEOL interconnects, the resistance of Cu metal lines is increasing rapidly due to surface and grain boundary scattering. Therefore, many research efforts are currently spent to find alternative metals to replace Cu at these small pitches, both for metal lines as well as for vias. Two interesting candidates, which are expected to have a so-called cross–over point with Cu at very small dimensions (~10nm) are Ruthenium (Ru) and Molybdenum (Mo).

An important advantage for Ru and Mo is that both materials can be patterned through direct metal etch, which simplifies the overall patterning flow, and eventually opens up the possibility for exploring new integration concepts and patterning approaches. During the last few years, we have investigated at imec the direct metal etch of both materials, starting at a more relaxed metal pitch of 32 nm, but targeting to scale down towards 18 nm metal pitch.

In this work, we will not only share the status of the direct metal etch of Ru and Mo at imec, but also focus on the challenges that arise when patterning these metals at such small pitches. While Ru patterning is being impacted by oxidation of the hard mask, the major challenges for Mo patterning are the sidewall protection and the oxidation of the metal lines. Finally, we will share the results of the efforts to mitigate these obstacles.

PS-contributed On Demand-16 Extreme Contact Hole Shrink for BEOL Connectivity, Filip Schleicher, S. Paolillo, S. Decoster, C. Wu, V. Vega Gonzalez, F. Lazzarino, IMEC, Belgium

Extreme scaling of dimensions in the semiconductor industry requires not only novel materials but also advanced patterning concepts. One of such challenges is the Back End Of Line (BEOL) interconnect via etch which requires increasingly higher aspect ratios and lower bottom Critical Dimensions (CD) with tight control in order to proper landing on the metal line below. In this paper, several etch approaches to pattern a via landing on Metal Pitch (MP) 21nm will be shown. Etch chemistry has been fine-tuned at several steps in attempt to adjust shrink at different levels of the stack while still maintaining vias open. Moreover, liner-assisted shrinkage, both organic and inorganic, have been implemented. As a result, BEOL has an aspect ratio of 20 and the bottom CD of 10.5nm have been achieved. Low CD Uniformity (CDU), Local CDU (LCDU) and defectivity have been identified by numerical analysis, large area Voltage Contrast (VC) technique and Transmission Electron Microscopy (TEM), showing correct landing of vias on the metal below. Results point to several etch shrink options for scaled BEOL vias, which can be implemented in the industry-grade interconnects.
Challenges in the BEOL CMOS scaling towards advanced technology nodes are mainly due to the limitations coming from routing congestion and RC delay [1]. One of the ways to address these scaling challenges for technology nodes beyond IN7 is to implement scaling boosters such as High Aspect Ratio (HAR) supervia. Supervia can be defined as a high aspect ratio via that connects two metal layers by-passing the contact with the intermediate metal layer, while the supervia will be self-aligned to the trench hard mask. Benefits of supervia over conventional ‘stacked’ single level vias is reported elsewhere [2]

Primary challenge in supervia patterning includes etching through multilayer dielectric stack without damaging the limited thickness of available trench hard mask. Continuous plasma exposure to the trench hard mask at the via location may induce corona erosion due to combined effect of radical induced chemical etching and ion induced sputtering. Any such potential damage to the trench hard mask will cause loss of via self-alignment and potential shorts with the neighboring lines. This challenge could be addressed to certain extent by increasing the polymer deposition rate on top of the hard mask during the via etch process, which could act as a potential protective layer to prevent HM erosion. However, this increases the risk of via etch stop, predominantly noticed in isolated structures due to dense iso etch loading. Furthermore, controlling the via CD and profile while patterning through multilayer stack at a high aspect ratio (AR >10) is another challenge 

This abstract presents demonstration of 2-level supervia using an EUV via mask to connect metal layers M3- M1 on a BEOL IN5 test vehicle (M3 metal pitch 32nm). Challenges in supervia patterning such as density-dependent etch, CD-dependent etch, maintaining the self-alignment, controlling the via CD and via profile are addressed. Finally, 3-level supervia DD etch process enabling connection between M4 & M1 is demonstrated in an exploratory etch chamber (TEL) which enables supervia with better control over via self-alignment, CD and profile control

Reference:

PS-Contributed On Demand-25 Impacts of Different Carrier Wafers during Cl2 Inductively Coupled Plasma Etching on the GaN Surface and the Al2O3/GaN Interface, Thibaut Meyer, S. Bouben, C. Petit-Etienne, B. Salem, E. Pargone, CNRS-LTM, Université Grenoble Alpes, France

In several decades, the semiconductor industry has witnessed drastic structural changes due to the growing electric power consumption. To satisfy the future requirements, alternative semiconductors are currently investigated to outperform the electrical performances of silicon-based devices. Among those materials, Gallium nitride (GaN) can sustain high voltages and high frequencies in extreme conditions, making it an adequate semiconductor for high power electronics. Several approaches have been proposed to develop GaN Metal-Insulator-Conductor High-Electron-Mobility Transistors (MIS-HEMT). In the MIS-HEMT structure, the dielectric/semiconductor interface is a key issue to improve the MIS-HEMT performances. It is known that the semiconductor surface is deteriorated during the etching step. The main guideline is to achieve a damage-free surface and, in this way, to reduce the interface trap density. In typical industrial processes, the mask coverage is such that undesired species (Si, C, O, H) are inevitably introduced in the plasma. Nevertheless, their influences on the plasma properties, the GaN surface and ultimately the Al2O3/GaN interface are not fully addressed.

In the present study, the presence of a mask is simulated by fixing GaN samples on 300 mm wafers (photoresist, thermal SiO2 and LPCVD SiN3) in an Cl2 Inductively Coupled Plasma. The bias potential was varied from the plasma potential (Vp) to -200 V, whereas the other plasma parameters were kept unchanged. All investigated carrier wafers react differently, exhibiting significant differences of the etch rates (Fig 1a). The chlorine atoms consumption and the redeposit of etch byproducts affect significantly the GaN etch rate (Fig 1b), the surface morphology (Fig. 1c) and the surface composition. It is in that context that excited species (C, SiC, C2, H, CCl, N2) were monitored by Optical Emission Spectroscopy (Fig 2). Atomic Force Microscopy was performed after Cl2 etching to evaluate the modification of the surface morphology. In situ x-ray Photoelectron Spectroscopy was implemented to probe the surface composition after the etching (Fig. 3) and after the atomic layer deposited Al2O3. The Al2O3 layer was inserted between a gate metal (Au/Ni) and the etched GaN in order to perform C-V measurements.

When using a SiO2 carrier wafer, a rough surface is associated with the presence of SiO2 nonvolatile etch products on the GaN surface. Overall, we note a similar smooth surface with Si, photoresist and SiN4-carrier wafers. The N/Ga ratio is almost not degraded except with a Si carrier wafer, which induces a strong Ga-depletion regardless of the bias potential. C-V measurements are ongoing to evaluate the impact of the bias and the carrier wafer on the electrical performances of the etched GaN.

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Planar retarding field analyzers (RFAs) have been used for decades to measure the ion energy distribution at surfaces during plasma processing. In the early days, RFAs were used mostly in grounded situations. In more recent times, RFA systems suitable for radio-frequency (RF) bias conditions were developed. The typical design consists of the RFA grid stack embedded in a substrate-like carrier. The grid signals are supplied to the RFA (installed in the plasma chamber) from the airside through RF chokes and vacuum feedthrough located at a vacuum port. The RFA carrier is wired to the vacuum feedthrough on the vacuum side. While this type of solution works well for R&D applications, it is not ideal for a production environment (even for off-line maintenance work) due to the wiring and the need to open the chamber for installation.

There is an increasing demand for plasma measurement and control solutions to cope with the growing complexity of integrated circuit manufacture in the semiconductor industry. Production compatible plasma diagnostic instruments are highly desirable. Silicon wafer based wireless sensors, which measure temperature during the process, have gained the most traction with tool manufacturers and device makers. In this presentation we will present a novel wireless wafer probe with integrated RFA, power supplies and measurement circuitry which can be loaded to the process chamber using the robotic transfer mechanism. RFA sensor data is measured and stored in on-board memory where it can be downloaded later for analysis. This technology has the potential for widespread use in the fab environment.

PS-Contributed On Demand-31 Characterisation of N-Based Plasma-Functionalised Activated Carbon and Macroporous Cordierite Monoliths for Improved CO2 Adsorption, Madhuwanthi Buddhadasa, Université Libre de Bruxelles, Belgium; Y. Ali Gómez Rueda, B. Verougstraete, Vrije Universiteit Brussel, Belgium; T. Doneux, Université Libre de Bruxelles, Belgium; J. Denayer, Vrije Universiteit Brussel, Belgium; F. Reniers, Université Libre de Bruxelles, Belgium

In the battle against global warming, investigating methods to capture and reduce greenhouse gases such as CO2 has become ever so important in the present time. Due to its many advantages such as solvent-free processing and versatility, plasma techniques are widely being researched for rendering reactive surfaces. In this work, a low-pressure (~80pa) capacitively coupled RF plasma and an atmospheric-pressure dielectric barrier discharge of ammonia are used to graft nitrogen functionalities onto 3 types of activated carbon (AC) monoliths and a cordierite monolith. The CO2 adsorption capacity of the monoliths is expected to then improve owing to chemisorption via acid-base interactions between the gas-phase CO2 molecules and the plasma-immobilised amine groups on the sample surface[1]. Influence of treatment parameters such as plasma power, chamber pressure and sample position in the reactor on N grafting is studied by x-ray photoelectron spectroscopy and optical emission spectroscopy. A N/(N+Co) of up to 20% can be detected on both AC and cordierite samples. The chemical composition of the pristine ACs, mainly the inherent oxygen content most likely originating during the synthesis of ACs, is found to influence the N grafting selectivity. In the monolithic structure, depending on the treatment conditions a differential functionalisation of N is observed inside the channels wherein the N
content can be reduced by half compared to that present on the outer surface. The low-pressure RF ammonia plasma visibly consists of two distinct regions, a bright violet zone near the powered electrode and a less bright white zone further away from it. The position of the sample in these two regions exhibit a clear difference in the N content, where less N is grafted in the bright region. An influence of the sample size on the surface chemistry is observed which is also reflected in the emission spectra. Alternatively, important insights into plasma surface modification of 3D geometries are highlighted. CO\textsubscript{2} adsorption is characterised by pressure swing adsorption at an isotherm of 30°C. A 27% increase in the adsorption capacity of N-functionalised AC monoliths and at least 20% increase for corderite monoliths is observed. The adsorption capacity shows a positive dependency on the surface N content. Despite the chemisorption of CO\textsubscript{2}, a temperature as low as 30°C under deep vacuum is found to be sufficient for a complete regeneration of the AC monoliths facilitating a low energy intensive regeneration process.


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PS-Contributed On Demand-34 Insights Into the Plasma Catalytic Decomposition of Methane: Role of Atomic O and Surface Species, 

Yudong Li, University of Maryland College Park; J. Jiang, University of Minnesota; M. Hinshawelod, University of Maryland College Park; P. Bruggeman, University of Minnesota; G. Oehrlein, University of Maryland College Park

Cold atmospheric pressure plasma generates reactive species that can enhance catalyst-based chemical reactions. Recently, we developed a non-atmospheric pressure plasma jet (APPJ)-catalyst system that enables real-time gas phase and operando surface characterization. This system has been used to study the influence of plasma on the oxidation of CH\textsubscript{4} to CO and CO\textsubscript{2} over a Ni-SiO\textsubscript{2}/Al\textsubscript{2}O\textsubscript{3} catalyst. In prior work, the gas phase species and surface intermediates corresponding to different plasma conditions were investigated. In this work, we studied the mechanism of plasma-catalytic CH\textsubscript{4} decomposition by analyzing the correlation between reactive species produced by plasma, gas phase products and surface species. Ar/CH\textsubscript{4} gas was injected downstream of the APPJ near the catalyst where it interacted with the O\textsubscript{2} gas and reactive oxygen species (ROs) in the Ar/O\textsubscript{2} APPJ effluent. Real-time Fourier-transform infrared spectroscopy was used to quantify the reaction products downstream of the catalyst bed for different conditions. The plasma incident fluxes were measured by mass spectrometry using an identical APPJ operating under the same conditions (although with a substrate containing a sampling orifice in place of a catalyst bed). By varying the plasma feed gas composition, plasma power, and catalyst temperature (25 °C to 500 °C) and correlating the quantified ROs fluxes with the results of the downstream measurements, we observed a strong correlation of the rates of CH\textsubscript{4} consumption, CO and CO\textsubscript{2} production with the O atom flux incident on the catalyst. This result implies that atomic O plays an essential role for the catalyst enhanced temperature-dependent oxidation of CH\textsubscript{4} to CO and CO\textsubscript{2} for our experimental conditions. We also used in-situ diffuse reflectance infrared Fourier transform spectroscopy to analyze the catalyst surface species. We found that the abundance of surface CO follows that of surface CH\textsubscript{3}, with plasma at 25 °C, indicating that the CH\textsubscript{3} is the precursor species for the formation of surface and gas phase CO. No discernable surface CH\textsubscript{3} was observed at 500 °C, while surface CO was more pronounced, indicating that the decomposition of CH\textsubscript{3} to CH\textsubscript{2} might be the rate-determining step at 500 °C. The same phenomena of low surface CH\textsubscript{3} and high surface CO coverage have been observed using an Ar/O\textsubscript{2}/CH\textsubscript{4} plasma jet. However, when CH\textsubscript{4} is flown through the APPJ, a more intense reaction of CH\textsubscript{4} with oxidizing species is seen, indicating the importance of plasma on the activation of CH\textsubscript{4} for the decomposition reaction. We gratefully acknowledge funding from National Science Foundation (CBET-1703211 and CBET-1703439) and US Department of Energy (DE-SC0020232).

PS-Contributed On Demand-37 Plasmonic Nitridation of Silicon Surface via Plasma-Induced Wavelength-Mixed Gold Nanoparticle Excitation, 

Takeshi Kitajima, K. Watanebe, M. Miyake, T. Nakano, National Defense Academy, Japan

Catalytic surface reactions utilizing gold nanoparticle plasmons have been utilized in various applications in recent years.\textsuperscript{1} We have applied hot electrons supplied from gold nanoparticles to plasma surface reactions to use them to form high-quality ultrathin films.\textsuperscript{2} We focused on the mixed effect of visible light for plasmon excitation and plasma VUV emission and discovered the effect of green light excitation that promotes radical nitriding. 

Au was vapor-deposited on a SiO\textsubscript{2} / Si (100) substrate in an ultra-high vacuum chamber with an average thickness of 0.4 nm by electron beam deposition to form Au nanoparticles (C) on the surface. A 30 mTorr N\textsubscript{2}–inductively coupled plasma was generated in the attached chamber, and the sample was irradiated with N radicals (R) that passed through a 30 line/inch SUS304 single mesh with the configuration shown in Fig. 1 (a) for 5 minutes. A filter and a white LED controlled the wavelength of light (L), and VuV light from N\textsubscript{2} plasma was mixed. The reaction condition consisting of the above is RLC. Figure 1 (b) shows the dielectric characteristics of the SiON film (leakage current and EOT (equivalent oxide film thickness) when 1 V is applied). In green light suitable for Au plasmons, the hot electrons (~ 4 eV) generated by the deexcitation of plasmons enabled the bond conversion from Si-O to Si-N the ultra-thin SiON shows the same characteristics as the thermal oxide film. By mixing VuV, it is possible to increase the film thickness further and reduce leakage. From the above, it is considered that the reaction between the absorbed N radicals and Si proceeded, and a good quality SiON film was formed by superimposing the photoelectron emission from the VuV light on the hot electron injection from the Au nanoparticles by green light irradiation.

1. C. Clavero, Nat. Photonics 8, 95 (2014).

In semiconductor manufacturing, an etching process with extremely high precision is required because nanometer-order shifts in etching profiles can cause serious problems in the electrical characteristics of the devices. One of the causes of such shifts is a change of the etching chamber stemming from, for example, deterioration of the internal parts over time, replacement of the deteriorated parts with new parts, or replacement of some or all of the parts to upgrade the chamber. So far, to correct such shifts, proficient engineers have reevaluated etching recipes (the control parameters in etchers) on the basis of etching results obtained before and after the chamber changes. However, due to increasingly complicated device structures and the miniaturization of their critical dimensions, the number of parameters in recipes for state-of-the-art devices has increased. Therefore, recipe optimization has become much more difficult. Since there is a shortage of proficient engineers who have the ability to optimize recipes, a decline in manufacturing productivity is inevitable.

To help engineers optimize recipes, we developed a method to automatically optimize recipes by using transfer learning. In this method, first, a regression model between recipes and etching profiles is trained by using former data, namely, etching data obtained before the change of the chamber. Next, the regression model undertakes additional training by transfer learning with small amount of latter data, namely, etching data obtained after the change in the chamber. Finally, the optimal recipe is predicted by the regression model that learns both the former and the latter data.

In this study, we applied our method to recipe optimization for Si trenches with 12.5-nm-wide Self-Aligned Quadrupole Patternning (SAQP) masks. We used an etcher that had previously had its hardware remodeled to improve its exhaust performance. Due to the hardware remodeling, the etch rate decreased by about 20%. To re-optimize the recipe after the remodeling, we used etching data obtained before and after the remodeling as former and latter data by transfer learning. As a result, we succeeded in obtaining a predicted recipe to achieve almost the same etch rate and etching profile of 12.5-nm-wide Si trenches with an aspect ratio of 10 as before the remodeling. Furthermore, the amount of latter data needed for the recipe prediction was less than 1/3 of that in the supervised-learning based method [1]. Therefore, when the chamber configuration is changed, our method is expected to achieve productivity enhancement.


Here, we report the properties of an organosilicon (SiOxCyHz) thin membrane, obtained by atmospheric pressure dielectric barrier discharge (AP-DBD). The plasma has been used to control the electrochemical stability of a composite layer made from carboxymethyl-cellulose, carbon black and Lithium-based nanoparticles. The synthesized nano-membrane is preventing the electrode loss of electronic percolation and increasing the electro-chemical stability over a prolonged number of cycles in low concentrated aqueous electrolytes. In this study, the physico-chemical properties and electrochemical performance of such electrodes were investigated. The porosity of the nano-membrane has been used to control the diffusion of ions, and limits the natural degradation created by the water molecules inside the electrode. Different organicity levels (Si-Chx band to Si-O-Si in-phase asymmetric stretching band, AS1, ratio) and matrix disorder (AS1 to Si-O-Si out-of-phase asymmetric stretching band, AS2, ratio) have been compared. The results indicate that the plasma membrane sustains numerous cycling without affecting the electrochemical activity. This suggests a reduced degradation of the plasma layer despite the volume variation of the electrodes during the charging/discharge process. To better understand this phenomenon, the mechanical of the organic silicon thin layer obtained in similar conditions at atmospheric pressure has been studied. Adhesion, tribological and mechanical properties were assessed by nanoscratch and depth-sensing indentation measurements. The results suggest compressive stress in the bulk, and energy uptake during depositions. This feature is ascribed to low-energy ion irradiation and surface de-excitation of metastable atoms in the AP-DBD.

In the last few years, researchers around the world have focused on the development of more “eco-friendly” products and sustainable processes. Plasma treatments at atmospheric pressure have gained interest because of their low consumption of chemicals, the dry polymerization mechanisms, as well as the possibility to develop new exotic chemical functionalities on surfaces and interfaces. Although several articles have been written on plasma polymers and their applications, their synthesis on more exotic materials – such as green composites or natural porous substrates – have been only studied by a few authors in the last years. We recently demonstrated how the micro- and nano-porosity can control the physic of the discharge and thus the breakdown mechanisms. Different fragmentation mechanisms and growth modes have also been highlighted depending on the porosity.

These findings have recently been used to control the synthesis of an organosilicon nanomembrane for the modification of “green” composite electrodes used in aqueous batteries.
while these SiN materials exhibit higher sidewalls roughness after the plasma etching process. Therefore, the plasma process improvement is still going on to reach better sidewalls roughness of the waveguide.


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Radio-frequency (RF) hollow cathode discharge (HCD) plays an important role as a plasma source for material processing in the semiconductor industry. Hollow cathode systems typically consist of an array of small hollow cylindrical holes on the cathode. The plasma in the hollow cavities can become more intense due to the hollow cathode effect (HCE) under certain conditions. A single hollow cathode hole is modeled using Particle-in-Cell/Monte Carlo simulation. In this model, using charge density of particles, Poisson equation is solved for electric potential, which yields the electric field. Using this electric field, all charged particles’ velocities are updated and the particles are moved. This PIC code considers particle collisions with each other and with neutral fluid using a Monte Carlo model. Statistics of these collisions are used to determine how electron energy is dissipated in the plasma. We have explored the effect of gas pressure, RF voltage and frequency as well as secondary electron emission on plasma density in the RF HCD using this model. At higher pressure, plasma penetrates inside the hole, leading to HCE enhancement. At high RF voltage, plasma density enhancement is limited as plasma spreads over larger volume. The secondary electron emission as well as RF sheath heating play important role in electron power deposition. To better understand the role of secondary electrons, we have explored different secondary electron emission coefficients at various RF frequencies. In order to determine the collective behavior of an array of hollow cathode holes, a reduced order model based on a neural network is developed utilizing the detailed PIC modeling results. Preliminary results using this reduced order model are presented.

**PS-Contributed On Demand-58 Determination of Recombination Coefficients for Hydrogen, Oxygen and Nitrogen Gasses via in-Situ Radical Probe System, Dren Jeremi, University of Illinois at Urbana-Champaign; D. Ruzic, G. Panici, A. Jain, D. Jacobson, University of Illinois at Urbana-Champaign, USA**

Determination of recombination coefficients of gases on solid surfaces varies depending on the process and environment. Values of recombination coefficients are dependent on factors such as temperature, surface morphology, impurities, chamber and surface thickness. A zero-dimensional plasma model is developed to predict radical densities of hydrogen, oxygen and nitrogen as a function of electron temperature, plasma density and pressure which were measured experimentally. The predicted radical densities are then used to calibrate experimental measurements of averaged temperature difference between two catalytic probes with different surface materials. The radical density measured via in-situ radical probe system is matched with density obtained from plasma model in order to determine the value of recombination coefficient. Recombination coefficient of hydrogen radicals on a gold surface is found to be 0.115±0.018. Recombination coefficients of oxygen and nitrogen on copper are found to be 0.31±0.063 and 0.18±0.034, respectively.

**PS-Contributed On Demand-61 Sheath Model for Electromagnetic Simulation of Capacitively Coupled Plasma, Xiaopu Li, A. Verma, S. Ganta, K. Bera, S. Rauf, Applied Materials, Inc.**

Capacitively Coupled Plasmas (CCP) are commonly used in semiconductor manufacturing. Electromagnetic (EM) field and power distribution are generally studied in practical reactors assuming bulk plasma as a lossy media with complex conductivity. Since plasma sheath plays an essential role in plasma heating and power deposition, it is critical to incorporate sheath model to capture accurate EM response of CCP reactors. A simple sheath model includes a nonlinear distributed circuit consisting of a capacitor, a diode and a current source. The circuit components are self-consistently solved based on assumptions of Lieberman [1] or Metze, Ernie and Oskam (MEO) [2]. In this study, a simplified CCP reactor is simulated using a FDTD solver coupled to the ME0 sheath model. The resulted EM field distribution shows multiple harmonics due to the nonlinearity of the sheath. An electrical asymmetry is achieved using unequal electrode areas or modulated waveform. The reactor impedance is calculated from lumped voltage and current, and is compared to the result of a fluid-based plasma model. Additionally, a reduced order sheath model is studied numerically from full-scale plasma model, which provides a promising way to handle realistic CCP reactors.


**PS-Contributed On Demand-64 Characterization of Reversed Arc Hydrocarbon Plasma in Material Synthesis, Vladimir Gorokhovsky, Nano-Product Engineering, LLC, Univ. of Colorado**

The reversed arc plasma-enhanced PECVD reactor utilizes the reversed arc discharge conducted between the remote anode positioned in the high-pressure plasma processing compartment connected to the plasma-creating gas supply line and the primary cathode positioned in the low-pressure compartment connected to the pumping system. The anodic plasma processing compartment was separated from the primary arc compartment by the diaphragm with the small orifice. The high-current reversed arc discharge was generated in a mixture of hydrogen and hydrocarbons with argon at moderate pressures ranging from 1 to 100 Torr in compositions typical in the synthesis of diamonds and related materials. The effect of gas pressure on the current-voltage characteristics of the reversed arc discharge was studied at different plasma-creating gas mixtures. The electrically floated substrates such as pieces of Si wafers were positioned either on the surface of the flat remote anode disk, separated from the anode by sapphire wafer, or, alternatively, were suspended within the current-carrier arc plasma column on thin, high-temperature dielectric cable. The temperature of the substrate was measured either by thermocouples or by IR pyrometer. In the spectrum of Ar–H2–CH4 the reversed arc plasma column in the wavelength range of 400-620 nm there are CH, C2, and H2 molecular bands, in addition to Hβ, Hγ, and Hδ lines of atomic hydrogen. The dissociation degree of molecular hydrogen was estimated using the optical actinometry method from intensity ratio hδ/hβ of the Hδ and ArI 750 nm lines, showing the dissociation degree of hydrogen in the arc plasma is about 15-20%. The reversed arc plasma column and its interaction with substrates were modeled in an axially-symmetrical one fluid, one temperature approximation using commercial COMSOL FEM software. The dissociation of the hydrogen across the discharge tube was calculated by the thermal dissociation model, based on the advection-diffusion-reaction (ADR) equation for atomic hydrogen produced by Ar or H2 impact and lost in three-body recombination, and via LTE calculation. The results of the comparison of hydrogen dissociation degree across the arc column demonstrate a high degree of dissociation of molecular hydrogen in reversed arc plasma in reasonably good agreement both with experimental findings and between two modeling approaches. The results obtained on the interaction of reversed arc plasma with substrates suspended in the arc column were applied to the description of the dusty reversed arc plasma consisting of spherical particles uniformly distributed across the reactor channel. It was found that the energy effectiveness of the treatment of micropowder in reversed arc plasma can exceed 90%.

**PS-Contributed On Demand-67 Molecular Analysis of Plasma-Induced Germination Improvement of Rice Seeds With High-Temperature Stress Damage, Kazunori Koga, Y. Ishibashi, Kyushu University, Japan; C. Suriyasak, Kyushu University, Japan, Thailand; T. Okumura, H. Tanaka, Kyushu University, Japan; P. Attri, Kyushu University, India, Japan; K. Matsuo, D. Yamashita, N. Itagaki, K. Komotaki, M. Shiratani, Kyushu University, Japan**

High-temperature stress damage during the ear ripening of rice (Oryza sativa L.) due to global warming is an important issue of the food crisis. The seeds of rice that experienced high temperatures around above 30 oC from flowering to harvest show delayed germination. Improvement of germination characteristics is an important issue. So far, we have found atmospheric pressure dielectric barrier discharge (DBD) plasma irradiation to seeds promotes germination and growth and increases yield [1,2]. Here, we report plasma-induced germination improvement of rice seeds with high-temperature stress damage.

In the experiment, we employed japonica rice seeds that were harvested under a high-temperature condition of 30 oC from flowering to harvest
periods. The harvest year was 2017. They were stored in a freezer at -30°C for 3 years after their harvest. They were left at room temperature for 1 day before plasma irradiation, and then plasma irradiation was performed using a scalable DBD device [1]. 100 seeds were placed in the area of 4x4 cm² under the center of the discharge electrode, and the gap between the electrode and the seed was 3 mm. The discharge voltage was 7.0 kVpp. The plasma irradiation was carried out at room ambient temperature and the humidity of 40-60% rh. To avoid temperature rise of seeds during plasma irradiation, 10 s plasma ON and 50 s plasma OFF were repeated 18 times. The total discharge time was 3 min.

We measured 5 sets of germination curves of 30 seeds. The germination rate of seeds with high-temperature damage was 25% at 72 hours after the start of water uptake, whereas that of plasma-irradiated seeds with high-temperature damage improved to 40%. We also measured gene expression of OsAmy1C in a gene group of alpha-amylase which hydrolyze the starch in the endosperm into sugars during seed germination [3]. The variation in the expression of OsAmy1C corresponds to the germination characteristics.

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process it has been shown that the etching starts after an incubation time on non-implanted (vertical) surface. On implanted surfaces, several implant types have been investigated (H2, O2, N2, NH3, He) and the results show that only H-based and He plasma during the first step suppress this incubation time, suggesting that a specific surface state favor neutrals adsorption and the subsequent etching during the RP process. This etch activation not only offers a process window with high selectivity between implanted and non-implanted but also highlights that the surface/plasma interaction are driving the etch during the second step. The Surface state after the first step was characterized using several techniques including: Time of flight secondary ion mass spectroscopy (ToF-SIMS), X-ray Photoelectron spectroscopy (XPS) and X-ray reflectometry (XRR). In order to further understand the etching mechanisms and plasma/surface interactions, plasma characterization techniques were performed. The Energy distribution and chemical nature of the ions involved in the modification step were studied using Retarding field energy analyzer (RFEA). Using Vacuum ultraviolet absorption spectroscopy (VUVAS), the neutral species present in the remote plasma discharge can be identified and quantified. Among NF3 and NH3, the presence of HF was detected (RFEA). Using Vacuum ultraviolet absorption spectroscopy (VUVAS), the neutral species present in the remote plasma discharge can be identified and quantified. Among NF3 and NH3, the presence of HF was detected and could be a potential precursor to the salt formation. Analyzing the gas phase composition for different NF3/NH3 ratios showed that different regime of HF production exits. Those results will be correlated to the etch kinetics of silicon nitride, silicon oxide and silicon obtained by in-situ ellipsometry. A deeper understanding of the gas phase composition and etch activation mechanisms allows to tune the two steps in order to increase selectivity and ultimately optimize the process.

References:

Multi-frequency capacitively coupled discharges with relatively low pressure (mTorr) and high bias voltage (kV) are currently of interest for high aspect ratio etching. Unfortunately, this regime poses significant challenges for computational modeling. At these low pressures, fluid models are no longer valid, and a fully kinetic model is required. At these high bias voltages, the high electron velocities severely limit the simulation timestep. As a result, most of the computational studies in this regime have focused on one-dimensional simulations. In this study, two-dimensional simulations of a capacitively coupled reactor with Cartesian symmetry were performed for an Ar plasma at 10 mTorr with bias voltages exceeding 1 kV applied at 5 MHz. Aleph, a massively-parallel particle-in-cell direct simulation Monte Carlo code was used to address this problem with a fully kinetic approach. The plasma dynamics, including the plasma density and sheath profile at various pressures, and the resulting ion energy and angular distributions are discussed.

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PS-Contributed On Demand-88 Modeling Capacitively Coupled Plasmas With Nanosecond Pulsed Bias Voltages, Amanda Lietz; Sandia National Laboratories; J. Prager, Eagle Harbor Technologies; M. Hopkins, Sandia National Laboratories
Custom waveforms in capacitively coupled plasma reactors may provide increased control of ion energy and angular distributions. This improved control could enable improvements in etch rate and feature quality, especially in high aspect ratio etching. New developments in high voltage pulsed sources are now making it feasible to apply short high voltage pulses with peak power that is sufficient for modern etching reactors. In this study, we model the effects of 100 ns voltage pulses up to 5 kV on plasma dynamics and the resulting ion energy and angular distributions. Aleph, a massively-parallel particle-in-cell direct simulation Monte Carlo simulation tool, was used to model an Ar plasma in one-dimensional simulations. A standard sinusoidal voltage was applied to one electrode, and custom voltage pulses were applied to the other electrode. The resulting plasma and sheath dynamics, as well as their effect on the ion energy distributions are discussed. The results are compared with a standard sinusoidal voltage.

SNL is managed and operated by NTESS under DOE NNSA contract DE-NA0003525.

PS-Contributed On Demand-91 Microplasma-Assisted Atomic Layer Deposition and Etching Free Patterning of GaOx Film with Enhanced DUV Photoresponse, Jinhong Kim, A. Micronov, University of Illinois at Urbana Champaign; D. Sievers, University of Illinois Urbana Champaign; S. Park, University of Illinois at Urbana Champaign; J. Eden, University of Illinois Urbana Champaign
Gallium oxide (GaOx) thin film has been deposited on the silicon substrate by arrays of microcavities plasma enhanced atomic layer deposition (MALD) using trimethylgallium and oxygen plasma. Due to the complete reaction between precursors, the gallium and oxygenstoichiometric ratio for amorphous GaOx films has been measured by EDX and RBS to be ~ 1.48 ± 0.2, demonstrating that negligible levels of impurities and oxygen vacancies exist in the films. The optical transmittance of amorphous GaOx and β-GaOx thin films measured by Varian Cary 5000 UV-VIS spectrophotometer show ~ 95% and 100% transmittance in a range of ~ 250 nm to 500 nm, respectively. The crystallinity of β-GaOx thin film after post-annealing with a rapid thermal anneal (RTA) system was investigated by X-ray diffraction (XRD) and transmission electron microscope (TEM). Based on the Tauc law calculation, the optical bandgap of GaOx thin film was calculated to be ~ 4.9eV, corresponding to 254 nm illumination. As a proof-of-concept experiment, deep-ultraviolet (DUV) photodetector with metal-semiconductor-metal (MSM) structure was fabricated using MALD for GaOx film deposition and metal contact (Ni/Au) was deposited by E-beam
evaporator. Amorphous Ga$_2$O$_3$ -grown at 300 K exhibited higher responsivity at 254 nm wavelength. Owing to the unique characteristic of MALD, the patterning of Ga$_2$O$_3$ films with conventional photoresist and lift-off processing is now a viable option without additional etching process. MALD patterning process introduced here eliminates the need for plasma etching (such as reactive ion processes) and its concomitant damage to sensitive materials. The dark current of DUV photodetector was ~ 10$^{-6}$A, indicating minimized leakage current from Ga$_2$O$_3$ film. The photocurrent with a plateau surface detector was ~ 10$^{-8}$A and it was increased to ~ 5×10$^{-8}$ A with the periodic stipe/square-patterned interface due to the increased surface volume ratio. The demonstrated ability of MALD to use the plasma source with fragile materials affords the opportunity to leverage well-developed photoresists and lift-off techniques in that patterning films and reducing fabrication steps for layered structures. The unique properties of microvacuity plasmas and the confinement of the microplasma electric field allows for nonequilibrium concentrations of radicals and molecular species to interrupt on the substrate in an environment that is essentially field-free and, therefore, exempt from damage arising from ion impact at the substrate.

PS-Contributed On Demand-94 A Mechanistic Approach to Tune Plasma Sintering Parameters for Enhancing Connectivity of Printed Nanoparticles, Nazli Turan, M. Saeidi-Javashi, Y. Zhang, D. Go, University of Notre Dame

Recent developments in additive manufacturing have enabled printing of colloidal nanoparticles for diverse technologies, including energy conversion and storage, sensing, and electronics. Atmospheric pressure, ambient temperature plasmas have become a promising candidate for material processing because they eliminate needs for pressurizing and heating equipment while providing energetic and reactive species that can initiate surface modifications (e.g. sintering) at a plasma-surface interface, including thin film nanoparticle assemblies, enhancing material properties (e.g. conductivity) in a non-destructive and functional way. Here, we propose a mechanistic approach to plasma jet sintering that correlates plasma parameters (e.g. power and flow rate) with the activation energy barrier for surface diffusion, which we identify as the dominant mass transport path leading to a densified structure. We demonstrate an electrical conductivity as high as 1.1×10$^6$ S/m (2% of bulk silver conductivity) for printed silver films on glass sintered at atmospheric conditions in which the surface temperature stays below 50 °C. Based on the analysis of specific energy input to argon and helium atoms, we calculated an energy barrier of 1.2 eV that is required to be overcome prior to sintering. These results highlight a future direction where additive manufacturing of electronic devices can be achieved on low-melting point materials at ambient conditions and provide a way that we can tune the plasma parameters to trigger effective mass transport between surface particles.

PS-Contributed On Demand-97 Molecular Beam Mass Spectrometry to Measure Absolute Densities of Ions, Vibrationally and Electronically Excited Species in Atmospheric Pressure Plasma, Jingkai Jiang, Y. Aranda Gonzalvo, P. Bruggeman, University of Minnesota

Molecular beam mass spectrometry (MBMS) is widely used as a diagnostic method in plasma processing with the ability to detect a large range of different species and to measure species fluxes or densities at a substrate [1]. The latter enables directly linking the obtained fluxes with plasma-surface interaction studies. In this work, we extended the capability of MBMS by developing detection and calibration approaches for the absolute measurement of singlet delta oxygen, O$_2$(a$^3$Δ) the first electronically-excited state of O$_2$, vibrationally-excited nitrogen N$_2$(v), as well as the absolute density of ions. The measurements are performed in an atmospheric pressure plasma jet (APPJ) operating in Ar with admixtures of O$_2$ and N$_2$.

The MBMS measurements of O$_2$(a$^3$Δ) showed that O$_2$(a$^3$Δ) is the dominant reactive species in the effluent of an atmospheric pressure plasma jet (APPJ) [2]. The ability to measure axial and radial profiles of O$_2$(a$^3$Δ) impinging on a substrate in the effluent of the APPJ is a key advantage of the MBMS diagnostic method over well-established optical diagnostics. The large flux of O$_2$(a$^3$Δ) has been directly linked to the effective inactivation of virus by this APPJ. The spatially resolved measurements of N$_2$(v) in the effluent of an APPJ were enabled by fitting the mass spectrometry signals with the electron-impact ionization cross sections of N$_2$(v) as a function of electron energy, assuming a Treanor-like vibrational distribution function. The approach provides a complementary diagnostic technique for detecting N$_2$(v) near substrates with excellent spatial resolution and detection limits, and also shows that RF-driven plasmas can produce large fluxes of vibrationally-excited nitrogen that is reported to be important in plasma catalysis. Absolute densities of positive ions in the effluents of an APPJ were obtained through calibration with a dc corona discharge with a well-known current density profile [3]. Positive ion densities in the effluent of the APPJ were found to be more than 4 orders of magnitudes lower than the densities of the dominant reactive neutral species (O, O$_2$(a$^3$Δ), O$_3$) in the afterglow region suggesting that plasma-surface interactions in this case are dominantly due to neutral radical interactions. These results are examples of extended diagnostic capability in atmospheric pressure plasma that have a large potential to enable a better understanding of plasma-surface interactions.

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Reference

PS-Contributed On Demand-100 EUV Induced Formation of Hydrogen Plasmas at Low Pressure, Tugba Piskin, University of Michigan; H. Lee, S. Nam, Samsung Electronics Co., Inc., Korea (Republic of); M. Kushner, University of Michigan

The continuing decrease in feature size in microelectronics processing has produced a progressive decrease in the wavelengths for photolithography. The recent deployment of Extreme Ultra-Violet (EUV) lithography systems with photon wavelengths of 13.5 nm are intended to enable feature sizes to below 10 nm. One method to produce EUV photon beams is to ablate and ionize tin droplets with pulsed lasers. A drawback of this method is that tin vapor and ions contaminate the chamber and can damage the collector mirrors. By filling the chamber with low-pressure H$_2$ gas that does not significantly absorb the EUV photons, a low density plasma is produced by the EUV photon flux that dissociates and ionizes hydrogen. Tin deposits on optics are then etched by H atoms and ions producing stannane (SnH$_4$), which can be pumped away [1]. The EUV photon energy (92 eV) is far in excess of the ionization and dissociation thresholds of H$_2$, which results in energetic photo-electrons and, through the Franck—Condon effect, energetic dissociation fragments. There is a careful balance that is required to produce sufficient H atoms to clean the optics of Sn deposits, while not having energetic ions that might damage the surfaces of the collector and mirror.

In this paper, we discuss results from a computational investigation of the plasma formation that occurs by EUV photon beams (13.5 nm, 92 eV) passing through low pressure H$_2$. This investigation was performed using the Hybrid Plasma Equipment Model (HPEM) which was modified to capture the heating of electrons and ions from photoionization reactions and to produce hydrogen plasma formation only from photon beams. The outcomes of the simulation are the densities of radicals, ions, and excited species, and their fluxes (including energy- and angular distributions) to the chamber walls. For pressures of a few to tens Pa, and EUV powers of tens to hundreds Watts, the dominant ion is typically H$^+$ while an electron temperature of 4–5 eV produces a plasma potential of up to 20 V. This produces ion energies onto surfaces of 15 − 20 eV. A large density of vibrationally excited H$_2$ enables a significant production of negative hydrogen ions. The consequences of pulse repetition frequency, pressure and photon intensity on plasma properties will be discussed.


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PS-Contributed On Demand-103 Molecular Dynamics Simulation of Oxide-Nitride Layer Etching by Fluorocarbon Plasmas, Charisse Marie Cagmom, M. Isobe, S. Hamaguchi, Osaka University, Japan; E. Hudson, Lam Research Corporation

Due to the development of technologies that require heavy data usage, the demand for 3D NAND devices with better efficiency and larger memory capacity is still not ceasing. 3D NAND devices are founded upon stacking of alternating oxide-nitride or oxide-silicon layers. The higher the number of stacked layers, the higher the storage capacity becomes. In this study, we have performed molecular dynamics (MD) simulation of reactive ion etching of silicon dioxide (SiO$_2$) and silicon nitride (Si$_3$N$_4$) bilayer by CF$_4$ ions, which represent typical reactive ions from fluorocarbon plasma. A
5nm-thick SiO$_2$ layer was placed on top of a Si$_3$N$_4$ layer and bombarded by CF$_3^+$ ions with an energy ranging from 200eV to 2000eV, which can reveal the reaction mechanism as etching transitions from SiO$_2$ to Si$_3$N$_4$. It is found that, for 200eV to 1000eV, the change in depth of the substrate as a function of the ion dosage shows two distinct slopes as the etching passes through the SiO$_2$-Si$_3$N$_4$ interface. Though the difference in the slopes becomes less apparent as energy increases, this shows that a 5nm-thick SiO$_2$ layer could still be treated by substrate ions with energies up to 1000eV. However, at 2000eV, the penetration depth of the ion reaches up to 6nm, which is thicker than the initial SiO$_2$ thickness. At this energy, the etch rates of SiO$_2$ and Si$_3$N$_4$ are nearly the same. It is also found that, for 200eV to 1000eV, the amount of carbon left on the SiO$_2$ layer during the etching process increases rapidly as the SiO$_2$ etching transitions to Si$_3$N$_4$ etching. This was not observed at 2000eV as the formation of a thick damaged layer creates a mixed SiON material whose depth is comparable with those of SiO$_2$ and Si$_3$N$_4$ (i.e., ONO) layers of the 3D NAND structure.

PS-Contributed On Demand-106 Improving the Hydrophilic Properties of Pet Textiles Using Atmospheric Db and RF Plasma Torch, *Annuelle Demaude*, Université libre de Bruxelles, Belgium; *R. Inturri*, Fidia Pharma, Italy; *C. Satriano*, University of Catania, Italy; *P. Leroy*, IONICS Surface Technologies, Belgium; *F. Reniers*, Université libre de Bruxelles, Belgium

The textile industry is constantly searching for rapid ways to improve the properties of textile surfaces. For example, polyester fabrics made of poly(ethylene terephthalate) (PET) are widely used in the clothing field and in the biomedical field (respiratory masks, wounds dressings). However, they are very hydrophobic and may display an important amount of static charges at their surface, generating discomfort in wear, but also making them difficult to dye, clean or coat$^{1,2}$.

In the hydrophilization of textiles surfaces, plasma technologies represent a simple and eco-friendly alternative to the traditional alkaline soda process that consumes a lot of chemicals, water and energy$^{3,4}$. Plasmas can generate the oxidation and etching of textile fibers, increasing their surface energy, hence their wettability and adhesion to other materials. Originally developed and used in this field at low pressure$^6$, they now tend to be performed at atmospheric pressure to avoid the use of pumping systems, and make them implementable in continuous on-line productions$^{12}$. The present work aims at contributing to a better understanding and control of the reaction mechanisms involved in the hydrophilization of textiles by atmospheric plasmas. In particular, the use of a dielectric barrier discharge (DBD) and a RF plasma torch was investigated for the modification of PET fabric surface properties. Different plasma parameters such as the addition of reactive gases to the discharge or the exposure time were varied, and the resulting wettability of the treated textile was studied by the means of water contact angles (WCA) measurements and wicking tests. Surface composition of the fabric was also examined by X-ray Photoelectron Spectroscopy (XPS). It was found that a complete wicking of such surfaces is needed in a broad range of fields such as biology, microfluidics, liquid transport, electronics, and photonics$^{3-4}$. Methods to synthesize such surfaces are numerous, but generally involve many steps and/or require low pressure environments$^{5-7}$ (layer deposition with masks, lift-off processes, mold to substrate imprinting, ion beams, etc.). Among them, plasma-based technologies, and more particularly, dielectric barrier discharges at atmospheric pressure (AP-DBD) are attractive tools because of their ability to etch or deposit thin films over large areas at scale without complex vacuum systems.

Here, we demonstrate how chemically and topographically patterned thin films can be synthesized by immobilizing filaments in a DBD discharge operating with an organic precursor/Ar mixture. Using different precursors, under different burst modes, and on different substrates (silicon wafer, glass, and aluminum), the stationary filaments led to different patterns. In each case of thicker circular areas of sub-mm diameter (aka ‘spots’) were visible where filaments were ignited on the substrate. Profilometry revealed different thickness, roughness, size and shape of the spots, depending on the plasma parameters, which ultimately depend on the distribution of surface discharges generated around the filaments, as observed with high-speed imaging. XPS and IR analysis also highlighted differences in the molecular structure/composition of spots versus areas between them. This could, in great part, explain the different wettability patterns obtained when using propargyl methacrylate as a precursor (hydrophilic spots with hydrophobic surrounding area or vice versa). Overall, this work demonstrates a new, simple path to locally tune the chemistry and topography of surfaces using atmospheric pressure DBD discharges.


PS-Contributed On Demand-112 Control of the process environment for HFO-based RRAM device formation, *Hiroyuki Miyazoe*, IBM T.J. Watson Research Center; D. Koty, TEL Technology Center, America, LLC; H. Yan, N. Gong, M. Hopstaken, E. Cartier, J. Ott, IBM T.J. Watson Research Center; Q. Yang, A. Mosden, TEL Technology Center, America, LLC; T. Ando, S. Engelmann, E. Joseph, IBM T.J. Watson Research Center

Resistive random access memory (RRAM) have been widely considered as a next-generation nonvolatile memory and analog computing applications due to fast write and read access, low power consumption and process compatibility to the conventional CMOS technologies$^{8,9}$. Oxygen vacancies in metal oxide (MO) film are considered as a driving force for HFO-based RRAM switching$^{10}$, therefore, the control of oxygen in the device, and its process chemistry are critical for the performance of RRAM. In this work, we evaluated the control of the process environment for RRAM device formation using low temperature diffusion plasma. First, we evaluated different etch methodologies and chemistries for HFO using continuous plasma and gas cyclic etching. We observed different RRAM size dependence in switching resistance with the devices smaller than 1um, suggesting controlled sidewall damage on top metal electrode during HFO etch. Second, we compared O2-containing and O2-free hydrofluorocarbon etch processes with similar etch rate and with similar selectivity to SiOx, poly-Si and spin-on organic under layer. Exposure of O2 containing plasma to the top electrode of RRAM resulted in the increase of the device forming voltage, while O2 free plasma chemistry did not show a significant change. Damage-free SiN etching may be attributed to a good control of the plasma etch chemistry and to the low-damaging nature of the diffusion plasma. These process learnings envision the ability and controllability of RRAM as the next-generation devices.

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PS-Contributed On Demand-115 III-V/Ge Heterostructure Plasma Etching and Passivation With a Single Plasma Process for Low-Damage Multijunction Solar Cell Fabrication, Mathieu de Lafontaine, Laboratoire des Technologies de la Microélectronique, CNRS-LTM, France; E. Pargon, G. Gay, C. Petit-Etienne, Laboratoire des Technologies de la Microélectronique, CNRS-LTM, France; J. Barnes, N. Roth, CEA, LETI, MINATEC Campus, France; M. Volatier, A. Jouaud, S. Fafard, V. Aimez, M. Darron, Laboratoire Nanotechnologies Nanosystèmes (L2N2) - CNRS UMI-3463 Institut Interdisciplinaire d’Innovation Technologique (3IT), Université de Sherbrooke, Canada

Over the past few years, plasma etching processes were the object of a growing interest for multijunction solar cell fabrication. They are used to etch mesas to electrically isolate one cell from another but also to plasma-dice the cells from the wafer. More recently, plasma etching was also used to pattern via-hole on multijunction heterostructures in order to fabricate solar cells with through cell via contacts. This architecture contains to increase the multijunction solar cell efficiency by 3% and the power yield per wafer by 20% by transferring the front side contact to the backside using insulated and metallized vias. For all these applications, the task is challenging as dozens of epilayers layers must be anisotropically etched with low roughness and minimal damage to ensure optimal cell performance. In this abstract, a plasma process is proposed to both etch anisotropically the III-V/Ge heterostructure while passivating the etched sidewall in order to maximize the cell efficiency.

The epilayers consist of a III-V heterostructure (InGaP, In(Ga)As, GaAs, AlInP, AlGaAs, AlGaInNP layers and quantum dots) epitaxially grown on Ge substrate. The etching process consists as a SiCl4/H2 plasma performed in an inductively coupled plasma reactor at high bias power. The etch morphology, the sidewall composition and the cell performance were all studied as a function of the hydrogen fraction in the plasma. These results, up to 67% of the total gas flow. Cross-section SEM images show that a selective sidewall erosion occurs since some III-V etching subproducts are more volatile than others. Increasing the H2 flow enhances the atomic chlorine scavenging in the plasma which decreases the chemical etching. FIB-STEM characterization showed that no sidewall erosion is obtained when a high H2 flow is used (67%). TEM-EDX measurements show that a 50nm-thick SiO2/Cl2 layer is deposited on the sidewall which helps limiting the sidewall erosion and ensure anisotropic etching. ToF-SIMS measurements show that hydrogen atoms were incorporated into the III-V/Ge sidewall and its concentration increases with the H2 flow. Cathodoluminescence measurements were performed on the etched sidewall as well. In the vicinity of the top sub-cell, the etched sidewall presents a higher luminescence intensity and it is even larger with increasing H2 flow. These results show that adding H2 to the plasma mixture allows hydrogen incorporation into the sidewall which passes the non-radiative defects. As a result, both the open-circuit voltage and the fill factor of the solar cell are higher with increasing H2 flow, resulting in a higher cell efficiency.

PS-Contributed On Demand-118 Process-induced Damage in GST Etch, Luxherta Buzi, J. Papalia, H. Miyazo, IBM; H. Cheng, Macromix International Co; M. Hopstaken, R. Bruce, S. Engelmann, IBM

As the semiconductor industry continues to push for and develop higher performance computing systems, there is also a growing trend of developing new computing approaches to be more energy efficient. Switching of Phase Change Memory (PCM) material between crystalline and amorphous phase with electrical pulses and optical properties make it an important candidate for storage class memory and neuromorphic computing. These PCM materials (e.g., GeSbTe and its derivatives) can be damaged during plasma etch processing leading to defects such as void formation, grain coarsening, selective material depletion, etc. In addition, the etch damage of PCM materials can affect device performance (e.g., switching times). Optimization of etch process and chemistry in minimizing or eliminating structural or compositional damage is crucial for success of this new device technology.

Experiments were performed on thin GST films to reveal the effects of chemistry and the impact of different plasma configurations on recrystallization properties and damage formation. No significant difference in damage formation was found for different reactor configurations in Ar plasma (ICP, MW, IBE), however the effect of UV/VUV irradiation and other chemistry effects have to be more extensively studied with alternative reactor configurations. Using halogen chemistries, enhanced damage was observed for different reactor configurations (ICP vs. MW). Here, the depth profiling analysis showed a correlation between the enhanced damage formation and selective elemental depletion and plasma residue retention in the near surface region. Chlorine plasma had the highest etch rate and increased damage formation compared to HBr and CF4.

PS-Contributed On Demand-121 Double Curling Probe Method for in-situ Monitoring of Electron Density and Film Thickness for Application, Daisuke Ogawa, H. Sugai, K. Nakamura, Chubu University, Japan

Knowing plasma parameters, such as electron density and temperature etc., is a key to increase reproducibility in material processing. There are numerous techniques to measure these parameters, along with their advantages and disadvantages. The numerous techniques are necessary because it is always a good idea to make these parameters crosschecked with other techniques to increase the reliability of the values. Curling probe is one of the diagnostic tools that utilizes resonant frequency shift in a case with a curl-shaped slot antenna. The probe deals with the plasma as a dielectric material. The probe enables the measurement of the electron density in plasma from the shift of the resonant frequency: the degree of the shift depends on the electron density. However, material processing sometimes requires a film deposition, which affects the measurement with the probe. The deposited film can be a dielectric material so that the film lets the frequency shift as well. It means that such a deposition process allows shifting the frequency both due to plasma and due to film deposition. Therefore, a curling probe can be available in a situation where the effect of film deposition is negligible.

To overcome the measurement of electron density in the situation where film deposits, we have recently developed the technique with the use of two curling probes (D. Ogawa et al., PSST, accepted on Feb. 2020). This technique allows separating the summation of the frequency shifts with the use of the probe character, where a frequency shift is dependent on the probe diameter. Our preliminary result shows that the new technique successfully derives the electron density and film thickness at the same time. However, note that we obtained this result in a simulated situation when the generation of the plasma was with argon gas, and the deposited film was as polycrystalline film. This result was good enough for the preliminary data and to practically prove the theoretical approach, but it is still far from an application in actual processing. It means that there are still some works that need to be done, for example, measurement with a complex gas, and even with a reactive gas used for depositions. In this presentation, we will report some updates on this technique for application in the production line.


Ion assisted deposition (IAD) using e-beam evaporation is a well-known deposition method commonly used for optical films. One of the advantages is moisture stability of the films’ optical properties. However, many materials are not suitable for IAD as the typical ion sources used provide either a high flux of energetic ions above the material dissociation energy, or a low flux of low energy ions insufficient to densify the optical films.

Denton Vacuum’s patented Endevour RF plasma ion source has the capability for independent control of ion current density and ion energies over a wide range. The ion current density can be controlled by the RF power applied to the RF coil, and the ion energies can be controlled using a DC voltage applied to an electrode, biased positively with respect to a grounded single grid. This combination enables a high flux of ions with tunable ion energy. We demonstrate this tunability in both moisture shift control and stress tunability. Moisture shift in HfO2, Al2O3, and SiO2 thin films with no ion-assisted deposition was 0.95%, 0.23% and 0.47% respectively. By varying the ion energies from about 50 eV to 300 eV, the moisture shift could be reduced to 0.19%, 0.17% and 0.04% for HfO2, Al2O3 and SiO2 respectively. Intermediate moisture shifts were obtained at lower ion energies, indicating that the source is suitable for applications demanding low energy/high flux ion assisted deposition (IAD).

In addition, the stress could be tuned for example, in Al2O3 films from 29 MPa (tensile) to 2.23 MPa (compressive) by increasing the ion energy from 0 eV to 100 eV. More importantly, since the ion energy and ion flux can be independently controlled, the source can be operated at low ion energies with a high ion flux and in regimes not accessible to most standard ion sources used for IAD. This ion source is also robust and requires very low maintenance and is suitable for a variety of applications such as ion beam deposition, as well as for pre-clean and etching.
In this investigation, we evaluated the temperature behavior of the CHM etching characteristics and their interactions with other films in relation to the etched profile. The results show that the deformation of the plasma nitried suture needles was reduced to one-third that of the untreated needle after 30 sutures. In this presentation, we will show the results of the performance of the suture needles treated by our biocompatible plasma nitridding system.

Acknowledgments : This work is funded by the University of Brussels (special COVID fund), and the National fund for research -FNRS (PER project). E.S. is now at CRM group.

PS-Contributed On Demand-139 Investigation of CHM Etching with Additive Gas, Kathryn Maier, L. Kovatch, Y. Iishi, Hitachi High Technologies America Inc.

Over the many years of device scaling, integration has become more and more complicated. As a consequence, the number of etching processes as well as other manufacturing steps have increased tremendously. Hard-mask (HM) structure, which consists of photoresist mask/SiO-like layer/carbon-hard mask (CHM)1, is one of the structures frequently used for patterning. In order to successfully transfer the HM pattern into the following layer, understanding the etching behavior of CHM is a critical factor, especially for CHM etching characteristics and their interactions with other films in relation to the etched profile.

In this investigation, we evaluated the temperature behavior of the CHM etch with some gas addition. We found that etch rate decreases with increasing temperature. This etch rate trend can be changed with or without the additive gas. It is found that oxide layer formation plays an important role in the behavior, which may or may not be observed depending on the temperature, even with the additive gas included in the process. We will reveal the correlation between the temperature and the gas flow during the presentation, with the support of surface analysis.

During the CHM etch, selectivity against upper or underneath layer, such as SiO-like or SiN-like layer, needs to be taken into account so as to etch CHM vertically. The use of the additive gas is utilized to obtain the high selectivity. In this presentation, we also analyzed the selectivity mechanism against oxide and nitride. The analysis shows that oxide layer formation on...
the oxide and nitride enhances the selectivity. It is shown that the oxide layer is slightly different from SiO2 layer, which is confirmed by surface analysis.


PS-Contributed On Demand-142 Effect of Synchronously and Asynchronously Pulsed Ar/Cl, Inductively Coupled Plasmas on Si Trench Formation, Heeju Kim, G. Yeom, L. Wen, j. hong, W. Jang, S. Namoongo, Sungkyunkwan University (SKKU), Korea (Republic of)

Traditionally, continuous wave (CW) plasma etching has been used for the fabrication of semiconductor devices. However, due to the several issues such as plasma induced damage (PID), charging issues, aspect ratio dependent etching (ARDE), etc., pulsed plasma etching technologies are applied and getting more attention for nano-scale device fabrications to solve problems of conventional CW plasma etch processes.

In this work, we investigated the nanometer scale poly Si trench etching process using various pulsed Ar / Cls inductively coupled plasmas such as synchronized pulsing, asynchronous pulsing, etc. The differences in the etching characteristics and plasma characteristics of CW, synchronous, and asynchronous ICP plasmas have been investigated. It has been found that, compared to CW plasmas, synchronously pulsed plasmas showed better etch profiles and lower ARDE effect. Especially, the further decrease of ARDE effect was observed with asynchronously pulsed plasmas compared to synchronously pulsed plasmas even though the etch rate has been decreased. To understand the mechanism of etch etching by various pulsed plasmas, plasmas have been characterized with high voltage probes, time resolved OES, RGA, etc. Also, the XPS measurement has been performed to understand chemical reactions on the etched material surfaces.


Dual-frequency (DF) capacitively coupled plasmas (CCP) are commonly utilized in semiconductor etching and deposition processing because of their excellent spatial uniformity and easy control of ion energy. With a dual-frequency, the ion energy and the ion flux are separately controllable for the high-frequency (HF) and the low-frequency (LF) voltage waveforms, which are faster and slower than the ion transit time individually. A two-dimensional particle-in-cell simulation parallelized with a graphics processing unit made it possible to overcome the heavy computation load in DF CCP simulation. In this presentation, we report the asymmetry electrode effect on the spatial uniformity of the ion energy and angle distributions of Ar CCPs under DF driving conditions. The plasma potential and the ratio of the ion power loss to the electron power loss increases with LF power. Despite the spatial nonuniformity of plasma density, the ion flux toward the wafer is uniform when the upper electrode is longer than the lower electrode beneath the wafer. However, time-dependent analysis of ion energy and angle distributions (IEADFs) shows that nonuniformity still exists for the angle distributions.

PS-Contributed On Demand-148 Plasma Induced Disproportionation of Nitrogen in a DC Plasma-Electrolysis System Operated in N2 at Atmospheric Pressure, C. Pattyn, Université libre de Bruxelles, Belgium, France; Nicolas Maire, Université libre de Bruxelles, Belgium, Italy; A. Remy, F. Reniers, Université libre de Bruxelles, Belgium.

Since the beginning of the twentieth century, the Haber-Bosch process has been used for the fixation of nitrogen through the synthesis of NH3, an essential precursor for the production of fertilizers. However, despite being extensively optimised, it accounts for more than 1% of global carbon dioxide emission and the global energy consumption [1]. Furthermore, its dependence on hydrocarbon precursors (mainly from fossil origins) for the production of H2 via steam reforming heavily compromises its future involvement in a sustainable society [2]. Over the past few years, plasma-based processes have attracted more attention as a “clean” alternative to Haber-Bosch for nitrogen fixation. This is due to the unique properties of non-equilibrium plasmas which could allow a drastic reduction of the energy cost for nitrogen fixation while being compatible with renewable precursors and energy sources.

In this study, a DC plasma-electrolysis system is used for the simultaneous oxidation (NOx) and reduction (NH3) of nitrogen, using a setup that has already demonstrated a significant interest for the selective synthesis of NOx [3]. The discharge, ignited in pure N2 between a stainless steel needle and the solution (1mM of NaCl) is used as a cathode electrode while a platinum wire immersed in the liquid is used as an anode electrode. The solution is analyzed by means of ionic chromatography and UV-Vis spectrophotometry while the plasma/gas phase is analyzed using optical emission spectroscopy and electrochemical sensors.

Experiments highlight that ammonia is formed mainly in the gas phase through multiple pathways which benefit from hydrogen evolution reaction at the plasma-liquid interface. Interestingly, lowering the plasma-electrolysis current down to 1mA proved to allow the reduction of the energy cost for nitrogen fixation (down to 61.1MJ/mol) while enhancing the transfer of nitrogen compounds from the gas phase to the liquid phase (in the form of stable secondary species such as NO2, NO, or NH4+). This results from both an enhancement of the non-equilibrium properties of the discharge, allowing a higher vibrational excitation of N2, and a more efficient conversion of nitrogen compounds to species with a higher solubility (in particular regarding the oxidation of nitrogen). The (artificial) enhancement of the water vapor content in the gas mixtures has lead to further improvement of the vibrational excitation of N2 and lowering of the energy cost while preserving a somewhat similar selectivity of the nitrogen compounds produced.

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Plasma-enhanced atomic layer deposition (PE-ALD) is a low temperature, conformal, layer-by-layer deposition technique that is based on a pair of self-terminating and self-limiting gas-surface half-reactions, in which at least one half-reaction involves species from a plasma. This approach generally offers the benefit of substantially reduced growth temperatures and greater flexibility in tailoring the gas-phase chemistry to produce films of varying characteristics. The advantages come at the cost of a complex array of process variables that often require great care on the part of the user. We employ plasma diagnostics to inform the choice of process conditions for PE-ALD systems including VUV-NIR spectroscopy, charged particle collectors near the substrate, and spatially resolved Langmuir probe measurements to characterize the plasma used in a commercial PE-ALD tool (Fiji 200; Ultratech/CNT). In particular, we assess the total ion flux reaching the substrate surface, spatial variation of plasma properties, and the relative fractions of atomic and molecular species generated in the plasma under a variety of pressures and gas input flow fractions typically employed to grow nitride and oxide films. Changes in plasma parameters are then linked with changes in the characteristics of films including AlN, InN, TiO2 and Ga2O3. This work is partially supported by the Office of Naval Research and the Naval Research Laboratory base program.

PS-Contributed On Demand-154 Area Selective Plasma Enhanced Chemical Vapor Deposition of Silicon Using a Fluorinated Precursor, Ghevra Akiki, LPICM-CNRS, Ecole Polytechnique, Institut Polytechnique de Paris, France; S. Filonovich, TOTAL GRP, France; M. Bouttemy, M. Fregnaux, Institut Lavoisier de Versailles, UMR CNRS 8180, Université de Versailles-St-Quentin, France; I. Florea, P. Bulkin, E. Johnson, LPICM-CNRS, Ecole Polytechnique, Institut Polytechnique de Paris, France

Area-selective deposition(ASD) is a process that controls where the deposition takes place through the underlying surface rather than through any masking step. This can be achieved by either Atomic Layer Deposition (ALD) or Chemical Vapor Deposition (CVD) techniques [1]. In previous work, we studied area selective plasma enhanced CVD (PECVD) using an Ar/SiF6/H2 plasma chemistry [2]. For specific plasma parameters, a microcrystalline silicon film is selectively grown on a SiO2 area while the AlOx adjacent area remains pristine (see figure 1). This effect was then attributed to the formation of Al-F bonds that blocks the deposition of silicon on top of the AlOx area [3].

However, when the plasma conditions are changed or when those two materials are patterned using lithography, the selectivity is lost. Each case will be discussed and presented based on in-situ ellipsometry and X-ray photoelectron spectroscopy analyses.

On Demand available October 25-November 30, 2021

PS-Contributed On Demand-157 Driving Frequency and Ozone as Key Parameters for Nitrogen Oxidation by a Dielectric Barrier Discharge in an N\textsubscript{2}-O\textsubscript{3} Mixture, Antoine Remy, Université libre de Bruxelles, Belgium; N. De Geyter, Ghent University, Belgium; F. Reniers, Université libre de Bruxelles, Belgium

Dielectric barrier discharges (DBDs) have a wide field of applications, ranging from coating depositions [1] to gas conversions [2]. The addition of nitrogen to oxygen in ozonisers allows a better ozone (O\textsubscript{3}) yield due to reactions with nitrogen species. But at some point, the nitrogen added will limit the ozone output because of nitric oxide (NO) poisoning [3]. Therefore, in O\textsubscript{3} production from air, the side production of nitrogen oxides (NO\textsubscript{x}) in various forms has always been seen as a pollutant. For nitrogen fixation by oxidation, we created a discharge into nitrogen and oxygen while optimising the NO\textsubscript{x} output over frequency, power and oxygen ratio. Nitric oxide is known to be efficiently produced at high temperatures due to the reaction between vibrationally excited N\textsubscript{2} and atomic oxygen [4], unlike O\textsubscript{3} which is best produced at low temperature [3]. By adjusting the parameters, we can find the perfect balance between NO and O\textsubscript{3} production that allows to use O\textsubscript{3} as an oxidising agent for NO and thus locking NO\textsubscript{x} species in higher oxidation states (HNO\textsubscript{y}, NO\textsubscript{2}, NO\textsubscript{3}). The hydrogen needed is coming from the polymer walls of the reactor or remaining water. The reactor is a plate-to-plate DBD with two dielectrics (3 mm quartz) separated by a 2 mm gap, in a continuous flow rate (0.5 to 6 L/min) of an N\textsubscript{2}/O\textsubscript{3} gas mixture (10% to 90% of oxygen). The total flow rate of the reactor and the oxygen concentration has been studied over four driving frequencies (4 kHz, 12 kHz, 17 kHz and 30 kHz) to optimise the energy efficiency (ppm/W.s) of NO\textsubscript{x}. In-situ Fourier transform infrared spectrometry has been used to monitor nitrogen oxides production as function of discharge time. It allowed us to follow the concentration in the reactor of O\textsubscript{3} and NO\textsubscript{x} from 0 to 250 s of discharge time with a 1 second resolution. The concentration evolution and energy efficiency using different frequencies show three different behaviours of NO\textsubscript{x} and O\textsubscript{3} production in the discharge. Among them, 17 kHz shows the highest energy efficiency at 50% oxygen concentration and a flow rate of 1 L/min mainly through the production of HNO\textsubscript{y}. At 6 L/min, 30 and 17 kHz frequencies tend to have both the highest energy efficiency whereas the lower frequencies (4 and 12 kHz) mainly produce NO and NO\textsubscript{2} at all flow rates and oxygen concentrations under study and at lower energy efficiency. We thus emphasize here the correlation between ozone and nitrogen oxides production and the influence of the driving frequency.

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Bibliography:

PS-Contributed On Demand-160 Are Atmospheric Plasmas Environmental Friendly? A Case Study, R. De Cruyenaere, Université libre de Bruxelles, Belgium; N. Vandencaesteel, CPI Plasma, France; W. Achten, Francois Reniers, Université libre de Bruxelles, Belgium

Plasma technology, and more specifically atmospheric plasma, are often referred as green technologies. Indeed,they do not use (toxic) organic solvents, they operate at atmospheric pressure, therefore without the need of pumping systems, they use electricity that can be produced by green technologies, and they are most often “cold” plasmas, meaning that the energy loss in heating the gas is limited. These arguments are often used either in conferences, or by manufacturers of equipment to justify the choice of the technology. However, there are limited scientific data showing the real environmental impact of (cold) atmospheric plasma [1]. Through a case study, the environmental impact and the eco-efficiency of plasma treatments are calculated and compared with their traditional counterparts. The environmental impact, through the life cycle analysis (LCA) is calculated using the SimaPro software and the Ecoinvent database. Surface activation of polymers to increase their surface energy and their adhesion properties is one of the major uses of cold atmospheric plasmas (such as dielectric barrier discharges -DBDs). In this study, surface activation of a model polymer using a high speed atmospheric dielectric barrier discharge operating in a nitrogen atmosphere is compared to a traditional wet chemistry surface activation. Both processes present advantages and drawbacks. A DBD necessitates high gas flows and, for nitrogen used as a gas, a very high voltage, but do not produce significant residuals. The wet chemistry approach requires aggressive chemicals, diluted possibly in water. However, they do not require advanced plasma technology.

After having defined the perimeter (the limits) of the analysis, the impact of the energy injected in both treatments, the environmental cost of the reactants and of the release of the byproducts has been evaluated. A global eco-efficiency of both treatments is established and discussed and the limits of the LCA model are discussed for this specific case study. Extension to other plasma processes is discussed

Reference :

PS-Contributed On Demand-163 Spatio-Temporal Characterization of a Pulsed DC Atmospheric Pressure Plasma Jet Interacting With Substrates, Michael Johnson, National Research Council; D. Boris, T. Petrova, S. Walton, Naval Research Laboratory, USA

Atmospheric pressure plasma jets (APPJ) generate an environment with unique electrical and chemical properties in the open air, making them attractive for a variety of surface treatment applications. The broad operational space of APPJs allow for the treatment of metal, ceramic, plastic and biomaterial surfaces. When a plasma contacts a surface, the electrical and chemical characteristics of the entire plasma jet can change based on the properties of the surface. This interdependency between the plasma and the surface during plasma-surface interactions creates a complex system, where changes to one will result in changes to the other. Understanding the plasma-surface interactions and the associated changes to plasma properties thus becomes critical in advancing the use of APPJs to treat surfaces. In this work, the evolution of a pulsed-DC APPJ, produce in a helium flow and contacting different surfaces is studied using temporally- and spatially-resolved optical emission spectroscopy. Gold and glass substrates are used as exemplary conducting and insulating substrates. On an insulative surface, a surface ionization wave forms which briefly spreads out across the surface. With a conducting substrate, a series of different features form on the surface that will last the entire duration of the voltage pulse. Emission associated with select species, such as helium, nitrogen and hydroxide, are investigated to understand the kinetics within the plasma. Different ratios between emission lines are determined to understand the relative contribution of electrons and metastable states in driving species production during the active and afterglow phases of plasma generation.

This work is supported by the Naval Research Laboratory base program.

PS-Contributed On Demand-164 Modulation of Synergy in Metal ALE: Film Composition Effects, Nathan Marchack, E. David, D. Kazem, B. To, M. Hopstaken, S. Engelmann, IBM Research Division, T.J. Watson Research Center

Titanium Nitride (TiN) is a well-established material in CMOS fabrication, and has recently continued to fulfill hard mask and electrode roles in novel technologies such as carbon-based dopamine sensors[2] and RAM.[3] However, the material’s inherent physical properties, particularly its low surface binding energy, make it a poor candidate for plasma-enhanced atomic layer etching (ALE) techniques, where spontaneous etching can be observed even at zero bias conditions.[1] Thermal ALE approaches involving surface oxide conversion followed by removal using a fluorine-based chemistry have been demonstrated,[4] however, temperature constraints in integration schemes diminish the utility of these approaches.

We demonstrate how surface modification of TiN in an inductively coupled plasma (ICP) discharge using O\textsubscript{2} and H\textsubscript{2} chemistries can be used to modulate etch selectivity to the bulk TiN material in F- and Cl-based plasmas, respectively. For the case of surface oxidation, bias power was the most impactful knob in inducing comparable results to thermal approaches (~250°C), as measured by x-ray photoelectron spectroscopy. The variation in film properties, particularly local oxygen concentrations, induced by the physical vapor deposition (PVD) method and its subsequent effect on etch processes were also studied. Excellent across wafer uniformity is
demonstrated with this approach over continuous-wave (CW) plasma processes. For H₂ plasma exposure, pressure was found to be the most significant control in modulating etch resistance, with a process window for sub-nm ER/cycle found at 50 mTorr (see suppl. doc.). Tip measurements from the ICP reactor were largely uncorrelated to the etch rate modulation. The effect of this treatment of a Si surface was investigated for integration schemes requiring tailored selectivity.


Plasma-assisted catalysis (PAC) is a process that has been investigated as a promising solution to combat increasing environmental concerns. To remain a viable option for pollution control, however, a thorough understanding of the underlying synergies between the catalyst and plasma must be elucidated. In this work, optical emission spectroscopy was employed to assess the impact of zeolite and Ni/zeolite catalysts on relative species densities and energy partitioning trends in H₂ and mixed gas H₂/CO₂ inductively coupled plasma systems. In a 90:10 H₂/Ar plasma, where Ar is used as an actinometer, H₂ rotational temperature (Trot) was ~500 K regardless of the presence of a catalyst. In the 1:1 CO₂/H₂ mixture in the presence of a catalyst, Trot nearly doubled to a range of 1000-1200 K. Thus, some underlying synergy exists between all the components in the plasma system. Possible changes to the catalyst as a result of exposure to the plasma were examined using scanning electron microscopy with energy dispersive spectroscopy (SEM-EDS) and X-ray photoelectron spectroscopy (XPS). The composition of thin films affected the plasma were examined using scanning electron microscopy with energy dispersive spectroscopy (SEM-EDS) and x-ray powder x-ray diffraction (pXRD). Catalyst analysis by SEM-EDS and pXRD revealed that the morphology and bulk chemical characteristics of the catalyst remained largely unchanged after exposure to plasma, indicating that these catalysts are robust and reusable in a PAC system. Collectively, these data provide insight into the fundamental plasma interactions in PAC systems which will ultimately lead to deeper understanding of the ever-growing atmospheric pollution problem. In addition, this work sets the stage for future work examining the methanation of CO₂ utilizing plasma technologies.

PS-Contributed On Demand-172 A Low-Cost Atmospheric Pressure Plasma Apparatus to Depyrograte Delicate Materials in a Sealed Environment, Naman Bhatt, D. Trosan, North Carolina State University; J. Brier-Jones, Loma Linda University, Karamedica, Inc.; Pecoraro, North Carolina State University; J. Smallwood, Loma Linda University; A. Crofton, Case Western Reserve University, Karamedica Inc.; S. Hudson, North Carolina State University, Karamedica; W. Kirsch, Loma Linda University, Karamedica, Inc.; K. Stapelmann, S. Shannon, North Carolina State University

One of the biggest challenges in the pharmaceutical industry is to produce low-cost pyrogen-free material that can be used in internal medicine. Chitosan is one such material that has shown promising results as a drug carrier in targeted treatments of cancer and Alzheimer’s disease. Chitosan is currently being evaluated by our collaborators as a potential active component of a prophylactic treatment for COVID-19 (coronavirus). An efficient and cost-effective depyrogration process with validation of endotoxin reduction can be of huge benefit to the medical industry.

Pyrogens, such as endotoxins, are ubiquitous and can easily be attracted from non-sterile environments. Endotoxins cause an inflammatory response in the human body and a significant amount can cause an endotoxic shock with high fever, organ failure, and even death. Endotoxin is notoriously difficult to destroy or inactivate as it is highly stable in temperature and pH changes and varies in size and molecular weight. In addition, endotoxins have strong binding affinity for chitosan molecules. Conventional sterilization processes including dry or wet heat, radiation, and chemical sterilant cause alterations to chitosan’s properties and may leave toxic residues.

In this talk, we present a low-cost high-volume atmospheric pressure plasma apparatus that allows controlled plasma processing in a sealed environment. A dielectric barrier discharge is built to perform depyrogration inside hermetically sealed bags. Design challenges and considerations are discussed. FDA requires at least a 3-log reduction in endotoxin levels for depyrogration processes. However, there is not any quantified data in literature for endotoxin reduction using plasma. We carried out a detailed experimental study with plasma of different gas mixtures including synthetic air, nitrogen, and helium and were able to achieve up to a 4-log reduction in endotoxin levels. FTIR and Raman spectroscopy measurements revealed no structural change in chitosan after the plasma process. Surface modifications of different bag materials are also presented through FTIR and Raman spectroscopy.

This work is supported through the NIH SBIR program and Karamedica, Inc.


2D axisymmetric numerical model has been developed to simulate an inductive coupled plasma (ICP) discharge in plasma reactors with remote source for resist ashing and surface treatment applications. Commercial modeling software, CFDOACE+ was used for simulations of inductively coupled plasma reactor (without wafer bias) to address gas flow, heat transfer, plasma chemistry and electromagnetics in a coupled fashion. Maximizing EDF, quasi-neutrality of electrons/ions density balance, and ambipolar electric field is assumed. Several gas phase and surface chemistry are developed on pure and mixtures of gases, we will address discharge of Argon, Nitrogen, Helium, Oxygen and N2/O2 mixture. Initially, the fast global (GD) model has been used to help isolate the major reaction pathways and enable reduction of the large volumetric mechanisms used in multidimensional simulations. Then the models are applied to simulate 2D reactor for all the gas discharges. Model predictions of plasma, ion and important active species densities, and electron temperature are reported. Experimental measurements are performed in Mattson’s Suprema Asher, a downstream ICP reactor with patterned grounded Faraday shield [1] to reduce electron temperature and ion energy, as well as charge separation. Conductive grid between the plasma source and heated pedestal to reduce ion concentration on the wafer surface. Retractable Langmuir probe is inserted into plasma source through the special top cap with three openings, defining the vertical axes along which plasma density and electron temperature are repeatedly measured. Both numerical and experimental data are presented in a comparative manner. Model calibration has been performed based on experimental data, primarily modifying the gas phase reaction steps (reaction rates, collision cross section data) in several stages. Using the final model, a close match of result is observed lading a proper validation. This numerical model has been further used to simulate parametric process recipes.


PS-Contributed On Demand-178 Etching Characteristics of Low-k SiCOH Thin Films Deposited by Plasma Enhanced Chemical Vapor Deposition Using Tetraakis(Trimethylsilyloxy)Silane Precursor, Jacob Comeaux, W. Wirth, S. Jang, University of Louisiana at Lafayette

As semiconductor devices get smaller, one issue that arises is the resistance-capacitance (RC) delay in the interconnects, resulting in the degradation in the performance of devices. To reduce the RC delay, the dielectric constant can be reduced by using a low-k dielectric (k<4.0) in place of traditional SiO₂ (k≈4.2) for the intermetal dielectric (IMD) material. The interconnects integration requires the dielectric patterning followed by metal filling, so-called “Damascene” process. This brought the need for low-k dielectric patterning by plasma etching. There are some challenges in etching of low-k materials due to their low density and porous structure.

In this study, the effect of plasma etching on the low-k properties was demonstrated. The low-k SiCOH thin films were deposited on silicon (Si) wafers using plasma enhanced chemical vapor deposition (PECVD) of the precursor tetraakis(trimethylsilyloxy)silane (TTMSS). The deposition conditions varied the RF plasma power from 20 to 100 W, and the process pressure from 26.7 to 66.7 Pa. The deposited films then underwent a reactive ion etching (RIE) in CF₄, O₂, and Ar at varying etching conditions including the flow ratios, pressure, and RF power. Characterization of the films was performed before and after RIE. Chemical characterization was performed by Fourier transform infrared (FTIR) spectroscopy and X-ray photoelectron spectroscopy (XPS). The composition of thin films affected the reaction between etching gases and the film surface. CF₄ gas contributed to the volatile Si compounds such as SiF₄. By adding O₂ to CF₄, carbon materials can be removed by forming CO and CO₂. The concentration of F-radicals in the plasma determined the etch rate. The etching chemistry strongly depended on the structure and composition of SiCOH films. In addition to the change in the chemical structure of SiCOH films after RIE, material properties of as-deposited SiCOH films were...
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compared with those of etched films: mechanical properties including elastic modulus and hardness measured by nanoindentation, refractive index and film thickness (deposition rate and etch rate) using ellipsometer, surface morphology observed by atomic force microscopy (AFM), and electrical properties including dielectric constant and leakage currents measured with capacitance-voltage (C-V) and current-voltage (I-V) curves.

**PS-Contributed On Demand-181 Enhancing the Far Ultra-Violet Optical Properties of Aluminum Mirrors with a Plasma Based Approach to Oxide Removal and Fluorine Passivation, David Boris, U. S. Naval Research Laboratory; L. Rodríguez de Marcos, Catholic University of America; A. Kozen, S. Rosenberg, ASEE Postdoctoral Fellow; J. del Hoyo, J. Richardson, E. Wollack, M. Quijada, NASA Goddard Space Flight Center; S. Walton, U. S. Naval Research Laboratory**

Astronomical instrumentation/telescopes operating in the Far Ultra-Violet (FUV, 90-200nm) require the use of aluminum mirrors due to its high reflectivity over this wavelength range. Unfortunately, the native aluminum oxide layer formed in atmosphere is strongly absorbing in this wavelength range, requiring that the aluminum films be passivated with a dielectric/transparent layer that inhibits oxidation. Efficient optics in the FUV range are challenging due to the limited selection of protective transparent materials available for use on aluminum. A promising coating material is AlF₂, which can protect the underlying aluminum and yields a theoretical reflectivity of > 50% down to 100 nm, if the coating is sufficiently thin. In this work, we explore the use of electron beam generated plasmas produced in an SF₆ background to simultaneously remove the native oxide layer, while depositing an AlF₂ capping layer to passivate the aluminum. XPS measurements indicate that this approach is capable of producing thin (15 - 30 nm) AlF₂ films with some minor (≤5%) oxygen contamination. We will discuss the impact of plasma power, plasma chemistry, and plasma exposure time on the composition and structure of the passivation layer and how those parameters affect the optical properties. This work is partially supported by the Office of Naval Research, the Naval Research Laboratory base program, and NASA Strategic Astrophysics Technology (SAT) grant No. NNN17ZDA001N


A novel, mask-free plasma-patternning technique has recently been demonstrated, allowing a lithographic function to be performed in a contactless manner [R. Léal et al 2020 Plasma Sources Sci. Technol. 29 025023]. This technique involves using a powered RF electrode containing grooves or slits (with widths in the mm or sub-mm range), as well as a custom-designed CCP-PECVD chamber. By approaching the RF electrode within a very short distance of the substrate surface, plasma can be ignited and confined within the slits due to the hollow cathode effect. Therefore, the plasma process performed is spatially localized as well.

We present herein a further application of such mask-free, contactless PECVD process for the realization of spatially localized etching. A Ni/Ar plasma chemistry has been employed, and different substrate materials (crystalline silicon, single layer and stacks of amorphous silicon thin films) have been tested. The patterned etching areas are first investigated by profilometry. In continuous mode, the etching rate is very fast (up to 10nm/s), making the controlled removal of thin films difficult, but by pulsing the RF power (duty cycles as low as 0.5%), the etching rate can be fine controlled down to around 1 Å/s, and a 3D mapping of the etching trench shows an excellent uniformity along its length. A further structural characterization has been performed within the etching trench by spectroscopic ellipsometry, before and after the etching process. The ellipsometric modelling results indicate that very little damage or surface roughening is induced by the pulsed etching process, despite the use of Ar in the gas mixture.

This work enables the application of this technique in the fabrication of semiconductor devices. For instance, the controllable etching rate and good etching homogeneity over large areas make it suitable for the formation of interdigitated contacts for the IBC solar cell, and we will present progress in this device application.

**PS-Contributed On Demand-187 Effects of Outside Circuit on Capacitively Coupled Plasma Based on 1D Circuit Modeling and Experiments, Yuhua Xiao, North Carolina State University; S. Nam, H. Lee, J. Lee, Samsung, Korea (Republic of); S. Shannon, North Carolina State University**

Radio frequency capacitively coupled plasmas (CCPs) are widely used in etching and deposition processes. The applied voltage is mainly distributed over the sheaths formed near the powered electrode and the counter electrode. This counter electrode is typically grounded or isolated from ground through a dielectric. The energy of ions hitting the electrodes is mostly determined by the properties of these sheaths. Manipulation of the constant and time dependent oscillation of these sheaths is the primary means to control the energy distribution of ions incident on the electrode surface. A widely used approach is using dual- or multi-frequency waveform generated by several power supplies. In this work, a simpler method is adopted to change the sheath voltages. A circuit consisting of a variable capacitor and an inductor connects to the floating electrode outside the reactor. The outside circuit changes the impedance between floating electrode and the ground, and the sheath voltage distribution therewith is modified. The plasma is simplified to an equivalent circuit model and adapted to simulate the effect of the outside circuit on sheath properties. The simulations are further compared to experimental results measured using hairpin probe, Langmuir probe, Voltage/Current probe, and a retarding field energy analyzer (I impetans Vertex RFA). Results show that the outside circuit can partially control the DC self-bias and distribute the voltage and the energy loss between two sheaths associated with two electrodes. The outside circuit can enlarge the sheath volume adjacent to the floating electrode and reduce the sheath voltage of powered electrode prominently. These results point to possible source design based pathways for engineering the distribution of power dissipation across these sheaths in industrial plasma reactors.

**PS-Contributed On Demand-190 Characterization and Spatially Resolved Analysis of an Open Channel Microfluidic Substrate for Atmospheric Plasmas, Josh Morsell, S. Shannon, North Carolina State University; J. Jiang, P. Bruggeman, University of Minnesota**

The field of atmospheric pressure plasmas is an area of high interest. Many industries involving additive manufacturing, chemical processing and surface modification processes all stand to benefit from atmospheric plasmas. There are still many unknowns for how these plasmas interact with complex, multi-phase interfaces. The goal is to develop a controllable surface that is free from conounding issues with larger plasma-liquid interface experiments (i.e. convective stirring in bulk liquids). This work is for the design and characterization of an open channel microfluidics substrate for open use. The result is a series of 120 microchannels driven by capillary flow where flow characteristics are dependent on channel geometry. A rectangular cross section balances good performance with ease of manufacturing. The channels are 100um wide and 200um deep with a pitch of 100um. There are reservoirs on either end of the channels, one for fluid input and another for spatially resolved fluid extraction from the channels. It is possible to achieve a spatial resolution of 400um by utilizing channel diagnostics. The device is etched via DRIE in a silicon wafer with a thermally grown oxide layer for chemical resistance. Flow measurements with these substrates show that a flow rate up to 1.2ml/min is possible and can be controlled by modifying applied pressure to the fluid inlet. A 13.56MHz atmospheric pressure plasma jet has been co-developed for studying the plasma-surface interactions. The designs for this microfluidic substrate are made available to the public for use. This work is supported by the DOE Center for Plasma Interactions with Complex Interfaces.

**PS-Contributed On Demand-193 Construction of a Surrogate Model of a Plasma Processing Systems by Machine Learning, Masakazu Ichikawa, K. Ikuse, Osaka University, Japan; K. Chen, National Yang Ming Chiao Tung University, Taiwan; J. Wu, National Yang Ming Chiao Tung University, Taiwan; S. Hamaguchi, Osaka University, Japan**

The growth of semiconductor technology today is rapid and one of the challenges is to improve the productivity of semiconductor device manufacturing. Plasma processing is widely used in semiconductor device manufacturing and achieving more efficient real-time control of individual plasma processing tools on the manufacturing floor would vastly improve their productivity. Known as real-time physical parameters of a plasma processing tool would help improve the real-time control. Such parameters may include, for example, the electron density profile, ion energy and flux distributions, and etching rate profile over the wafer. In reality, however, because diagnostics that can access the plasma during the manufacturing process is extremely limited, one needs to rely on available tool data, such
as input power, gas pressure, and possible additional sensor data, to make an educated guess on the current state of the plasma inside the tool. This is called virtual metrology (VM). To develop a VM system of a plasma tool, we are constructing a surrogate model of a plasma processing system based on numerical simulation data of the plasma. Such a surrogate model can be evaluated concurrently with the actual process, unlike the original physics-based numerical simulations. In this study, we used scikit-learn, an open-source machine learning library in Python, to predict various plasma parameters as functions of the powered electrode voltage and gas pressure for Ar plasmas. Data were obtained from two fluid-model-based plasma simulations and currently we are mostly focusing on one-dimensional simulations. Various techniques such as Lasso regression, Ridge regression, Gaussian process regression, and Multilayer perceptron have been tested for this problem. The results suggest that the plasma parameters can be predicted in real time and the model developed here is a stepping stone for an extension to 2 and 3-dimensional plasma simulation data in the future.

PS-Contributed On Demand-196 Incorporating Electronegative Feedback Mechanisms in a Global Plasma Circuit Model for Pulsed Power Delivery, Carl Smith, North Carolina State University; S. Nam, K. Bae, J. Lee, Samsung Mechatronics R&D Center, Korea (Republic of); S. Shannon, North Carolina State University

Pulsed inductively coupled plasma (ICP) sources have been of interest in the semiconductor industry over the past decade given their ability to reduce substrate damage and charge build-up. For an electropositive plasma, in the power ON-Cycle of a pulse, these discharges are typically characterized by a sharp increase in \( T_s \) and \( n_s \) as well as a corresponding decrease in the reflection coefficient (\( \eta \)). In previous work, a Matlab based Global Plasma Circuit Model (GPCM) has successfully been employed at characterizing these transients for Argon. This work presents experimental results from an SF6/Ar Plasma taken on the Inductively Coupled Argon Oxygen System (ICAROS), where \( n_s \) was taken a photodiode as well as a time resolved hair pin resonator probe. Modifications to the equivalent transformer model are also proposed, such that electronegative effects such as electron attachment instabilities can be accounted for. Finally, the role that match effects has on inducing these electron attachment instabilities are also explored, as it has been observed that matching in the early ON-Cycle can induce these phenomena which are subsequently modulated by ratio of SF6 to Ar.

This work is supported by the North Carolina State University and the Samsung Mechatronics R&D Center.

PS-Contributed On Demand-199 Comparative Study of Low Damage Plasma Etching Processes on the Integrity of AlGaN Layers Integrated in GaN HEMT During Gate Opening, Oleh FESIENKO, C. Petit-Etienne, University Grenoble Alpes, LTM, CNRS, France; M. Darnon, A. Soltani, H. Maher, Université deSherbrooke, LN2, CNRS UMI-3463, Canada; E. Pargon, University Grenoble Alpes, LTM, CNRS, France.

One promising integration scheme for high electron mobility transistors (HEMTs) based on III-N semiconductor heterostructures such as AlGaN / GaN is to use a thin AlGaN barrier. One of the fabrication challenges of this integration architecture is the etching of the SiN thin cap layer that must be stopped on the very thin 4 nm-thick AlGaN layer with a nanometer scale definition and without introducing damage and/or modification of the AlGaN surface layer.

In this work, the authors propose to investigate and compare different plasma etching strategies to etch the last 5 nm of the SiN cap layers anisotropically and with minimal damage to the thin layer of AlGaN material. Fluorocarbon and HBr-based plasma processes were developed in an inductively coupled plasma (ICP) reactor and compared to a digital etching concept that combines a SiN surface modification step by hydrogen ion implantation and a selective removal step of the modified SiN layer over the AlGaN using a Buffered oxide etching (BOE). The SiN modification depth during implantation is controlled by the hydrogen ion energy and flux. Post-etching treatments for repairing or minimizing the AlGaN surface damage induced by the SiN etching process were also evaluated. For all the cited strategies, the AlGaN surface damage in terms of chemical stoichiometry and change of the surface valence band energy were characterized by quasi in situ Angle Resolved X-ray Photoelectron Spectroscopy (AR-XPS), while the AlGaN surface roughness after process was analyzed by atomic force microscopy (AFM).

Concerning the preliminary results, we demonstrate that fluorocarbon plasma process has infinite SiN/AlGaN etch selectivity, due to the formation of an Al-Fx layer on the AlGaN surface that stops the etch. Unfortunately, the transformation of AlGaN surface into AlFx extends from 1.5 to 3.5 nm depth depending on the plasma ion energy, which is not acceptable when dealing with 4 nm thick AlGaN layer. Moreover, AlFx layer is hardly removed by wet or dry solutions. Upon HBr based plasma, the AlGaN surface stoichiometry is slightly degraded with Al and Ga depletion to a depth of 2 nm but without change of the valence band energy. Finally, digital etching process was found to be the least damaging solution in terms of stoichiometry and valence band energy change. Complementary electrical characterizations will be performed to validate the use of the developed digital etching in the fabrication of high performant AlGaN/GaN HEMT.


In capacitively coupled plasma (CCP) systems operated under various pressure conditions in semiconductor and display manufacturing processes, physical phenomena are observed involving significant alterations in discharge characteristics, depending on local or nonlocal electron kinetics. The energy relaxation occurs locally or nonlocally depending on the electron energy distribution functions, and thus fluid models cannot treat these phenomena. Also, a one-dimensional particle-in-cell (PIC) simulation cannot capture the effects of the device structure, which is also an essential factor for plasma uniformity. Although a two-dimensional PIC simulation is required to investigate these phenomena, it is challenging owing to high computational complexity and cost. For this purpose, we performed a parallelized two-dimensional PIC simulation with a graphics processing unit. We analyze the transition mechanisms of nonlocal and local electron kinetics and investigate the correlation with plasma uniformity for the variations of the driving voltage, gas pressure, the gap distance between upper and lower electrodes, and the boundary conditions.

PS-Contributed On Demand-205 Characterization of Plasma-Thermal Cu ALE Processes and Etch Products, Xia (Gary) Sang, J. Martinez, L. Bouchard, E. Carter, J. Chang, University of California at Los Angeles

Chemical Mechanical Polishing (CMP) of copper (Cu) is reaching process limits as increasingly smaller feature sizes leave diminishing room for error for issues like dishing, defects, and corrosion. Plasma-thermal atomic layer etching of Cu is a promising and complementary process to address the challenges in nanometer-scale Back-End-of-Line (BEOL) device integration. This process consists of two steps: a modified layer is defined directionally in the plasma exposure step leveraging the anisotropic nature of low energy ions; the modified layer is completely and selectively removed by an isotropic etchant, leaving a final feature with ideal directionality.

This work achieves directional removal of Cu via the cyclic alternation of surface oxidation states. Low energy and directional oxygen ions were introduced to the surface, forming a thin film of oxidized copper. The oxide layer was then selectively removed, at a much higher rate compared to the metallic layer, using vapor phase formic acid. The surface composition changes after each process step were characterized by X-ray Photoelectron Spectroscopy (XPS) to delineate the formation of Cu2O, CuO and Cu(OH)2, corroborated by Cu LMM Auger lines. The etch product was collected and analyzed using Inductively Coupled Plasma Mass Spectroscopy (ICP-MS), Nuclear Magnetic Resonance (NMR) and Electron Paramagnetic Resonance (EPR) to confirm the presence of copper formate, the proposed reaction product. Experimental results were interpreted with the aid of density functional theory (DFT) calculations, which suggest the water-free dimeric tetra[p-formato]dicopper(II) "paddlewheel" complex (Cu2(HCOO)4) to be the most favorable etching product. High resolution transmission electron microscope (HRTEM) images of a nano-scale patterned Cu thin film using this process confirmed the desirable, directional etch profile.

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Plasma Science and Technology Division

Room On Demand - Session PS-Invited On Demand

Plasma Science and Technology Invited On Demand Session

PS-Invited On Demand-1 Control of Interface Layers for Selective Atomic Layer Etching, Takayoshi Tsutsumi, Nagoya University, Japan; R. Verhuerst, ASM, Japan; N. Kobayashi, M. Mori, Nagoya University, Japan

INVITED Atomic layer etching (ALE) processes with material selectivity are expected to be key to fabricate nano-sheet transistors, nanowire transistors and other three-dimensional devices. We have developed a plasma-enhanced ALE process using an energetic ion to form a self-limiting interface layer denoted by a modification or a mixture layer, which is sequentially removed by the plasma. This presentation focuses on the reaction mechanisms to achieve selective ALE processes for silicon-compounds by controlling the interface layer.

First discussed is the mixture layer formed by fluorocarbon plasma during the ALE of silicon oxide. We developed an atomic scale etching using alternating nanometer-thick fluorocarbon film deposition and O₂ plasma irradiation for silicon oxide. The Ar ion enhances the reaction at the interface between the silicon oxide and fluorocarbon and forms a mixture layer which consists of carbon, fluorine, silicon and oxygen. Therefore, control of the fluorocarbon film thickness and composition are required to improve repeatability of etched thickness per cycle and material selectivity in the process. The process achieved high process repeatability against cycle numbers because the O₂ plasma maintained a stable surface of the SiO₂ and removed excess carbon atoms as gaseous products such as CO. The O₂ plasma also initializes the chamber conditions in each cycle. We investigated the depth profiles of atomic concentrations in the mixture layer to improve the material selectivity.

Second discussed is the modification layer formed and by H₂ plasma and its removal step by fluorinated plasma. We investigated the surface modification and etching mechanism by in-situ spectroscopic ellipsometry and attenuated total reflectance Fourier transform infrared (FTIR) spectroscopy. The in-situ analysis clarified that the hydrogen plasma induced an increase in the concentration of Si–H and N–H bonds, and the N–H bond concentration plateaued more quickly than Si–H bonds. Considering the temporal change in the concentration of Si–H and N–H bonds during removal step by fluorine radical, Si–H bonds were primarily present near the surface, while N–H bonds were mainly located deeper into the silicon nitride film.

Based on the results, we will show understanding of the reaction mechanism helps to improve the controllability and the selectivity in ALE.


PS-Invited On Demand-7 Current Modeling and Simulation Challenges of Low-Temperature Plasmas, Anne Bourdon, LPP, CNRS, Ecole Polytechnique, France

INVITED Low-temperature plasmas are used for applications ranging from material processing to bio-medical and electric propulsion applications. To model these discharges many physical and chemical processes have to be considered as multi-species nonequilibrium gas chemistry and transport, coupling of charged species with electromagnetic fields, coupling of the discharge with the fluid dynamics of the reactive gas, and coupling with surfaces and interfaces. For the modeling and simulation of these discharges, a major challenge is related to the very different space and time scales these processes may have. In the last decade, advances have been obtained on the mathematical modeling and high performance computing of low-temperature plasmas. In particular, the possibility to use multi-scale coupling methods, structured, unstructured, and adaptive mesh techniques, new algebraic equation solvers and parallel computing have opened a large range of new simulation possibilities. To illustrate some recent developments and current challenges in the field of modeling and simulation of low-temperature plasma discharges, two examples will be presented: fluid simulations of atmospheric pressure plasma jets for biomedical applications and plasma assisted combustion and PIC and fluid simulations of low-pressure magnetized plasmas for electric propulsion.

PS-Invited On Demand-13 Plasma-Substrate Interaction in the Case of Atmospheric Pressure Plasmas, Ana Sobota, Eindhoven University of Technology, Netherlands; O. van Rooij, Eindhoven University of Technology, Afghanistan; M. Hofmans, O. Guaitella, A. Bourdon, Ecole Polytechnique, Afghanistan; P. Viegas, Dutch Institute for Fundamental Energy Research (DIFFER), Afghanistan

INVITED Non-thermal plasmas are a versatile tool for applications at atmospheric pressure and in interaction with various substrates, but it has also been established that the substrates modify the plasma during the interaction. This work examines the effect on electron and heavy particle properties and discharge development.

PS-Invited On Demand-19 Recent Advances in Plasma Processing for the Creation of Tunable Biofunctional Surfaces and Interfaces, Marcela Bilek, B. Akhavan, C. Tran, R. Walla, E. Kosobrodova, University of Sydney, Australia; A. Kondyurin, university of Sydney, Australia; C. Lotz, G. Yeo, University of Sydney, Australia

INVITED Bio-functionalized surfaces are of great interest for a wide range of applications, particularly in biomedical diagnostics and implantable medical devices. We have shown that radicals embedded in carbon-rich surfaces facilitate simple, one-step surface-functionalisation [1]. The radicals are created by energetic ion bombardment of the surfaces. Reagent-free, covalent immobilisation of functional molecules occurs on physical contact by immersion or spotting / painting of the biomolecule-containing solutions onto the activated surfaces. This strategy simplifies covalent functionalisation of surfaces enormously and the approach can immobilise bioactive peptides, antibodies, enzymes, single stranded DNA, and extra-cellular matrix proteins [2] onto many materials, including polymers, metals and ceramics. Applications enabling biological studies of the response of individual cells to proteins on a sub-cellular scale [3], and the preparation of multi-functionalisable nanoparticles for theranostics [4] have been demonstrated. Spontaneous covalent immobilisation coupled with tuning of electric fields in double layers at the surface during the immobilization, created by pH variations and/or the application of external electric fields, enable control of the density and orientation of surface-immobilised bioactive peptides [5].

This presentation will review the underpinning science and report recent advances that extend the application of these techniques to functionalisation of the internal surfaces of complex, porous materials and structures using controlled flow fields and strategically designed electrodes to create plasma discharges within the internal spaces. The efficacy of embedded radicals to polymerise and covalently link hydrogels to solid surfaces and the use of atmospheric pressure plasma discharges to activate surfaces for covalent biofunctionalization during 3D bioprinting will also be explored.


PS-Invited On Demand-31 Linear Hollow Cathode Plasma Source and the Deposition of Silicon Oxide Materials, John Chambers, AGC, E. Michel, G. Arnault, AGC, Belgium

INVITED AGC's proprietary Linear Hollow Cathode electrodes are used to generate uniform linear plasma for PECVD. The patented technology drives pairs of electrodes using typical mid-frequency or pulsed-DC power supplies that are configured for magnetron sputtering. The linear hollow cathode technology uses electrode geometry to confine electrons and generate a dense plasma, and therefore does not require any magnetic field to maintain a linear discharge.

This novel plasma generation method allows for stable plasma discharge under a variety of process conditions – notably under a wide range of process pressures. Since magnetic field is not necessary for plasma generation, other magnetic fields may be used to shape the plasma within the process chamber.

Additionally, the PECVD process allows for variation of plasma gas and precursor materials, as well as extreme variation in their flow rates and ratios. The combination of these process flexibilities allows for the deposition of materials with varied properties, such as silicon oxide materials of variable density and refractive index.

AGC Plasma Technology Solutions develops this technology for AGC's own internal production processes, as well as for marketing outside of their glass coating industry for use in plate-to-plate and roll-to-roll coaters.

John R. Chambers*
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of high temperature, which may bring about strain relaxation in the SiGe channel and Ge diffusion into Si substrate. To avoid the deterioration of the SiGe characteristics, low temperature process is desirable. However, the SiGe composition control at low temperature process has not been studied yet.

In this study, we developed low-temperature plasma treatment that induces Si-rich modification layer on SiGe surface. We revealed that the mechanism to realize the Si-rich surface is caused by Si segregation behavior under the plasma treatment experimentally. We will also present that the surface composition modulation was energetically favorable using ab-initio calculation. The details of the plasma treatment is further discussed experimentally, in conjunction with ab-initio calculation.


Poly- and perfluorooalkyl substances (PFAS) have recently received considerable attention due to their toxicity, ubiquitous presence and recallantce in the environment. Current large-scale treatment of groundwater contaminated with PFAS involves using an ion exchange (IX) resin. In regenerable IX systems, the regeneration of the resin yields still bottoms or brine, a complex and highly electrically conductive chemical mixture of high concentration PFAS, methanol (usually recovered by distillation), and sodium chloride that is expensive to dispose of and often must be stored on-site. A promising solution to the disposal of brine is through plasma-based water treatment, a low-cost, low energy process which has been demonstrated to be extremely efficient in degrading a range of PFAS.

In this work, we have adapted a proven plasma-based treatment system for low concentration, low conductivity PFAS-contaminated water so that it can treat regenerant brine. A bench-scale point-point discharge reactor was developed to investigate the influence of solution electrical conductivity and ion composition on the performance of the reactor in treating a single PFAS-perfluorooctanoic acid (PFOA)-in a solution containing sodium chloride that was used to adjust the solution conductivity between 0.3 and 45 mS/cm. The influence of various ions was explored using chloride and non-chlorine salts to adjust the conductivity. Following bench-scale investigations, the reactor was upscaled for the treatment of large volumes of brine. A “high-concentration” and a “low-concentration” bench-scale batch plasma reactors were developed and used successfully to degrade PFAS at high concentration (>100 mg/L) and low concentration (< 1 µg/L), respectively, in still bottom solutions containing numerous PFAS with a wide concentration range. The reactors were installed into a mobile trailer and demonstrated in the field earlier this year at an Air Force Base.


The industry is experiencing a surge of interest in new memories, and their integration with logic devices. Some of this interest is driven by the slowdown in traditional device scaling, which is creating opportunity for fast, dense, low power memory that can be closely coupled to processors without consuming precious silicon area. Another very powerful driver of interest in non-traditional memories is Analog Artificial Intelligence (AI), where there is tremendous potential for speed and energy efficiency improvements by using arrays of non-volatile multi-state memory elements to store the weights linking nodes within neural networks.

Both applications for emerging memories have a distinct set of device metrics which must be met to achieve the desired technology performance. These device metrics can be sensitive to the applied processes and introduce new requirements for the plasma processes used to deposit materials, pattern structures, treat surfaces, etc. For the analog
AI application space, devices should have low stochasticity and low variability across a range of states. A population of devices should be able to be programmed into each desired state and should remain in that state until it is intentionally updated. Both phase change material (PCM) devices and Resistive RAM (RRAM) devices are susceptible to plasma processes during device integration. For a more traditional memory application, device metrics are relaxed since the device needs to achieve only two states. These states are typically far enough apart that concern of overlap is low. However, these devices need to be densely packed so they tend to have smaller CD and pitch compared to devices being considered for analog AI applications. One example of an emerging memory that is considered as an SRAM or DRAM replacement is Magnetoresistive RAM (MRAM). The small geometry and complex materials stack used for MRAM devices can be a challenge for integration and patterning. In addition to these applications introducing new requirements, many of these memory devices involve the introduction of materials not typically used in semiconductor devices. Emerging memories which introduce new sensitivities and/or new materials will drive a need for new processes, chemistries, and tools to achieve efficient, high yield, and scalable fabrication.

This paper will present a handful of case studies, each of which examines an emerging memory device. The new device’s requirements will be reviewed, along with the current common practices for fabrication. Discussion of the limitations of those current processes will highlight opportunities for further research and development.

This work was performed by the Research Alliance Teams at various IBM Research and Development Facilities
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